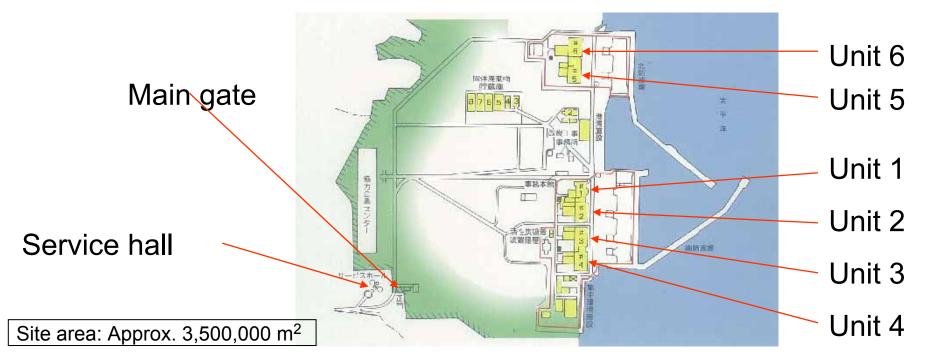
# Fukushima Nuclear Accidents Investigation Report

Attachment

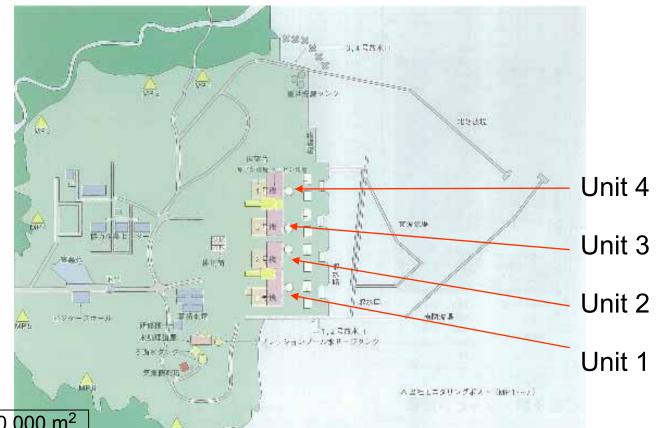
## Summary of Fukushima Daiichi Nuclear Power Station



| Address       | Unit   | Start of operation | Туре | Output<br>(x 10,000 kW) | Main<br>contractor | Status w                 | hen the earthquake struck   |
|---------------|--------|--------------------|------|-------------------------|--------------------|--------------------------|---|
|               | Unit 1 | March 1971         | BWR3 | 46.0                    | GE                 | In r                     | rated output operation  |
|               | Unit 2 | July 1974          | BWR4 | 78.4                    | GE/Toshiba         | In rated newer energian  |   |
| Okuma<br>Town | Unit 3 | March 1976         | BWR4 | 78.4                    | Toshiba            | In rated power operation |   |
| TOWIT         | Unit 4 | October 1978       | BWR4 | 78.4                    | Hitachi            | Outage                   | All fuel removed, pool gate<br>closed (core shroud<br>replacement work under way) |
| Futaba        | Unit 5 | April 1978         | BWR4 | 78.4                    | Toshiba            | Outage                   | Reactor pressure vessel top   |
| Town          | Unit 6 | October 1979       | BWR5 | 110                     | GE/Toshiba         |                          | lid closed  |

N

### Summary of Fukushima Daini Nuclear Power Station



### Site area: Approx. 1,500,000 m<sup>2</sup>

| Address | Unit   | Start of operation | Туре | Output<br>(x 10,000 kW) | Main contractor | Status when the<br>earthquake struck |  |
|---------|--------|--------------------|------|-------------------------|-----------------|--------------------------------------|--|
| Naraha  | Unit 1 | April 1982         |      |                         | Toshiba         |                                      |  |
| Town    | Unit 2 | February 1984      | BWR5 | 110                     | Hitachi         | In rated power                       |  |
| Tomioka | Unit 3 | June 1985          | DVKJ | 110                     | Toshiba         | operation                            |  |
| Town    | Unit 4 | August 1987        |      |                         | Hitachi         |                                      |  |

N

## Shape of Primary Containment Vessel of Fukushima Daiichi and Fukushima Daini Nuclear Power Stations

| Туре                | BWR3                        | BWR4                             | BWR5   | BWR5                            |
|---------------------|-----------------------------|----------------------------------|--|---------------------------------|
| Plant               | Fukushima Daiichi<br>Unit 1 | Fukushima Daiichi<br>Unit 2 to 5 | Fukushima Daiichi Unit 6<br>Fukushima Daini Unit 1 | Fukushima Daini<br>Unit 2 - 4   |
| Electrical output   | 460,000 kW                  | 784,000 kW                       | 1,100,000kW  | 1,100,000kW                     |
|                     | Mark I type<br>(Flask type) | Mark I type<br>(Flask type)      | Mark II type<br>(Cylinder type)                    | Mark II Advanced<br>(Bell type) |
| Containment<br>type |                             |                                  |  |                                 |
|                     |                             | Source: NRC website              | Fukushima Daini Unit 1                             | Fukushima Daini Unit 3          |

Design of the Mark I Primary Containment Vessel

- 1. Difference between Mark I and Mark II primary containment vessel (PCV) capacity
  - In a boiling water reactor (BWR), the pressure suppression type PCV is used, which is designed to restrain pressure build-up by passing the steam escaping into the PCV, when a piping rupture occurs through the suppression chamber (S/C) water pool, where it is condensed and pressure is relieved. There is no problem here.
  - Both Mark I and Mark II PCVs are the pressure suppression type, and are designed in such a way that the larger the power output, the larger the PCV capacity.
  - Taking a look at the capacity-to-output comparison, which is a suitable indicator in comparing the size, the Mark I and Mark II are very nearly the same, and thus Mark I is not especially small.

| reactor   | 1F-1           | 1F-2~5         | 1F-6,2F-1      | 2F-2~4              | KK-6/7 (ref) |
|---|----------------|----------------|----------------|---------------------|--------------|
| PCV   | Mark I         | Mark I         | Mark II        | Mark II<br>Improved | RCCV         |
| comparison of<br>capacity to<br>output <sup>%1,%2</sup> | approx.<br>4.4 | approx.<br>3.1 | approx.<br>3.0 | approx. 4.3         | approx. 3.4  |

#### Table: comparison of PCV capacity to reactor output

%1 ratio of PCV capacity [m<sup>3</sup>] to reactor thermal power [MW t]

%2 Reactor thermal power according to Application for Establishing Permit documents. PCV capacity according to Establishing Permit document attachment No. 8 dry well (D/W) capacity (including vent pipe) plus suppression chamber empty space capacity.

- 2. Anti-explosion measures when hydrogen is produced inside the suppression chamber due to an accident
  - By controlling the oxygen level to within a fixed limited value by enclosing nitrogen inside the PCV, hydrogen burn-up or explosion inside the PCV is prevented even if a large volume of hydrogen is produced.
  - A flammability control system (FCS) installed inside the reactor building (R/B) has a heating recombination design so as to control the level of hydrogen and oxygen density inside the PCV after an accident occurs.
- 3. Increased load on the pressure suppression chamber (S/C) when accidents occur
  - When the Mark III PCV was being developed in the United States, necessary measures were taken (installation of facility to mitigate the load transfer: facility (quencher) that spews steam out evenly in four directions rather than in only one direction)) in answer to the problem of the load created when high pressure steam is transferred to the S/C when piping ruptures occur.

- Similar measures based on the measures taken in the US have been implemented in Japan. In regard to the investigation of load, the Nuclear Safety Commission (NSC) compiled the guideline entitled "BWR. Mark I type PCV Pressure-Suppression System Evaluation Guidelines on Added Load Transfer." (Similar guidelines have been worked out for the Mark II)
- 4. Improvement of Mark I PCV efficiency (vent)
  - The Nuclear Regulatory Commission (NRC) of the United States established that installing a PCV hardened vent on the Mark I PCV reduced the risks of reactor core damage. Investigation through probabilistic safety assessment carried out in Japan confirmed the viability of this PCV hardened vent facility as being effective in preventing reactor core damage and impact mitigation, and the PCV hardened vent is also installed on the Mark II PCV.

End

## Overview of the Tohoku-Chihou-Taiheiyou-Oki Earthquake

Date/Time: Friday, March 11, 2011 at 2:46 p.m.

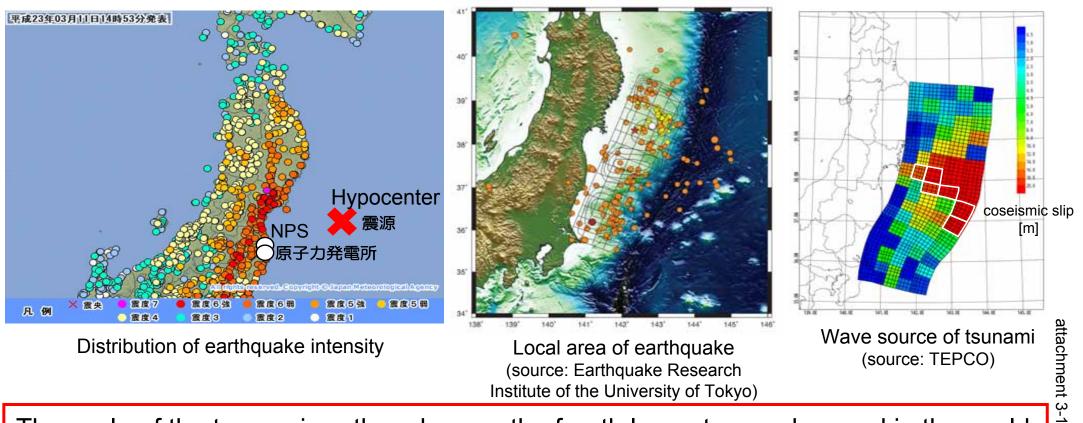
Location: Offshore Sanriku (latitude 38 degrees, 06.2 minutes, longitude 142 degrees, 51.6 minutes), depth of hypocenter 24 km Scale : magnitude 9.0

Intensity around Japan : Intensity 7: Miyagi Prefecture: Kurihara City

Intensity <u>6 (upper) Fukushima Prefecture: Naraha Town, TomiokaTown, Okuma Town, FutabaTown</u> Intensity 6 (lower) Miyagi Prefecture: Ishinomaki City, Onagawa Town, Ibaraki Prefecture: Tokai-mura Intensity 5 (lower) Niigata Prefecture: Kariwa-mura

Intensity 4: Aomori Prefecture: Rokkasho-mura, Higashidori-mura, Mutsu City, Oma-machi,

Niigata Prefecture: Kashiwazaki City



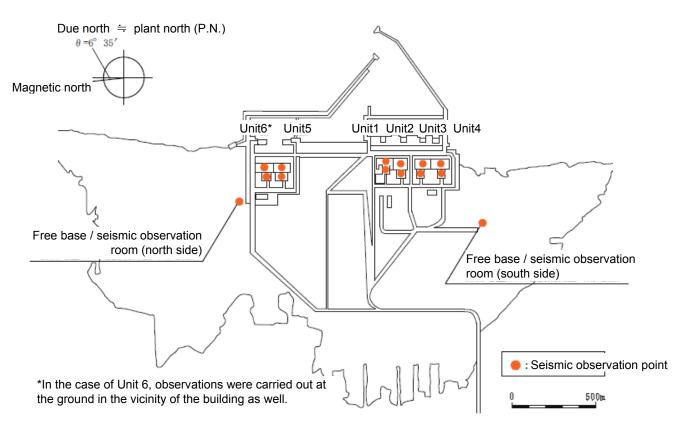
The scale of the tsunami-earthquake was the fourth largest ever observed in the world.

# Comparison between the seismic observation records and the design seismic motion at the Fukushima Daiichi Nuclear Power Station

Comparison of the observation records at Fukushima Daiichi NPS from the Tohoku-Chihou-Taiheiyo-Oki Earthquake and the response spectrum against design basis seismic ground motion (DBSGM) Ss

|                                |        | Obse                                    | ervation rec | cords      | maximum acceleration               |           |     |  |  |
|--------------------------------|--------|---|--------------|------------|------------------------------------|-----------|-----|--|--|
| Observation<br>point (R/B base |        | Maximun                                 | n accelerat  | tion (Gal) | response against DBSGM Ss<br>(Gal) |           |     |  |  |
| m                              | at)    | NS                                      | EW           | UD         | NS                                 | EW        | UD  |  |  |
|                                |        | direction direction direction direction |              | direction  | direction                          | direction |     |  |  |
|                                | Unit 1 | 460                                     | 447          | 258        | 487                                | 489       | 412 |  |  |
| Fuku                           | Unit 2 | 348                                     | 550          | 302        | 441                                | 438       | 420 |  |  |
| Fuku-<br>shima                 | Unit 3 | 322                                     | 507          | 231        | 449                                | 441       | 429 |  |  |
| Daiichi                        | Unit 4 | 281                                     | 319          | 200        | 447                                | 445       | 422 |  |  |
| Dalici                         | Unit 5 | 311                                     | 548          | 256        | 452                                | 452       | 427 |  |  |
|                                | Unit 6 | 298                                     | 444          | 244        | 445                                | 448       | 415 |  |  |

Legend: NS: North-South, EW: East-West, UD: Up-Down

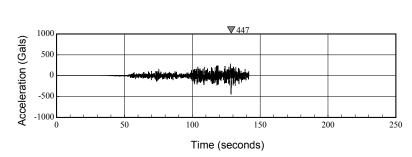


Locations of Fukushima Daiichi NPS seismic observation points

Figures 1-1 through 1-6 show the acceleration transient wave forms observed

above the base mat of all reactor buildings at Fukushima Daiichi NPS Unit 1 through Unit 6 and Figures 2-1 through 2-6 show the observed spectrum with the response spectrum calculated by inputting the DBSGM Ss.

Figures 2-1 through 2-6 show that a portion of the observed response spectrum exceeds the DBSGM Ss response spectrum, but for the most part they are roughly the same.



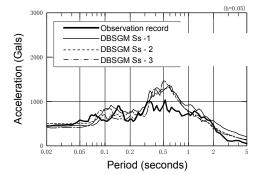
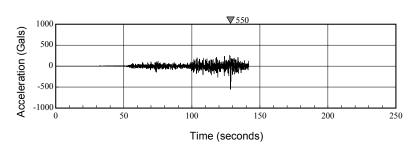


Figure 1-1 : Acceleration transient wave form (EW direction) at R/B base mat of Fukushima Daiichi Unit 1

Figure 2-1 : Response spectrum (EW direction) at R/B base mat of Fukushima Daiichi Unit 1



A constraint of the second sec

Figure 1-2 : Acceleration transient wave form (EW direction) at R/B base mat of Fukushima Daiichi Unit 2

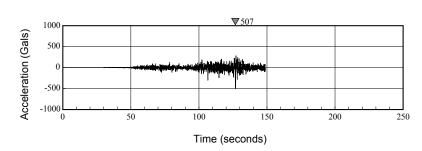
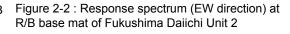


Figure 1-3 : Acceleration transient wave form (EW direction) at R/B base mat of Fukushima Daiichi Unit 3



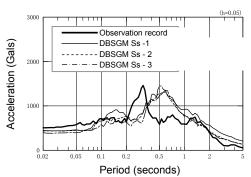
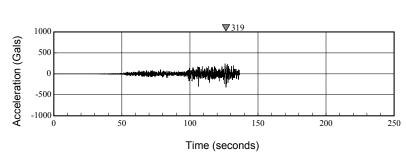


Figure 2-3 : Response spectrum (EW direction) at R/B base mat of Fukushima Daiichi Unit 3

\*The table illustrates examples of the larger direction on the horizontal plane (Fukushima Daiichi : EW direction).



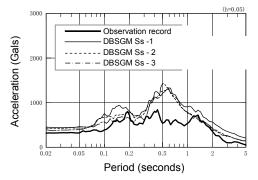
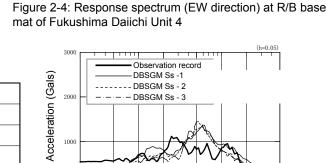
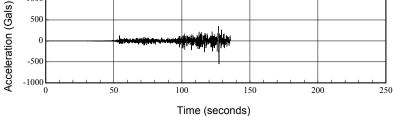


Figure 1-4: Acceleration transient wave form (EW direction) at R/B base mat of Fukushima Daiichi Unit 4



0 02

0.05



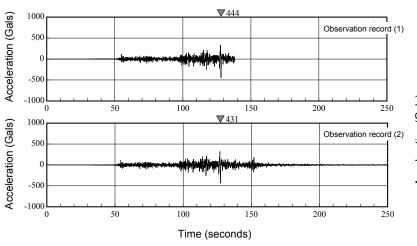
1000

Figure 1-5: Acceleration transient wave form (EW direction) at R/B base mat of Fukushima Daiichi Unit 5

 $\nabla 548$ 

Period (seconds) Figure 2-5: Response spectrum (EW direction) at R/B base mat of Fukushima Daiichi Unit 5

0.2



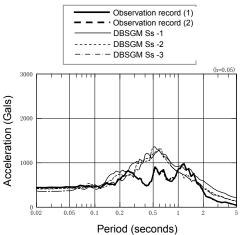


Figure 1-6: Acceleration transient wave form (EW direction) at R/B base mat of Fukushima Daiichi Unit 6

Figure 2-6: Response spectrum (EW direction) at R/B base mat of Fukushima Daiichi Unit 6

\* The table illustrates examples of the larger direction on the horizontal plane (Fukushima Daiichi : EW direction).

#### Stripped Wave Analysis of Seismic Observation records from Fukushima Daiichi Nuclear Power Station

The base model for stripped wave analysis is estimated by making use of the observation records of the free base obtained from this earthquake, the stripped wave analysis conducted using this ground model, seismic motion evaluated for the free surface of the base stratum, and then it is compared to the design basis seismic ground motion Ss.

#### 1. Identification of ground model

Reverse analysis is carried out on the transfer function calculated from the records obtained from this earthquake, and the ground model is estimated for the stripped wave analysis. The transfer function evaluated using the observation records is shown in Figure 1. As it is conceivable from this data that there is no great difference between the transfer function from the NS and EW directions, investigations are carried out on the average NS and EW transfer functions for the horizontal direction.

1) Identification analysis method

- By conducting reverse analysis of the ground transfer function recorded in the 2011 Tohoku-Chihou-Taiheiyo-Oki Earthquake employing theoretical ground transfer characteristics based on the one-dimensional wave motion theorem which hypothesizes vertical incidence of the S wave, optimized examination of the ground model is implemented for each of the horizontal direction and the vertical direction.
- The initial model is set taking into account the results of PS logging, and all strata are identified as the ideal S wave velocity or P wave velocity and damping ratio.
- The scope of searching for S wave velocity and P wave velocity is basically based on 0.8 to 1.2 times the initial model, but the range of 0.25 to 1.2 times are taken at following area.

South-side point: O.P.+34.9m - O.P.+26.9m

North-side point: O.P.+14.2m - O.P.+0.2m

Furthermore, based on past investigation results of south-side point P wave velocity, the value is set at 0.7 to 1.3 times the initial model at the range of O.P.+26.9m - O.P.-3.1m.

• Formula (1) frequency dependent function form is applied to the damping ratio h(f), the upper limit value of h(f) is set to 1 and lower limit value is set to 0, and the search range of  $h_0$  and  $\alpha$  are both set to 0 - 1.

 $h(f) = h_0 \times f^{\alpha} \quad 0 \leq h(f) \leq 1 \quad \cdots \quad (1)$ 

- GA (genetic algorithm) is used for the reverse analysis, and the parameters are set to population 20, generation number 100, crossover probability 0.75, and the mutation rate is set to 1/(2 x gene length). The initial random number is changed ten times and trial calculations carried out, and after checking the convergent to the solution, the ground model adopted is the one to which the minimal error is obtained.
- 2) Identification Results
- South-side Point

The ground model as estimated using the south-side point records is shown together with the initial model and scope of search in Table 1 and Figure 2, whereas a comparison of the transfer function estimated from the ground model and the transfer function according to observation records is shown in Figure 3. When the records from the deepest location of the seismometer (O.P.-300.0m) is inputted into the estimated ground model, the response spectrum for O.P.-5.0m, as shown in Figure 4, is a close match to the response spectrum extrapolated from the seismic observation records obtained from the said location, and therefore the estimated ground model is believed to be appropriate.

#### • North-side Point

The ground model as estimated using the north-side point records is shown together with the initial model and scope of search in Table 2 and Figure 5, whereas the transfer function estimated from the ground model is shown in Figure 6. When the records from the deepest location of the seismometer (O.P.-300.0m) is inputted into the estimated ground model, the response spectrum for O.P.-5.0m, as shown in Figure 7, is a close match to the response spectrum extrapolated from the seismic observation records obtained from the said location, and therefore the estimated ground model is believed to be appropriate.

The relationship of the above with the ground model for stripped wave analysis used in the seismic safety assessment for existing nuclear reactor facilities when the "Regulatory Guide for Reviewing Seismic Design of Nuclear Power Reactor Facilities" was revised before the Tohoku Earthquake is shown in Reference 1.

#### 2. Stripped Wave Analysis

Stripped wave analysis of the records from the 2011 Tohoku-Chihou-Taiheiyo-Oki Earthquake is conducted using the estimated ground model, and an evaluation of the seismic motion of the free surface of the base stratum (O.P.-196,0m) is made. The observation records used are the records of a location at O.P.-200.0m near the free surface of the base stratum.

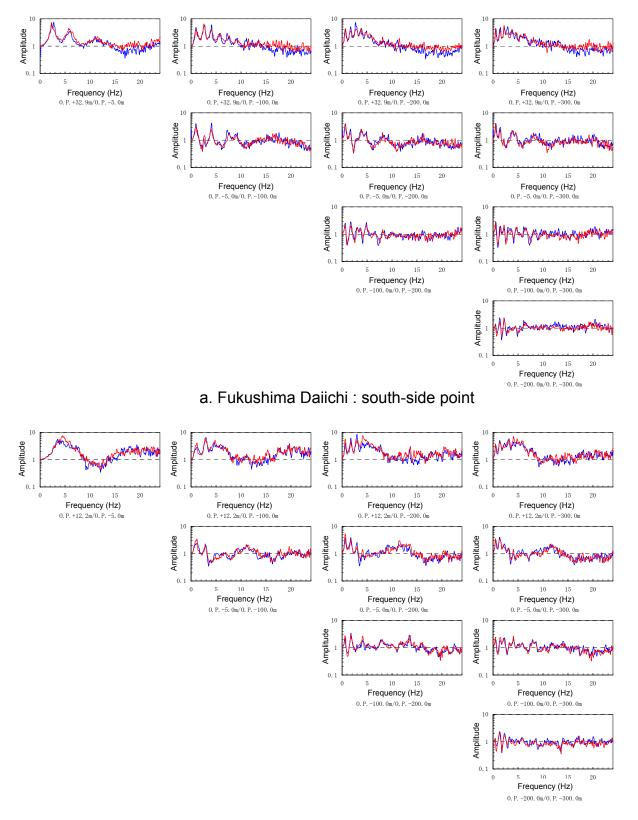
Figure 8 shows a comparison of the acceleration transient wave forms for the free surface of the base stratum calculated by the stripped wave analysis with the observation records at a location O.P.-200.0ms. Furthermore, a comparison of pseudo-velocity response spectrum with the design basis seismic ground motion Ss is shown in Figure 9.

From the above, it is confirmed that the level of the seismic motion of the free surface of the base stratum is around the same level as the design basis seismic ground motion Ss.

#### 3. Conclusion

Through investigations using the seismic observation records, the seismic motion of the Tohoku Earthquake free surface of the base stratum was evaluated and compared to the design basis seismic ground motion Ss. As the result of the examination, it is believed that the free surface of the base stratum seismic motion and the design basis seismic ground motion Ss are approximately the same level.

#### Attachment 3-3 (3/20)



b. Fukushima Daiichi : north-side point

Fig. 1 comparison of NS direction (blue) and EW direction (red) transfer function (amplitude spectrum)

Table 1 - (1) Fukushima Daiichi : south-side point : horizontal direction ground model

|        | ed paramete        |                      | Initial model   |                    |                      |              | of search    |                           |                   | Identifica      |                |                              |  |  |  |
|--------|--------------------|----------------------|-----------------|--------------------|----------------------|--------------|--------------|---------------------------|-------------------|-----------------|----------------|------------------------------|--|--|--|
| 0.P.   | Layer<br>thickness | density              | S wave velocity | vel                | vave<br>ocity        |              | h(f)=        | ping<br>h₀×f <sup>α</sup> |                   | S wave velocity | dam<br>h(f)=h  | iping<br>n₀ × f <sup>α</sup> |  |  |  |
| (m)    | (m)                | (g/cm <sup>3</sup> ) | (m/s)           | (n<br>lower<br>end | n/s)<br>upper<br>end | lower<br>end | upper<br>end | lower<br>end              | α<br>upper<br>end | (m/s)           | h <sub>o</sub> | α                            |  |  |  |
| +34.9  |                    |                      |                 |                    |                      |              |              |                           |                   |                 |                |                              |  |  |  |
| +32.9  | • 2.0              | 2.10                 | 440             | 110                | 528                  | 0            | 1            | 0                         | 1                 | 285             | 0.291          | 0.25                         |  |  |  |
| +26.9  | 6.0                | 2.10                 |                 |                    |                      |              |              |                           |                   |                 |                |                              |  |  |  |
| +18.9  | 8.0                | 2.00                 | 280             | 224                | 336                  | 0            | 1            | 0                         | 1                 | 252             | 0.274          | 1.00                         |  |  |  |
| -3.1   | 22.0               | 1.73                 | 460             | 368                | 552                  |              |              |                           |                   | 400             |                |                              |  |  |  |
|        | 1.9                | 1.73                 |                 |                    |                      |              |              |                           |                   |                 |                |                              |  |  |  |
| -5.0   | • 44.1             | 1.73                 | 520             | 416                | 624                  |              |              |                           |                   | 486             |                |                              |  |  |  |
| -49.1  | 24.0               | 1.80                 |                 |                    |                      |              |              |                           |                   |                 |                |                              |  |  |  |
| -73.1  | 24.0               | 1.80                 |                 |                    |                      | 0            | 1            | 0                         | 1                 |                 | 0.107          | 0.67                         |  |  |  |
| -97.1  | 2.9                | 1.77                 | 590             | 472                | 708                  |              |              |                           |                   | 592             |                |                              |  |  |  |
| -100.0 | 9.1                | 1.77                 |                 |                    |                      |              |              |                           |                   |                 |                |                              |  |  |  |
| -109.1 |                    |                      |                 |                    |                      |              |              |                           |                   |                 |                |                              |  |  |  |
| -155.1 | 46.0               | 1.77                 | 650             | 520                | 780                  |              |              |                           |                   | 659             |                |                              |  |  |  |
| -195.1 | 40.0               | 1.76                 |                 |                    |                      |              |              |                           |                   |                 |                |                              |  |  |  |
| -196.0 | 0.9                | 1.76                 |                 |                    |                      |              |              |                           |                   |                 |                |                              |  |  |  |
|        | 4.0                | 1.76                 |                 |                    |                      | 0            | 1            | 0                         | 1                 |                 | 0.063          | 1.00                         |  |  |  |
| -200.0 | •<br>10.1          | 1.76                 | 730             | 584                | 876                  |              |              |                           |                   | 740             |                |                              |  |  |  |
| -210.1 | 89.9               | 1.81                 |                 |                    |                      |              |              |                           |                   |                 |                |                              |  |  |  |
| -300.0 | •                  | 1.81                 |                 |                    |                      |              |              |                           |                   |                 |                |                              |  |  |  |

• : Seismometer

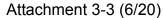
\* : Fixed parameters are according to PS logging results.

| Fixe   | ed paramete        | ers                  | Initial model   |                    |                     | Scope o      | of search    | 1                                      |              | Identifica      | Identification results |      |  |
|--------|--------------------|----------------------|-----------------|--------------------|---------------------|--------------|--------------|--|--------------|-----------------|------------------------|------|--|
| 0.P.   | Layer<br>thickness | density              | S wave velocity | velo               | ave<br>ocity        |              | dam<br>h(f)= | ping<br>h <sub>0</sub> ×f <sup>α</sup> |              | S wave velocity | dam<br>h(f)=h          | ping |  |
| (m)    | (m)                | (g/cm <sup>3</sup> ) | (m/s)           | (m<br>lower<br>end | /s)<br>upper<br>end | lower<br>end | upper<br>end | lower<br>end                           | upper<br>end | (m/s)           | h <sub>o</sub>         | α    |  |
| +34.9  | 2.0                | 2.10                 |                 |                    |                     |              |              |  |              |                 |                        |      |  |
| +32.9  | •                  |                      | 800             | 200                | 960                 | 0            | 1            | 0                                      | 1            | 366             | 0.139                  | 0.55 |  |
| +26.9  | 6.0                | 2.10                 |                 |                    |                     |              |              |  |              |                 |                        |      |  |
| +18.9  | 8.0                | 2.00                 | 1200            | 840                | 1560                | 0            | 1            | 0                                      | 1            | 1042            | 1.000                  | 0.71 |  |
| -3.1   | 22.0               | 1.73                 |                 | 1211               | 2249                |              |              |  |              | 1502            |                        |      |  |
|        | 1.9                | 1.73                 |                 |                    |                     |              |              |  |              |                 |                        |      |  |
| -5.0   | •<br>44.1          | 1.73                 |                 |                    |                     |              |              |  |              |                 |                        |      |  |
| -49.1  | 24.0               | 1.80                 | 1730            |                    |                     |              |              |  |              |                 |                        |      |  |
| -73.1  | 24.0               | 1.80                 |                 | 1384               | 2076                | 0            | 1            | 0                                      | 1            | 1823            | 0.627                  | 1.00 |  |
| -97.1  |                    |                      |                 |                    |                     |              |              |  |              |                 |                        |      |  |
| -100.0 | 2.9                | 1.77                 |                 |                    |                     |              |              |  |              |                 |                        |      |  |
| -109.1 | 9.1                | 1.77                 |                 |                    |                     |              |              |  |              |                 |                        |      |  |
| -155.1 | 46.0               | 1.77                 | 1810            | 1448               | 2172                |              |              |  |              | 1907            |                        |      |  |
|        | 40.0               | 1.76                 | 1810            | 1440               | 2172                |              |              |  |              | 1907            |                        |      |  |
| -195.1 | 0.9                | 1.76                 |                 |                    |                     |              |              |  |              |                 |                        |      |  |
| -196.0 | 4.0                | 1.76                 |                 |                    |                     | 0            | 1            | 0                                      | 1            |                 | 0.252                  | 1.00 |  |
| -200.0 | •                  | 1.76                 | 2000            | 1600               | 2400                |              |              |  |              | 2108            |                        |      |  |
| -210.1 | 10.1               |                      |                 |                    |                     |              |              |  |              |                 |                        |      |  |
| -300.0 | 89.9               | 1.81                 |                 |                    |                     |              |              |  |              |                 |                        |      |  |
|        | _                  | 1.81                 |                 |                    |                     |              |              |  |              |                 |                        |      |  |

#### Table 1 - (2) Fukushima Daiichi : south-side point : vertical direction ground model

• : Seismometer

\* : Fixed parameters are according to PS logging results.



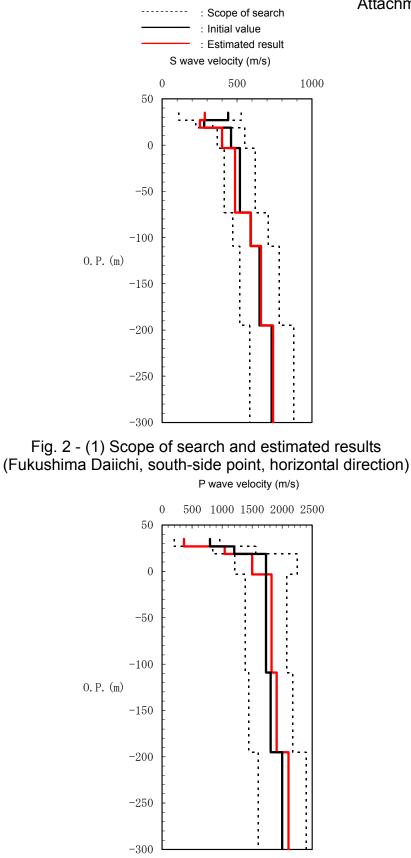
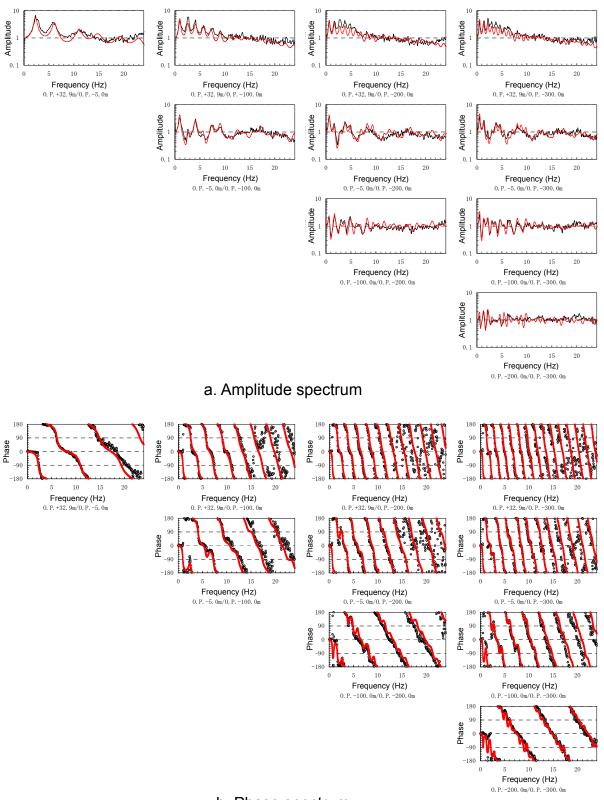


Fig. 2 - (2) Scope of search and estimated results (Fukushima Daiichi, south-side point, vertical direction)



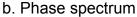


Fig. 3-(1) Fukushima Daiichi, south-side point, transfer function of the estimated ground model for the horizontal direction (red) and transfer function according to observation records (black)

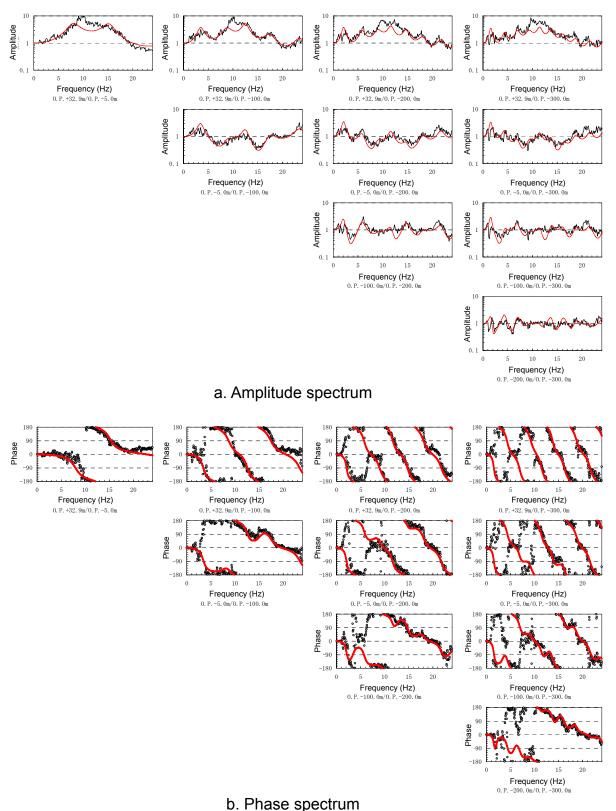


Fig. 3-(2) Fukushima Daiichi, south-side point, transfer function of the estimated ground model for the vertical direction (red) and transfer function according to observation records (black)

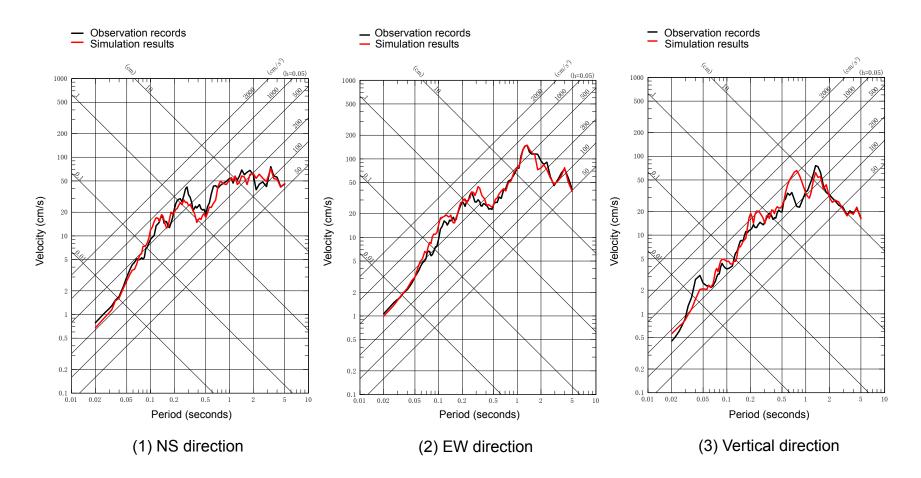


Fig. 4 Ground response simulation results (Fukushima Daiichi, south-side point, O.P.-300.0m to O.P.-5.0m)

| fiz    | ked paramete       | ers                  | initial<br>model |                    | s            | cope o |              |   |              |                 | ication re     |   |
|--------|--------------------|----------------------|------------------|--------------------|--------------|--------|--------------|---|--------------|-----------------|----------------|---|
| O.P.   | layer<br>thickness | density              | S wave velocity  | velo               | ave<br>ocity |        |              | ping<br>1 <sub>0</sub> × f <sup>α</sup> |              | S wave velocity | dam<br>h(f)=h  | ping<br>1 <sub>0</sub> × f <sup>α</sup> |
| (m)    | (m)                | (g/cm <sup>3</sup> ) | (m/s)            | (m<br>lower<br>end |              |        | upper<br>end | lower<br>end                            | upper<br>end | (m/s)           | h <sub>0</sub> | α                                       |
| +14.2  | 2.0                | 1.70                 | 150              | 38                 | 180          | 0      | 1            | 0                                       | 1            | 103             | 1.000          | 0.59                                    |
| +12.2  | 12.0               | 1.80                 | 430              | 108                | 516          | 0      | 1            | 0                                       | 1            | 294             | 0.363          | 0.53                                    |
| +0.2   | 5.2                | 1.68                 |                  |                    |              |        |              |   |              |                 |                |   |
| -5.0   | •                  |                      | 470              | 376                | 564          | 0      | 1            | 0                                       | 1            | 471             | 0.127          | 1.00                                    |
| -71.8  | 66.8               | 1.68                 |                  |                    |              |        |              |   |              |                 |                |   |
| -93.8  | 22.0               | 1.70                 | 570              | 456                | 684          |        |              |   |              | 515             |                |   |
|        | 6.2                | 1.78                 |                  |                    |              |        |              |   |              |                 |                |   |
| -100.0 | 85.8               | 1.78                 | 610              | 488                | 732          |        |              |   |              | 551             |                |   |
| -185.8 | 10.2               | 1.83                 |                  |                    |              | 0      | 1            | 0                                       | 1            |                 | 0.070          | 0.94                                    |
| -196.0 | 4.0                | 1.83                 | 780              | 624                | 936          |        |              |   |              | 746             |                |   |
| -200.0 | 100.0              | 1.83                 | 100              | 024                | 4 936        |        |              |   |              | /40             |                |   |
| -300.0 | •                  | 1.83                 |                  |                    |              |        |              |   |              |                 |                |   |

#### Table 2 - (1) Fukushima Daiichi: north-side point: horizontal direction ground model

Seismometer
Fixed parameters are according to PS logging results

|        | ed paramet |                      | initial model | i í |          |       |                              | f searcl |       |       |          | cation r       |                         |
|--------|------------|----------------------|---------------|-----|----------|-------|------------------------------|----------|-------|-------|----------|----------------|-------------------------|
|        |            | 513                  |               |     |          |       | cope 0                       |          |       |       |          | 1              |                         |
| 0.P.   | layer      | density              | P wave        |     |          | ave   | damping                      |          |       |       | P wave   | dam            | ping                    |
|        | thickness  |                      | velocity      |     | velocity |       | $h(f)=h_0 \times f^{\alpha}$ |          |       |       | velocity | n(r)=r         | $n_0 \times f^{\alpha}$ |
|        |            | 3.                   |               |     |          | /s)   | h <sub>0</sub>               |          | α     |       |          |                |                         |
| (m)    | (m)        | (g/cm <sup>3</sup> ) | (m/s)         |     | lower    | upper | lower                        | upper    | lower | upper | (m/s)    | h <sub>0</sub> | α                       |
|        |            |                      |               |     | end      | end   | end                          | end      | end   | end   |          |                |                         |
| +14.2  |            |                      |               |     |          |       |                              |          |       |       |          |                |                         |
|        | 2.0        | 1.70                 |               |     |          |       |                              |          |       |       |          |                |                         |
| +12.2  |            |                      | 1250          |     | 313      | 1500  | 0                            | 1        | 0     | 1     | 1229     | 0.382          | 0.40                    |
|        | 12.0       | 1.80                 |               |     |          |       | -                            | -        | -     | -     |          |                |                         |
|        | 12.0       | 1.00                 |               |     |          |       |                              |          |       |       |          |                |                         |
| +0.2   |            |                      |               | 11  |          |       |                              |          |       |       |          |                |                         |
|        | 5.2        | 1.68                 |               |     |          |       |                              |          |       |       |          |                |                         |
| -5.0   | •          |                      |               |     |          |       |                              |          |       |       |          |                |                         |
|        | 66.8       | 1.68                 | 1730          |     | 1384     | 2076  | 0                            | 1        | 0     | 1     | 1803     | 0.582          | 1.00                    |
| -71.8  | 00.0       | 1.00                 |               |     | 1001     | 2010  | Ũ                            |          | Ũ     |       |          | 0.002          | 1.00                    |
| -/ 1.0 |            |                      |               |     |          |       |                              |          |       |       |          |                |                         |
|        | 22.0       | 1.70                 |               |     |          |       |                              |          |       |       |          |                |                         |
| -93.8  |            |                      |               |     |          |       |                              |          |       |       |          | -              |                         |
|        | 6.2        | 1.78                 |               |     |          |       |                              |          |       |       |          |                |                         |
| -100.0 |            | -                    | 1850          |     | 1480     | 2220  | 0                            | 1        | 0     | 1     | 1879     | 0.266          | 1.00                    |
| -100.0 |            | 4 70                 | 1000          |     | 1400     | 2220  | 0                            |          | 0     | 1     | 1079     | 0.200          | 1.00                    |
|        | 85.8       | 1.78                 |               |     |          |       |                              |          |       |       |          |                |                         |
| -185.8 |            |                      |               |     |          |       |                              |          |       |       | -        |                |                         |
|        | 10.2       | 1.83                 |               |     |          |       |                              |          |       |       |          |                |                         |
| -196.0 |            |                      |               |     |          |       |                              |          |       |       |          |                |                         |
| 100.0  | 4.0        | 4 00                 |               |     |          |       |                              |          |       |       |          |                |                         |
|        | 4.0        | 1.83                 | 1900          |     | 1520     | 2280  | 0                            | 1        | 0     | 1     | 1982     | 0.196          | 1.00                    |
| -200.0 | •          |                      |               |     |          |       | -                            |          | -     |       |          |                | '                       |
|        | 100.0      | 1.83                 |               |     |          |       |                              |          |       |       |          | 1              |                         |
| -300.0 | -          |                      |               |     |          |       |                              |          |       |       |          | 1              |                         |
| 000.0  | _          | 1.83                 |               |     |          |       |                              |          |       |       |          |                |                         |
|        | iomomotor  |                      |               |     |          |       |                              |          |       |       |          |                |                         |

Table 2 - (2) Fukushima Daiichi: north-side point: vertical direction ground model

• : Seismometer

\* : Fixed parameters are according to PS logging results

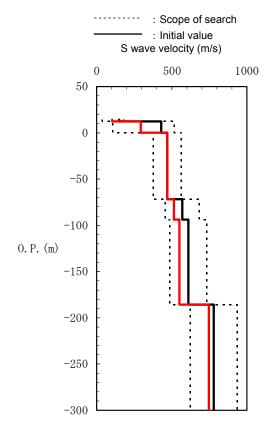


Fig. 5 - (1) scope of search and estimated results (Fukushima Daiichi, north-side point, horizontal direction)

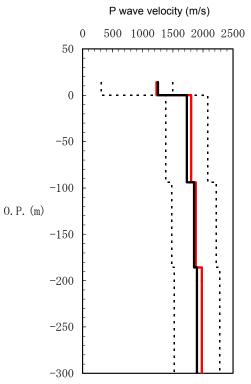
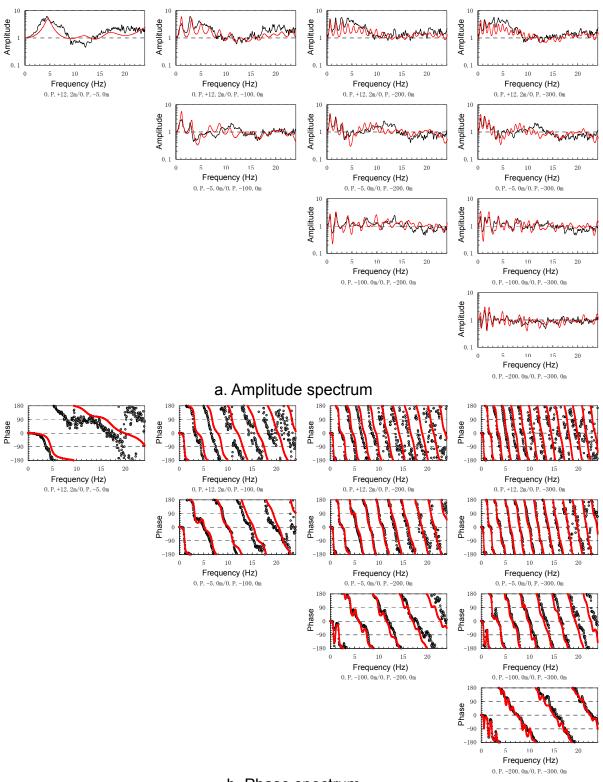
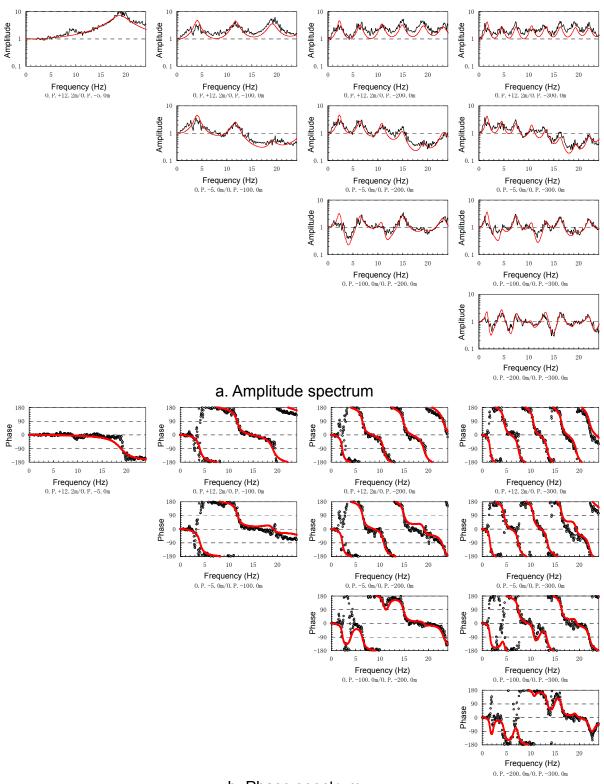


Fig. 5 - (2) Scope of search and estimated results (Fukushima Daiichi, north-side point, vertical direction)



b. Phase spectrum

Fig. 5 - (1) Fukushima Daiichi north-side point, transfer function of the estimated ground model for the horizontal direction (red) and transfer function according to observational records (black)



b. Phase spectrum

Fig.6 - (2) Fukushima Daiichi, north-side point, transfer function of the estimated ground model for the vertical direction (red) and transfer function according to observation records (black)

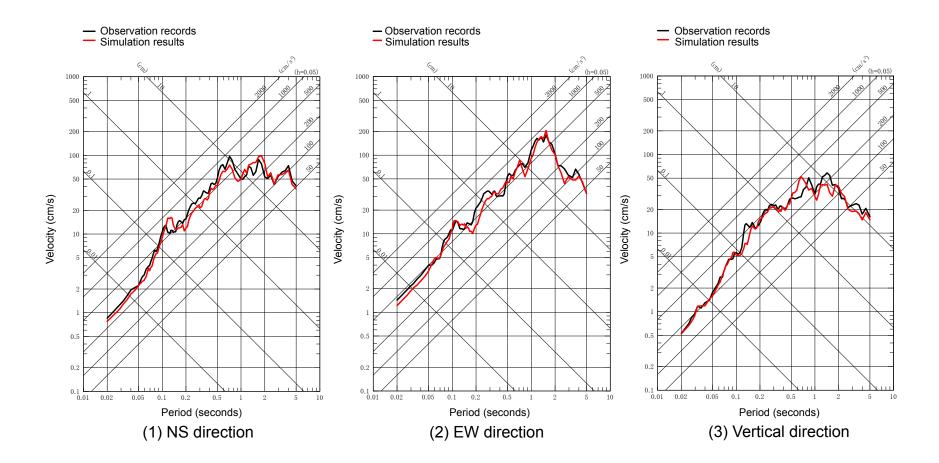
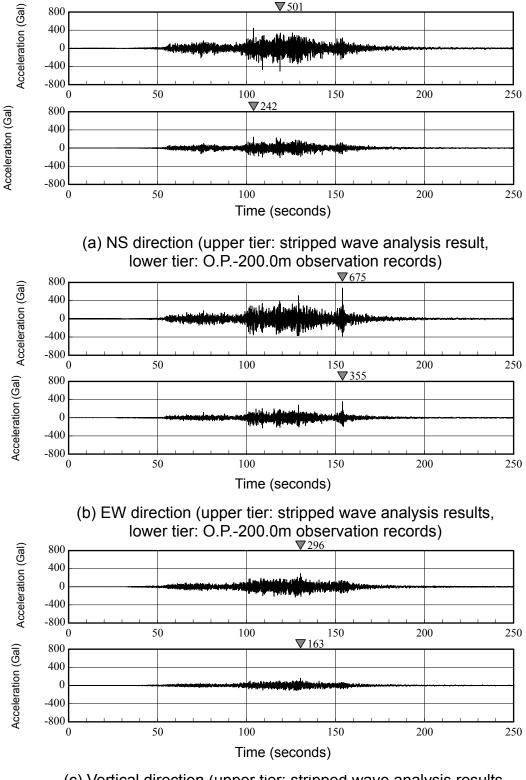
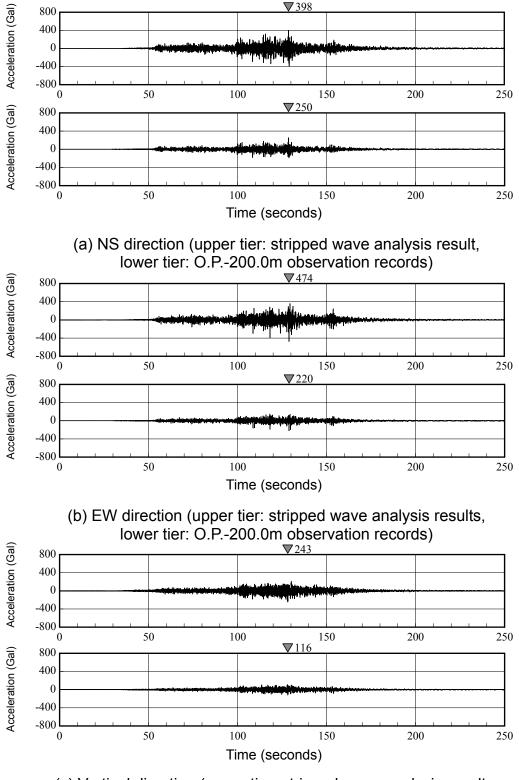


Fig. 7 Ground response simulation results (Fukushima Daiichi, north-side point, O.P.-300.0m to O.P.-5.0m)



(c) Vertical direction (upper tier: stripped wave analysis results, lower tier: O.P.-200.0m observation records)

Fig. 8(1) transient wave form (free base south-side point) according to stripped wave analysis



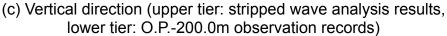


Fig. 8(2) Transient wave form (free base north-side point) according to stripped wave analysis

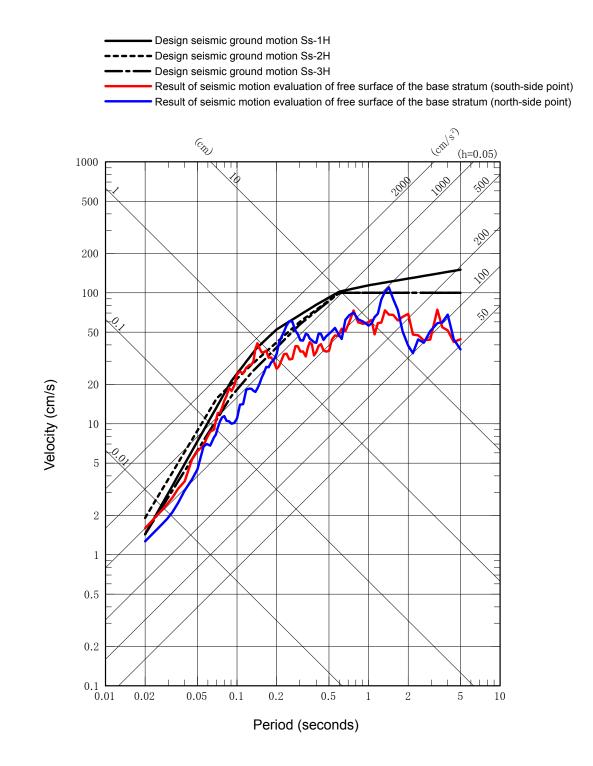


Fig. 9 - (1) Comparison of result of seismic motion evaluation of free surface of the base stratum by stripped wave analysis with design basis seismic ground motion Ss (NS direction)

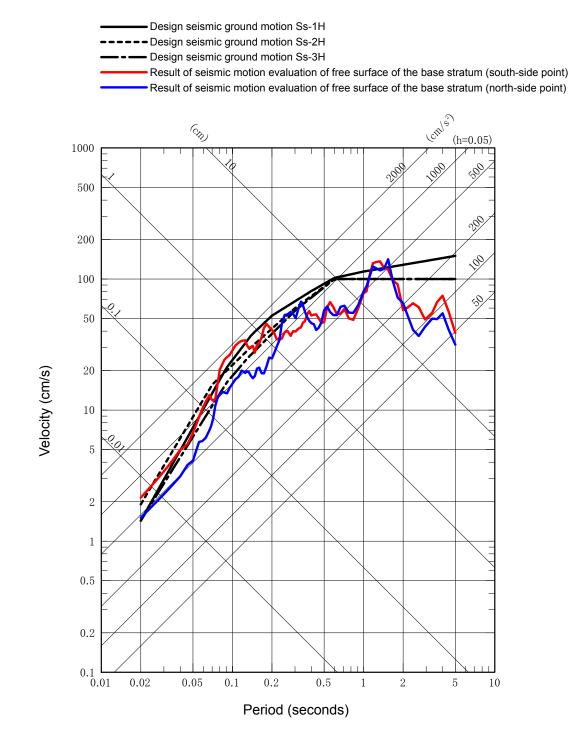


Fig. 9 - (2) Comparison of result of seismic motion evaluation of free surface of the base stratum by stripped wave analysis with design basis seismic ground motion Ss (EW direction)

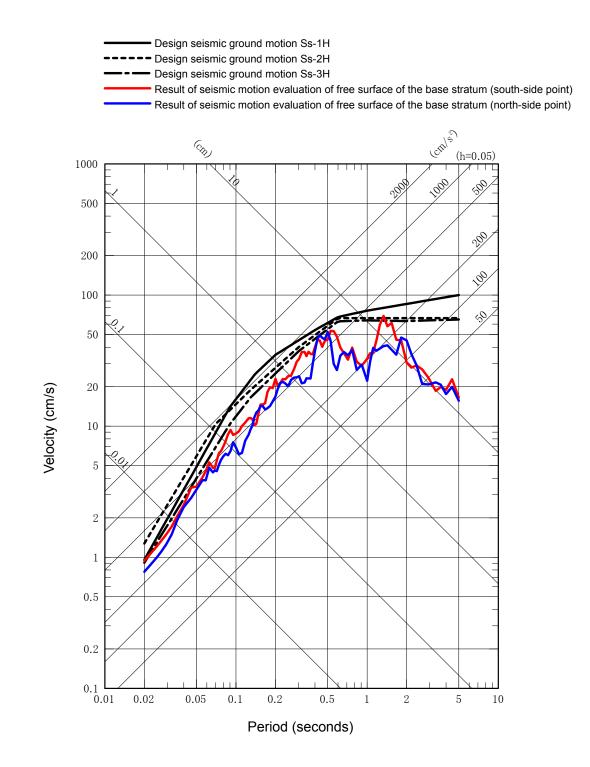


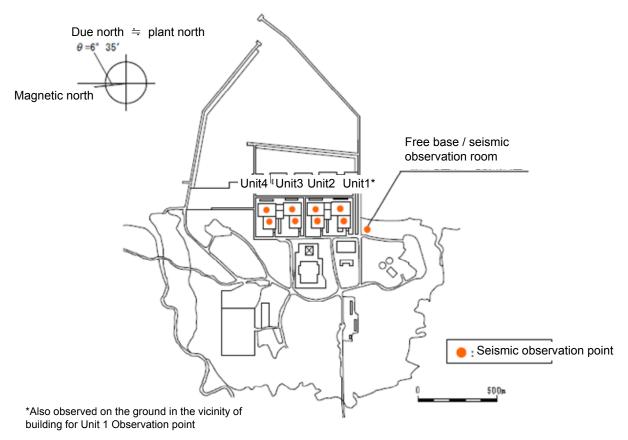
Fig. 9 - (3) Comparison of result of seismic motion evaluation of free surface of the base stratum by stripped wave analysis with design basis seismic ground motion Ss (vertical direction)

#### Comparison between the seismic observation records and the design seismic motion at the Fukushima Daini Nuclear Power Station

Comparison of the observation records at Fukushima Daini NPS from the Tohoku-Chihou-Taiheiyo-Oki Earthquake and the response spectrum against design basis seismic ground motion (DBSGM) Ss

| 9. · · · · · · · · · · · · · · · · · · · |           |                |           |                               |           |           |  |  |  |  |  |  |
|--|-----------|----------------|-----------|-------------------------------|-----------|-----------|--|--|--|--|--|--|
| Observation                              | Obs       | servation reco | ords      | maximum acceleration response |           |           |  |  |  |  |  |  |
| point                                    | Maximu    | im accelerati  | on (Gal)  | against DBSGM Ss (Gal)        |           |           |  |  |  |  |  |  |
| (R/B base                                | NS        | EW             | UD        | NS                            | EW        | UD        |  |  |  |  |  |  |
| mat)                                     | direction | direction      | direction | direction                     | direction | direction |  |  |  |  |  |  |
| Unit 1                                   | 254       | 230*           | 305       | 434                           | 434       | 512       |  |  |  |  |  |  |
| Unit 2                                   | 243       | 196*           | 232*      | 428                           | 429       | 504       |  |  |  |  |  |  |
| Unit 3                                   | 277*      | 216*           | 208*      | 428                           | 430       | 504       |  |  |  |  |  |  |
| Unit 4                                   | 210*      | 205*           | 288*      | 415                           | 415       | 504       |  |  |  |  |  |  |

\*Recording stopped at about 130 to 150 seconds after the start of recording Legend: NS: North-South, EW: East-West, UD: Up-Down



#### Locations of Fukushima Daini NPS seismic observation points

Figures 1-7 through 1-10 show the acceleration transient wave forms observed above the base mat of all reactor buildings at Fukushima Daini NPS Unit 1 through Unit 4 and Figures 2-7 through 2-10 show the observed spectrum with the response spectrum calculated by inputting the DBSGM SS.

Figures 2-7 through 2-10 show that a portion of the observed response spectrum exceeds the DBSGM Ss response spectrum, but for the most part they are roughly the same.

h=0.05

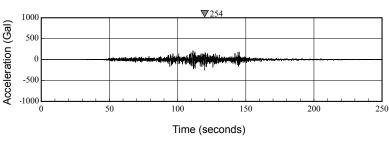
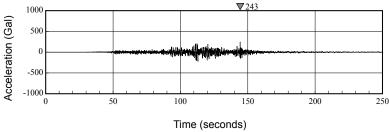


Figure 1-7 : Acceleration transient wave form (NS direction) at R/B base mat of Fukushima Daini Unit 1



0.02 0.05 0.1 0.2 Period (seconds) Figure 2-7 : Response spectrum (NS direction) at R/B base mat of Fukushima Daini Unit 1

Observed record

DBSGM Ss-1

DBSGM Ss-2

DBSGM Ss-3

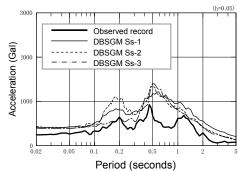


Figure 1-8 : Acceleration transient wave form (NS direction) at R/B Figure 2-8 : Response spectrum (NS direction) at R/B base mat of Fukushima Daini Unit 2

 $\nabla 277$ 

Time (seconds)

150

100

1000

500

-500 -1000

0

50

Acceleration (Gal)

base mat of Fukushima Daini Unit 2

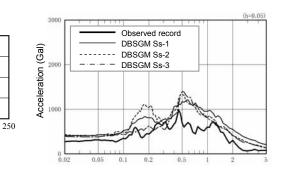
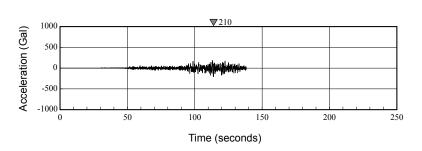


Figure 1-9 : Acceleration transient wave form (NS direction) at R/B Figure 2-9 : Response spectrum (NS direction) at R/B base mat of Fukushima Daini Unit 3 base mat of Fukushima Daiichi Unit 3

200



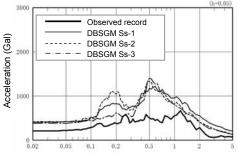


Figure 1-10 : Acceleration transient wave form (NS direction) at R/Bg base mat of Fukushima Daini Unit 4

Figure 2-10 : Response spectrum (NS direction) at R/B base mat of Fukushima Daini Unit 4

\* The table illustrates examples of the larger direction on the horizontal plane (Fukushima Daini: NS direction)

300

Acceleration (Gal)

Stripped Wave Analysis of Seismic Observation records from Fukushima Daini Nuclear Power Station

The base model for stripped wave analysis is estimated by making use of the observation records obtained from this earthquake, the stripped wave analysis conducted using this ground model, seismic motion evaluated for the free surface of the base stratum, and then it is compared to the design basis seismic ground motion Ss.

#### 1. Stripped wave ground model

Reverse analysis is carried out on the transfer function calculated from the records obtained from this earthquake, and the ground model is estimated for the stripped wave analysis. The transfer function evaluated using the observation records is shown in Figure 1. As it is conceivable from this data that there is no great difference between the transfer function from the NS and EW directions, investigations are carried out on the average NS and EW transfer functions for the horizontal direction.

1) Identification analysis method

- By conducting reverse analysis of the ground transfer function recorded in the 2011 Tohoku-Chihou-Taiheiyo-Oki Earthquake employing theoretical ground transfer characteristics based on the one-dimensional wave motion theorem which hypothesizes vertical incidence of the S wave, optimized examination of the ground model is implemented for each of the horizontal direction and the vertical direction.
- The initial model is set taking into account the results of PS logging, and all strata are identified as the ideal S wave velocity or P wave velocity and damping ratio.
- The scope of searching for S wave velocity and P wave velocity is set at 0.8 to 1.2 times the initial model. The scope for O.P.+12.2m O.P.+8.2 is set to 0.25 to 1.2 times the initial model.
- Formula (1) frequency dependent function form is applied to the damping ratio h(f), the upper limit value of h(f) is set to 1 and lower limit value is set to 0, and the search range of  $h_0$  and  $\alpha$  are both set to 0-1.

 $h(f) = h_{01} \times f^{\alpha} \quad 0 \leq h(f) \leq 1 \quad \cdot \cdot \cdot (1)$ 

 GA (genetic algorithm) is used for the reverse analysis, and the parameters are set to population 20, generation number 100, crossover probability 0.75, and the mutation rate is set to 1/(2 x gene length). The initial random number is changed ten times and trial calculations carried out, and after checking the convergent to the solution, the ground model adopted is the one to which the minimal error is obtained.

#### 2) Identification results

The ground model as estimated using the free base records is shown together with the initial model and scope of search in Table 1 and Figure 2, whereas a comparison of the transfer function estimated from the ground model and the

transfer function according to observation records is shown in Figure 3. When the records from the deepest location of the seismometer (O.P.-200m) is inputted into the estimated ground model, the response spectrum for near the ground surface (O.P.+10.2m) which is as shown in Figure 4, is a close match to the response spectrum calculated from the seismic observation records obtained from the said location, and therefore the estimated ground model is believed to be appropriate.

The relationship of the above with the ground model for stripped wave analysis used in the seismic safety assessment for existing nuclear reactor facilities when the "Regulatory Guide for Reviewing Seismic Design of Nuclear Power Reactor Facilities" was revised before the Tohoku Earthquake is shown in Reference 1.

#### 2. Stripped Wave Analysis

Stripped wave analysis of the records from the 2011 Tohoku-Chihou-Taiheiyo-Oki Earthquake is conducted using the ground model, and an evaluation of the seismic motion of the free surface of the base stratum (O.P.-168m) is made. The observation records used are the records of a location at O.P.-200m near the free surface of the base stratum.

Figure 5 shows a comparison of the acceleration transient wave forms for the free surface of the base stratum calculated by the stripped wave analysis with the observation records at a location O.P.-200.0ms. Furthermore, a comparison of pseudo velocity response spectrum with the design basis seismic ground motion Ss is shown in Figure 6.

From the above, it is confirmed that the level of the seismic motion of the free surface of the base stratum is around the same level as the design basis seismic ground motion Ss.

#### 3. Conclusion

Through investigations using the seismic observation records, the seismic motion of the Tohoku Earthquake free surface of the base stratum was evaluated and compared to the design basis seismic ground motion Ss. As the result of the examination, it is believed that the free surface of the base stratum seismic motion and the design basis seismic ground motion Ss are approximately the same level.

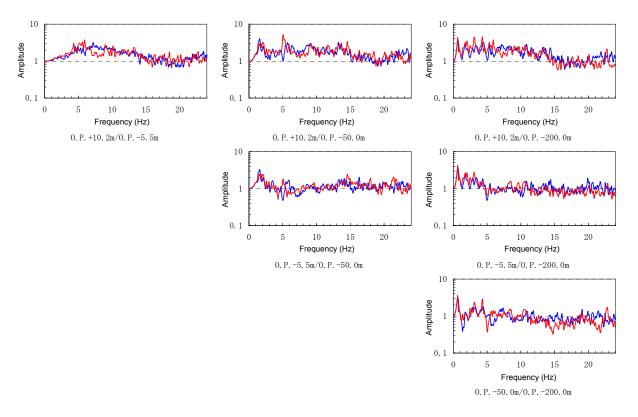


Fig. 1 comparison of NS direction (blue) / EW (red) direction transfer function (amplitude spectrum)

|        | ked paramete       |                      | initial<br>model |                    |                      |  | f searcl |                             |   |                 | cation re      | sults                      |
|--------|--------------------|----------------------|------------------|--------------------|----------------------|--|----------|-----------------------------|---|-----------------|----------------|----------------------------|
| O.P.   | layer<br>thickness | density              | S wave velocity  |                    | /ave<br>ocity        |  |          | ping<br>10 × f <sup>α</sup> |   | S wave velocity | dam<br>h(f)=h  | ping<br>₀ × f <sup>α</sup> |
| (m)    | (m)                | (g/cm <sup>3</sup> ) | (m/s)            | (m<br>lower<br>end | n/s)<br>upper<br>end | h <sub>0</sub><br>lower upper<br>end end |          | α<br>lower upper<br>end end |   | (m/s)           | h <sub>0</sub> | α                          |
| +12.2  | •<br>2.0           | 1.65                 |                  |                    |                      |  |          |                             |   |                 |                |                            |
| +10.2  | 2.0                | 1.65                 | 350              | 88                 | 420                  | 0  | 1        | 0                           | 1 | 237             | 0.307          | 0.00                       |
| +8.2   | 13.7               | 1.67                 |                  |                    |                      |  |          |                             |   |                 |                |                            |
| -10.8  | •<br>5.3           | 1.67                 | 470              | 376                | 564                  | 0  | 1        | 0                           | 1 | 456             | 0.457          | 1.00                       |
| -38.8  | 28.0               | 1.70                 |                  |                    |                      |  |          |                             |   |                 |                |                            |
| -50.0  | 11.2               | 1.73                 |                  |                    |                      |  |          |                             |   |                 |                |                            |
| -92.8  | 42.8               | 1.73                 | 530              | 424                | 636                  |  |          |                             |   | 514             |                |                            |
| -157.8 | 65.0               | 1.73                 |                  |                    |                      | 0  | 1        | 0                           | 1 |                 | 0.063          | 0.72                       |
| -168.0 | 10.2               | 1.73                 |                  |                    |                      |  |          |                             |   |                 |                |                            |
| -200.0 | 32.0               | 1.73                 | 810              | 648                | 972                  |  |          |                             |   | 786             |                |                            |
|        |                    | 1.73                 |                  |                    |                      |  |          |                             |   |                 |                |                            |

Table 1 - (1) Fukushima Daini, free base, ground model for horizontal direction

• : Seismometer

\* : Fixed parameters are according to PS logging results.

|        | ked paramete       | meters initial scope of search identificatio |                 |                    |                      |                   | sults                               |              |              |                 |                |                                       |
|--------|--------------------|--|-----------------|--------------------|----------------------|-------------------|-------------------------------------|--------------|--------------|-----------------|----------------|---------------------------------------|
| O.P.   | layer<br>thickness | density                                      | S wave velocity |                    | vave<br>ocity        |                   | damping<br>h(f)=h₀ × f <sup>α</sup> |              |              | S wave velocity | dam<br>h(f)=h  | ping<br><sub>I0</sub> ×f <sup>α</sup> |
| (m)    | (m)                | (g/cm <sup>3</sup> )                         | (m/s)           | (m<br>lower<br>end | l/s)<br>upper<br>end | h<br>Iower<br>end | upper<br>end                        | lower<br>end | upper<br>end | (m/s)           | h <sub>0</sub> | α                                     |
| +12.2  | ●<br>2.0           | 1.65   |                 |                    |                      |                   |                                     |              |              |                 |                |                                       |
| +10.2  | 2.0                | 1.65   | 890             | 223                | 1068                 | 0                 | 1                                   | 0            | 1            | 330             | 0.376          | 0.00                                  |
| -5.5   | 13.7               | 1.67   |                 |                    |                      |                   |                                     |              |              |                 |                |                                       |
| -10.8  | •<br>5.3           | 1.67   | 1620            | 1296               | 1944                 | 0                 | 1                                   | 0            | 1            | 1655            | 1.000          | 0.98                                  |
| -38.8  | 28.0               | 1.70   |                 |                    |                      |                   |                                     |              |              |                 |                |                                       |
| -50.0  | 11.2               | 1.73   | 1800            | 1440               | 2160                 |                   |                                     |              |              | 1833            |                |                                       |
| -92.8  | 42.8               | 1.73   |                 |                    |                      |                   |                                     |              |              |                 |                |                                       |
| -157.8 | 65.0               | 1.73   | 1880            | 1504               | 2256                 | 0                 | 1                                   | 0            | 1            | 1914            | 0.261          | 1.00                                  |
| -168.0 | 10.2               | 1.73   |                 |                    |                      |                   |                                     |              |              |                 |                |                                       |
|        | 32.0               | 1.73   | 1950            | 1560               | 2340                 |                   |                                     |              |              | 1985            |                |                                       |
| -200.0 | -                  | 1.73   |                 |                    |                      |                   |                                     |              |              |                 |                |                                       |

Table 1 - (2) Fukushima Daini, free base, ground model for vertical direction

• : Seismometer

\* : Fixed parameters are according to PS logging results.

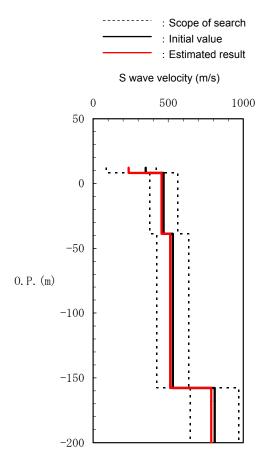


Table 2 - (1) Scope of search and estimated result (Fukushima Daini, free base, horizontal direction)

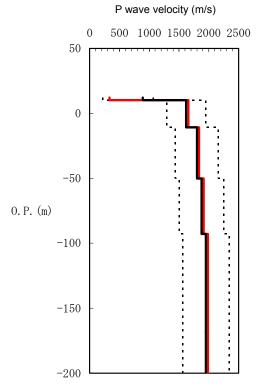
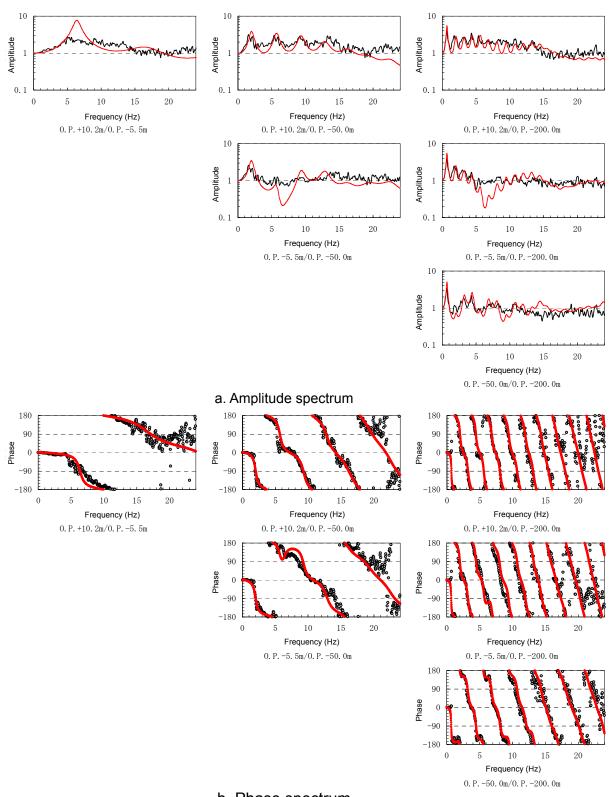


Fig. 2 - 2 Scope of search and estimated result (Fukushima Daini, free base, vertical direction)



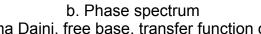


Fig. 3 (1) Fukushima Daini, free base, transfer function of estimated ground model for the horizontal direction and transfer function according to observation records

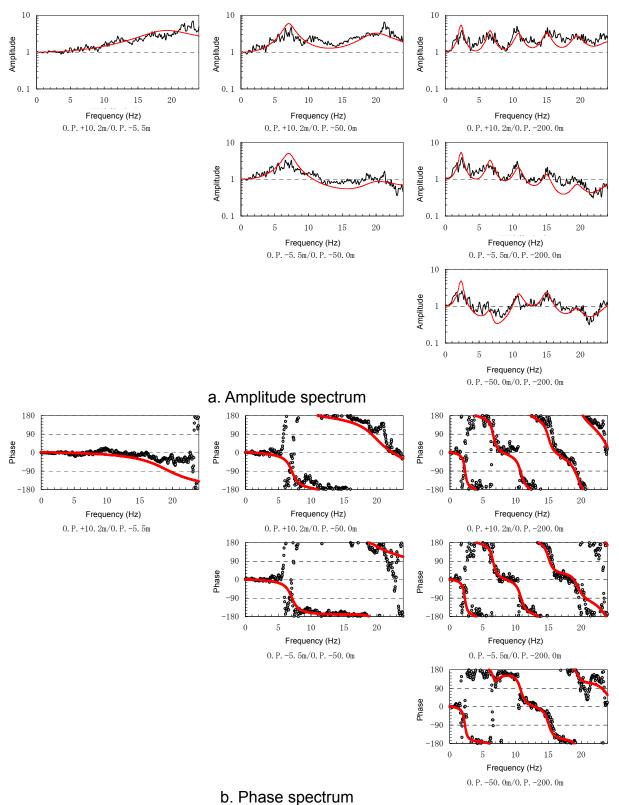


Fig. 3 - (2) Fukushima Daini, free base, transfer function of estimated ground model for vertical direction and transfer function according to observation records

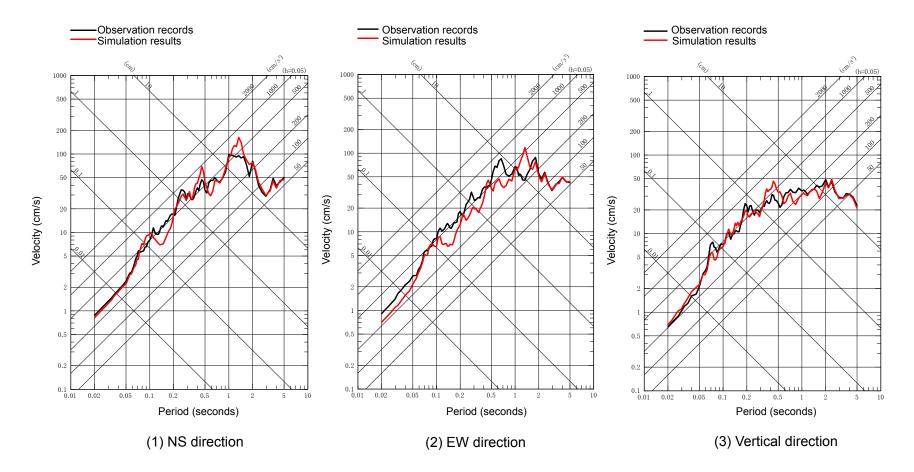
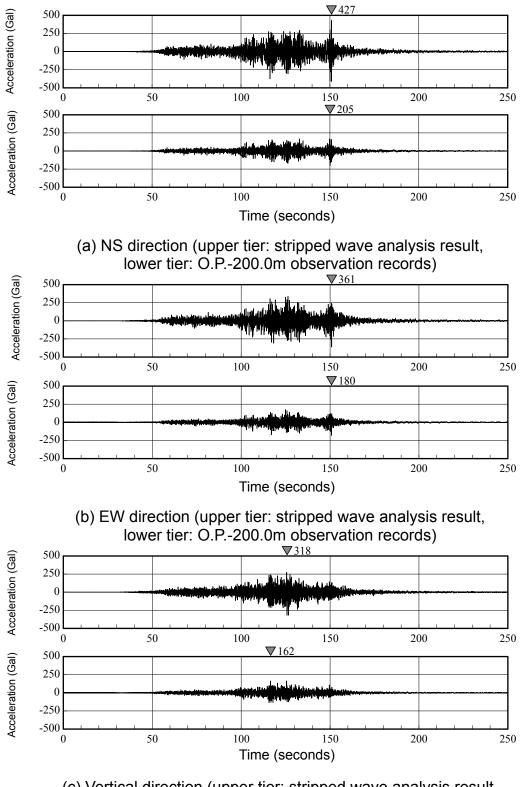
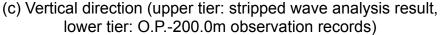
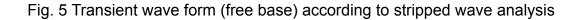


Fig. 4 ground response simulation results (Fukushima Daini, free base, O.P.-200m to O.P.-5.5m)







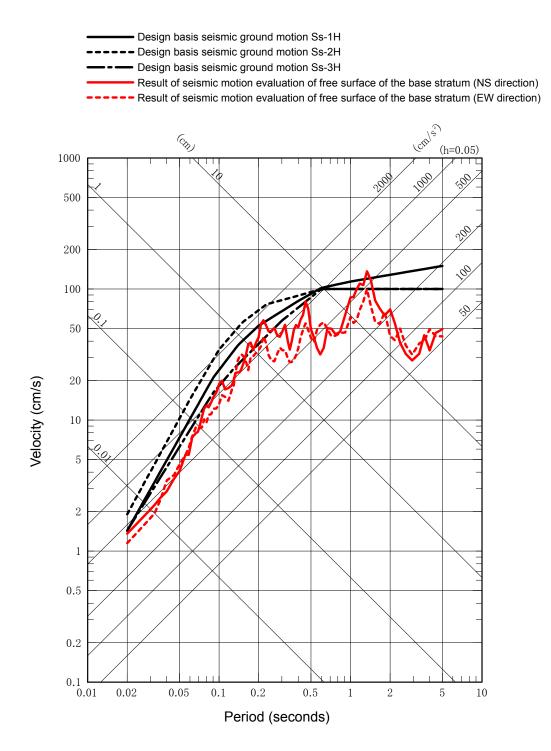


Fig. 6 - (1) Comparison of seismic ground motion evaluation of free surface of the base stratum by stripped wave analysis with design basis seismic ground motion Ss (horizontal direction)

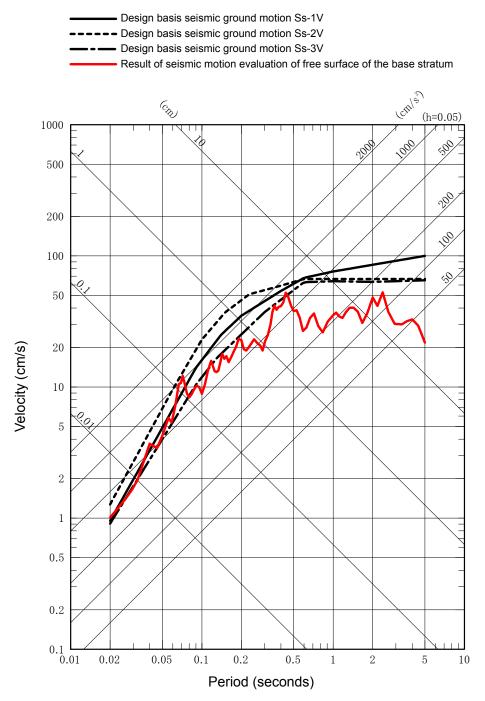
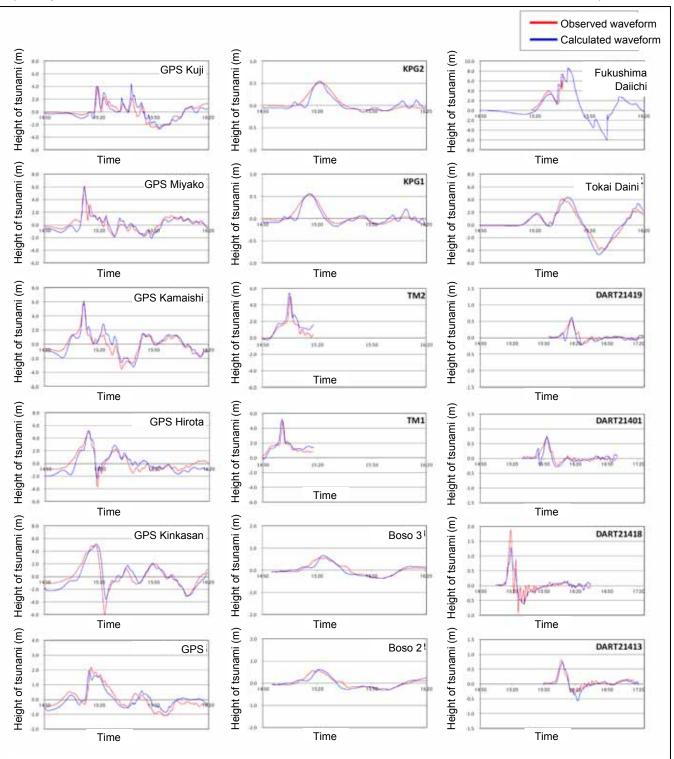
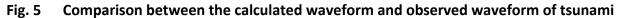


Fig. 6 - (2) Comparison of seismic ground motion evaluation of free surface of the base stratum by stripped wave analysis with design basis seismic ground motion Ss (vertical direction)



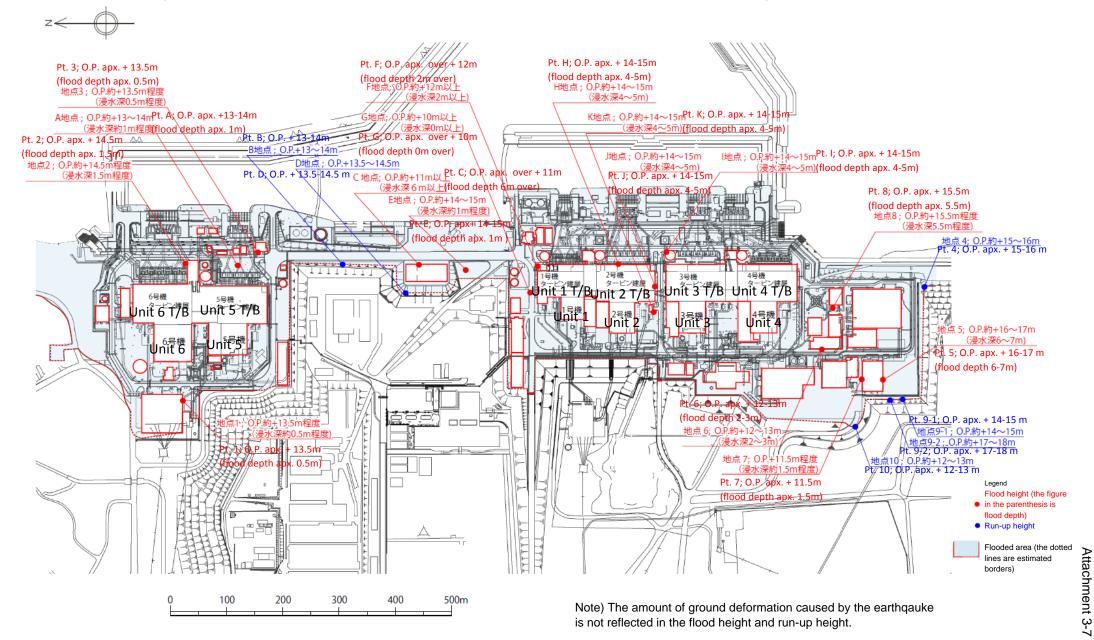
#### (4) Tsunami fault model of the Tohoku-Chihou-Taiheyo-Oki Earthquake (Comparison between the calculated waveform and observed waveform of tsunami)



Source: 12th Examination Committee on the Massive Earthquake Model of the Nankai Trough (March 1, 2012)

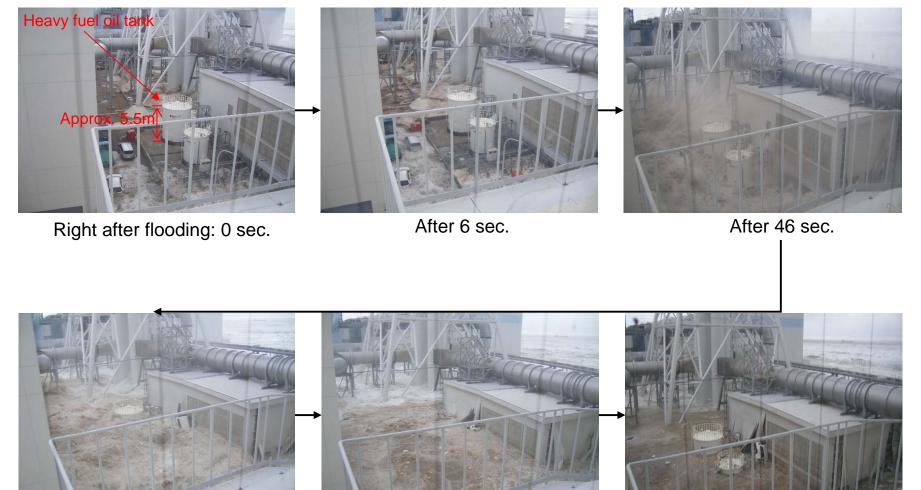
Reference material 1 "Tsunami fault model of the 2011 Tohoku-Chihou-Taiheyo-Oki Earthquake"

Tsunami investigation results at the Fukushima Daiichi Nuclear Power Station (Flood height, Flood depth and Flooded area)



# Outdoor flooding state at the Fukushima Daiichi Nuclear Power Station (March 11)

<Around the central radioactive waste treatment building at south-side of Unit 4: Ground level O.P. +10m, Heavy fuel oil tank height approximately 5.5m>



After 56 sec.

After 74 sec.

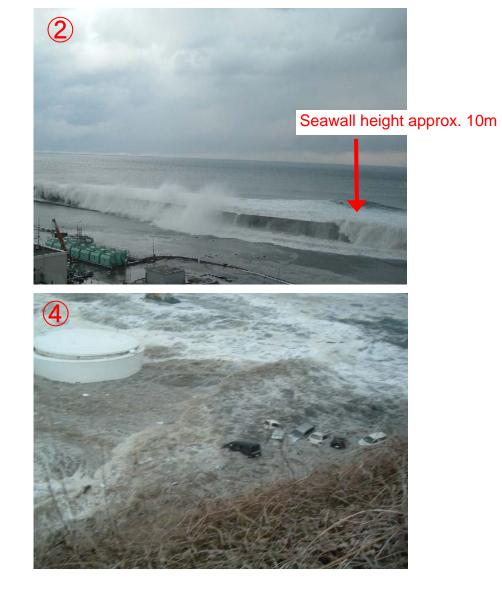
After 98 sec.

Note) The elapsed time is according to the built-in clock of the camera (the time of photography is not provided since there are errors of measurement).

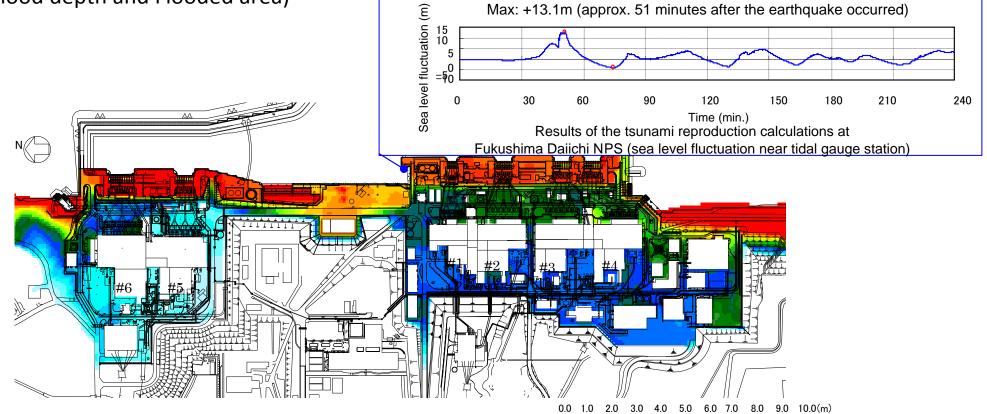
State of the tsunami that hit the Fukushima Daiichi Nuclear Power Station <Ocean side of Fukushima Daiichi Nuclear Power Station Units 5 and 6 (east side of solid waste storage)





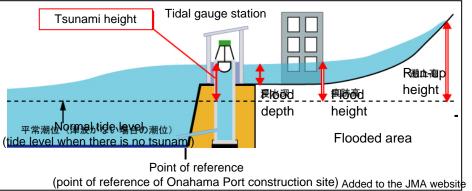


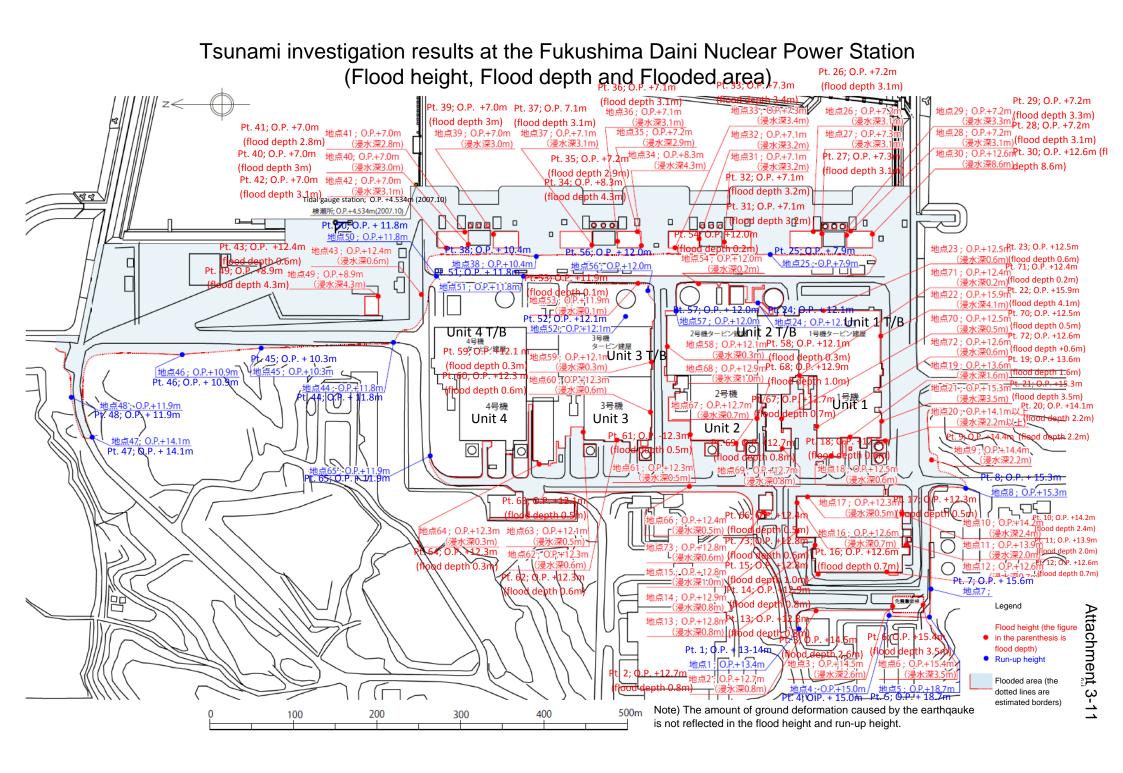
Results of the tsunami reproduction calculations at the Fukushima Daiichi Nuclear Power Station (Flood depth and Flooded area)



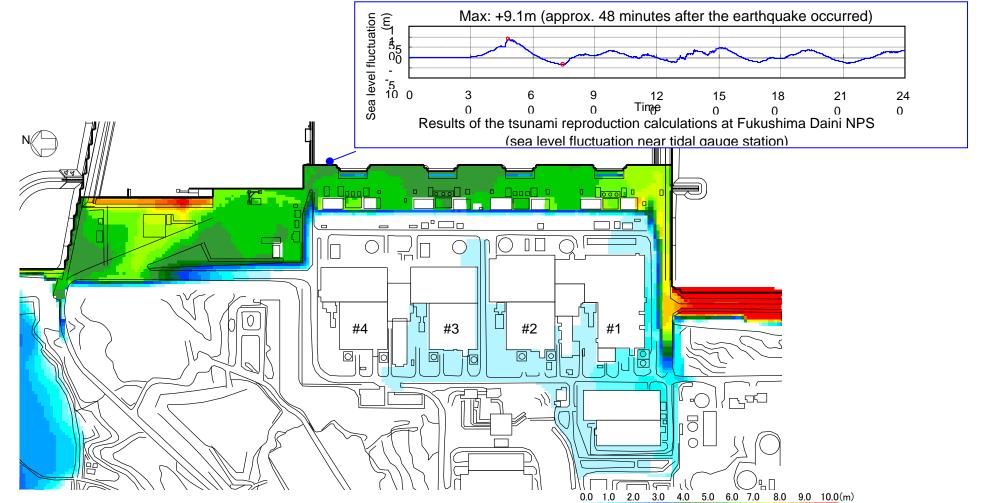
Note) Calculation results with 1.23 times the slippage of the wide reproduction model

| <b>Definitions</b> |  |  |
|--------------------|--|--|
| Tsunami height:    | Difference between the normal tidal level (tidal level when there is no tsunami) and the sea level elevated due to tsunami.  |  |
| Flood height:      | Height from the point of reference to the traces of color affected areas or debris left in the building and facility (displayed in O.P.*).   |  |
| Flood depth:       | Height from the ground to color affected areas or debris left in the building and facility.  |  |
| Flooded area:      | Area flooded by tsunami.   |  |
| Run-up height:     | Height from the point of reference to the color affected areas or debris left on<br>the slope or roads after the tsunami traveled inland (O.P. display*).<br>*The point of reference of the Onahama Port construction site (O.P.) is<br>0.727m lower than the mid-sea level of Tokyo Bay (T.P.). | ( <mark>tid</mark>   |
| F                  | Fsunami height:<br>Flood height:<br>Flood depth:<br>Flooded area:  | Flood height:       Difference between the normal tidal level (tidal level when there is no tsunami) and the sea level elevated due to tsunami.         Flood height:       Height from the point of reference to the traces of color affected areas or debris left in the building and facility (displayed in O.P.*).         Flood depth:       Height from the ground to color affected areas or debris left in the building and facility.         Flooded area:       Area flooded by tsunami.         Run-up height:       Height from the point of reference to the color affected areas or debris left on the slope or roads after the tsunami traveled inland (O.P. display*).         *The point of reference of the Onahama Port construction site (O.P.) is |



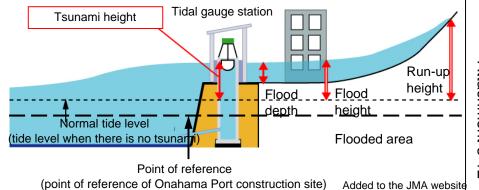


Results of the tsunami reproduction calculations at the Fukushima Daini Nuclear Power Station (Flood depth and Flooded area)



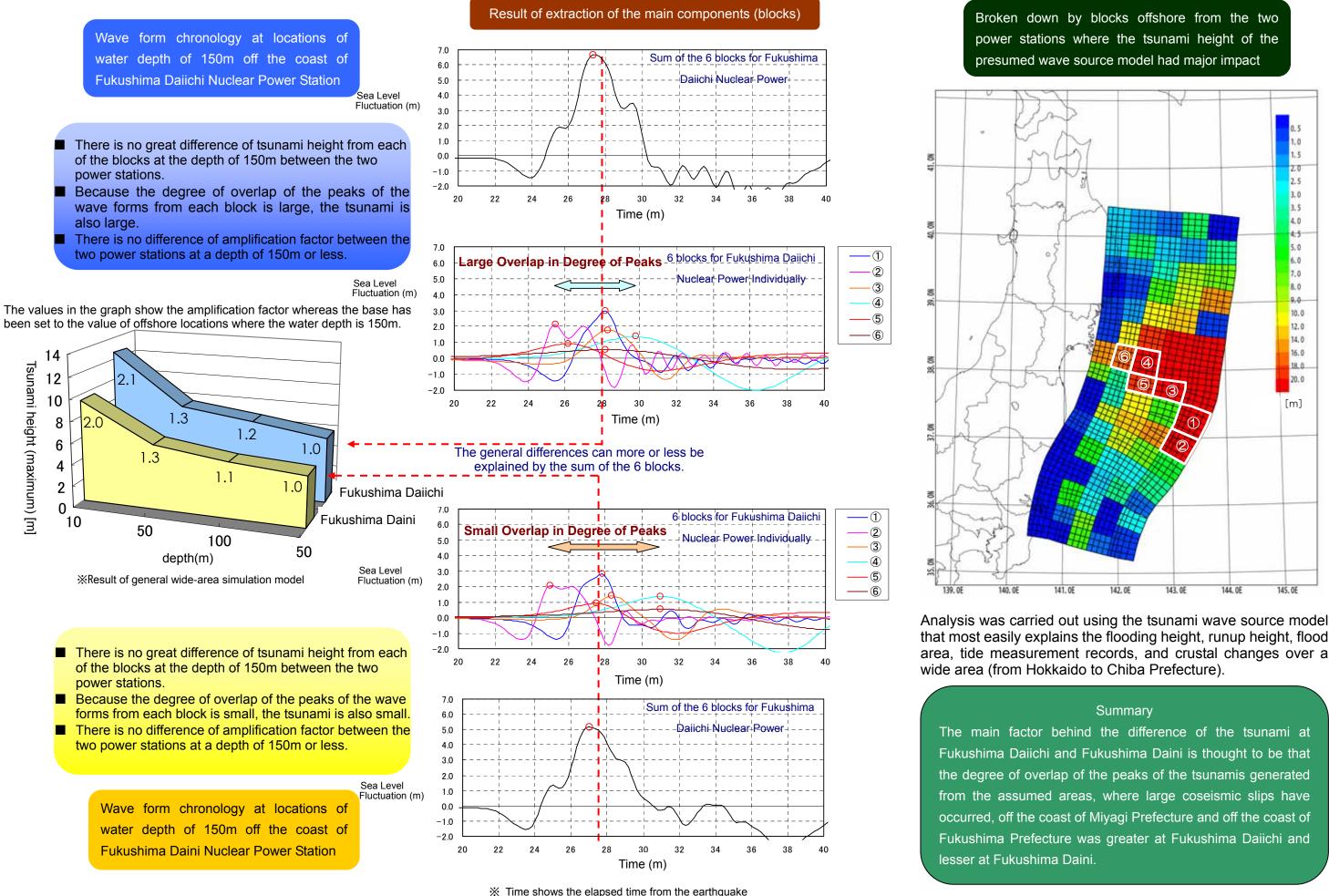
| -Tsunami height:                | Difference between the normal tidal level (tidal level when there is no tsunami)<br>and the sea level elevated due to tsunami.          |
|---------------------------------|---|
| -Flood height:                  | Height from the point of reference to the traces of darkened areas or debris left<br>in the building and facility (displayed in O.P.*). |
| -Flood depth:<br>-Flooded area: | Height from the ground to darkened areas or debris left in the building and facility.   |
| -Run-up height:                 | Area flooded by tsunami.  |
|                                 | Height from the point of refernce to the darkened areas or debris left on the   |
|                                 | slope or roads after the tsunami traveled inland (O.P. display*).   |
|                                 | *The point of reference of the Onahama Port construction site (O.P.) is 0.727m  |
|                                 | lower than the mid-sea level of Tokyo Bay (T.P.).   |

#### Flood depth



Attachment 3-12

# Analysis of Disparity between Tsunami at Fukushima Daiichi and Fukushima Daini



#### Attachment 3-14

## Main developments regarding the seismic back-check

|      | <b>Government</b><br>(Nuclear Safety Commission of Japan,<br>Nuclear and Industrial Safety Agency, etc.)   |  |  | TEPC  | 0   |
|------|--|--|--|---|---|
|      | <"Regulatory Guide for Reviewing Seismic Design of Nuclear Power Re<br>July 1981)>↓<br>September 29, 1995 Nuclear Safety Commission of Japan<br>It was confirmed that nuclear power stations constructed before the es<br>above Guide.   |  | ·  |   |   |
|      | [Establishment of the New Seismic Guidelines]<br>September 19, 2006 Determined by the Nuclear Safety Commission of Japan<br>"Regulatory Guide of Reviewing Seismic Design of Nuclear Power Reactor<br>Facilities"  |  |  |   |   |
| 2006 | [Instructions for seismic back-checks]<br>September 20, 2006 Instructed by the Nuclear and Industrial Safety<br>Agency<br>"Implementing Seismic safety assessments of existing nuclear<br>power reactor facilities following the revision of the Regulatory  | Öctober  | 18, 2006<br>sion of the imp<br>nent  | lementation pl  | ic back-check plan]<br>Ian for the seismic safety   |
|      | Guide of Reviewing Seismic Design of Nuclear Power Reactor   |  | Geologic   |   | Seismic safety assessment   |
|      | Facilities"  | 1F   | March  | 2007  | June 2009   |
|      |  | 2F   | March  | 2007  | March 2009  |
| 2007 | July 16, 2007 Niigata-C<br>[Addition of assessment items]<br>July 20, 2007 Instructed by the Minister of Economy, Trade and<br>Industry<br>"Measures based on the 2007 Niigata-Chuetsu-Oki Earthquake"   | [Subm<br>August 20   | ission of the<br>0, 2007<br>ion of the reviewe<br>ent  | revised seis  | mic back-check plan]  |
|      | [Addition of assessment items]   |  | Geological surve   | ey (Interim Report)   | Seismic safety assessment   |
|      | December 27, 2007 Nuclear and Industrial Safety Agency   | 1F   | March  | n 2008  | June 2009   |
|      | "Report to the Nuclear Safety Commission of Japan on<br>examinations based on the impact of the Niigata-   | 2F   | March  | n 2008  | March 2009  |
| 2008 | Chuetsu-Oki Earthquake on TEPCO's Kashiwazaki-<br>Kariwa Nuclear Power Station, and notification to<br>nuclear operators of matters that need to be reflected in<br>seismic back-checks (Interim Summary)"<br>[Addition of assessment items]<br>September 4, 2008 Nuclear and Industrial Safety Agency<br>"Matters that Need to be Reflected in Evaluations of<br>Seismic Safety of Nuclear Power Stations based on the<br>Niigata-Chuestu-Oki Earthquake" | March 3<br>Submis<br>Report)                                       | 1, 2008<br>sion of the sei<br>, etc. of repres<br>onement of<br>r 8, 2008<br>ment of the seisi<br>nined), implement<br>tative plants (Inte | smic safety as<br>entative plants<br>f the seism<br>mic safety asses<br>tation of the sam<br>rrim Summary) a<br>Inte<br>Sch | Report (1F5, 2F4)]<br>sessment (Interim<br>sessment (Interim<br>ic back-check plan]<br>sment (implementation date<br>e assessment as the<br>ccording to the following<br>erim Summary timing<br>eduled for June 2009<br>eduled for March 2009 |
| 2009 | [Assessment of the validity of the Interim Report]<br>Nuclear and Industrial Safety Agency<br>July 15, 2009 Review completed<br>July 21, 2009 Seismic safety assessment judged as valid and disclosed as<br>such<br>↓<br>[Assessment of the validity of the Interim Report]  | April 3, 24<br>Submiss<br>Report),<br>[Subm<br>June 19,<br>Submiss | ion of the seismid<br>etc. of Fukushima<br>ission of the<br>2009   | safety assessm<br>a Daini Nuclear P<br>Interim Repo   | Port (revised Interim<br>Power Station<br>Port (1F1-4, 1F6)]<br>Thent results (revised Interim  |
|      | [Assessment of the validity of the Interim Report]<br>Nuclear Safety Commission of Japan<br>November 19, 2009 Seismic safety assessment judged as valid and disclosed as such  |  |  |   |   |

### Attachment 3-15

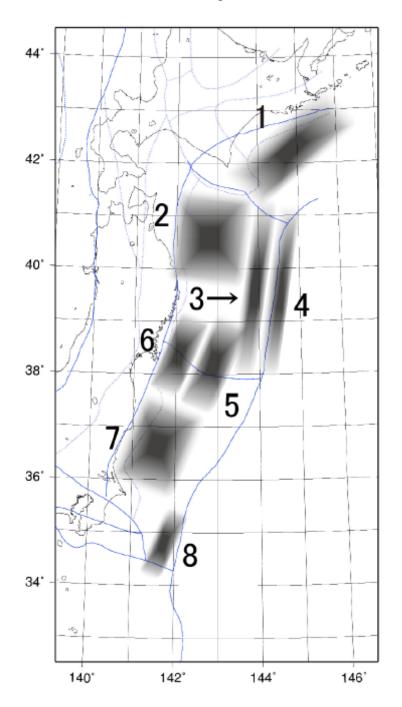
# Principle Chronology of Tsunami Safety Assessment

|                         | Principle Chronology   | TEPCO's Response   |  |  |  |  |  |  |
|-------------------------|--|--|--|--|--|--|--|--|
| Fukusł                  | nima Daiichi Nuclear Power Station, 1966 to 1  | 972, Establishment Application and Permit  |  |  |  |  |  |  |
|                         | based on the Chilean earthquake, Water level: O.P. +3.122m   |  |  |  |  |  |  |  |
| February 2002           | Japan Society of Civil Engineers (JSCE) publishes<br>"Tsunami Assessment Methodology for Nuclear<br>Power Plants in Japan" (hereafter referred to as<br>"Tsunami Assessment Methodology")  | Safety evaluation carried out based on "Tsunami<br>Assessment Methodology"<br>Required measures such as raising the electric pump,<br>preparations for operating procedures, building water<br>tightness implemented<br>Fukushima Daiichi : Water level : O.P.+5.4m~5.7m |  |  |  |  |  |  |
| July 2002               | The central government's Headquarters for<br>Earthquake Research Promotion (hereinafter<br>referred to as "HERP") publicly announces long-term<br>evaluation (hereinafter referred to as "Opinion of the<br>HERP")<br>→ JSCE begins consideration of adopting the<br>probabilistic analysis method slated for<br>consideration in 2003.<br>(There is no historical record of tsunami<br>originating from the Japan Trench region off the<br>coast of Fukushima Prefecture. Thus, no wave<br>source model.) |  |  |  |  |  |  |  |
| 2003~2005               | JSCE considers the probabilistic analysis method   | In addition to scrutinizing JSCE's examination, TEPCO also examines the probabilistic analysis method  |  |  |  |  |  |  |
| July2006                | JSCE compiles a report on examination results of<br>probabilistic analysis method between 2003 and<br>2005<br>X Thereafter, JSCE continues examination of the<br>probabilistic analysis method.  | Using the 2003 to 2005 in-development probabilistic analysis method examination result, trial analysis is conducted and TEPCO announces its report to the 14 <sup>th</sup> International Conference on Nuclear Engineering (ICONE 14).                                   |  |  |  |  |  |  |
| September 2006          | Revision of Regulatory Guide for Reviewing Seismic<br>Design of Nuclear Power Reactor Facilities<br>(clarification of language used regarding tsunami<br>safety as the tsunami is a secondary effect of an<br>earthquake)<br>Directive on anti-seismic back-checks   | Start of anti-seismic back-checks  |  |  |  |  |  |  |
| July 2007<br>March 2008 | Niigata-Chuetsu-Oki Earthquake<br>→Response to Niigata-Chuetsu-Oki Earthquake  |  |  |  |  |  |  |  |
|                         |  | Submit Interim Report on anti-seismic back-checks (planned evaluation of final report on tsunami)  |  |  |  |  |  |  |

#### Attachment 3-15

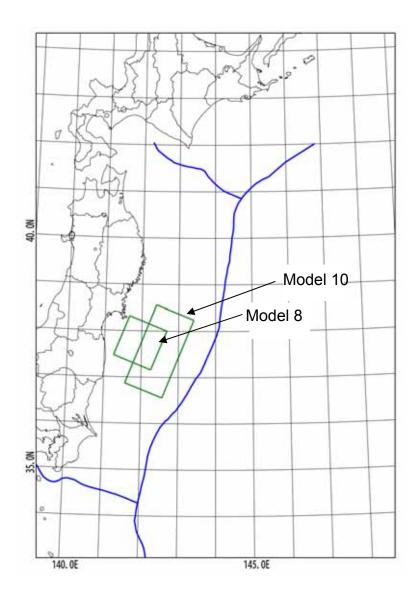
| April~                    |  | Im   | pler       | nent trial calculation on "Opinion of the HERP" |  |
|---------------------------|--|--|------------|---|--|
| October 2008              | Receive Jogan Tsunami research paper in progress   | Coordination begins toward revising Tsunami Assessment |            |   |  |
|                           | from Dr. Satake  | Methodology and deliberation on wave source model      |            |   |  |
| December 2008             |  | Г  |            | Implement trial calculations on Jogan Tsunami   |  |
| February 2009             |  |  |            | Safety evaluation is carried out based on       |  |
|                           |  |  |            | "Tsunami Assessment Methodology" taking into    |  |
|                           |  |  |            | account the latest submarine topography and     |  |
|                           |  |  |            | tidal level observation data in preparation for |  |
|                           |  |  |            | submitting the final anti-seismic back-check    |  |
|                           |  |  | ~          | report, and the necessary measures are          |  |
|                           |  |  | Under      | implemented.                                    |  |
|                           |  |  | er         | Fukushima Daiichi : Water level :               |  |
|                           |  |  | dis        | O.P.+5.4~6.1m                                   |  |
| April 2009                | Dr. Satake of AIST <sup>*1</sup> publishes paper on the Jogan                            |  | discussion |   |  |
|                           | Tsunami (conclusion is that further investigation  |  | SS         |   |  |
|                           | needed in order to establish the wave source model)                                      |  | ion        |   |  |
| June 2009                 | Joint working group points out Jogan Tsunami in  |  | -          | Deliberations on the Opinion of the             |  |
|                           | regard to TEPCO's anti-seismic back-check interim  |  |            | HERP and Jogan Tsunami requested to             |  |
| July 2009                 | report   |  |            | V JSCE  |  |
|                           | NISA <sup>**2</sup> : evaluation of anti-seismic back-check interim                      |  |            |   |  |
|                           | report ("appropriate response to result of Jogan   |  |            |   |  |
| August                    | Tsunami research and study")<br>TEPCO explains about Jogan Tsunami to NISA <sup>%2</sup> |  |            |   |  |
| August~<br>September 2009 | TEPCO explains about Jogan Isunanii to NISA  | $\square$  |            | 7   |  |
| December2009              |  | $  \rangle$  |            | Tsunami deposit surveys (start)                 |  |
| March 2010                |  | $  \rangle$  |            | Tsunami deposit surveys (finish)                |  |
| January 2011              |  | $\vdash$   |            | Report on result of tsunami deposit surveys     |  |
|                           |  | '  | \ /        | (%) submitted to Japan Geoscience Union         |  |
|                           |  |  | $\bigvee$  | Meeting   |  |
| March 2011                | Give explanation to NISA <sup>**2</sup>  |  | v          | *Tsunami deposits from the Jogan tsunami        |  |
|                           |  |  |            | not found in southern area of Fukushima         |  |
|                           |  |  |            | Prefecture                                      |  |

%1: National Institute of Advanced Industrial Science and Technology, %2: Nuclear and Industrial Safety Agency

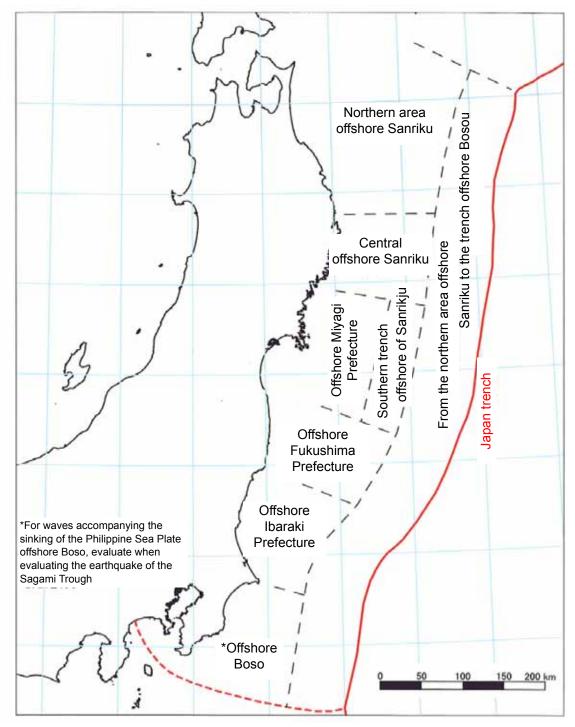


Wave source and wave source area proposed by various research organizations, etc.

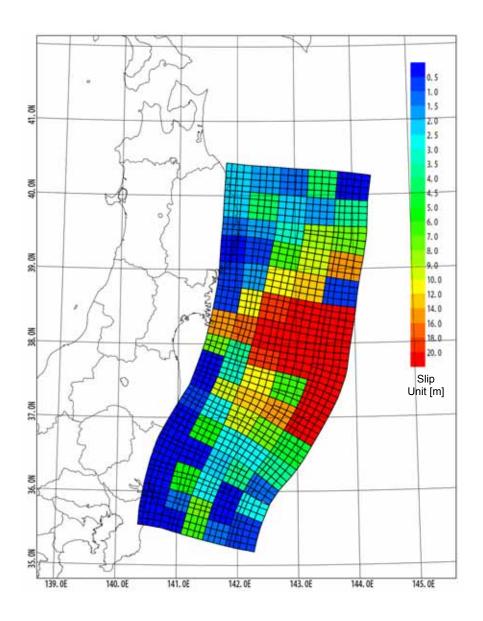
Wave source proposed by the Japan Society of Civil Engineers (JSCE) (2002)



Wave source of the Jogan tsunami (Satake et al., Created based on 2008)

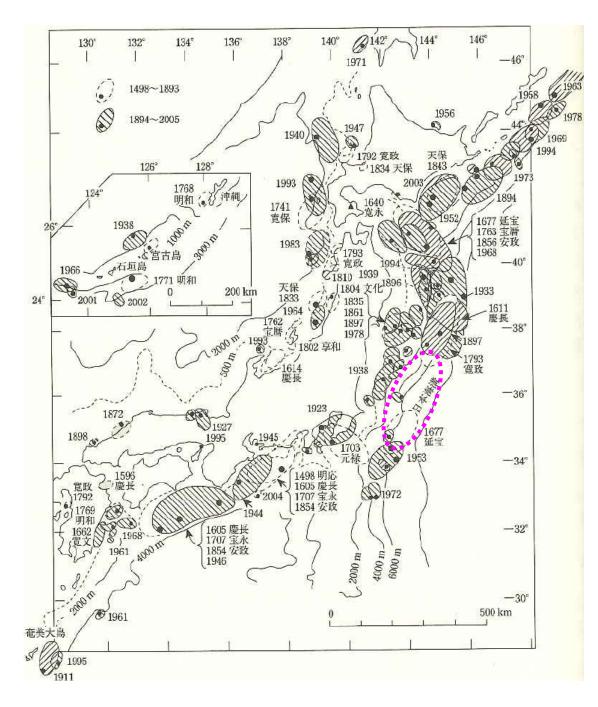


Evaluation area from the northern area offshore Sanriku to offshore Boso (Headquarters for Earthquake Research Promotion (HERP) website, Earthquake Investigation Committee July 31, 2002)



Wave source estimated by the inversion analysis (TEPCO 2011)

# Major Tsunami in the Vicinity of the Tohoku Region in the Past



| 869  | Jogan Tsunami           | (approx. M8.4) |
|------|-------------------------|----------------|
| 1611 | Keichou Sanriku Tsunami | Mw8.6          |
| 1677 | Empo Bousou Tsunami     | Mw8.2          |
| 1896 | Meiji Sanriku Tsunami   | Mw8.3          |
| 1933 | Showa Sanriku Tsunami   | Mw7.9          |

Mw (moment magnitude) in the Japan Society of Civil Engineers fault model (2002) explaining height of sediment traces of past tsunami

# Distribution of hypothesized Japan Sea tsunami wave source area

Design of Fukushima Daiichi Nuclear Power Station Building Complex Site Ground Level

#### 1. Summary of Investigation Results

The largest tsunami of the past was taken into consideration as the ground level design condition for the Fukushima Daiichi Nuclear Power Station (NPS) building complex site in the first place, and due consideration was given to the avoiding of a tsunami reaching the major building site. Below is a comparison of the ground level of other power stations located on the Pacific coast that were ravaged by a tsunami similar to TEPCO's Fukushima Daiichi NPS but managed to safely achieve cold shutdown. Judging from these results, there are no facts that the level at which the buildings at Fukushima Daiichi NPS are built is low.

2. Height of the Tsunami and Design Ground Level

In embarking on a comparative examination, data regarding the design height of the tsunami of other power companies is taken from the Japanese central government's report submitted to the ministerial summit IAEA meeting of June 2011.

(1) Fukushima Daiichi NPS (O.P. refers to Onahama Port construction site point of reference)

| <ul> <li>ground level of major buildings:</li> </ul> | O.P.+10.0m |
|--|------------|
|--|------------|

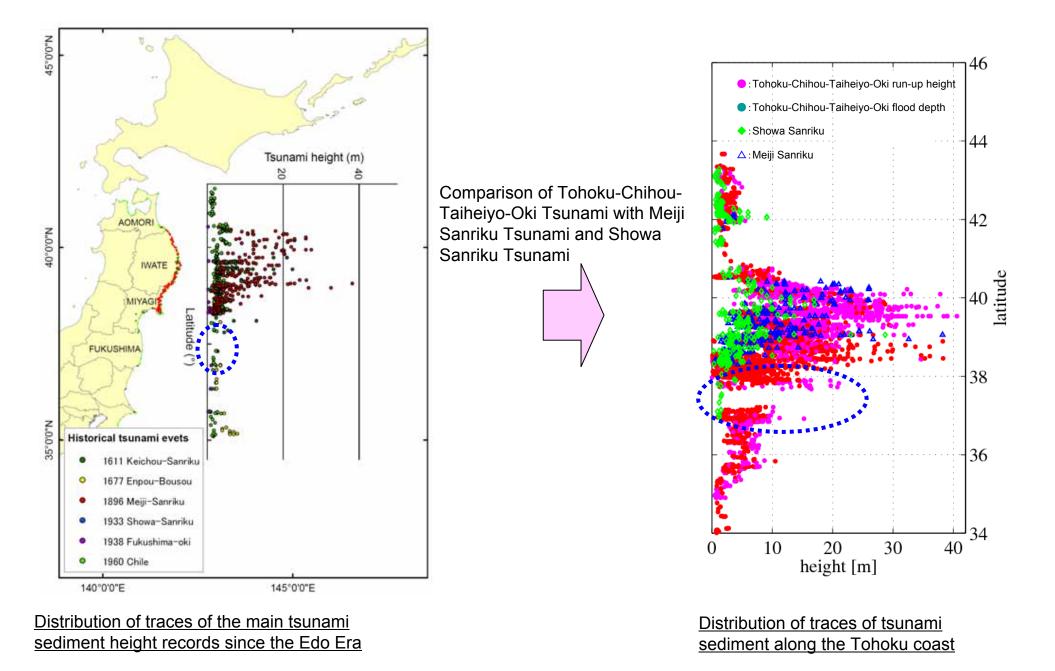
| <ul> <li>Tsunami Assessment Methodology:</li> </ul> | O.P.+6.1m |
|---|-----------|
|---|-----------|

- Application for establishing permit: O.P.+3.122m
- (2) Japan Atomic Power Company Tokai Daiini NPS (H.P. refers to Hitachi Port construction site point of reference)
  - ground level of major buildings: H.P.+8.9m
  - Tsunami Assessment Methodology: H.P.+5.8m
  - Application for establishing permit: not listed
- (3) Tohoku Electric Power Co., Inc. Onagawa NPS (O.P. refers to Onagawa NPS Port construction site point of reference)
  - ground level of major buildings: O.P.+14.8m
  - Tsunami Assessment Methodology: O.P.+13.6m
  - Application for establishing permit: O.P.+9.1m

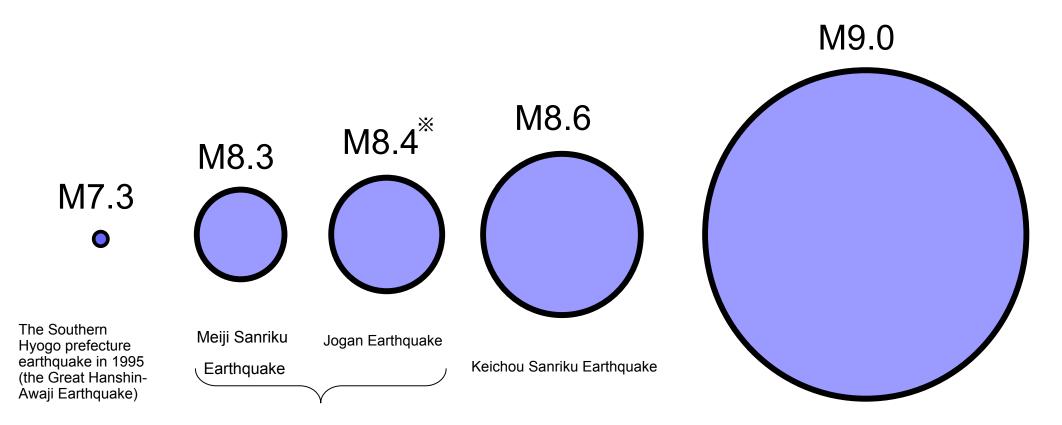
|                       | Major site ground | Height of the                           | e tsunami (m)                              |                   |                   |
|-----------------------|-------------------|---|--|-------------------|-------------------|
| Name of power station | level (m)<br>[A]  | Establishing<br>permit<br>[B]           | Japan Society of<br>Civil Engineers<br>[C] | <u>(А-В)</u><br>А | <u>(A-C)</u><br>A |
| Fukushima Daiichi NPS | +10.0             | +3.122                                  | +6.1                                       | 68%               | 39%               |
| Tokai Daini NPS       | +8.9              | not listed                              | +5.8                                       | _                 | 34%               |
| Onagawa NPS           | +14.8             | +9.1 (Unit 2)<br>+approx. 3 (Unit<br>1) | +13.6                                      | 38%<br>80%        | 8%                |

### 3. Result of Comparison of Design Tolerance Tolerance derived from the data in the preceding paragraph is as follows:

### Traces of Tsunami Sediment Left Behind by Tsunami that have Ravaged the Tohoku Region in the Past



# Strength of an Earthquake's Energy in Terms of Magnitude



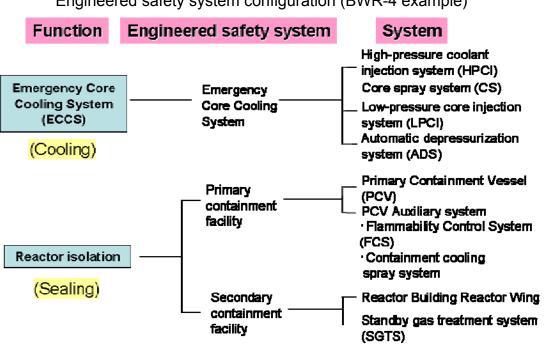
#### used in trial calculations prior to the Tohoku-Chihou-Taiheiyo-Oki Earthquake

Tohoku-Chihou-Taiheiyo-Oki Earthquake (Great East Japan Earthquake)

※knowledge prior to the Tohoku-Chihou-Taiheiyo-Oki Earthquake

#### Redundancy, Diversity, and Autonomy of Engineered Safety Systems at Nuclear Power Stations

The Regulatory Guide for Reviewing Safety Design of Light Water Nuclear Power Reactor Facilities requires that systems that have particularly important safety functions must be redundant, diverse, and independent in consideration of the configuration of the system, principles of operation, and the characteristics of the safety functions to perform. Systems important for safety, such as the emergency core cooling system (ECCS), Reactor protection systems, and electrical systems, etc., need to be designed so that the system does not lose safety function even in the event that a single piece of equipment fails.

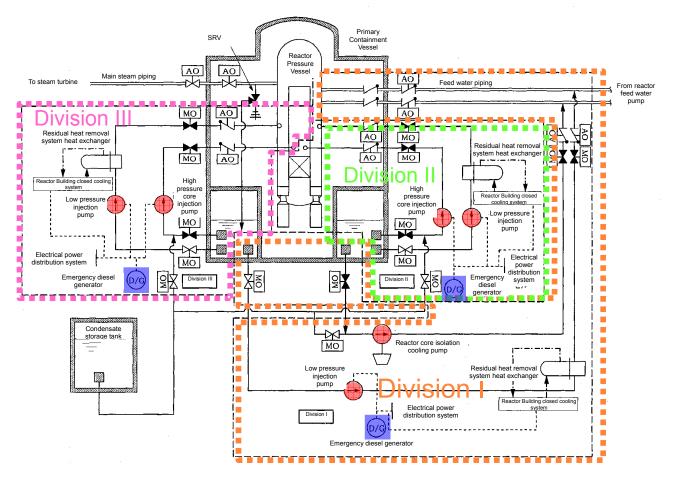


Engineered safety system configuration (BWR-4 example)

Redundancy, diversity, and independence

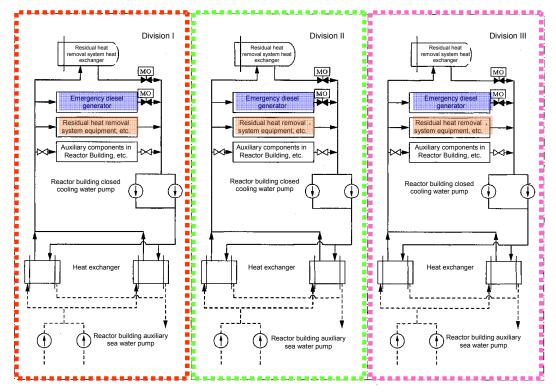
(1) "Redundancy" refers to having two or more systems or pieces of equipment that have the same attributes and the same function.

(Ex. Emergency core cooling system (ECCS) pump (in the case of an ABWR)



- (2) "Diversity" refers to having two or more systems or pieces of equipment that have the same function but different attributes.
  - (Ex. Reactor shutdown function
  - Control rods: control rods are inserted into the core
  - Standby Liquid Control (SLC): If the control rods cannot be inserted, boric acid is inserted into the reactor to shut it down.
- (3) "Independence" refers to two or more systems or pieces of equipment that shall not lose function simultaneously due to common or dependent factors amidst environmental conditions or operational states that they are designed for.
  - (Ex. 1: Auxiliary unit cooling water system (sectored design)

- Provides cooling water to the emergency core cooling system (ECCS) pump and emergency equipment such as diesel generators.
- An auxiliary unit cooling water system is installed for each division (even if the auxiliary unit cooling water system in one division becomes inoperable, multiple divisions will not become inoperable at the same time)



(Ex. 2: Emergency core cooling system (ECCS) pump location (inside the reactor building) (physical separation)

- Each ECCS pump is located in a different room.
- This prevents other pumps from being affected if an abnormality occurs in one pump room (flooding due to a pipe rupture, etc.)

Status of installation of facilities with "Cooling down" and "Confining inside" functions in each unit

|                   | Objective                             | Facility Name   |                        | kushima D              | Fukushima<br>Daini     |                        |
|-------------------|---------------------------------------|---|------------------------|------------------------|------------------------|------------------------|
|                   | Objective                             |   |                        | Units 2<br>to 5        | Unit 6                 | Units 1 to 4           |
|                   | Normal<br>coolant<br>injection        | Feed water and condensate systems (FDW)   | Yes                    | Yes                    | Yes                    | Yes                    |
|                   | High pressure<br>water injection      | Reactor core isolation cooling system (RCIC)<br>Isolation condenser system (IC)<br>High-pressure coolant injection / core spray (HPCI/HPCS)<br>Control rod drive hydraulic pressure system<br>(CRD) | –<br>Yes<br>Yes<br>Yes | Yes<br>—<br>Yes<br>Yes | Yes<br>—<br>Yes<br>Yes | Yes<br>—<br>Yes<br>Yes |
| "Cooling down     | Pressure reduction                    | Safety relief valve (SRV,ADS)   | Yes                    | Yes                    | Yes                    | Yes                    |
| <u>_</u>          | Low pressure<br>water injection       | Core spray system (CS/LPCS)<br>Residual heat removal system (LPCI)  | Yes<br>—               | Yes<br>Yes             | Yes<br>Yes             | Yes<br>Yes             |
|                   | Alternate<br>water injection<br>(AM)* | Make-up water condensate system (MUWC)<br>Fire protection system (FP)   | Yes<br>Yes             | Yes<br>Yes             | Yes<br>Yes             | Yes<br>Yes             |
|                   | Final heat<br>removal                 | Shutdown cooling system (SHC)<br>Residual heat removal system (RHR-SHC)   | Yes<br>—               | –<br>Yes               | _<br>Yes               | _<br>Yes               |
| "Confining inside | PCV cooling                           | cooling Containment cooling spray system (CCS)<br>Residual heat removal system (RHR)  |                        | –<br>Yes               | –<br>Yes               | –<br>Yes               |
| ng inside"        | PCV venting<br>(AM) <sup>*</sup>      | PCV hardened vent piping,<br>rupture disk   | Yes                    | Yes                    | Yes                    | Yes                    |

\*Prepared as part of accident management measures from 1994 to 2002.

Facility requiring AC power No. of Facility Remarks Off-site power Objective Facility name not requiring systems (C,D-BUS can receive [D/G (A)] [D/G (B)] (amount) AC power power from D/G) C-BUS A-BUS S-BUS D-BUS **B-BUS** Control rod drive hydraulic Automatic scram upor HCU Scram 97 units Shutting station black out pressure systems down Standby liquid control Subsytem B Subcriticality SLC 2 system Subsytem A system High Isolation condenser Operates on DC IC A-train B-train 2 system power pressure system water High-pressure coolant Operates on DC HPCI 1 system injection power injection system Safety relief valve Pressure Operates on DC SRV 4 (ADS function / relief valve function / reduction power afety valve function) Low pressure Subsytem A Subsytem B CS Core spray system 2 system water iniection Make-up water MUWC 1 system Pump A Pump B condensate system Alternate Fire protection system water Cooling Pump 1 injection (motor-operated pump) down FP (AM) Fire protection system Operates on DC 1 (diesel-operated pump) power Feed water system Pump A,B Pump C 3 Feed water and FDW Normal condensate system Condensat coolant e system Pump A Pump B,C injection 3 Control rod drive system CRD 1 system Pump B Pump A Final heat Shutdown cooling system SHC 2 system Subsytem A Subsytem B removal Containment cooling PCV cooling CCS 2 system Subsytem A Subsytem B spray system Operates on D-BUS AC power, Confining PCV vent valve AC120V vital AC power and S/C vent valve inside [MO valve] pressurized air (S/C vent valve PCV vent AO valvel) Operates on D-BUS AC power, (AM) PCV vent valve AC120V vital AC power and D/W vent valve -[MO valve] pressurized air (D/W vent valve AO valvel)

Details of Fukushima Daiichi Unit 1 facilities (facilities used for "shutting down", "cooling down", "confining inside")

| Objective           |                                 | Facility name  |          |   | Facility                  |  |                              |                              |          |          |       |  |
|---------------------|---------------------------------|--|----------|---|---------------------------|--|------------------------------|------------------------------|----------|----------|-------|--|
|                     |                                 |  |          | No. of  |                           |  |                              | Remarks                      |          |          |       |  |
| Obje                | ective                          | Facility name  |          | systems<br>(amount)                               | not requiring<br>AC power |  | [D/G (A)]                    | [D/G (B)]                    |          |          |       | (C,D,E-BUS can receive<br>power from D/G)  |
|                     |                                 |  |          |   |                           |  | C-BUS                        | E D-BUS                      | A-BUS    | B-BUS    | S-BUS | 1  |
| Shutting            | Scram                           | Control rod drive hydraulic<br>pressure systems  | HCU      | 137 units   |                           |  |                              |                              |          |          |       | Automatic scram upon<br>station black out  |
| down                | Subcriticality                  | Standby liquid control<br>system   | SLC      | 2 system  |                           |  | Subsytem A                   | Subsytem B                   |          |          |       |  |
|                     | pressure                        | Reactor core isolation<br>cooling system   | RCIC     | 1 system  |                           |  |                              |                              |          |          |       | Operates on DC power   |
|                     | water<br>injection              | High-pressure coolant<br>injection system  | HPCI     | 1 system  |                           |  |                              |                              |          |          |       | Operates on DC power   |
|                     | Pressure<br>reduction           | Safety relief valve<br>(ADS function / relief valve function /<br>safety valve function) | SRV      | 8   |                           |  |                              |                              |          |          |       | Operates on DC power   |
|                     | Low<br>pressure                 | Core spray system  | CS       | 2 system  |                           |  | -                            | Subsytem B                   |          |          |       |  |
|                     | water<br>injection              | Residual heat removal system   | RHR-LPCI | 2 system  |                           |  | Subsystem<br>A               | Subsystem<br>B               |          |          |       |  |
|                     | Alternate<br>water<br>injection | Make-up water<br>condensate system   | MUWC     | 1 system  |                           |  | Pump A                       | Pump B                       |          |          |       |  |
|                     |                                 | Fire protection system<br>(motor-operated pump)  | FP       | 1   |                           |  |                              |                              |          |          | Pump  |  |
|                     |                                 | Fire protection system (diesel-operated pump)  |          | 1   |                           |  |                              |                              |          |          |       | Operates on DC power   |
| Cooling<br>down     | Normal<br>coolant<br>injection  | Feed water and condensate system   | FDW      | Feed<br>water<br>system<br>2                      |                           |  |                              |                              | Pump A   | Pump B   |       |  |
|                     |                                 |  |          | Condens<br>ate<br>system<br>High<br>pressure<br>3 |                           |  |                              |                              | Pump A,C | Pump B   |       |  |
|                     |                                 |  |          | Condens<br>ate<br>system<br>Low<br>pressure<br>3  |                           |  |                              |                              | Pump A   | Pump B,C |       |  |
|                     |                                 | Control rod drive system   | CRD      | 1 system  |                           |  | Pump A                       | Pump B                       |          |          |       |  |
|                     | Final heat<br>removal           | Residual heat removal system   | RHR-SHC  | 2 system  |                           |  | Subsystem<br>A               | В                            |          |          |       |  |
| Confining<br>inside | PCV cooling                     | Residual heat removal system   | RHR      | 2 system  |                           |  | Subsystem<br>A               | Subsystem<br>B               |          |          |       |  |
|                     | PCV vent<br>(AM)                | S/C vent valve   |          | -   |                           |  | PCV vent valve<br>[MO valve] | PCV vent valve<br>[MO valve] |          |          |       | Operates on C or D-BUS powe<br>DC power and pressurized air<br>(S/C vent valve [AO valve]) |
|                     |                                 | D/W vent valve   |          | -   |                           |  | PCV vent valve<br>[MO valve] | PCV vent valve<br>[MO valve] |          |          |       | Operates on C or D-BUS powe<br>DC power and pressurized air<br>(D/W vent valve [AO valve]) |

Attachment 4-2 (3/9)

| Objective           |  | Facility name  |          |  | Facility<br>not       |  |                                 |                              |          |          |      |   |   |
|---------------------|--|--|----------|--|-----------------------|--|---------------------------------|------------------------------|----------|----------|------|---|---|
|                     |  |  |          | No. of<br>systems                          |                       |  | Remarks<br>(C,D-BUS can receive |                              |          |          |      |   |   |
|                     |  |  |          | (amount)                                   | requiring<br>AC power |  | [D/G (A)]                       | [D/G (B)]                    |          |          |      | power from D/G)   |   |
|                     | 1                                      |  | C-BUS    |  |                       |  | D-BUS                           | A-BUS                        | B-BUS    | S-BUS    |      | _   |   |
| Shutting            | Scram                                  | Control rod drive<br>hydraulic pressure  | HCU      | 137 units                                  |                       |  |                                 |                              |          |          |      | Automatic scram upon station black out  |   |
| down                | Subcriticality                         | Standby liquid control system  | SLC      | 2 system                                   |                       |  | Subsytem A                      | Subsytem B                   |          |          |      |   |   |
|                     | High<br>pressure<br>water<br>injection | Reactor core isolation cooling system  | RCIC     | 1 system                                   |                       |  |                                 |                              |          |          |      | Operates on DC power  |   |
|                     |  | High-pressure coolant<br>injection system  | HPCI     | 1 system                                   |                       |  |                                 |                              |          |          |      | Operates on DC power  |   |
|                     | Pressure reduction                     | Safety relief valve<br>(ADS function / relief valve function /<br>safety valve function) | SRV      | 8  |                       |  |                                 |                              |          |          |      | Operates on DC power  |   |
|                     | Low pressure<br>water<br>injection     | Core spray system  | CS       | 2 system                                   |                       |  | Subsytem A                      | Subsytem B                   |          |          |      |   |   |
|                     |  | Residual heat removal system   | RHR-LPCI | 2 system                                   |                       |  | Subsystem<br>A                  | Subsystem<br>B               |          |          |      |   |   |
|                     | Alternate                              | Make-up water<br>condensate system   | MUWC     | 1 system                                   |                       |  | Pump A                          | Pump B                       |          |          |      |   |   |
|                     | water<br>injection<br>(AM)             | Fire protection system (motor-operated)  | FP       | 1  |                       |  |                                 |                              |          |          | Pump |   |   |
|                     |  | Fire protection system<br>(diesel-operated)  |          | 1  |                       |  |                                 |                              |          |          |      | Operates on DC power  |   |
| Cooling<br>down     | Normal<br>coolant<br>injection         |  |          | Feed water<br>system<br>2                  |                       |  |                                 |                              | Pump A   | Pump B   |      |   |   |
|                     |  | Feed water and<br>condensate system  | FDW      | Condensate<br>system<br>High<br>pressure 3 |                       |  |                                 |                              | Pump A,C | Pump B   |      |   |   |
|                     |  |  |          | Condensate<br>system<br>Low<br>pressure 3  |                       |  |                                 |                              | Pump A   | Pump B,C |      |   |   |
|                     |  | Control rod drive system   | CRD      | 1 system                                   |                       |  | Pump A                          | Pump B                       |          |          |      |   |   |
|                     | Final heat<br>removal                  | Residual heat removal system   | RHR-SHC  | 2 system                                   |                       |  | Subsystem<br>A                  | Subsystem<br>B               |          |          |      |   | - |
| Confining<br>inside | PCV cooling                            | Residual heat removal system   | RHR      | 2 system                                   |                       |  | Subsystem<br>A                  | Subsystem<br>B               |          |          |      |   |   |
|                     | PCV vent<br>(AM)                       | S/C vent valve   |          | -  |                       |  | PCV vent valve<br>[MO valve]    | PCV vent valve<br>[MO valve] |          |          |      | Operates on C or D-BUS power<br>DC power and pressurized air<br>(S/C vent valve [AO valve]) |   |
|                     |  | D/W vent valve   |          | -  |                       |  | PCV vent valve<br>[MO valve]    | PCV vent valve<br>[MO valve] |          |          |      | Operates on C or D-BUS power<br>DC power and pressurized air<br>(D/W vent valve [AO valve]) |   |

| Objective           |   | Facility name  |              | No. of                                      | Facility<br>not<br>requiring<br>AC power |  |                              | Remarks<br>(C,D,E-BUS can receive |          |          |       |  |
|---------------------|---|--|--------------|---|--|--|------------------------------|-----------------------------------|----------|----------|-------|--|
|                     |   |  |              | No. of systems                              |  |  |                              |                                   |          |          |       |  |
|                     |   |  |              | (amount)                                    |  |  | [D/G (A)]<br>C-BUS           | [D/G (B)]<br>E D-BUS              | A-BUS    | B-BUS    | S-BUS | power from D/G)  |
| Shutting            | Scram                                   | Control rod drive<br>hydraulic pressure  | HCU          | 137 units                                   |  |  | 0-603                        | L D-803                           | A-003    | D-D03    | 0-000 | Automatic scram upon station black out   |
| down                | Subcriticality                          | Standby liquid control<br>system   | SLC          | 2 system                                    |  |  | Subsytem A                   | Subsytem B                        |          |          |       |  |
|                     | High<br>pressure                        | Reactor core isolation<br>cooling system   | RCIC         | 1 system                                    |  |  |                              |                                   |          |          |       | Operates on DC power   |
|                     | water<br>injection                      | High-pressure coolant<br>injection system  | HPCI         | 1 system                                    |  |  |                              |                                   |          |          |       | Operates on DC power   |
|                     | Pressure<br>reduction                   | Safety relief valve<br>(ADS function / relief valve function /<br>safety valve function) | SRV          | 11  |  |  |                              |                                   |          |          |       | Operates on DC power   |
|                     | Low<br>pressure<br>water<br>injection   | Core spray system  | CS           | 2 system                                    |  |  | Subsytem A                   | Subsytem B                        |          |          |       |  |
|                     |   | Residual heat removal system   | RHR-<br>LPCI | 2 system                                    |  |  | A-train<br>(Pump A,C)        | B-train<br>(Pump B,D)             |          |          |       |  |
|                     | Alternate<br>water<br>injection<br>(AM) | Make-up water<br>condensate system   | MUWC         | 1 system                                    |  |  | Pump A                       | Pump B                            |          |          |       |  |
| Cooling<br>down     |   | Fire protection system<br>(motor-operated pump)  | FP           | 1   |  |  |                              |                                   |          |          | Pump  |  |
|                     |   | Fire protection system (diesel-operated pump)  |              | 1   |  |  |                              |                                   |          |          |       | Operates on DC power   |
|                     | Normal<br>coolant<br>injection          | Feed water and condensate system   | FDW          | Feed water<br>system<br>2                   |  |  |                              |                                   | Pump A   | Pump B   |       |  |
|                     |   |  |              | Condensat<br>e system<br>High<br>pressure 3 |  |  |                              |                                   | Pump A,C | Pump B   |       |  |
|                     |   |  |              | Condensat<br>e system<br>Low<br>pressure 3  |  |  |                              |                                   | Pump A   | Pump B,C |       |  |
|                     |   | Control rod drive system   |              | 1 system                                    |  |  | Pump A                       | Pump B                            |          |          |       |  |
|                     |   | Residual heat removal system   | RHR-<br>SHC  | 2 system                                    |  |  | A-train<br>(Pump A,C)        | B-train<br>(Pump B,D)             |          |          |       |  |
| Confining<br>inside | PCV cooling                             | Residual heat removal system   | RHR          | 2 system                                    |  |  | A-train<br>(Pump A,C)        | B-train<br>(Pump B,D)             |          |          |       |  |
|                     | PCV vent<br>(AM)                        | S/C vent valve   |              | -   |  |  | PCV vent valve<br>[MO valve] | PCV vent valve<br>[MO valve]      |          |          |       | Operates on C or D-BUS<br>power, DC power and<br>pressurized air (S/C vent valve<br>Operates on C or D-BUS |
|                     |   | D/W vent valve   |              | -   |  |  | PCV vent valve<br>[MO valve] | PCV vent valve<br>[MO valve]      |          |          |       | Operates on C or D-BUS<br>power, DC power and<br>pressurized air (D/W vent valv                            |

|                     |                                |  |              | Facility  |                       | tv    |     |                              |                              |              |          |       |   |
|---------------------|--------------------------------|--|--------------|---|-----------------------|-------|-----|------------------------------|------------------------------|--------------|----------|-------|---|
| Oh                  | jective                        | Facility name  |              | No. of systems                                    |                       | not   | -   |                              | Of                           | f-site power |          |       | Remarks<br>(C,D-BUS can receive   |
| Objective           |                                | Facility name  |              | (amount)  | requiring<br>AC power |       |     | [D/G (A)]                    | [D/G (B)]                    |              |          |       | power from D/G)   |
|                     |                                |  |              |   | AC                    | ; pov | ver | C-BUS                        | D-BUS                        | A-BUS        | B-BUS    | S-BUS |   |
| Shutting            | Scram                          | Control rod drive<br>hydraulic pressure  | HCU          | 137<br>units                                      |                       |       |     |                              |                              |              |          |       | Automatic scram upor<br>station black out                                     |
| down                | Subcriticality                 | Standby liquid control system  | SLC          | 2 system  |                       |       |     | Subsytem A                   | Subsytem B                   |              |          |       |   |
|                     | High pressure<br>water         | Reactor core isolation<br>cooling system   | RCIC         | 1 system  |                       |       |     |                              |                              |              |          |       | Operates on DC powe   |
|                     | injection                      | High-pressure coolant<br>injection system  | HPCI         | 1 system  |                       |       |     |                              |                              |              |          |       | Operates on DC powe   |
|                     | Pressure reduction             | Safety relief valve<br>(ADS function / relief valve function /<br>safety valve function) | SRV          | 11  |                       |       |     |                              |                              |              |          |       | Operates on DC powe   |
|                     |                                | Core spray system  | CS           | 2 system  |                       |       |     | Subsytem A                   | Subsytem B                   |              |          |       |   |
| Alterr              | injection                      | Residual heat removal system   | RHR-<br>LPCI | 2 system  |                       |       |     | A-train<br>(Pump A,C)        | B-train<br>(Pump B,D)        |              |          |       |   |
|                     | Alternate                      | Make-up water<br>condensate system   | MUWC         | 1 system  |                       |       |     | Pump A                       | Pump B                       |              |          |       |   |
|                     | water<br>injection             | Fire protection system (motor-operated pump)   | FP           | 1   |                       |       |     |                              |                              |              |          | Pump  |   |
|                     |                                | Fire protection system<br>(diesel-operated pump)   | FP           | 1   |                       |       |     |                              |                              |              |          |       | Operates on DC pow  |
| Cooling<br>down     |                                |  |              | Feed<br>water<br>system<br>2                      |                       |       |     |                              |                              | Pump A       | Pump B   |       |   |
| C                   | Normal<br>coolant<br>injection | Feed water and condensate system   | FDW          | Condens<br>ate<br>system<br>High<br>pressure<br>3 |                       |       |     |                              |                              | Pump A,C     | Pump B   |       |   |
|                     |                                |  |              | Condens<br>ate<br>system<br>Low<br>pressure<br>3  |                       |       |     |                              |                              | Pump A       | Pump B,C |       |   |
|                     |                                | Control rod drive system   | CRD          | 1 system  |                       |       |     | Pump A                       | Pump B                       |              |          |       |   |
|                     | Final heat<br>removal          | Residual heat removal system   | RHR-<br>SHC  | 2 system  |                       |       |     | A-train<br>(Pump A,C)        | B-train<br>(Pump B,D)        |              |          |       |   |
| - <i>"</i> ·        | PCV cooling                    | Residual heat removal system   | RHR          | 2 system  |                       |       |     | A-train<br>(Pump A,C)        | B-train<br>(Pump B,D)        |              |          |       |   |
| Confining<br>inside | PCV vent                       | S/C vent valve   |              | -   |                       |       |     | PCV vent valve<br>[MO valve] | PCV vent valve<br>[MO valve] |              |          |       | Operates on C or D-BUS<br>power, DC power and<br>pressurized air (S/C vent va |
|                     | (AM)                           | D/W vent valve   |              | -   |                       |       |     | PCV vent valve<br>[MO valve] | PCV vent valve<br>[MO valve] |              |          |       | Operates on C or D-BUS<br>power, DC power and<br>pressurized air (D/W vent va |

Details of Fukushima Daiichi Unit 6 facilities (facilities used for "shutting down", "cooling down", "confining inside")

|                 |                                 |  |  |  | Facility<br>not |  |                              | Faci             | lity requirin | g AC powe | er       |       |   |
|-----------------|---------------------------------|--|--|--|-----------------|--|------------------------------|------------------|---------------|-----------|----------|-------|---|
| Obi             | ective                          | Facility name  |  | No. of systems                                   |                 |  | Off-site power               |                  |               |           |          |       | Remarks<br>(C,D,H-BUS can receive   |
| Objective       |                                 | r acinty hame  |  | (amount)   | requiring       |  | [D/G (A)]                    | [D/G (B)]        | [D/G (H)]     |           |          |       | power from D/G)   |
|                 |                                 |  |  |  | AC p            |  | C-BUS                        | D-BUS            | H-BUS         | A-BUS     | B-BUS    | S-BUS |   |
| Shutting        | Scram                           | Control rod drive<br>hydraulic pressure  | HCU  | 185<br>units                                     |                 |  |                              |                  |               |           |          |       | Automatic scram upon<br>station black out   |
| down            | Subcriticality                  | Standby liquid control system  | SLC  | 2 system   |                 |  | Subsytem A                   | Subsytem B       |               |           |          |       |   |
|                 | High<br>pressure                | Reactor core isolation cooling system  | RCIC   | 1 system   |                 |  |                              |                  |               |           |          |       | Operates on DC power  |
|                 | water<br>injection              | High pressure core<br>spray system   | HPCS   | 1 system   |                 |  |                              |                  |               |           |          |       |   |
|                 | Pressure<br>reduction           | Safety relief valve<br>(ADS function / relief valve function /<br>safety valve function) | SRV  | 18   |                 |  |                              |                  |               |           |          |       | Operates on DC power  |
|                 | Low pressure<br>water           | Low pressure core spray system   | LPCS   | 1 system   |                 |  |                              |                  |               |           |          |       |   |
|                 | injection                       | Residual heat removal system   | RHR-<br>LPCI   | 3 system   |                 |  | Subsystem A                  | Subsystem<br>B,C |               |           |          |       |   |
|                 | Alternate<br>water<br>injection | Make-up water<br>condensate system   | MUWC   | 1 system   |                 |  | Pump A                       | Pump B           |               |           |          |       |   |
|                 |                                 | Fire protection system (motor-operated pump)   | FP   | 1  |                 |  |                              |                  |               |           |          | Pump  |   |
|                 | (AM)                            | Fire protection system<br>(diesel-operated pump)   |  | 1  |                 |  |                              |                  |               |           |          |       | Operates on DC power  |
| Cooling<br>down |                                 | oolant condensate system   | FDW FDW FDW FDW FDW FDW FDW FDW FF SS 3 Condered ateres system conduction of the system conducti | Feed<br>water<br>system                          |                 |  |                              |                  |               | Pump A    | Pump B   |       |   |
|                 |                                 |  |  | Condens<br>ate<br>system                         |                 |  |                              |                  |               | Duras A   |          |       |   |
|                 | Normal<br>coolant<br>injection  |  |  | High<br>pressure<br>3                            |                 |  |                              |                  |               | Pump A    | Pump B,C |       |   |
|                 |                                 |  |  | Condens<br>ate<br>system<br>Low<br>pressure<br>3 |                 |  |                              |                  |               | Pump A    | Pump B,C |       |   |
|                 |                                 | Control rod drive system   | CRD  | 1 system   |                 |  | Pump A                       | Pump B           |               |           |          |       |   |
|                 | Final heat<br>removal           | Residual heat removal system   | RHR-<br>SHC  | 2 system   |                 |  | Subsytem A                   | Subsytem B       |               |           |          |       |   |
|                 | PCV cooling                     | Residual heat removal system   | RHR  | 2 system   |                 |  | Subsytem A                   | Subsytem B       |               |           |          |       |   |
|                 | PCV vent                        | S/C vent valve   |  | -  |                 |  | PCV vent valve<br>[MO valve] |                  |               |           |          |       | Operates on C, D, vital power<br>and pressurized air (S/C vent<br>valve [AO valve]) |
|                 | (AM)                            | D/W vent valve   |  | -  |                 |  | PCV vent valve<br>[MO valve] |                  |               |           |          |       | Operates on C, vital power and<br>pressurized air (D/W vent valve<br>[AO valve])    |

Attachment 4-2 (7/9)

| Details of Fukushima Daini Unit 1/3/4 facilities | (facilities used for | "shutting down", | "cooling down", | "confining inside") |
|--|----------------------|------------------|-----------------|---------------------|
|  | <b>\</b>             |                  |                 |                     |

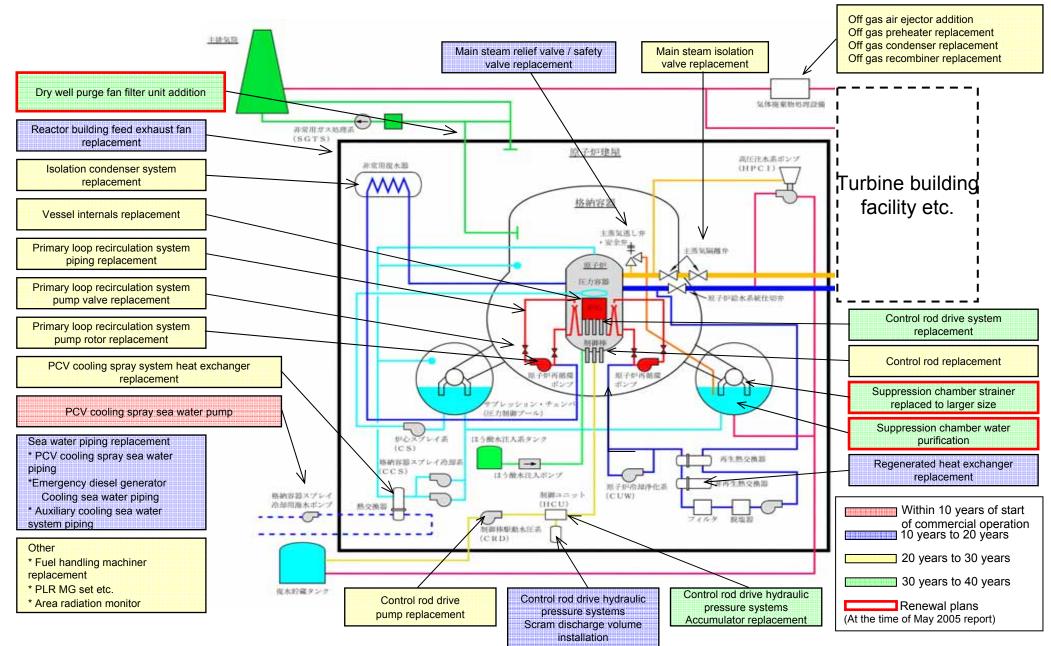
|                 |   |  |  | No. of  | Facility         |                              |                    | Bomorko            |          |          |       |  |
|-----------------|---|--|--|---|------------------|------------------------------|--------------------|--------------------|----------|----------|-------|--|
| Obj             | ective                                  | Facility name  |  | systems   | not<br>requiring |                              |                    | I                  | e power  |          |       | Remarks<br>(C,D,H-BUS can receive  |
|                 |   |  |  | (amount)  | AC power         | [D/G (A)]<br>C-BUS           | [D/G (B)]<br>D-BUS | [D/G (H)]<br>H-BUS | A-BUS    | B-BUS    | S-BUS | power from D/G)  |
| Shutting        | Scram                                   | Control rod drive<br>hydraulic pressure  | HCU  | 185<br>units                                      |                  | 0-000                        | D-000              | 11-000             | A-000    | B-B00    | 0-000 | Automatic scram upon station black out   |
| down            | Subcriticality                          | Standby liquid control<br>system   | SLC  | 2 system  |                  | Subsytem<br>A                | Subsytem<br>B      |                    |          |          |       |  |
|                 | High<br>pressure                        | Reactor core isolation<br>cooling system   | RCIC   | 1 system  |                  |                              |                    |                    |          |          |       | Operates on DC power   |
|                 | water<br>injection                      | High pressure core<br>spray system   | HPCS   | 1 system  |                  |                              |                    |                    |          |          |       |  |
|                 | Pressure reduction                      | Safety relief valve<br>(ADS function / relief valve function /<br>safety valve function) | SRV  | 18  |                  |                              |                    |                    |          |          |       | Operates on DC power   |
|                 | Low<br>pressure                         | Low pressure core spray<br>system  | LPCS   | 1 system  |                  |                              |                    |                    |          |          |       |  |
|                 | water<br>injection                      | Residual heat removal system   | RHR-<br>LPCI                                     | 3 system  |                  | Subsystem<br>A               | Subsystem<br>B,C   |                    |          |          |       |  |
|                 | Alternate<br>water<br>injection<br>(AM) | Make-up water<br>condensate system   | MUWC   | 1 system  |                  | Pump A,C                     | Pump B             |                    |          |          |       |  |
|                 |   | Fire protection system<br>(motor-operated pump)<br>Fire protection system                | FP   | 1   |                  |                              |                    |                    |          |          | Pump  | 0  |
|                 | · · ·                                   | (diesel-operated pump)   |  | 1<br>Feed   |                  |                              |                    |                    |          |          |       | Operates on DC power   |
| Cooling<br>down | INOrmai                                 | ormal<br>olant<br>ection<br>Feed water and<br>condensate system<br>C<br>FDW<br>P         | FDW  | water<br>system<br>2                              |                  |                              |                    |                    | Pump A   | Pump B   |       |  |
|                 |   |  |  | Condens<br>ate<br>system<br>High<br>pressure<br>3 |                  |                              |                    |                    | Pump A   | Pump B,C |       |  |
|                 |   |  | Condens<br>ate<br>system<br>Low<br>pressure<br>3 |   |                  |                              |                    | Pump A             | Pump B,C |          |       |  |
|                 |   | Control rod drive system   | CRD  | 1 system  |                  | Pump A                       | Pump B             |                    |          |          |       |  |
|                 | Final heat<br>removal                   | Residual heat removal system   | RHR-<br>SHC                                      | 2 system  |                  | Subsytem<br>A                | В                  |                    |          |          |       |  |
| Confining       | PCV cooling                             | Residual heat removal system   |  | 2 system  |                  | Subsytem<br>A                | Subsytem<br>B      |                    |          |          |       |  |
|                 | PCV vent                                | S/C vent valve   |  | -   |                  | PCV vent valve<br>[MO valve] |                    |                    |          |          |       | Operates on C, vital power and<br>pressurized air (S/C vent valve<br>[AO valve])<br>Operates on C, vital power and |
|                 | (AM)                                    | D/W vent valve   |  | -   |                  | PCV vent valve<br>[MO valve] |                    |                    |          |          |       | pressurized air (D/W vent valve<br>[AO valve])   |

Details of Fukushima Daini Unit 2 facilities (facilities used for "shutting down", "cooling down", "confining inside")

|          |   |   |              |  | Facility  |                              |                  |           |        |          |       |  |
|----------|---|---|--------------|--|-----------|------------------------------|------------------|-----------|--------|----------|-------|--|
| Ohi      | jective                                 | Facility name   |              | No. of systems                                   | not       | Off-site power               |                  |           |        |          |       | Remarks<br>(C,D,H-BUS can receive  |
| Cojocaro |   | T achity hame   |              | (amount)   | requiring | [D/G (A)]                    | [D/G (B)]        | [D/G (H)] |        |          |       | power from D/G)  |
|          |   |   |              | ,  | AC power  | C-BUS                        | D-BUS            | H-BUS     | A-BUS  | B-BUS    | S-BUS |  |
| Shutting | Scram                                   | Control rod drive<br>hydraulic pressure   | HCU          | 185 units  |           |                              |                  |           |        |          |       | Automatic scram upon<br>station black out  |
| down     | Subcriticality                          | Standby liquid control<br>system  | SLC          | 2 system   |           | Subsytem<br>A                | Subsytem<br>B    |           |        |          |       |  |
|          | High<br>pressure                        | Reactor core isolation cooling system   | RCIC         | 1 system   |           |                              |                  |           |        |          |       | Operates on DC power   |
|          | water<br>injection                      | High pressure core spray system   | HPCS         | 1 system   |           |                              |                  |           |        |          |       |  |
|          | Pressure reduction                      | Safety relief valve<br>(ADS function / relief valve function /<br>safety valve function)            | SRV          | 18   |           |                              |                  |           |        |          |       | Operates on DC power   |
|          | Low pressure<br>water                   | system  | LPCS         | 1 system   |           |                              |                  |           |        |          |       |  |
|          | injection                               | Residual heat removal system  | RHR-<br>LPCI | 3 system   |           | Subsystem<br>A               | Subsystem<br>B,C |           |        |          |       |  |
|          | Alternate<br>water<br>injection<br>(AM) | Make-up water<br>condensate system  | MUWC         | 1 system   |           | Pump A                       | Pump B           |           |        |          |       |  |
|          |   | Fire protection system<br>(motor-operated pump)<br>Fire protection system<br>(diesel-operated pump) | FP           | 1  |           |                              |                  |           |        |          | Pump  | Operates on DC power   |
|          | Normal<br>coolant<br>injection          |   |              | Feed<br>water<br>system<br>2<br>Condens<br>ate   |           |                              |                  |           | Pump A | Pump B   |       |  |
|          |   | Feed water and condensate system  | FDW p        | system<br>High<br>pressure<br>3                  |           |                              |                  |           | Pump A | Pump B,C |       |  |
|          |   |   |              | Condens<br>ate<br>system<br>Low<br>pressure<br>3 |           |                              |                  |           | Pump A | Pump B,C |       |  |
|          |   | Control rod drive system  | CRD          | 1 system   |           | Pump A                       | Pump B           |           |        |          |       |  |
|          | Final heat<br>removal                   | Residual heat removal system  | RHR-<br>SHC  | 2 system   |           | Subsytem<br>A                | Subsytem<br>B    |           |        |          |       |  |
|          | PCV cooling                             | Residual heat removal system  |              | 2 system   |           | Subsytem<br>A                | Subsytem<br>B    |           |        |          |       |  |
|          | PCV vent                                | S/C vent valve  |              | -  |           | PCV vent valve<br>[MO valve] |                  |           |        |          |       | Operates on C, vital power and<br>pressurized air (S/C vent valve<br>[AO valve]) |
|          | (AM)                                    | D/W vent valve  |              | -  |           | PCV vent valve<br>[MO valve] |                  |           |        |          |       | Operates on C, vital power and<br>pressurized air (D/W vent valve<br>[AO valve]) |

### Continuous Risk Reduction (Continuous Improvements) - Examples of facility modification -

Performance of replacement/repair of facility/equipment at Fukushima Daiichi Unit 1 (R/B facility)



#### Flooding Study Group and Response Status

#### January to July 2006: Discussion at the Flooding Study Group

NISA and the Japan Nuclear Energy Safety Organization (JNES) established the Flooding Study Group. The Federation of Electric Power Companies (FEPC) and operators of electric utilities participated as observers. This study group studied issues such as the design vulnerabilities of US nuclear power plants to internal flooding and studies on seawater pump flooding at Indian nuclear power plants due to the Sumatra tsunami. The achievements of this study group were published as "Flooding Study Task" in the FY2007 JNES Annual Report.

#### October 2006: Request from NISA

Based on the review done by the study group, NISA requested as follows during a meeting about a plan for seismic back checks: "The JSCE methodology, which is conservative, is acceptable for tsunami assessment (safety is ensured by it). However, if there is a tsunami that exceeds the JSCE assessment, emergency seawater pumps at lower elevations would lose capability and lead to core damage. Therefore, we want plants with low margin for entry of tsunamis (high waves and receding waves) to consider specific countermeasures and take action (\*Flooding of buildings was not mentioned)." FEPC verbally received this request from NISA and was asked to communicate this to the upper management of each operator.

#### Status of TEPCO efforts at that time

TEPCO shared information about NISA's request as far as the CNO. At Fukushima Daiichi NPS, the maximum tsunami height from the JSCE assessment was 5.7m (finally 6.1m), and the emergency seawater pump installation height was 4m. Therefore, actions were already taken to increase the elevation of the motors, thus safety had been ensured. In terms of maintaining functionality of emergency seawater pumps, TEPCO had verified performance of bearings as a forward action as part of making emergency seawater pump motors water tight. However, considering NISA's requests, further voluntary actions were pursued such as investigating the applicability

of water-tightness on actual equipment for emergency seawater pump motors. In addition, even if the emergency seawater pumps were to be flooded by a tsunami and lose function, Fukushima Daiichi NPS had an air-cooled EDG. Therefore, it was understood that, as long as a tsunami does not reach the building ground level, it would not result in SBO.

#### April 2007: Report to NISA

FEPC reported the following as study results for NISA's request of October 2006.

- Assessment results using the JSCE methodology will be reported for seismic back checks.
- Investigate further improvement of plant safety against tsunamis (making motors watertight).

There were no new additional instructions from NISA.

In the Flooding Study Group at that time, they selected several nuclear plants across Japan and hypothetically assumed flooding of the building site for consideration.

#### Consideration status at Flooding Study Group

- It hypothesized that flooding would be up to ground level +1m and would continue indefinitely.
- Results showed that when the building site is flooded, water flows into the openings on the buildings and power facilities, etc. are submerged and lose function.
- However, this result is not a finding that was realized based on NISA's comments. It was understood that, assuming that there is water ingress into areas that have not been designed for exposure to water, it would inevitably lead to loss of function. This study did not take into account the realistic possibility or probability of such tsunamis, but was a mere exercise to confirm the impact. The results of this study group have been compiled in "External Flooding Study Group Study Results (August 2, 2006)."

#### Results of Flooding Study Group and presumed tsunami height

 With respect to the assessment of tsunami height, NISA's go-ahead was obtained on using the JSCE methodology and reflecting that in the seismic back checks, determining the conservativeness of such methodology. Accordingly, TEPCO conducted conservative assessments based on the JSCE methodology and understood that the power station's safety was ensured at that time. In addition, responding to an emerging need for a new wave source model due to the HERP opinion and Jogan Tsunami paper, parallel actions were being pursued for considerations to make emergency seawater pump motors watertight and for requesting JSCE to review their assessment methodology.

 Meanwhile, it is understood that there was a request from NISA to further improve safety because, when looking at nuclear power plants across Japan, there are plants that have a smaller apparent margin against assessed tsunami heights for their emergency seawater pumps. Therefore, the intention of the NISA request was not for countermeasures that would have prevented the tsunami impacts suffered at this time such as flooding prevention of buildings.

End

Restricted No copying

August 2, 2006

#### External Flooding Study Group Study Results (1/2)

#### 1. Introduction

It is understood that safety of nuclear power plants against tsunamis is sufficiently ensured because, in terms of tsunami assessment and design of nuclear power plants, not only past maximum tsunami, but also a larger tsunami, the possibility of the occurrence of which cannot be rejected, is postulated in accordance with the Tsunami Assessment Methodology for Nuclear Power Plants in Japan (2002 Japan Society of Civil Engineers). At this time, a purely hypothetical study of the plants bearing capacity to unexpected tsunamis was conducted based on tsunami water levels that far exceed these postulations.

#### 2. Selection of representative plants

Representative nuclear power plants located along the coast of different ocean regions were selected for the study.

Representative plants: Tomari Units 1 & 2, Onagawa Unit 2, Fukushima Daiichi Unit 5, Hamaoka Unit 4, Ohi Units 3 & 4

#### 3. Conditions of the study

Though very conservative, the above indicated representative plants were studied in the range of ground level +1m. As an example, a simplified drawing of the ground level at Fukushima and Tomari are shown in Fig. 1 and 2. Note that flooding assessment into buildings requires that tsunami continuation time be accounted for, but this was not taken into account for this study because it is a simplified assessment (hypothesized that continuation time:  $\infty$ ).

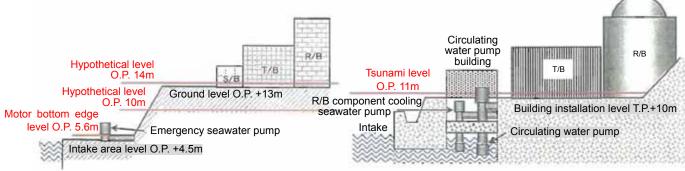


Fig. 1 Ground level overview (Fukushima Daiichi Unit 5)

#### 4. Results of the study

4.1 Overview of study on flooding impact on outdoor facilities (Fukushima Daiichi, Tomari)

Table 1 indicates the possibility of flooding of major facilities due to the hypothesized tsunami water level. When assuming it is ground level +1m, results showed that the possibility of flooding cannot be rejected for all plants (Fig. 3, 4 indicate openings that are particularly vulnerable to tsunamis). For Fukushima Daiichi Unit 5 and Tomari Unit 1, 2, field investigations were conducted to verify the validity of the above study results.

Fig. 2 Ground level overview (Tomari Unit 1, 2)

| Plant               | Hypothetical tsunami level | Emergency seawater pump | Circulating water pump building (R/B component cooling seawater pump) | R/B | T/B | S/B |
|---------------------|----------------------------|-------------------------|---|-----|-----|-----|
| Fukushima           | O.P. 10m                   | ×                       |   | 0   | 0   | 0   |
| Daiichi Unit 5      | O.P. 14m                   | ×                       |   | ×   | ×   | ×   |
| Tomari Unit<br>1, 2 | T.P. 11m                   |                         | ×   | ×   | ×   |     |

Table 1 Possibility of flooding of major facilities or impact on equipment due to beyond-design tsunamis

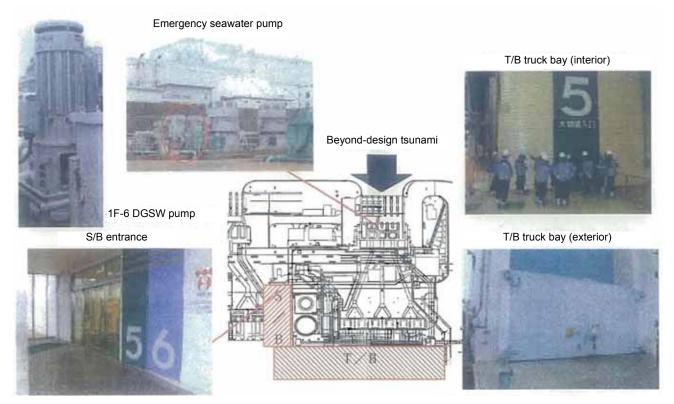


Fig. 3 Outdoor facilities that may be flooded due to beyond-design tsunamis (Fukushima Daiichi Unit 5)

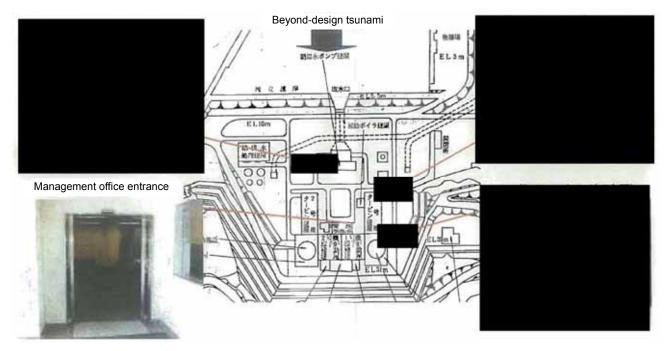


Fig. 4 Outdoor facilities (Tomari Unit 1) that may be flooded due to beyond-design tsunamis

August 2, 2006

External Flooding Study Group Study Results (2/2)

4.2 Impact on equipment due to flooding of buildings

The impact on equipment inside of buildings when buildings are flooded through openings as shown in Fig. 3 and 4 was studied.

①1F-5 (Fukushima Daiichi Unit 5)

[Tsunami water level O.P. 10m] Since it is understood that there is no flooding into the building, there is no impact on equipment inside the building.

[Tsunami water level O.P. 14m] When it is postulated that water flows into the T/B truck bay or S/B entrance, it is verified that all T/B areas will be flooded and there is possible loss of function of power supply facilities (Fig. 5).

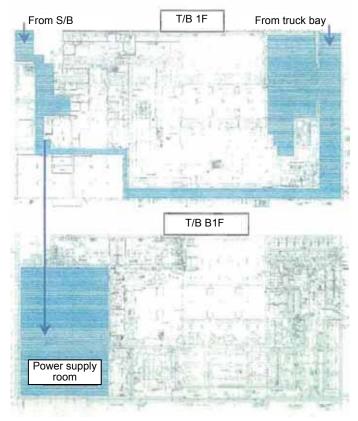


Fig. 5 Tsunami water ingress pathway (1F-5)

#### 2)Tomari Unit 1, 2

Of the flooding pathways, the flooding range for the pathways from the reactor auxiliary building to the reactor building via the opened management office entrance and protective doors opened during outage was studied. Results identified that flooded areas included the controlled areas of the reactor auxiliary building and reactor building at or below EL11m.

#### 4.3 Results of study on plant impact

The results of studies described in 4.1 and 4.2 are described in Table 2 for representative plants.

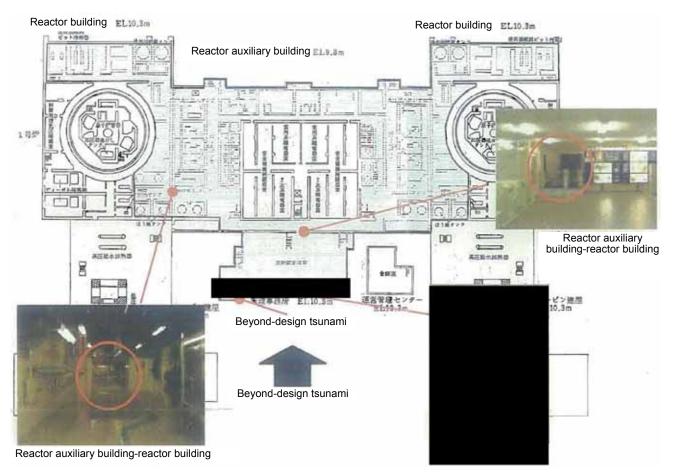


Fig. 6 Tsunami water ingress pathway (Tomari Unit 1, 2)

| Table 2 Impact of beyond-design (sunamis of outdoor facilities (ground level + Im) |  |  |  |   |   |  |  |  |
|--|--|--|--|---|---|--|--|--|
| Plant  | Hypothetical<br>tsunami<br>level         | Major facilities impacted by tsunami   | Ground<br>level<br>(installation<br>level) | Major<br>facilities<br>losing<br>function | Comments  |  |  |  |
| Tomari<br>Unit 1, 2  | T.P. +11m                                | R/B component<br>cooling seawater<br>pump, power<br>facilities*                                | T.P. +10,                                  | ECCS, DG                                  | JSCE assessment: T.P.<br>+8.3m<br>License assessment: T.P.<br>+4.1m   |  |  |  |
| Onagawa<br>Unit 2  | O.P. +15.8m                              | Emergency seawater<br>pump, power<br>facilities*   | O.P. +14.8m                                | ECCS, DG,<br>RCIC*                        | JSCE assessment: O.P.<br>+13.6m<br>License assessment: O.P.<br>+9.1m  |  |  |  |
| Fukushima  | -ukushima O.P. +10m DGSW pum<br>RHRS pum |  | O.P. +5.6m<br>O.P. +6.16m                  | ECCS, DG                                  | JSCE assessment: O.P.<br>+5.6m  |  |  |  |
| Daiichi<br>Unit 5  | O.P. +14m                                | DGSW pump<br>RHRS pump<br>Power facilities*  | O.P. +13m                                  | ECCS, DG,<br>RCIC*                        | License assessment: O.P.<br>+3.122m   |  |  |  |
| Hamaoka<br>Unit 4  | T.P. +7m                                 | D/G fuel transfer<br>pump, reactor<br>equipment cooling<br>seawater pump,<br>power facilities* | T.P. +6m                                   | ECCS, DG,<br>RCIC*                        | JSCE assessment: T.P.<br>+6.8m<br>License assessment: T.P.<br>+6.0m<br>Front sand dune height:<br>T.P. +10-15m  |  |  |  |
| Ohi<br>Unit 3, 4   | T.P. +10.7m                              | R/B component<br>cooling seawater<br>pump  | T.P. +9.7m                                 | ECCS, DG                                  | JSCE assessment: T.P.<br>+1.86m<br>License assessment: No<br>tsunami level indicated.<br>However, since site<br>elevation is T.P. +9.3m,<br>there is no tsunami impact. |  |  |  |

Table 2 Impact of beyond-design tsunamis on outdoor facilities (ground level +1m)

\*When tsunami duration is not considered (infinite duration).

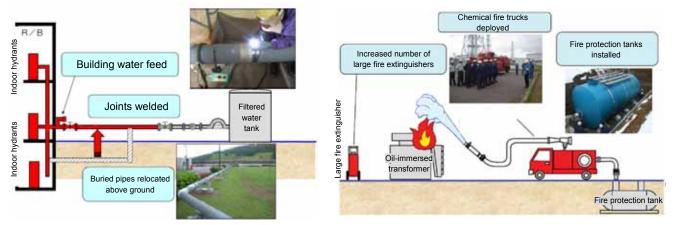
Examples of How the Lessons Learned from the Niigata-Chuetsu-Oki Earthquake are being applied to the Fukushima Daiichi and Fukushima Daini Nuclear Power Stations

Efforts to improve the seismic resistance of the Fukushima Daiichi and Fukushima Daini Nuclear Power Stations have been underway since 2006. These continuing efforts address many issues related to earthquakes, such as the lessons learned from the on-site transformer fire, and the response to such fire, that occurred in the wake of the Niigata-Chuetsu-Oki Earthquake of July 2007.

Based on the evaluation of equipment and structural damage caused by the earthquake at the Kashiwazaki-Kariwa Nuclear Power Station, those issues that should be addressed first in order to improve the seismic resistance of the Fukushima Daiichi and Fukushima Daini Nuclear Power Station facilities have been examined and countermeasures are being voluntarily implemented. Actual examples of these countermeasures are as follows.

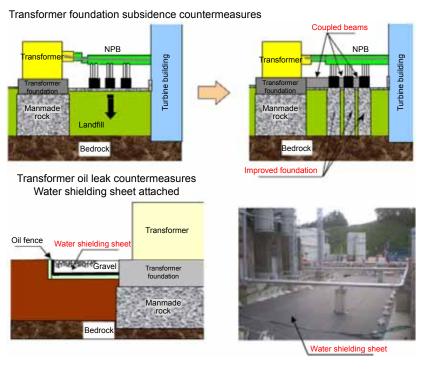
1. Improving the reliability of firefighting facilities

- The Niigata-Chuetsu-Oki Earthquake caused firefighting system pipes buried outside underground to rupture thereby inhibiting initial firefighting activities.
- → Firefighting facilities have been diversified, made redundant, and made seismicresistant
- Firefighting system pipes buried outside underground have been relocated above ground, building water feed inlets have been installed, and joints have been welded.
- Chemical fire engines have been deployed on-site, fire protection tanks have been erected, and the number of large fire extinguishers has been increased.



- 2. Reinforcing the foundation around transformers
- The Niigata-Chuetsu-Oki Earthquake caused the foundation around transformers and surrounding equipment to subside. The difference between the foundation structures of each piece of equipment caused uneven subsidence.
- In conjunction with this subsidence the secondary bushing terminal of the transformer came in contact with the non-segregated phase bus (NPB) duct. This caused an arc discharge that ignited insulation oil leaking from the transformer thereby resulting in a fire.
- Furthermore, insulation oil leak into the ground because the transformer oil fence was damaged by the earthquake.

 $\rightarrow$  As a countermeasure for subsidence the uneven ground beneath the NPB foundation was improved and the foundation was unified with that of the transformer. A rubber insulation sheet was also installed within the duct as a measure to enhance NPB duct insulation. And, an incombustible water shielding sheet (polychlorinated vinyl sheet) that conforms to displacement has been attached to the inside of the oil fence in order to prevent insulation oil from leaking into the soil in the event that the oil fence is damaged.



#### 3. New installation of seismic isolated building

- The Niigata-Chuetsu-Oki Earthquake hindered first response, such as emergency notification, by preventing entry into the Emergency Response Center (ERC) due to damaged doors.
  - → Equipment function necessary for first response has been secured even in the event of a magnitude 7 earthquake.
  - Adopting a seismic isolated structure reduces earthquake vibration
  - $\bigcirc$  Power supply has been enhanced by installing an emergency generator
  - The center has been equipped with important equipment, such as communications equipment and computers



Outer view of seismic isolated building

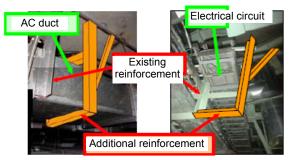


**Emergency Response Center** 

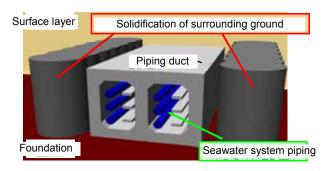
4. Reinforcing emergency air conditioning facility and electrical circuits

In order to improve seismic-resistance emergency, air conditioning facility and electrical circuits (cable trays, wire conduits) have been reinforced.

- Additional reinforcement of emergency air conditioning facility
- Additional reinforcement of electrical circuits



5. Reinforcement of emergency seawater system piping ducts The earth surrounding piping ducts has been solidified in an effort to improve seismic-resistance.



#### 6. Other countermeasures

Anchoring machinery



New reinforcements installed

Preventing drums from falling



Drums secured with belts

Installing handrails



Installation of a handrail at the control panels in the Main Control Room

#### **Background on Accident Management Development**

#### [Policy Statement concerning Accident Management (AM)]

May 1992 The Nuclear Safety Commission (NSC) strongly recommended that operators develop AM measures. The NSC decided that reports from administrative agencies on actual policies and measures are to be given to the NSC as necessary.

Basic approach to AM development (NSC Decision documents and others)

- Safety of reactor facilities in Japan is ensured by current safety regulations by implementing strict safety measures based on the defense-in-depth concept.
- As a result, the possibility of severe accidents is sufficiently low to the extent that such accidents would not be deemed as realistic from an engineering viewpoint, and thus, the risk of reactor facilities is considered to be sufficiently low.
- Implementation of accident management should be recommended or expected as long as implementation is possible without drastic modification of equipment of reactor facilities and it reduces risk effectively.
- July 1992 The Ministry of International Trade and Industry (MITI), currently METI, strongly requested that operators develop AM measures, and decided that it would request the operators reports in which AM details, etc. were compiled and evaluate such for adequacy.

#### [Confirming the Adequacy of Accident Management (AM) Plans]

March 1994 TEPCO reported to the Ministry of International Trade and Industry (MITI), currently METI, on the deliberation results of AM developments for each TEPCO nuclear power station unit.

The following were picked up as the functions that should be examined in order to further improve safety:

 Alternative cooling water injection measures (configuration that allows cooling water injection using the make-up water condensate system (MUWC) and fire pumps

• Means of removing heat from the PCV (PCV hardened vents)

• Power supply means (power source cross-ties with neighboring plants)

October 1994 The Ministry of International Trade and Industry (MITI), currently METI, determined that the details above reported on by the operator were adequate and so reported to the NSC. The Ministry also urged the operator to develop AM measures within the following six years and required the operator to give appropriate notification in regard to those developments even if they did not require government approvals and licenses.

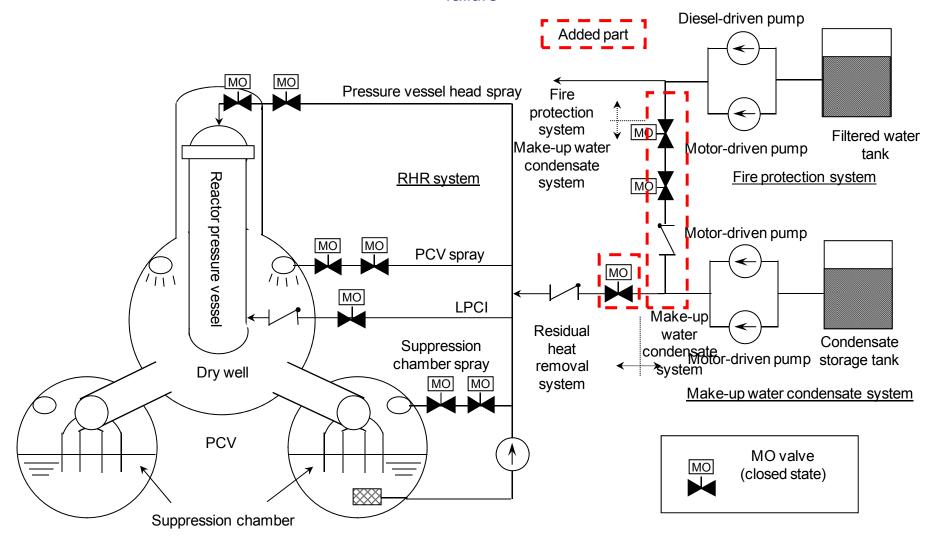
December 1995 The NSC determined that the report from the Ministry of International Trade and Industry (MITI), currently METI, (i.e., the report that suggests that the AM plans by the operator were adequate) to be adequate.

#### [Report on the Results of Accident Management Development]

Thereafter, operators (including TEPCO) developed AM measures, such as renovating their facilities, and reported on the status of developments and submitted an efficacy evaluation to the Nuclear and Industrial Safety Agency (NISA) (in May 2002). NISA deemed the operator reports to be adequate and so reported to the NSC.

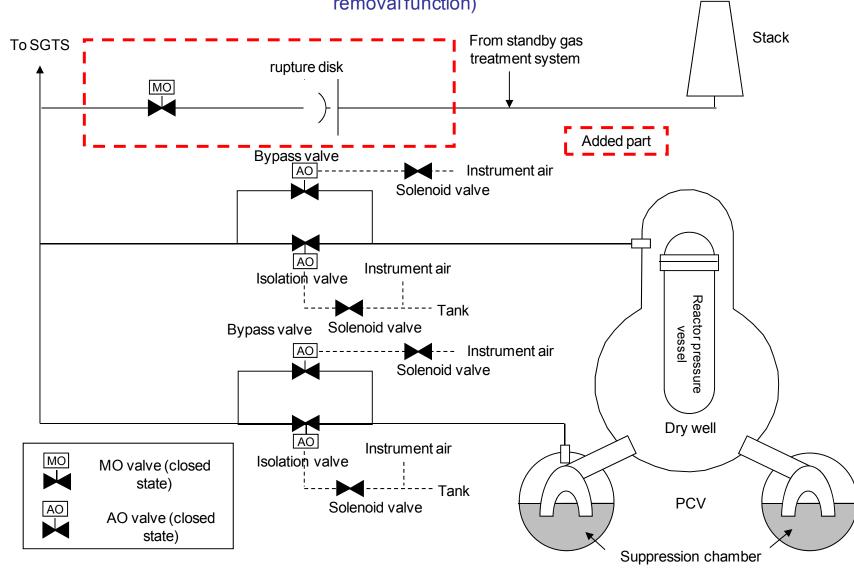
# Prepared AM details - Reinforcing "cooling down" function -

Implemented line modification to enable reactor cooling water injection from make-up water condensate system (MUWC) and fire protection system (FP) in the event of all emergency core cooling system (ECCS) failure



Attachment 4-7 (1/3)

### - Reinforcing "confining inside" function -



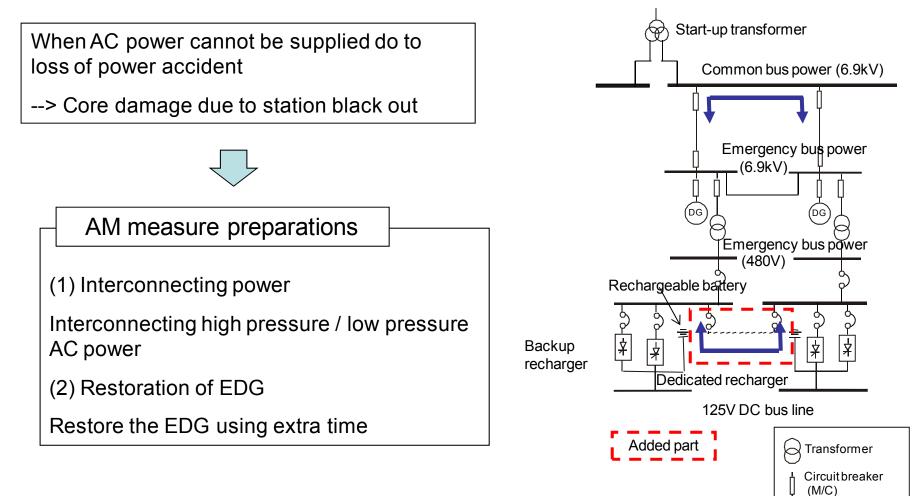
Reinforced PCV venting (PCV hardened vent) in the event that all RHR systems fail (Loss of PCV heat removal function)

Attachment 4-7 (2/3)

2

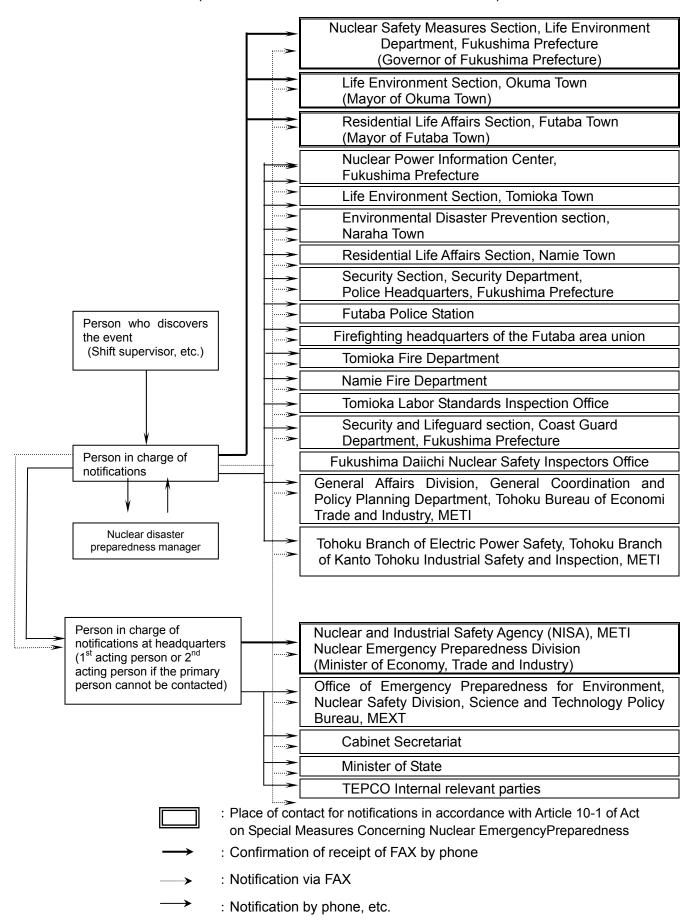
### - Reinforcing power supply function -

Secure power supply from neighboring units in the event that all EDG fail



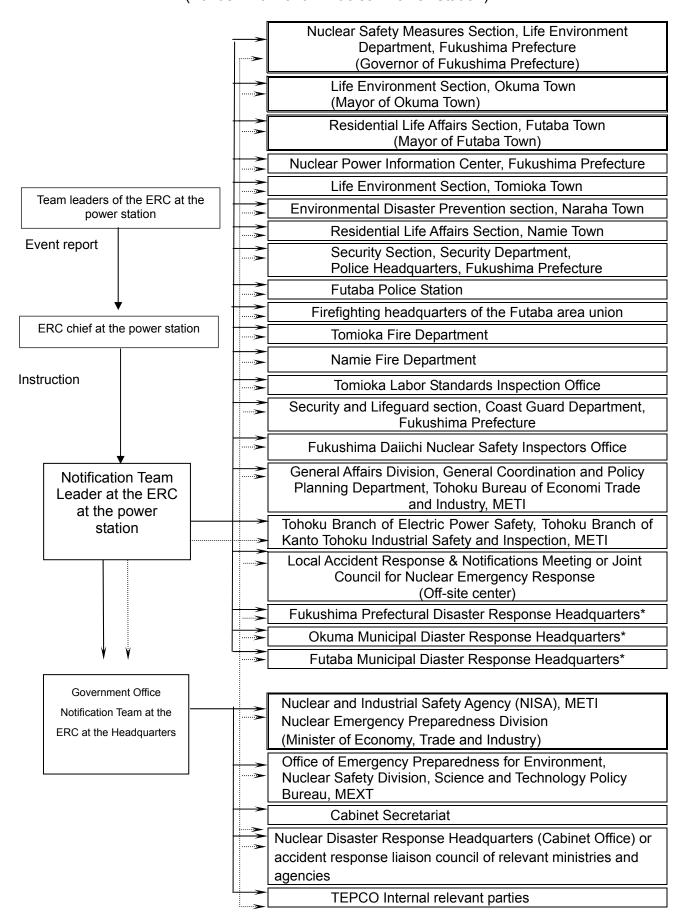
Circuitbreaker (MCC)

#### Where to make notifications in accordance with Article 10 of Act on Special Measures Concerning Nuclear Emergency Preparedness



(Fukushima Daiichi Nuclear Power Station)

#### Where to notify after making notifications in accordance with Article 10 of Act on Special Measures Concerning Nuclear Emergency Preparedness (Fukushima Daiichi Nuclear Power Station)



: Place of contact for notifications of the summary of immediate actions taken in accordance with Article 25-2 of Act on Special Measures Concerning Nuclear Emergency Preparedness

- ······ · Notification via FAX
  - $\rightarrow$  : Notification by phone, etc.
  - ※ : Only for cases when an emergency disaster countermeasures headquarters has been established.

## Organization structure and duties of the nuclear disaster prevention organization at the power station

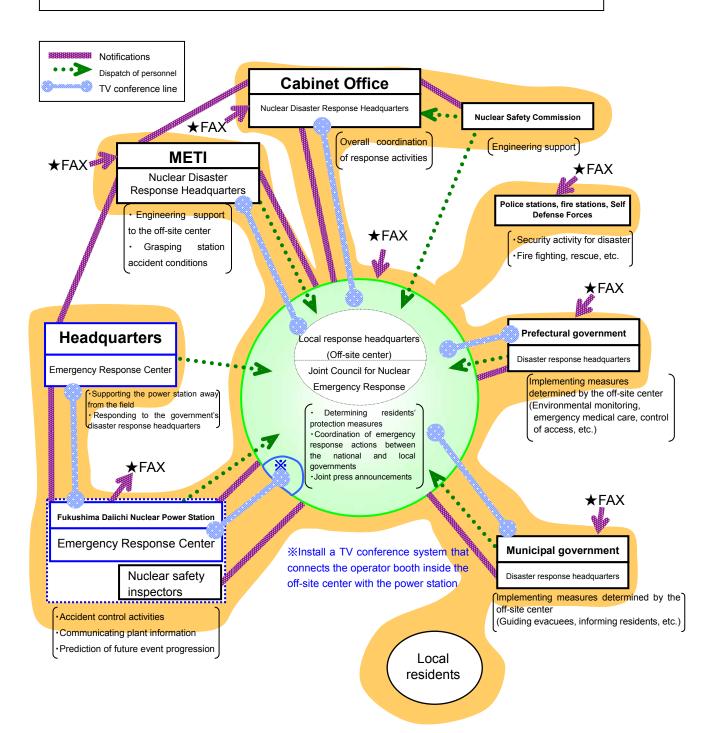
|   | Γ                  |                              | 1. Receiving information from and delivering   |
|---|--------------------|------------------------------|--|
|   |                    | Information<br>Team          | information to the ERC at the Headquarters<br>2. Collecting information of each team   |
|   |                    | Notification<br>Team         | 1. Reporting to external relevant<br>organizations   |
|   |                    | PR Team                      | 1. Response to media   |
|   |                    | Engineerin<br>g Team         | <ol> <li>Grasping and evaluating accident<br/>conditions</li> <li>Estimate of the area of accident impact</li> <li>Examining measures to prevent the<br/>spread of accident impact</li> </ol>                                |
|   |                    | Health<br>Physics<br>Team    | <ol> <li>Grasping the radiation / radioactivity<br/>status inside and outside the power station</li> <li>Exposure management / contamination<br/>management</li> <li>Estimate of the area of radioactivity impact</li> </ol> |
| Chief who oversees and<br>manages the ERC:<br>Nuclear disaster<br>preparedness manager<br>(Site superintendent) |                    | Restoration<br>Team          | <ol> <li>Drawing up and implementing the<br/>emergency recovery plan</li> <li>Drawing up the accident restoration plan</li> <li>Firefighting activities</li> </ol>   |
|   |                    | Plant<br>Operation<br>Team   | <ol> <li>Grasping accident status</li> <li>Implementing operational measures<br/>necessary for mitigating the impact of the<br/>accident</li> <li>Maintaining the safety of power station<br/>facilities</li> </ol>          |
|   | $\left  - \right $ | Procureme<br>nt Team         | <ol> <li>Procurement and transportation of<br/>supplies and equipments</li> <li>Secureing transportation</li> </ol>  |
|   | $\left  - \right $ | Welfare<br>Team              | <ol> <li>Procurement of food supplies and clothing</li> <li>Arrangement of accommodation</li> </ol>  |
|   | $\left  \right $   | Medical<br>Team              | 1. Medical activities  |
|   |                    | General<br>Affairs<br>Team   | <ol> <li>Dissemination to site workers</li> <li>Establishment and administration of the<br/>ERC</li> <li>Calling on and transporting personnel</li> <li>Matters that do not fall under other teams</li> </ol>                |
|   |                    | Security<br>Guidance<br>Team | <ol> <li>Guarding the site</li> <li>Directing evacuation of people on-site</li> <li>Administration of the physical protection<br/>facility</li> </ol>  |

## Organization structure and duties of the nuclear disaster prevention organization at the headquarters

|  | Information<br>Team                          | <ol> <li>Delivery of headquarters orders</li> <li>Receiving information from and delivering<br/>information to the ERC at the power station</li> </ol>   |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|
|  | Government<br>Office<br>Notification<br>Team | 1. Reporting and notifications to central government offices   |  |  |  |  |  |  |
| Chief who<br>oversees and<br>manages the ERC:<br>President | PR Team                                      | <ol> <li>Response to media</li> <li>Response to customers</li> </ol>   |  |  |  |  |  |  |
|  | Power Supply<br>Team                         | <ol> <li>Operation of the power grid</li> <li>Adjustment of supply/demand</li> </ol>   |  |  |  |  |  |  |
|  | Health<br>Physics Team                       | <ol> <li>Grasping the damage of the power station</li> <li>Administering of radiation control</li> </ol>   |  |  |  |  |  |  |
|  | <br>Engineering /<br>Restoration<br>Team     | <ol> <li>Grasping accident condition</li> <li>Evaluation of the area of accident impact</li> <li>Administering of immediate restoration</li> <li>Evaluation of the mitigation measures for<br/>the accident</li> <li>Dispatch of expert engineers to the site</li> </ol> |  |  |  |  |  |  |
|  | Procurement<br>Team                          | <ol> <li>Procurement of restoration materials</li> <li>Emergency transportation of restoration<br/>materials, doctors, etc.</li> </ol>   |  |  |  |  |  |  |
|  | Welfare Team                                 | <ol> <li>Procurement of food supplies / clothing</li> <li>Arrangement of accommodation</li> <li>Arrangement of medical specialists,<br/>specialized hospitals</li> <li>Administration of medical activities</li> </ol>   |  |  |  |  |  |  |
|  | - General<br>Affairs Team                    | <ol> <li>Establishment and administration of the<br/>ERC</li> <li>Emergency call-up of personnel</li> <li>Security activity of the headquarters<br/>building</li> <li>Request of support to other nuclear<br/>operators</li> </ol>                                       |  |  |  |  |  |  |

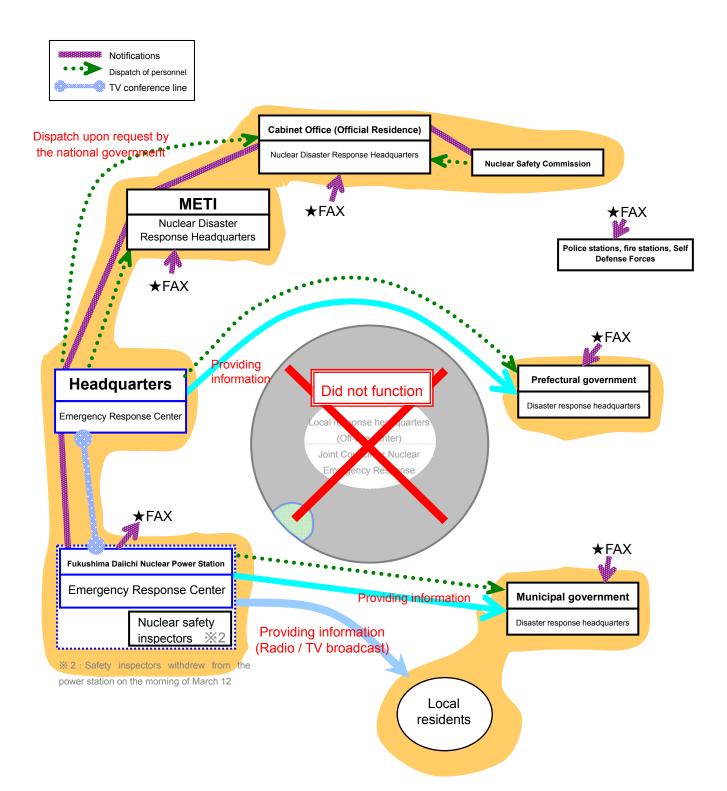
## Transition of emergency response preparation <Original response structure>

Most of the authority of the Nuclear Disaster Response Headquarters shall be delegated to the local response headquarters, and **the off-site center focuses on responding.** 



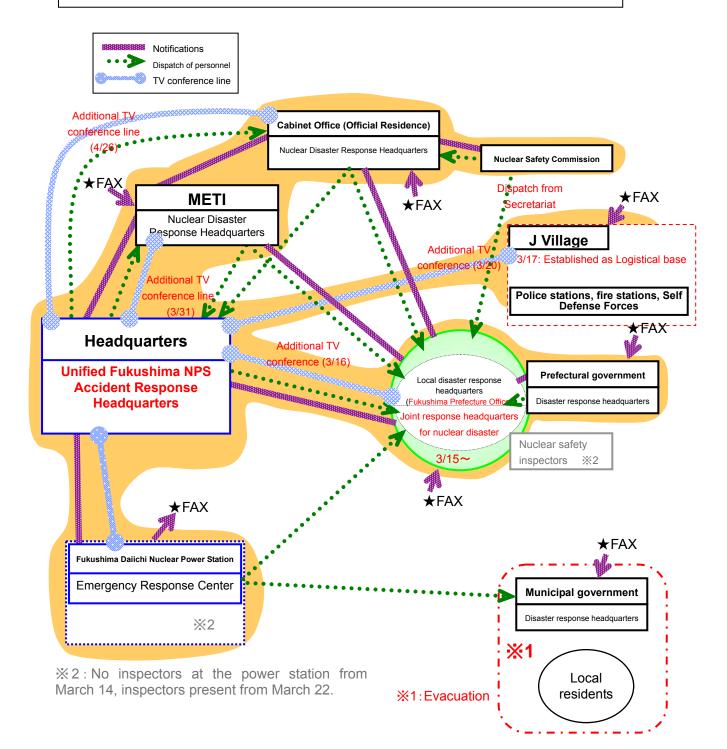
Transition of emergency response preparation <From 19:03 on March11 to early on March 12>

The Nuclear Disaster Response Headquarters was established at the Official Residence, but the off-site center could not carry out its actions due to power outage, etc.



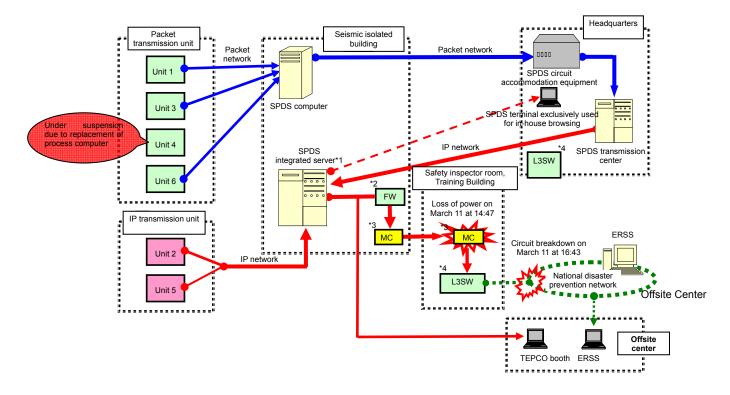
#### Transition of emergency response preparation <After 5:35 on March 15>

The national government announced the establishment of the Unified Fukushima NPS Accident Response Headquarters (currently National Government and TEPCO General Response Office). The Unified Headquarters was dissolved on December 16.



Safety Parameter Display System

- 1. Safety Parameter Display System (SPDS) Overview
  - TEPCO's SPDS, which enables a fast response to accidents by enabling plant data to be shared in places other than the main control room (MCR) in the event of an accident at a TEPCO nuclear power station (NPS) have been established.
  - Currently the system is configured to transmit plant data to not only seismic isolated buildings (power station emergency response centers (ERC), etc.), but also to TEPCO headquarters and the central government.
  - In April 2009, the Nuclear Industrial Safety Agency (NISA) sent out a written order to nuclear operators demanding that nuclear power station plant operation data be regularly transmitted to the Emergency Response Support System (ERSS).
  - Conventionally, data from TEPCO's three NPSs SPDS would be transmitted to ERSS after first being compiled at headquarters; however this meant that all data from the three NPSs would be unable to be transmitted if there was a facility malfunction at headquarters. In order to mitigate this risk it was decided that the system would be changed so that data is sent directly from each power station.
  - At the Fukushima Daiichi NPS, transmission routes were altered in November 2010 to allow direct transmission from the power station, but only data from Unit 5 and Unit 2 could be directly sent leaving data from Unit 1, 3, 4, and 6 to be sent to headquarters via conventional facilities after which it was then sent back to the power station's server and then to the ERSS. A diagram is shown below.



\*1 Backup power supplied by batteries and gas turbine generators in the seismic isolated building \*2 FW: Firewall

- \*3 MC (media converter): Device for mutually connecting differing conducting mediums and standards, such as fiber optic cable and copper wires, and converting signals.
- \*4 L3SW (layer 3 switch): Controls channels via IP addresses and forwards packets to the output port of the intended IP address
- 2. Fukushima Daiichi SPDS equipment
  - SPDS facilities housed in the seismic isolated building includes the SPDS integrated server, SPDS computer system, firewall (FW) and media converter (MC).
  - Meanwhile, the central government's disaster prevention network to which ERSS is connected is located in the Safety Inspector Room (1st Safety Inspector Room) in the training building within the power station site and has a layer 3 switch (L3SW) and a device for transmitting plant data received from TEPCO to the ERSS.
  - Seismic isolated building SPDS facility uses the same AC power source as the seismic isolated building but also has CVCF battery power sources for use during power outages as a backup. The system is configured to supply the minimum amount of power necessary until

power can be supplied by the seismic isolated building facility dedicated gas turbine generator.

- In addition to receiving electricity generated by Unit 1, the 1st Safety Inspector Room in which ERSS facility is located can also receive power from the Okuma Unit 1 line (off-site power) or TEPCO genshiryoku line (backup power source) as backup power in the event that Unit 1 stops. Furthermore, ERSS are also equipped with uninterruptible power sources (UPS) owned by the government as backup power sources.
- 3. Background behind Fukushima Daiichi SPDS Transmission Failure
  - (1) Time of ERSS transmission failure
    - When the integrated SPDS server in the power station's seismic isolated building was examined it was found that plant data for all units was last transmitted to the ERSS at 14:47 on March 11.
    - Meanwhile, information from the Japan Nuclear Energy Safety Organization (JNES), which has been consigned by the government to maintain and manage the ERSS, indicates that plant data for each of the Fukushima Daiichi units was last transmitted to the ERSS at the following times.

Unit 1: March 11, 14:46\* (data was being transmitted at 10 min. intervals at the time)
Unit 2: March 11, 14:47 (data was being transmitted at 1 min. intervals at the time)
Unit 3: March 11, 14:47 (data was being transmitted at 1 min. intervals at the time)
Unit 4: data was not being transmitted because the processing computer system was being replaced

Unit 5: March 11, 14:47 (data was being transmitted at 1 min. intervals at the time) Unit 6: March 11, 14:40\* (data was being transmitted at 10 min. intervals at the time)

\*The times differ for Unit 1 and Unit 6 because plant data from these units was being transmitted at 10 min. intervals and the time from the last transmission is recorded and saved until it is updated.

Furthermore, according to JNES information, an abnormality in the connection status with the seismic isolated building FW was detected at the L3SW located in the 1st Safety Inspector Room at 14:52 on March 11 (5 min. cycles) so it is assumed that the last transmission occurred at 14:47 and that the abnormality was detected 5 minutes later at 14:52. Also, there is information that indicates that a malfunction in the line from the L3SW to the central government's disaster prevention network, in other words the government's system, was detected at 16:43 on March 11 and stopped functioning approximately one hour after the tsunami arrived.

- (2) Data transmission from the processing computer systems of Unit 2 and Unit 5 (IP line)
  - A check of the log of the integrated server located in the seismic isolated building revealed that plant data was being sent from the processing computer systems of Unit 2 and Unit 5, for which an IP line had been installed, to the SPDS integrated server until the following times.

Unit 2: March 11, 15:52

Unit 5: March 12, 16:52

Furthermore, whereas it cannot be confirmed that the processing computer systems themselves shut down at the above times a warning issued by the processing computer system in the event of a plant abnormality was recorded at around the time when transmission ended.

- (3) Data transmission from the processing computer systems of Units 1, 3, and 6 (packet transmission)
  - Unlike Unit 2 and Unit 5, Units 1, 3, and 6 had not yet been equipped with an IP line for direct transmission so data was first sent from the power station processing computer systems and SPDS computer systems to the SPDS transmission server located at headquarters via packet transmission after which it was transmitted to the government system ERSS upon being returned via IP line to the integrated server located in the seismic isolated building.
  - A check of the headquarter SPDS transmission server log revealed that an automatic line reset request was sent at 14:51 on March 11 in order to restore packet transmission for all units (Unit 1, 3 and 6). This happens when the line for transmitting plant data from the processing computer system to the SPDS transmission server at headquarters is momentarily interrupted and indicates that packet transmission may

have been interrupted once. It is assumed that thereafter line reset requests were issued repeatedly and the packet line became unstable.

- Therefore, when the status of the SPDS was examined in detail it was found that at 14:49 there was a transmission malfunction log that remained for Unit 1 and Unit 3. Unit 6 was transmitting plant data without any problems.
- (4) Background behind Fukushima Daiichi SPDS facility changes (supplement-1)
  - The actual transmission route change work that was mentioned previously took place in November 2010 at which time a line was installed from the seismic isolated building to the 1st Safety Inspector Room. Since the 1st Safety Inspector Room is quite a distance away from the seismic isolated building TEPCO decided to use fiber-optic cable which would lead into a fiber-optic connection box installed in the same office. In order to transmit plant data to the central government's ERSS an MC was newly installed in the 1st Safety Inspector Room in order to convert the data to be sent to the ERSS into an electric signal. This required that a fiber-optic cable be used to connect the fiber-optic connection box to the MC and a LAN cable be used to connect the MC to the central government's L3SW.
  - As mentioned earlier, whereas the 1st Safety Inspector Room in which the MC is located has a backup power source, JNES was approached about connecting a UPS to the MC in the event of a power loss and approval was obtained (this was a voluntary request made by TEPCO that was not dictated by power source configuration specifications).
  - The boundary between jurisdictions of TEPCO and government facility lies between the MC and the L3SW, so connection work up to the L3SW was implemented by TEPCO. Before work was done TEPCO department managers met with JNES in advance to discuss power connections and then a visit was made to the 1st Safety Inspector Room since confirmation from the field was indispensable.
  - During the advance meeting between JNES and TEPCO, TEPCO headquarter department managers received materials with photos of

the racks that house L3SW and UPS, which is government facility, but this information was not provided to managing departments at the power station until prior to confirmation in the field.

- During the preliminary check prior to installing work at the 1st Safety Inspector Room, the location of the rack housing the L3SW for the ERSS (power connection location) was told by the safety inspector so the MC was to be installed on a TEPCO rack that was in the vicinity. On a later date after the power was connected, connection on the internal network side was confirmed.
- Thereafter, when TEPCO workers were about to install the MC and the L3SW in the 1st Safety Inspector Room in order to transmit data it was found that the manufacturer that was consigned by JNES had pointed out that the L3SW be housed in a rack that differed from the location told by the safety inspector and that the UPS power connection location was also in a different location.
- A longer LAN cable was procured and the connection to the L3SW was made. But, connecting to UPS power now required a power cable that was approximately 6m longer than the cable procured for connection from the outlet that was originally going to be the point of UPS connection.
- To solve the problem the MC could have been installed within the rack that houses the ERSS, or nearby, but this would have required a another fiber optic cable that was reinforced for exposure since the MC would then have been far from the fiber optic cable connection box, and procuring this cable and coordinating with other parties would have taken much time, so it was decided that a long power cable would be used instead.
- The UPS could not be connected to the MC for use as a backup power source, however since not connecting the UPS does not hinder operation and connection to a UPS was not required according to power configuration specifications it was decided that transmission test to the ERSS should be prioritized and transmission tests were performed with the MC power source as it was.
- This was explained to the safety inspector and it was decided that connection to the UPS would be performed at a later date upon coordinating with work parties once again.

Furthermore, hardware stores in the vicinity of the power station were visited in order to procure a power cable but a cable of sufficient length could not be found. Thereafter procuring and connecting a longer cable was forgotten about amidst the large scale communications-related construction that was underway in the seismic isolated building and at monitoring post facilities, so as a result the UPS was still not connected on March 11, the day of the earthquake.

(5) Line connection status of TEPCO facilities and central government disaster prevention network

After it was found that data was not being transmitted to the ERSS following the earthquake, TEPCO checked the connection between the L3SW and the FW located in the seismic isolated building and learned that transmission was not possible. Information received from JNES also indicates that the L3SW located in the 1st Safety Inspector Room detected (5 min. cycles) an abnormality in the connection with the FW of the seismic isolated building at 14:52 on March 11.

Information obtained from JNES also shows that a malfunction of the line from the L3SW to the central government's disaster prevention network was detected at 16:43 on March 11.

#### (6) Regular transmission to ERSS after March 28 (supplement-2)

- After March 24 preparations were made to use data transmission lines via the L3SW located at headquarters that had been used conventionally. After transmission tests were completed on March 28 plant data for Unit 6 for which the processing computer system was still running was regularly transmitted from the FW of the seismic isolated building to the ERSS over the central government's disaster prevention network via the L3SW at headquarters.
- Then on May 25 the processing computer system of Unit 5 was restarted and plant data was sent to the ERSS over the same lines as Unit 6.
- (7) Results of field confirmation

An inspection of the SPDS equipment located in the seismic isolated

building and the 1st Safety Inspector Room revealed that housing racks were sound and that each piece of equipment was not damaged.

- (8) Cause of Fukushima Daiichi SPDS data transmission failure From the factual relationships mentioned previously it is presumed that the cause of the transmission failure of plant data for all units to the ERSS that occurred at 14:47 on March 11 is as follows.
  - Due to the facts that the test of the connection between the FW in the seismic isolated building and the L3SW located in the 1st Safety Inspector Room failed, that plant data for Unit 2 and Unit 5 was being transmitted to the SPDS integrated server until 14:47, and that an abnormality between the L3SW and the central government's disaster prevention network was detected at 16:43 on March 11 it is presumed that the cause of the failure to transmit plant data from all units to the ERSS occured somewhere between the FW and the L3SW.
  - Of the lines and equipment from the FW to the L3SW it was discovered that the MC located in the 1st Safety Inspector Room lost power immediately after the earthquake.
  - Therefore, in consideration of the circumstances, it is considered highly possible that the loss of power to the MC located in the 1st Safety Inspector Room directly caused the transmission failure to the ERSS.
- 4. Background behind the Fukushima Daini SPDS transmission
  - (1) Time of ERSS transmission failure

When the integrated server used by the Fukushima Daini SPDS and located in the power station's seismic isolated building was checked, it was confirmed that plant data was last transmitted to the ERSS at 16:43 on March 11. Furthermore, information obtained from JNES indicates that plant data from each unit was last transmitted to the ERSS at the following times.

Unit 1: March 11, 16:42 (data was being transmitted at 1 min. intervals at the time) Unit 2: March 11, 16:42 (data was being transmitted at 1 min. intervals at the time) Unit 3: March 11, 16:43 (data was being transmitted at 1 min. intervals at the time) Unit 4: March 11, 16:42 (data was being transmitted at 1 min. intervals at the time)

- (2) Data Transmission from process computing systems at each Unit When the integrated server located in the seismic isolated building and the SPDS server located in headquarters were checked no problems were found with the internal system connections between each processing computer system and the integrated server at the Fukushima Daini Nuclear Power Station. It was confirmed that each processing computer system has continued to transmit data without problem from the time of the earthquake until present.
- (3) Fukushima Daini SPDS equipment (supplement-3)
  - Almost the same configuration of equipment used at Fukushima Daiichi was used at Fukushima Daini with the SPDS integrated server, FW and MC located in the isolated building, the seismic isolated building equipment dedicated emergency gas turbine generator used as a backup power source, and also power sources (batteries) on hand for use during power outages.
  - During the accident whereas the emergency gas turbine generator could not be started up as a result of the impact of the tsunami power was supplied to the SPDS integrated server and MC located in the seismic isolated building from batteries on hand for use during power outages, so there was no loss of power.
  - The TEPCO MC located in the Safety Inspector Room (2nd Safety Inspector Room) in the information wing of the main building uses the Unit 1 and Unit 2 emergency diesel generators as backup power sources, and also is connected to batteries (UPS) for use during power outages owned by the central government, but since there was no loss of off-site power the electricity supplied to the MC was not interrupted.
- (4) Line connection status of TEPCO facilities and central government disaster prevention network Information from JNES indicates that a line malfunction within the central government's disaster prevention network was detected at 16:43 on March 11.
- (5) Regular transmission to ERSS after March 28 (supplement-4)
  - > After March 24 preparations were made to use data transmission

lines via the L3SW located at headquarters that had been used conventionally. After transmission tests were completed on March 28 plant data for all units was regularly transmitted from the FW of the seismic isolated building to the ERSS over the central government's disaster prevention network via the L3SW at headquarters.

(6) Results of field confirmation

An inspection of the SPDS equipment located in the seismic isolated building and the 2nd Safety Inspector Room revealed that housing racks were sound and that each piece of equipment was not damaged.

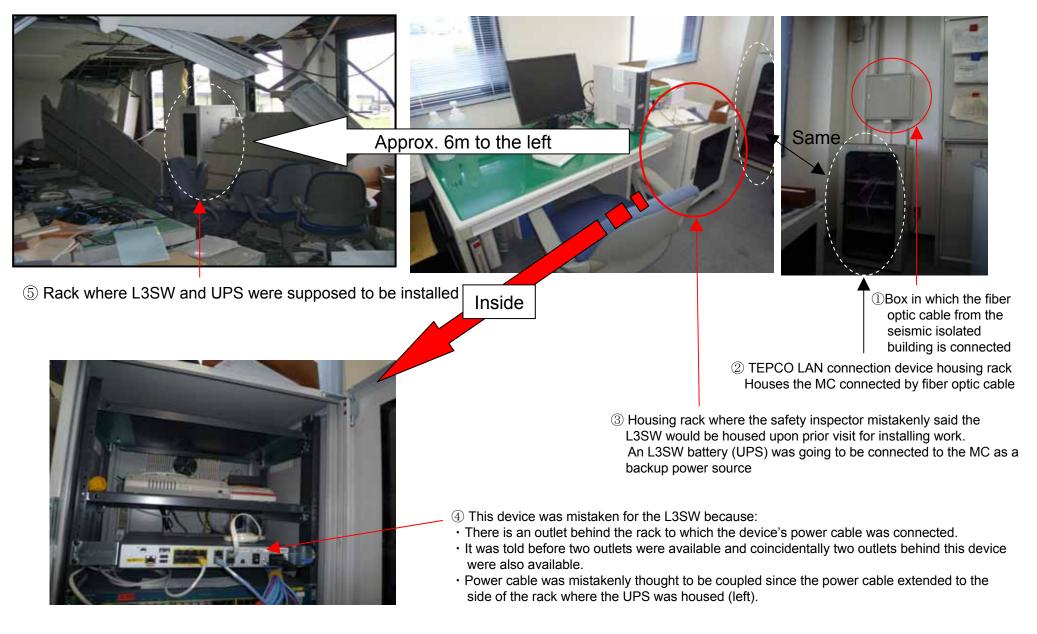
(7) Cause of Fukushima Daiichi SPDS data transmission failure

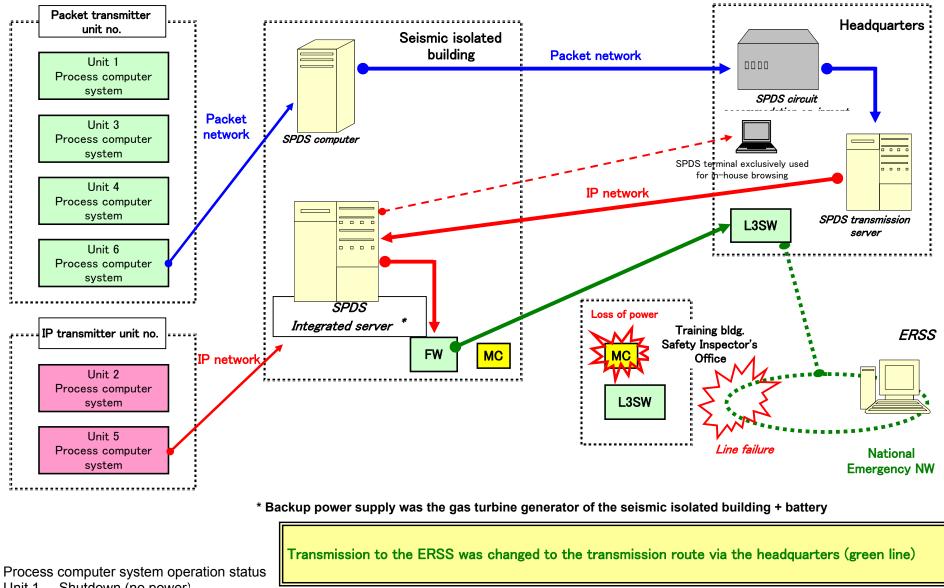
From the factual relationships mentioned previously it is presumed that the cause of the transmission failure to the ERSS that occurred at 16:43 on March 11 is as follows.

- SPDS equipment located in the seismic isolated building and the 2nd Safety Inspector Room was undamaged and supplied with power so it is considered to have been sound.
- Furthermore, an inspection of the integrated server and the SPDS transmission server located in headquarters revealed no internal system problems and it was confirmed that transmission is possible.
- On the other hand, since the time when a line malfunction was detected in the central government's disaster prevention network is the same as the time when plant data was last sent by TEPCO to the ERSS it is presumed that the line malfunction in the central government's disaster prevention network was a direct cause of the plant data transmission failure to the ERSS from Fukushima Daini.

End

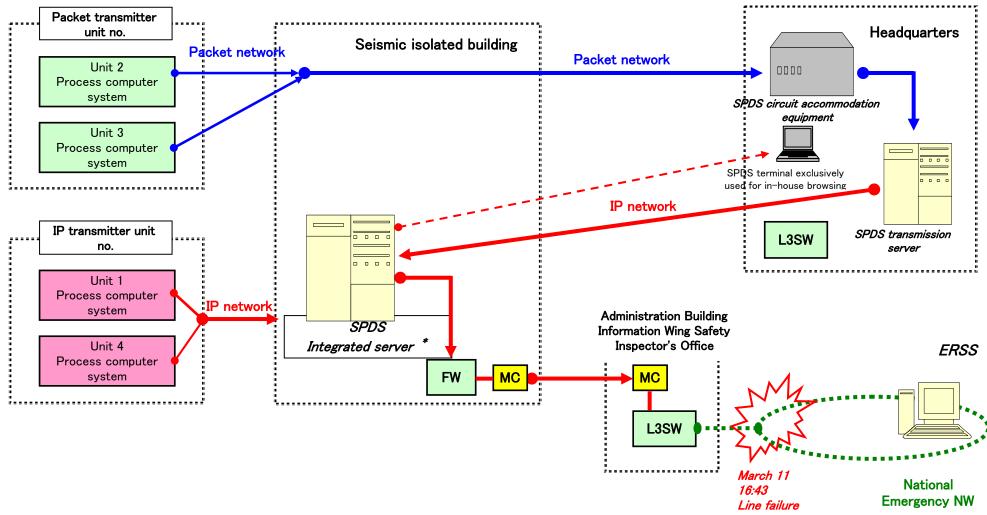
# Supplement -1 Fukushima Daiichi NPS Safety Inspector Room SPDS-ERSS Equipment





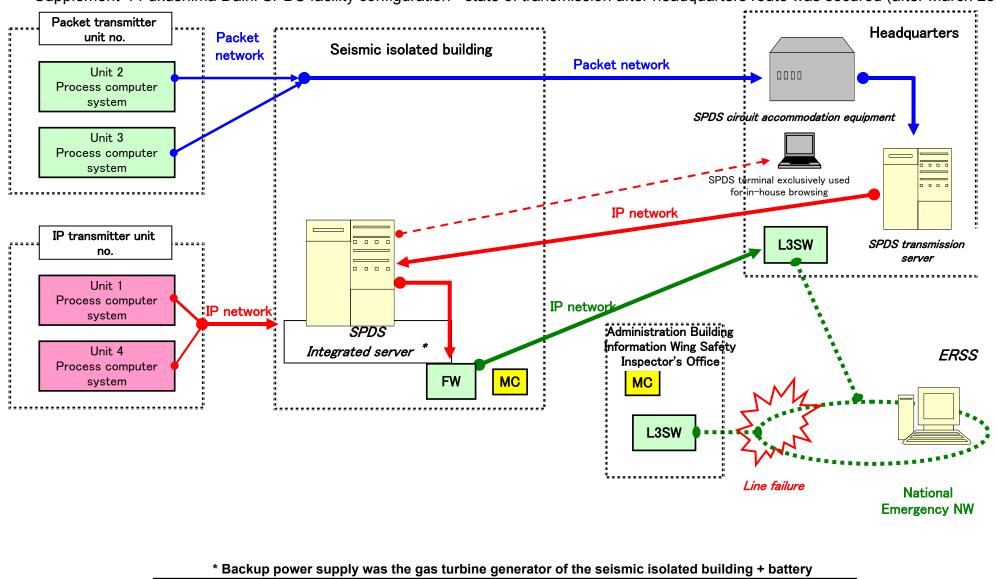
Supplement-2 Fukushima Daiichi SPDS facility configuration - state of transmission after headquarters route was secured (after March 28) -

- Unit 1 ... Shutdown (no power)
- Unit 2 ... Shutdown (no power)
- Unit 3 ... Shutdown (no power)
- Unit 4 ... Shutdown (when the earthquake struck, the process computer system was undergoing replacement
- Unit 5 ... Running (was shut down after the earthquake and kept shutdown due to MCR heat load restrictions, and restarted on May 25
- Unit 6 ... Running (did not shut down even during the earthquake)



Supplement-3 Fukushima Daini SPDS facility configuration - state of transmission after the earthquake on March 11 -

\* Backup power supply was the gas turbine generator of the seismic isolated building + battery



Supplement-4 Fukushima Daini SPDS facility configuration - state of transmission after headquarters route was secured (after March 28) -

Transmission to the ERSS was changed to the transmission route via the headquarters (green line)

Attachment 5-6

Actions taken by the Siting Team pertaining to the Tohoku-Chihou-Taiheiyo-Oki Earthquake

< Information provided to local community (other than press releases) >

## 1 Radio broadcast

\*Request to commercial radio stations in Fukushima Prefecture (Actual broadcasts: Radio Fukushima: Total 13 times, FM Fukushima: 39 times)

|    | Date/time  |               |                 |   |
|----|------------|---------------|-----------------|---|
| Nº | Date       | Reque<br>sted | Request stopped | Content of broadcast (excerpt)  |
| 1  | 3/11 Fri.  | 21:44         | _               | An emergency evacuation order has been issued by the central<br>government for residents within a 3km radius around Fukushima<br>Daiichi NPS because the emergency generators to cool the reactor<br>are inoperable at Fukushima Daiichi Unit 2.<br>Please act calmly under the instructions of the central and local<br>governments.<br>No external impact of radioactivity has been confirmed as of now.  |
| 2  |            | 22:40         | 3/12<br>2:38    | (In addition to the above evacuation order) Currently, the<br>exhaust stack monitor and monitoring car survey data, which<br>monitors radiation, indicates no change from normal values<br>at both Fukushima Daiichi NPS and Fukushima Daini NPS.   |
| 3  | 3/12 Sat.  | 7:07          | -               | An emergency evacuation order has been issued by the central government for residents within a 10km radius around Fukushima Daiichi and Fukushima Daini NPS. Please continue to act calmly under the instructions of the central and local governments.   |
| 4  | 3/13 Sun.  | 13:15         | 15:50           | An emergency evacuation order has been issued by the<br>central government for residents within a 20km radius from<br>Fukushima Daiichi NPS and within a 10km radius from<br>Fukushima Daini NPS.<br>Please continue to act calmly under the instructions of the<br>central and local governments.  |
| 5  | 3/14 Mon.  | 12:05         | 17:28           | At around 11:01AM, there was a big sound and white smoke<br>from Fukushima Daiichi Unit 3 reactor building. There is a<br>possibility that it is a hydrogen explosion.<br>According to the parameters, we believe that the integrity of<br>the reactor containment vessel is maintained, but we are<br>currently investigating the plant conditions and external<br>radioactivity impact.<br>We ask residents to act according to the instructions of the<br>central and local governments. |
| 6  | 3/15 Tues. | 9:39          | 10:56           | At around 6:14AM, there was an unusual sound near the<br>suppression chamber at Fukushima Daiichi Unit 2 and a<br>pressure drop; thus, it is determined that, possibly, some<br>kind of unusual circumstance has occurred.<br>However, there is no significant change in reactor pressure<br>vessel and containment vessel parameters.<br>We ask residents to act according to the instructions of the<br>central and local governments.  |

### **②Television subtitles**

\*Requests made to commercial TV broadcasters in Fukushima Prefecture (Fukushima Chuo TV, Fukushima TV, TV-U Fukushima, Fukushima Broadcasting) No.1 was aired on Fukushima Broadcasting. Others cannot be confirmed to be aired by

other TV broadcasters.

|    | Date/time  |               |                    |  |
|----|------------|---------------|--------------------|--|
| Nº | Date       | Reque<br>sted | Request<br>stopped | Content of broadcast (excerpt)   |
| 1  | 3/11 Fri.  | 23:10         | -                  | Currently, the exhaust stack monitor and monitoring car<br>survey data, which monitors radiation, indicates no change<br>from normal values at both Fukushima Daiichi NPS and<br>Fukushima Daini NPS.  |
| 2  | 3/14 Mon.  | 13:10         | 18:01              | There was an explosion at the reactor building of Fukushima<br>Daiichi Unit 3.<br>We believe that the integrity of the containment vessel is<br>maintained, but we ask that residents act according to the<br>instructions of the central and local governments.   |
| 3  | 3/15 Tues. | 9:40          | 10:56              | There was an unusual sound around the suppression<br>chamber at Fukushima Daiichi Unit 2 and a pressure drop;<br>thus, it is determined that, possibly, some kind of unusual<br>circumstance has occurred. However, there is no significant<br>change in reactor pressure vessel and containment vessel<br>parameters.We ask residents to act according to the<br>instructions of the central and local governments. |

# ③PR vehicles (Fukushima Daini NPS only)

| Nº  | Date/time |      |       |       | Description   |
|-----|-----------|------|-------|-------|---|
| IN≌ | Da        | ite  | Start | End   | Description   |
| 1   | 3/11      | Fri. | _     | _     | Considered the dispatch of PR vehicles at the same time as<br>starting the radio broadcast, but due to the damage of nearby<br>roadways, this was not possible for both at Fukushima<br>Daiichi and at Daini NPS. |
| 2   | 3/12      | Sat. | 9:50  | 11:00 | Fukushima Daini NPS venting planned (Tomioka Town)  |
| 3   | 3/12      | Sat. | 9:50  | 11:20 | Fukushima Daini NPS venting planned (Naraha Town)   |
| 4   | 3/12      | Sat. | 20:15 | 22:00 | Evacuation order made to residents within 10km radius of<br>Fukushima Daini NPS. Request to evacuate according to<br>government office instructions (Hirono Town)   |

Chronology of Responses to Media Related to the Fukushima Nuclear Accident (Headquarters)

| March 11, 2011  |               |   |
|-----------------|---------------|---|
| Time of release |               | Content   |
| 15:59           | Press release | <ul> <li>Status of facility damages (hereafter, headquarters hourly update(15:30))</li> <li>(Nuclear related) Fukushima Daiichi Units 1 to 3, Fukushima Daini Units 1 to 4 shut down due to earthquake. Fukushima Daiichi Units 4 to 6 under outage.</li> <li>(Power supply related) Power outage at 4.05 million households</li> </ul>   |
| 16:54           | Press release | Determined at 15:42 and notified government agencies that a specified<br>event (station black out) under Article 10 Paragraph 1 of the Act on<br>Special Measures Concerning Nuclear Emergency Preparedness<br>(hereafter, "Nuclear Emergency Act") had occurred at Fukushima<br>Daiichi Units 1 to 3.  |
| (16:30)         | Press release | <ul> <li>Headquarters hourly update (16:30)</li> <li>(Nuclear related) No abnormal radiation dose</li> <li>(Power supply related) Damage to generation facilities (thermal, hydro) and distribution facilities. Support cross-feed from western areas (1 million kW).</li> </ul>  |
| 17:40           | Press release | Determined at 16:36 and notified government agencies that a specified<br>event (emergency core cooling system water injection not possible)<br>under Article 15 of the Nuclear Emergency Act has occurred at<br>Fukushima Daiichi Units 1 and 2 on account of the fact that the reactor<br>water level could not be confirmed and water injection conditions were<br>unknown. Subsequently, water level monitoring recovered at<br>Fukushima Daiichi Unit 1 and the special event designation was lifted<br>temporarily, but was declared again at 17:07. |
| 19:10           | Press release | <ul> <li>Headquarters hourly update (18:30)</li> <li>(Power supply related) Number of blackouts updated (4.05 million households to 3.98 million households), update facility damage conditions, activated power adjustment contracts with some high volume customers* (*deleted in the 21:00 update)</li> </ul>  |
| 19:20           | Website       | Fukushima Daiichi NPS on-site monitoring data ("Fukushima Daiichi NPS Current Conditions" 19:20)  |
| 19:35           | Press release | <ul> <li>Plant status notification (hereafter, Fukushima Daiichi NPS hourly update 19:00 update)</li> <li>Fukushima Daiichi Unit 1 cooling with IC, Units 2 and 3 water injection with RCIC</li> <li>Ensure reactor water level for Units 4 to 6</li> <li>No fire, no abnormal radiation</li> </ul>   |
| 20:40           | Press release | <ul> <li>Headquarters hourly update (20:00)</li> <li>(Power supply related) Number of blackouts updated (total -&gt; by prefecture), following day tight power supply, request cooperation for power-saving</li> </ul>  |

March 11, 2011 (Friday)

| 20:45 | Website       | Fukushima Daiichi NPS on-site monitoring data (20:45) *hereafter, added wind direction   |
|-------|---------------|--|
| 21:20 | Press release | <ul> <li>Headquarters hourly update (21:00)</li> <li>(Power supply) Number of blackouts updated (3.98 million households-&gt;3.8 million households)</li> </ul>  |
| 21:55 | Press release | <ul> <li>Fukushima Daiichi NPS hourly update (21:00)</li> <li>Unit 2 cooled with RCIC but operating conditions unknown, water level cannot be verified. Resident evacuation advisory issued (within 3km radius)</li> </ul> |
| 22:00 | Website       | Fukushima Daiichi NPS on-site monitoring data (22:00)  |
|       |               | Headquarters hourly update (22:00)   |
| 22:30 | Press release | <ul> <li>(Power supply related) Number of blackouts updated (3.8 million<br/>households -&gt; 3.44 million households)</li> </ul>  |
| 23:20 | Website       | Fukushima Daiichi NPS on-site monitoring data (23:20)  |
|       | <b>_</b>      | Headquarters hourly update (23:00)   |
| 23:30 | Press release | • (Power supply related) Number of blackouts updated (3.44 million   |
|       |               | households -> 2.97 million households), update facility damage<br>conditions (Higashi Oogi Thermal Power Station)  |

### March 12, 2011 (Saturday)

| March 12, 2011  | (Saturday)    |  |
|-----------------|---------------|--|
| Time of release | Format        | Content  |
| 00:30           | Press release | Headquarters hourly update (00:00)   |
|                 |               | (Power supply related) Number of blackouts updated (2.97 million   |
|                 |               | households -> 2.58 million households)   |
| 00:30           | Press release | Fukushima Daiichi NPS hourly update (00:00)  |
|                 |               | <ul> <li>Water level confirmed with Unit 2 temporary power source</li> </ul>   |
|                 |               | <ul> <li>Evacuation order within 3km radius, indoor shelter instructed up to<br/>10km</li> </ul>   |
|                 |               | 2 employees whereabouts unknown  |
| 00:40           | Website       | Fukushima Daiichi NPS on-site monitoring data(00:40)   |
| (01:00)         | Press release | Headquarters hourly update (01:00)   |
|                 |               | <ul> <li>(Power supply related)Number of blackouts updated (2.58 million<br/>households -&gt; 2.4 million households), Goi 4 resumed operations</li> </ul> |
| 01:35           | Press release | Fukushima Daiichi Unit 1, Article 15 notification (determined at 0:49 that   |
|                 |               | there is an abnormal increase of containment pressure)   |
| (02:00)         | Press release | Headquarters hourly update (02:00)   |
|                 |               | <ul> <li>(Power supply related) Number of blackouts updated (2.4 million<br/>households -&gt;2.07 million households)</li> </ul>                           |
| 02:50           | Website       | Fukushima Daiichi NPS on-site monitoring data (02:50)  |
| Around 03:00    | Press release | Headquarters hourly update (03:00)   |
|                 |               | (Nuclear) Decided to vent Fukushima Daiichi NPS units for which  |

|               |                                      | <ul> <li>water injection status is unknown.</li> <li>(Power supply) Number of blackouts updated (2.07 million households -&gt; 1.77 million households)</li> </ul>   |
|---------------|--------------------------------------|--|
| Around 03:00  | Press release                        | <ul><li>Implementation of venting</li><li>Same content as Headquarters hourly update (03:00)</li></ul>   |
| 03:06 - 03:48 | Joints press<br>conference<br>(METI) | <ul> <li>Joint press conference by Managing Director Komori, METI Minister Kaieda, NISA Director-General Terasaka</li> <li>Minister stated that he received a report from TEPCO that they were going to open the vent valves to release internal pressure due to increased pressure in the containment vessel</li> <li>Senior Managing Director Komori explained that RCIC operation status could not be verified and containment internal pressure was increasing, and thus, containment venting would be conducted as an accident management measure.</li> <li>When questioned which units will be vented first, an answer was given once that preparations will be taken for Unit 2 for which operation of pumps to feed water into the reactor had not been verified for an extended amount of time.</li> <li>Subsequently, TEPCO employee provided an urgent update that Unit 2's RCIC operation was confirmed. Venting was planned and that conditions of both Units 1 and 2 would be checked. The press conference was concluded saying that announcement would be made when implementing.</li> </ul> |
| Around 04:15  | Press release                        | <ul> <li>Headquarters hourly update (04:00)</li> <li>(Power supply related) Number of blackouts updated (1.77 million households→1.62 million households), restoration of hydropower</li> </ul>  |
| Around 04:15  | Press release                        | <ul> <li>Fukushima Daiichi NPS hourly update (04:00)</li> <li>IC was operating but is shutdown. PCV pressure is high but stable.</li> <li>Water level is low but stable.</li> <li>Unit 2 RCIC operation condition is confirmed.</li> </ul>   |
| 05:00         | Press release                        | <ul> <li>Headquarters hourly update (05:00)</li> <li>(Nuclear related) Additional information on increase of radiation</li> <li>(Power supply related) Number of blackouts updated (1.62 million households→1.44 million households)</li> </ul>  |
| 05:10         | Website                              | Fukushima Daiichi NPS on-site monitoring data (05:10)  |
| 06:10         | Press release                        | <ul> <li>Fukushima Daiichi NPS hourly update (06:00)</li> <li>Resident evacuation order within 10km radius</li> <li>Radiation measured by monitoring post and monitoring cars increase</li> <li>One contractor loses consciousness, transported by ambulance</li> </ul>  |
| 06:30         | Press release                        | <ul> <li>Headquarters hourly update (06:00)</li> <li>(Power supply related)Number of blackouts updated(1.44 million households→1.24 million households)</li> </ul>   |
| Around 07:50  | Press release                        | Headquarters hourly update (07:00) <ul> <li>(Nuclear related) Evacuation order for residents within 10km radius</li> </ul>   |

|               |                     | of Fukushima Dajichi and Dajni NPSs.   |
|---------------|---------------------|--|
|               |                     | <ul> <li>(Power supply related) Number of blackouts updated (1.24 million<br/>households→1.17 million households), restoration of hydropower</li> </ul>  |
| 07:50         | Website             | Fukushima Daiichi NPS on-site monitoring data (07:50)  |
| 09:05         | Press release       | <ul><li>Fukushima Daiichi Unit 1 vent</li><li>PCV pressure increases, decide to vent</li></ul>   |
| 09:20         | Press release       | <ul> <li>Headquarters hourly update (08:00)</li> <li>(Nuclear related) Correction of 7AM update (correct information was 3km radius for Fukushima Daini NPS).</li> <li>(Power supply related) Number of blackouts updated (1.17 million households→1.09 million households), facility restoration (hydropower, substations)</li> </ul>   |
| 11:03 - 11:42 | Press<br>conference | <ul> <li>Vice President Fujimoto, Corporate Marketing &amp; Sales Dept. Manager Kamakura, Marketing &amp; Customer Relations Dept. Manager Shimada, Power System Operation Dept. GM Tayama, Nuclear Power Plant Management Dept. Manager Takahashi</li> <li>Explained about supply/demand of power and that planned blackouts would be implemented from March 13 at the earliest. Answered that conditions would be tighter on the March 14 when factories would start up again.</li> <li>Answer provided, "Water level at Fukushima Daiichi Unit 1 is -50cm from the top of fuel. The possibility of slight fuel damage to the top of the fuel rod cannot be denied, but judging from the radiation level in the vicinity, we determined that there is no significant damage as of yet."</li> </ul> |
| 11:15         | Press release       | <ul> <li>Headquarters hourly update (10:00)</li> <li>(Nuclear related) Decided to vent Fukushima Daiichi Unit 1.<br/>Decided to prepare for venting at Fukushima Daini Units 1 to 4.</li> <li>(Power supply related) Number of blackouts updated (1.09 million households→1.00 million households), restoration of facilities (hydropower, substations)</li> </ul>   |
| 11:20         | Press release       | <ul> <li>Fukushima Daiichi NPS hourly update (11:00)</li> <li>Implementing venting for Unit 1. Water level is decreasing but water is being injected.</li> <li>Preparing for vent operation at Units 2 and 3.</li> </ul>   |
| 12:00         | Press release       | Fukushima Daiichi NPS on-site monitoring data (12:00)  |
| 12:30         | Website             | <ul> <li>Headquarters hourly update (11:00)</li> <li>(Nuclear related) Venting Fukushima Daiichi Unit 1, preparing for venting of Fukushima Daiichi Unit 2.</li> <li>(Power supply related) Number of blackouts updated (1.00 million households→970,000 households), restoration of hydropower</li> </ul>   |
| 13:20         | Press release       | <ul><li>Fukushima Daiichi NPS hourly update (13:00)</li><li>Same content as headquarters' 13:00 update</li></ul>   |
| 13:20         | Website             | Fukushima Daiichi NPS on-site monitoring data (13:20)  |

| 13:50         | Press release       | <ul> <li>Headquarters hourly update (13:00)</li> <li>(Nuclear related) Currently Fukushima Daiichi Unit 1 IC is shutdown. Currently extended all efforts to vent. Exposure of one employee exceeded 100mSv. Preparing for vent operation at Units 2 and 3. Fukushima Daini Unit 3 in cold shutdown at 12:05.</li> <li>(Power supply related) Number of blackouts updated (970,000 households→710,000 households)</li> </ul>  |
|---------------|---------------------|--|
| 14:40         | Website             | Fukushima Daiichi NPS on-site monitoring data (14:40)  |
| 15:20         | Press release       | Fukushima Daiichi NPS hourly update (15:00)<br>• Unit 1 vented   |
| 16:00         | Press release       | <ul> <li>Headquarters hourly update (15:00)</li> <li>(Nuclear related) Determined that Fukushima Daiichi Unit 1 successfully vented at around 14:30</li> <li>(Power supply related) Number of blackouts updated (710,000 households→600,000 households)</li> </ul>   |
| 16:40         | Website             | Fukushima Daiichi NPS on-site monitoring data (16:40)  |
| Past 17:00    | Press room          | <ul> <li>Corporate Communications Dept. employees and others</li> <li>At around 15:36, there was major shaking right underneath.<br/>Afterwards, there was white smoke from near Fukushima Daiichi<br/>Unit 1 building. Two TEPCO employees and two contractors<br/>conducting restoration work were injured and transported to the<br/>hospital. First report was provided saying that that was all the<br/>information that was available at this time.</li> </ul> |
| 17:20         | Press release       | Determined at 16:17 that there was an Article 15 Notification event (15:29 site boundary radiation abnormal increase)  |
| 17:40         | Press release       | <ul> <li>White smoke generated at Fukushima Daiichi Unit 1</li> <li>At around 15:36, there was a large sound and white smoke near<br/>Unit 1. Two TEPCO employees and two contractors were injured<br/>and transported to the hospital.</li> </ul>   |
| 17:50         | Press release       | <ul> <li>Headquarters hourly update (17:00)</li> <li>(Power supply related) Number of blackouts updated (600,000 households→540,000 households)</li> </ul>   |
| 19:30         | Press release       | <ul> <li>Headquarters hourly update (19:00)</li> <li>(Nuclear related) Evacuation order issued to residents within a 10km radius of Fukushima Daini NPS, severely injured person from Fukushima Daini NPS deceased.</li> <li>(Power supply related) Number of blackouts updated (540,000 households→500,000 households), facility restoration (hydropower)</li> </ul>  |
| 19:36 - 21:21 | Press<br>conference | Vice President Fujimoto, Managing Director Komori, Corporate<br>Marketing & Sales Dept. Manager Kamakura, Marketing & Customer<br>Relations Dept. Manager Shimada, Nuclear Power Plant Management  |

|              |               | Dept. Manager Takahashi Power System Operation Dept. GM Tayama  |
|--------------|---------------|---|
|              |               | <ul> <li>Explained that there are no side walls on the top floor of Fukushima Daiichi Unit 1 R/B and that conditions cannot be confirmed due to the high level of radiation in the pressure vessel.</li> <li>In regard to core melting, responded that the core itself is in a condition different from the normal condition and it is understood to be in a strenuous condition, but also that there is possibility that it has not reached such conditions.</li> <li>Water level has not changed from the value at 15:27 (-170cm). When questioned whether the water gage has failed, responded that the understanding is that there is no issue because two water gages are used for measurement and both indicate the same conditions. In regard to the explosion being outside of the containment vessel (commented in during the Chief Cabinet Secretary's press conference), explained that the determination is thought to reference TEPCO's plant data but there sufficient evaluations have not yet been completed internally.</li> </ul> |
| Around 21:00 | Press release | <ul> <li>Fukushima Daiichi NPS hourly update (20:00)</li> <li>Around 15:36, there was a large sound near Unit 1, white smoke is being emitted, and 4 people are injured. The situation is being investigated now.</li> </ul>  |
| 21:40        | Press release | <ul> <li>Fukushima Daiichi NPS hourly update (21:00)</li> <li>Boric acid is mixed and seawater injection has started at Unit 1</li> <li>Evacuation order made for residents within a 20km radius</li> </ul>   |
| 22:10        | Press release | <ul> <li>Headquarters hourly update (21:00)</li> <li>(Nuclear related) Boric acid and seawater injection started at 20:20 at Fukushima Daiichi Unit 1</li> <li>(Power supply related) Number of blackouts updated (500,000 households→450,000 households)</li> </ul>  |
| (23:00)      | Press release | <ul> <li>Fukushima Daiichi NPS hourly update (23:00)</li> <li>Unit 1 seawater injection work suspended due to earthquake at 22:15</li> </ul>  |

March 13, 2011 (Sunday)

| March 13, 2011  |               | <b>A</b>  |
|-----------------|---------------|---|
| Time of release | Format        | Content   |
| (02:00)         | Press release | <ul> <li>Fukushima Daiichi NPS hourly update (02:00)</li> <li>Currently injecting seawater with boric acid for Unit 1</li> </ul>  |
| 04:00           | Website       | Fukushima Daiichi NPS on-site monitoring data (04:00)   |
| (05:30)         | Press release | <ul> <li>Fukushima Daiichi NPS hourly update (05:30)</li> <li>Unit 3 high pressure water injection systems shutdown.<br/>Considering water injection methods. Plan to start venting.</li> </ul>   |
| 06:20           | Press release | Determined at 5:10 that Article 15 Notification event (Unit 3 ECCS injection inoperable) occurred   |
| (08:00)         | Press release | <ul> <li>Fukushima Daiichi NPS hourly update (08:00)</li> <li>For reducing containment vessel pressure in Unit 3, containment spray executed.</li> </ul>  |
| 08:10           | Website       | Fukushima Daiichi NPS on-site monitoring data (08:10)   |
| 09:10           | Press release | <ul> <li>Headquarters hourly update (08:00)</li> <li>(Nuclear related) Fukushima Daiichi Unit 3 reactor cooling function<br/>lost at 05:10. Vent valve operated and completed at 08:41.</li> <li>(Power supply related) Number of blackouts updated (450,000<br/>households→310,000 households), restoration of facilities<br/>(substations)</li> </ul>   |
| 09:30           | Website       | Fukushima Daiichi NPS on-site monitoring data (09:30)   |
| 09:40           | Press release | <ul> <li>Fukushima Daiichi NPS hourly update (09:00)</li> <li>Unit 3 vent operation completed. Containment spray suspended</li> </ul>   |
| 09:40           | Press release | Determined at 08:56 that Article 15 Notification event (site boundary radiation abnormal increase) occurred.  |
| 10:00           | Website       | Fukushima Daiichi, Fukushima Daini NPS on-site monitoring data (10:00)  |
| 11:00           | Website       | Fukushima Daiichi NPS on-site monitoring data (11:00)   |
| 11:20           | Press release | <ul> <li>Headquarters hourly update (10:30)</li> <li>(Nuclear related) 8:56, Article 15 Notification event (site boundary radiation abnormal) occurred, 9:01 Notification, decided to vent Fukushima Daiichi Unit 2, Fukushima Daiichi Unit 3 successfully vented at 09:20, start injection of water with boric acid at 09:25.</li> <li>(Power supply related) Number of blackouts updated (310,000 households→280,000 households), support supply of 600MW from north main interconnection line</li> </ul> |
| 12:00           | Website       | Fukushima Daiichi, Daini NPS on-site monitoring data (12:00)  |
| 12:35           | Press release | <ul> <li>Fukushima Daiichi NPS hourly update (12:00)</li> <li>Decision to vent Unit 2</li> </ul>  |
|                 |               | <ul> <li>After opening Unit 3 SRV, inject boric acid water into reactor</li> </ul>  |

| 14:00         | Website                            | Fukushima Daiichi NPS on-site monitoring data (14:00)  |
|---------------|------------------------------------|--|
| 14:40         | Press release                      | <ul> <li>Headquarters hourly update (13:00)</li> <li>(Power supply related) Number of blackouts updated (280,000 households)</li> </ul>  |
| 15:10         | Press release                      | <ul> <li>Fukushima Daiichi NPS hourly update (14:00)</li> <li>Trying to inject seawater into Unit 3</li> <li>Coordinate with related parties on cooling methods for Unit 1 spent fuel pool</li> </ul>  |
| 15:10         | Press release                      | Determined at 14:15 that Article 15 Notification event (site boundary radiation abnormal increase) occurred  |
| 16:30         | Press release                      | <ul> <li>Headquarters hourly update (15:00)</li> <li>(Nuclear related) 14:15, Article 15 Notification event (site boundary radiation abnormal) occurred, Notification at 14:43, coordinated with related organizations regarding cooling of Fukushima Daiichi Unit 1 spent fuel pool, completed vent valve operation of Fukushima Daiichi Unit 2 at 11:00, possibility of hydrogen explosion of Fukushima Daiichi Unit 3, considering explosion prevention measures.</li> <li>(Power supply related) Oi Unit 3 restoration</li> </ul>  |
| 18:00         | Website                            | Fukushima Daiichi NPS on-site monitoring data (18:00)  |
| 18:15         | Press release                      | <ul> <li>Fukushima Daiichi NPS hourly update (18:00)</li> <li>Indicated as being the same content as the previous update (14:00 update), but text on attempts to inject seawater in Unit 3 was deleted.</li> </ul>   |
| 20:20 - 23:13 | President's<br>Press<br>Conference | <ul> <li>President Shimizu, Vice President Fujimoto, Managing Director Komori, Corporate Marketing &amp; Sales Dept. Manager Kamakura, Marketing &amp; Customer Relations Dept. Manager Shimada, Power System Operation Dept. GM Hanai</li> <li>Explained that planned outages will begin on 3/14</li> <li>In regard to nuclear issues, when questioned about the casual relationship between ageing and the accident, the explanation was provided that "The cause was tsunami that exceeded expectations and that ageing is not a contributor. In regard to preparations against tsunami, it was explained that "Tsunami measures for levels that have been expected had been taken. This tsunami was beyond expectations, and how to handle measures that correspond to such is a major issue." In regards to management responsibility including for insufficient expectations and training, responses were provided that "Backup systems have been developed based on various expectations, but the tsunami at this time was beyond expectations. Currently, all efforts are being put into ensuring nuclear safety, and we are not at the stage where we can discuss responsibility."</li> </ul> |
| 21:10         | Press release                      | <ul> <li>Fukushima Daiichi NPS hourly update (21:00)</li> <li>Indicated that it is the same content as the previous update (18:00 update)</li> </ul>   |

Attachment 5-7 (9/13)

| 22:00 | Website | Fukushima Daini NPS on-site monitoring data (22:00)   |
|-------|---------|---|
| 22:41 | Website | Fukushima Daiichi NPS on-site monitoring data (22:41) |
| 23:00 | Website | Fukushima Daini NPS on-site monitoring data (23:00)   |

March 14, 2011 (Monday)

| Time of release | Format        | Content  |  |  |  |  |  |  |
|-----------------|---------------|--|--|--|--|--|--|--|
| (00:00)         | Press release | <ul> <li>Fukushima Daiichi NPS hourly update (00:00)</li> <li>Indicated to be the same content as the update before the previous update (18:00 update)</li> </ul>  |  |  |  |  |  |  |
| 00:41           | Website       | Fukushima Daiichi NPS on-site monitoring data (00:41)  |  |  |  |  |  |  |
| 01:50           | Website       | Fukushima Daiichi NPS on-site monitoring data (01:50)  |  |  |  |  |  |  |
| 03:00           | Website       | Fukushima Daini NPS on-site monitoring data (03:00)  |  |  |  |  |  |  |
| (03:00)         | Press release | <ul> <li>Fukushima Daiichi NPS hourly update (03:00)</li> <li>Due to shortage of water in the seawater pit, decided to shut down injection pumps for Units 1 and 3 and to resupply water to the pit.</li> <li>Preparing seawater injection for Unit 2</li> </ul> |  |  |  |  |  |  |
| 05:00           | Press release | Determined that Article 15 Notification (site boundary radiation abnormal increase) occurred at 03:50, 04:15   |  |  |  |  |  |  |
| 06:00           | Press release | <ul> <li>Fukushima Daiichi NPS hourly update (05:00)</li> <li>Confirmed that there is still a sufficient amount of water in the seawater pit and resumed water injection for Unit 3</li> </ul>   |  |  |  |  |  |  |
| 06:01           | Website       | Fukushima Daiichi NPS on-site monitoring data (06:01)  |  |  |  |  |  |  |
| 08:00           | Website       | Fukushima Daini NPS on-site monitoring data (08:00)  |  |  |  |  |  |  |
| 10:30           | Press release | Article 15 Notification (Fukushima Daiichi Unit 3 containment pressure abnormality), resumed venting due to decrease in containment pressure   |  |  |  |  |  |  |
| Around 11:30    | Press room    | <ul> <li>Corporate Communications Dept. employee</li> <li>Communicated initial report that there was an explosion at<br/>Fukushima Daiichi Unit 3 at around 11:01 and that the location and<br/>cause of the explosion is being confirmed.</li> </ul>            |  |  |  |  |  |  |
| Around 11:40    | Press room    | <ul> <li>Corporate Communications Dept. employee</li> <li>Communicated that the Unit 3 explosion at around 11:01 was a hydrogen explosion</li> </ul>   |  |  |  |  |  |  |
| 11:50           | Press release | <ul> <li>White smoke emitted at Fukushima Daiichi Unit 3</li> <li>At around 11:01, there was a large sound and white smoke was emitted. Possibility of a hydrogen explosion.</li> </ul>  |  |  |  |  |  |  |

|                         |                     | <ul> <li>Based on parameters, the containment vessel is thought to have retained its integrity.</li> <li>Worker is injured and an ambulance is being requested.</li> </ul>   |
|-------------------------|---------------------|--|
| 12:08 - 12:54           | Press<br>conference | <ul> <li>Managing Director Komori, Nuclear Asset Management Dept. Manager Kuwahara and others</li> <li>As of 11:48, confirmed that two TEPCO employees and one contractor were bruised. Total of 7 persons are missing including one contractor and 6 self-defense force members. Their safety is being verified.</li> <li>As of 11:35, the reactor water level at Fukushima Daiichi Unit 3 is -1,800mm from the top of fuel, reactor pressure is 0.17MPa for subsystem A, 0.18MPa for subsystem B, and containment vessel pressure in D/W is 360kPa, and 380kPa at liquid phase region.</li> <li>Though recognizing the possibility of fuel melting, the response was provided that even if the top of fuel rods are exposed, the steam generated at the bottom cools it and, therefore, it is difficult to assess fuel damage conditions.</li> </ul> |
| 12:45                   | Press release       | <ul> <li>Fukushima Daiichi Unit 3 white smoke emitted (second report)</li> <li>4 TEPCO employees, 2 contractor injured</li> <li>No significant change in radiation</li> </ul>  |
| 14:25                   | Press release       | <ul> <li>Fukushima Daiichi Unit 3 white smoke generated (third report)</li> <li>4 TEPCO employees, 3 contractor injured</li> <li>No significant change in radiation in Fukushima Daini NPS located in the downwind area</li> <li>Considering explosion countermeasures for Fukushima Daiichi Unit 2</li> </ul>   |
| 14:30                   | Website             | Fukushima Daiichi NPS on-site monitoring data (14:30)  |
| 16:00                   | Website             | Fukushima Daini NPS on-site monitoring data (16:00)  |
| 16:30                   | Press release       | <ul> <li>Article 15 Notification (Fukushima Daiichi Unit 2 loss of reactor cooling function)</li> <li>At 13:25, Fukushima Daiichi Unit 2 RCIC shutdown, reactor cooling function lost</li> </ul>   |
| 17:20                   | Website             | Fukushima Daiichi NPS on-site monitoring data (17:20)  |
| 17:35                   | Press release       | <ul> <li>Fukushima Daiichi Unit 3 white smoke emitted (fourth report)</li> <li>Radioactive material found to be adhered to 6 out of 7 people, among which 5 were decontaminated.</li> <li>Added apology text (siting community + general public)</li> </ul>  |
| 18:00                   | Website             | Fukushima Daini NPS on-site monitoring data (18:00)  |
| Around 20:40 -<br>21:45 | Press<br>conference | <ul> <li>Vice President Muto</li> <li>For Fukushima Daiichi Unit 2 water level, explained that the water level dropped to top of fuel at 17:17 and downscaled at 18:22</li> <li>When asked whether it is downscaling at Fukushima Daiichi Unit 2 and is in a boiled-dry condition, responded that hunting of the water gage was confirmed at 19:54, and that it is determined that</li> </ul>  |

|       |               | <ul> <li>seawater is being injected into the reactor.</li> <li>In regard to the possibility of fuel melting, responded that though there is need to see subsequent parameters, it is understood that fuel has been damaged from the high level of radiation being observed.</li> <li>Also explained during the press conference that when the SRVs were opened at 21:20 to depressurize the reactor, the water level which was -3,400mm at 21:21 rose to -2,000m at 21:34, which is understood to mean that seawater inflow volume increased due to lowered pressure in the reactor.</li> </ul>                                  |
|-------|---------------|--|
| 21:00 | Website       | Fukushima Daini NPS on-site monitoring data (21:00)  |
| 22:35 | Website       | Fukushima Daiichi NPS on-site monitoring data (22:35)  |
| 23:35 | Press release | <ul> <li>Fukushima Daiichi NPS hourly update (23:30)</li> <li>Due to RCIC shutdown at Unit 2, water level dropped and pressure increased. It was vented and seawater was injected, which improved both water level and pressure.</li> <li>Currently, Unit 3 seawater injection work has been suspended.</li> <li>11 people were injured due to a hydrogen explosion of Unit 3. Transporting to Fukushima Daini</li> <li>Notification provided that all of the "site boundary radiation abnormal increase" Article 15 Notification would be compiled and information would be provided if the same event occurs again.</li> </ul> |

### March 15, 2011 (Tuesday)

| Time of release | Format        | Format Content   |  |  |  |  |  |  |  |  |
|-----------------|---------------|--|--|--|--|--|--|--|--|--|
| Past 00:00      | Press room    | <ul> <li>Corporate Communications Dept., Nuclear Asset Management Dept.<br/>employees</li> <li>At Fukushima Daiichi Unit 2, safety valve closed and reactor<br/>pressure increased slightly. This caused difficulty for water injection<br/>and reactor water level started to downscale again at 23:20.</li> <li>Currently, implementing work to open safety valves to resume water<br/>injection into the reactor</li> </ul> |  |  |  |  |  |  |  |  |
| 01:00           | Website       | Fukushima Daini NPS on-site monitoring data (01:00)  |  |  |  |  |  |  |  |  |
| 01:05           | Website       | Fukushima Daiichi NPS on-site monitoring data(01:05)   |  |  |  |  |  |  |  |  |
| 06:00           | Website       | Fukushima Daini NPS on-site monitoring data (06:00)  |  |  |  |  |  |  |  |  |
| 08:10           | Press release | <ul> <li>Transport of workers</li> <li>Possibility of abnormality in suppression chamber from an abnormal sound at Fukushima Daiichi Unit 2 followed by pressure drop.</li> <li>Workers not directly involved in water injection work were temporarily transported to a safe place.</li> </ul>   |  |  |  |  |  |  |  |  |
| Around 08:30    | Press room    | Corporate Communications Dept., Nuclear Asset Management Dept.<br>employees<br>• Explanation provided that it was determined that there may have   |  |  |  |  |  |  |  |  |

|              |               | been some abnormality from the fact that there was a sound near<br>the suppression chamber at Fukushima Daiichi Unit 2 followed by<br>suppression chamber pressure drop. Therefore, the transport of<br>workers, other than about 50 workers involved in water injection<br>work, has started.  |  |  |  |  |  |  |  |
|--------------|---------------|---|--|--|--|--|--|--|--|
| 09:45        | Press release | <ul> <li>Fukushima Daiichi Unit 4 building damage (first report)</li> <li>A large sound was heard at around 06:00. Found damage near 5F roof of R/B of Fukushima Daiichi Unit 4</li> </ul>  |  |  |  |  |  |  |  |
| 10:50        | Press release | <ul> <li>Fukushima Daiichi Unit 4 building damage (second report)</li> <li>Around 9:38, found fire near 4F northwest area</li> </ul>  |  |  |  |  |  |  |  |
| Around 11:00 | Press room    | <ul> <li>Corporate Communications Dept., Nuclear Asset Management Dept employees</li> <li>In relation to the release regarding Fukushima Daiichi Unit a building damage, the explanation was provided that "It cannot be identified whether there was one or two explosions including fo Unit 2."</li> </ul>  |  |  |  |  |  |  |  |
| 12:00        | Website       | <ul> <li>In regard to the fire, explained that the first priority is to extinguish it<br/>and requests were made to the self-defense force to extinguish it.</li> </ul>   |  |  |  |  |  |  |  |
| 13:15        | Press release | Fukushima Daini NPS on-site monitoring data (12:00)   |  |  |  |  |  |  |  |
| 13:30        | Website       | <ul> <li>Fukushima Daiichi Unit 4 building damage (third report)</li> <li>Around 11:00, found that fire naturally extinguished itself</li> </ul>  |  |  |  |  |  |  |  |
| 14:25        | Press release | Fukushima Daiichi NPS on-site monitoring data(13:30)  |  |  |  |  |  |  |  |
|              |               | <ul> <li>Fukushima Daiichi NPS hourly update (13:00)</li> <li>There was abnormal sound with drop in suppression chamber pressure in Unit 2. The transport of workers has started, excluding those directly involved in work.</li> <li>Resumed seawater injection for Unit 3 at 02:30.</li> <li>Found damages near 5F of Unit 4 R/B. Subsequently found fire on northwest area of 4F, but confirmed that it naturally extinguished itself at around 11:00.</li> <li>Updated data on Article 15 Notification (site boundary radiation abnormal increase)</li> </ul> |  |  |  |  |  |  |  |
| Around 15:45 | Press room    | <ul> <li>Corporate Communications Dept., Nuclear Asset Management De employees</li> <li>In regard to damaged locations of Fukushima Daiichi Unit responded that walls and roof of R/B 4F, 5F northwest areas ha collapsed.</li> </ul>   |  |  |  |  |  |  |  |
| 16:00        | Press release | <ul><li>Fukushima Daiichi NPS hourly update (15:30)</li><li>Indoor shelter order to people in 20 to 30km radius</li></ul>   |  |  |  |  |  |  |  |
| (16:00)      | Press release | <ul> <li>Headquarters hourly update (16:00)</li> <li>(Power supply related) All substations restored. Number of blackouts updated(7,300 households)</li> </ul>  |  |  |  |  |  |  |  |
| 16:30        | Website       | Fukushima Daiichi NPS on-site monitoring data (16:30)   |  |  |  |  |  |  |  |

| <ul> <li>Data updated on Article 15 Notification abnormal increase)</li> <li>Network 15 Notification abnormal increase</li> <li>Website</li> <li>Fukushima Daiichi, Fukushima Daini NPS (18:00)</li> <li>Corporate Communications Dept., Nuclear employees</li> <li>In regard to possibility of Fukushima explosion, responded that it has not been yet and causes were not yet identified, b denied.</li> <li>Website</li> <li>Website</li> <li>Press room</li> </ul> | )<br>e of Unit 3 R/B at around<br>R/B               |
|--|---|
| Around 20:30Press roomFukushima Daiichi, Fukushima Daini NPS (18:00)Around 20:30Press roomCorporate Communications Dept., Nuclear employees<br>• In regard to possibility of Fukushima explosion, responded that it has not been yet and causes were not yet identified, b denied.23:35WebsiteFukushima Daiichi NPS on-site monitoring dataAround 23:40Press roomFukushima Daiichi NPS on-site monitoring data   | (site boundary radiation                            |
| Around 20:30Press room(18:00)Around 20:30Press roomCorporate Communications Dept., Nuclear<br>employees<br>• In regard to possibility of Fukushima<br>explosion, responded that it has not been<br>  |   |
| <ul> <li>Around 20:30 Press room</li> <li>Corporate Communications Dept., Nuclear employees</li> <li>In regard to possibility of Fukushima explosion, responded that it has not been yet and causes were not yet identified, b denied.</li> <li>23:35 Website</li> <li>Around 23:40 Press room</li> </ul>  | on-site monitoring data                             |
| <ul> <li>employees</li> <li>In regard to possibility of Fukushima explosion, responded that it has not been yet and causes were not yet identified, b denied.</li> <li>23:35</li> <li>Website</li> <li>Around 23:40</li> <li>Press room</li> </ul>   |   |
| Around 23:40 Press room Fukushima Daiichi NPS on-site monitoring da  | Daiichi Unit 4 hydrogen possible to check the field |
| Around 23:40 Press room  |   |
|  | ta (23:35)  |
| Corporate Communications Dept., Nuclear<br>employees   | Asset Management Dept.                              |
| <ul> <li>In regard to Fukushima Daiichi Unit<br/>injection, explanation was provided that<br/>tomorrow or the day after, though radia<br/>scattered in the field.</li> </ul>   | they] would like to start it                        |

End

### Structure for Coordination of Public Communications between NISA and TEPCO

March 21, 2011 Nuclear and Industrial Safety Agency

### 1. Basic understanding

Regarding public communications for the Fukushima Daiichi Nuclear Power Station accident, Nuclear and Industrial Safety Agency (NISA), the regulator, and TEPCO, the operator are expected to conduct integrated public communications as the unified headquarters based on objective facts, although parties are in different positions.

Therefore, the following coordination will be implemented for public communication activities.

### 2. Coordination measures

- (1) Confirmation of facts for press releases
- Information is currently being shared within the unified headquarters, but the times and locations of press conferences by NISA and TEPCO (at two locations: at headquarters and at Fukushima Prefectural Office) are different for each, and the channels through which information is obtained is also different. Therefore, there is concern that the content of press releases might be perceived as inconsistent in its factual understanding.
- ② Therefore, NISA's PR team will receive an explanation of TEPCO's press release materials from an appropriate person of the TEPCO's section manager level before disclosure by TEPCO so as to gain a common understanding of the facts between the two parties.

\*It is not appropriate to conduct prior confirmation with respect to NISA press release materials because it may contain regulatory statements.

\*It is imperative that press releases would not be delayed due to the prior confirmation mentioned above.

- (2) Building contact structure for activities
- ① Hold liaison meetings between NISA PR officer (Deputy-Director General

Nishiyama) and TEPCO's main press conference representative (Vice President Muto) as appropriate to confirm notable items, etc. considering the circumstances such as how it would be covered in the media.

② Close communications between NISA Director of Nuclear Safety Public Relation (response headquarters secretariat PR team leader) and TEPCO Public Relations General Manager is to be established. Responses against criticism related to public relations of the unified headquarters shall be considered, and specific solutions shall be implemented.

(Reference) TEPCO's coordination suggestions

- The section manager level TEPCO personnel will explain press material to NISA prior to press release.
- Vice President Muto will be TEPCO's main press conference representative.

# Major articles regarding TEPCO's information disclosure (Major newspapers from March 12 to 16)

| Event                    | Article  |
|--------------------------|--|
| 3/12                     | • "TEPCO executives reluctant" (3/12 Mainichi Shimbun, Evening   |
| Press conference         | Edition)   |
| on venting               |  |
|                          | (Because the timing for venting and applicable unit was unclear)   |
| 3/12                     | • 'NISA, TEPCO repeating "We're verifying" (3/13 Asahi   |
| Unit 1 explosion         | Shimbun, Morning Edition)  |
|                          | <ul> <li>"Quickly provide accurate information" (3/13 Asahi Shimbun,<br/>Morning Edition)</li> </ul>             |
|                          | "Prime Minister says TEPCO is slow to report" (3/14 Mainichi   |
|                          | Shimbun, Morning Edition)  |
|                          | (Because of repeatedly saying "we're verifying" and "we do not know"   |
| 2/12                     | as well as because it took time for disclosure)  |
| 3/12<br>Press conference | "Dead end for energy policy. People's distrust reignited"<br>(3/13 Mainichi Shimbun, Morning Edition)            |
| T less conterence        |  |
|                          | (Regarding the attitude in the press conference of repeating actions   |
|                          | for the time being that "we are working on maintaining cooling water")   |
| -                        | • "Nuclear information, fast and promptly" (3/14 Asahi   |
|                          | Shimbun, Morning Edition)  |
|                          |  |
|                          | (Referring to TEPCO and government public communications up to   |
|                          | that point)  |
| 3/14                     | (From 3/14 to 15, much criticism that the announcement was just  |
| Planned outages          | immediately before implementation, insufficient explanation  |
|                          | beforehand, policy changed twice and three times, lack of coordination with the government, many mistakes, etc.) |
| 3/14                     | "Nuke plant, planned outages, TEPCO's sloppy actions" (3/15  |
| Unit 3 explosion         | Yomiuri Shimbun, Morning Edition)  |
|                          |  |
|                          | (Because sufficient explanation could not be provided, just repeating  |
|                          | that "we will check" immediately after the explosion and actions were  |
|                          | delayed)   |
| -                        | • "Information is the key to crisis management" (3/15 Mainichi   |
|                          | Shimbun, Morning Edition)  |
|                          | (Because there was a time difference and differences in information  |
|                          | provided at the press conference by the Official Residence, NISA,  |
|                          | and TEPCO)   |

| Event                             | Article  |
|-----------------------------------|--|
| 3/15<br>Unit 2 explosion<br>sound | <ul> <li>"TEPCO's obscure explanations" (3/15 Asahi Shimbun,<br/>Evening Edition)</li> <li>"Explanations are obscure" (3/15 Nikkei , Evening Edition)</li> <li>"I do not know" "We will check" Showing TEPCO's<br/>confusion" (3/15 Asahi Shimbun, Evening Edition)</li> <li>"TEPCO fails to give details" (3/15 Yomiuri Shimbun, Evening<br/>Edition)</li> <li>"Empty apologies, lack of explanation TEPCO executives<br/>nowhere in sight" (3/16 Sankei, Morning Edition)</li> <li>(Because of repeating "that is unknown" "we will check," important</li> </ul> |
|                                   | facts are ambiguous; executives not holding press conferences indicates lack of a sense of responsibility, etc.)   |
| 3/15 Unit 4 fire                  | <ul> <li>"TEPCO gives obscure explanation" (3/15 Asahi Shimbun,<br/>Evening Edition)</li> <li>"TEPCO sticks with ambiguous explanation" (3/16 Nikkei,<br/>Morning Edition)</li> <li>"Government: perilous crisis management, coordination<br/>with TEPCO delayed" (3/16 Nikkei, Morning Edition)</li> </ul>  |
|                                   | (Repeated that "it has not been verified" regarding the relation<br>between fire and explosion, repeated that "details are being<br>confirmed," even though the government had already released<br>radiation dose levels, government and TEPCO views differ<br>(Government: there is a high possibility of hydrogen explosion"<br>TEPCO: "The possibility cannot be rejected," etc.)   |
| -                                 | "TEPCO Cover-up culture still strong" (3/16 Asahi Shimbun,<br>Morning Edition)   |
|                                   | (Saying that TEPCO does not voluntarily disclose detrimental numbers, the attitude of trying to cover facts up is not something new)   |
| -                                 | <ul> <li>"TEPCO, poor risk management" (3/16 Yomiuri Shimbun,<br/>Morning Edition)</li> </ul>  |
|                                   | (Saying that many problems can be pointed out from the perspective of risk communication)  |
| -                                 | "Condemns poor information disclosure, CNN says TEPCO<br>lied again" (3/16 Sankei Shimbun, Morning Edition)  |
|                                   | (Saying that criticism from the international community regarding poor information disclosure was becoming apparent)   |

#### **Evacuation Procedures**

#### 1. Procedures

- ①Decision to evacuate
- 2 Contact contractors (request cooperation for buses)
- ③Notification to central government and local government (government office notification team)
- ④Announcements
- -Evacuation has been decided. All members (excluding emergency response members) are to take immediate evacuation actions.
- -Buses and cars are parked in front of the seismic isolated building. Buses and cars with the maximum number of passengers as possible will start departing to quickly evacuate all members.
- <sup>(5)</sup>Terminate security and radiation control check gates
- 6 All members to gather at the emergency response center
- ⑦Start evacuation
- 8 Reception of evacuated members
  - Healthy individuals: Daini gymnasium
  - Injured individuals: Daini Visitors Center

#### 2. Prepare in advance

- -List of on-site personnel (create from site entry records?)
- -Bus list (contact person, phone number)
- -Organize to receive evacuated members

5 buses, 100 people/bus

| REEの手順 docの<br>全般 )セキュリラ  | 1711パティ<br>ティ】カスタム】 概要 】                                       | 6   |
|---------------------------|--|---|
|                           | 退避の手順.doc  | minimusinany  |
| ファイルの種類                   | Microsoft Word 文書  | dalam an ann an   |
| プログラム:                    | 题 Microsoft Office Word 変更(2)                                  | The second se |
| 場所:                       |  |   |
| サイズ:<br>ディスク上<br>のサイズ:    | 27.0 KB (27.648 /ኑ/ ト)<br>28.0 KB (28.672 /ኑ/ ト)               |   |
| 作成日時:<br>更新日時:<br>アクセス日時: | 2011年3月15日、2:47:59<br>2011年3月15日、3:13:13<br>2011年9月13日、9:32:18 |   |
| 扇性:                       | - <u>読み取り専用(R)</u> 「 隠しファイル(H) _ 詳細設定(L                        | <u>)</u>  |
|                           | OK キャンセル 適用  | <br>( <u>A</u> )  |

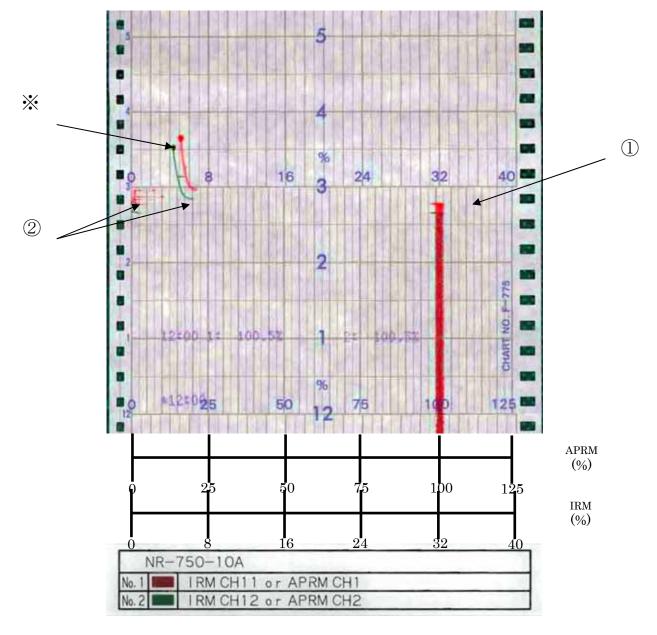
### Fukushima Daiichi Unit 1 Plant Data

# [Scram · All Control Rods Fully Inserted]

| H    | MIN  | SEC  | MSEC            | P      | ID              | ABBREVI  | ATION   | -       | _    | ST     | TUS.          |              |
|------|------|------|-----------------|--------|-----------------|----------|---|---------|------|--------|---------------|--------------|
| 14   | 46   | 46   | 400             | D50    | 64*             | SEISMIC  | TRIF  | C       |      | 7      | TRIP          | Automatic    |
| 14   | 46   | 46   | 410             | D5:    | 34              | REACTOR  | SCRM  | A       |      |        | RIP           | Scram        |
| 14   | 46   | 58   | 420             | D56    | 63              | SEISMIC  | TRIP  | B       |      | 7      | TRIP          |              |
| 14   | 46   | 58   | 430             | D53    | 35              | REACTOR  | SCRM  | B       | -    |        | TRIP          | Triggered by |
| 1446 | A538 |      |                 | BYPS   | VL T            | 0        | N   |         |      |        |               | Earthquake   |
| 1446 | B500 | CONT | ROD             | DRFT   | ALRM            | 0        | N   |         |      |        |               |              |
| 14   | 47   | 00   | 020             | D50    | 62              | SEISMIC  | TRIP  | A       |      | 7      | TRIP          |              |
| 14   | 47   | 00   | 030             | D56    | 65              | SEISMIC  | TRIP  | D       | -    | 1      | TRIP          |              |
| 1447 | C020 | SUPP | RESS            | ION J  | LEVL            | -40.2    | 8< -20  | .0 1    | MM   |        |               |              |
| 1447 | A523 | APRM | 1-              | DOWN S | SCAL            | TRB      |   |         |      | _      |               |              |
| 1447 | A539 | RWM  |                 | ROD I  | BLOK            | 0        | N   |         |      |        |               |              |
| 1447 | A553 | ALL  | CR F            | ULL IN | post in the set | 01       | N   | _       | _    |        | 10.00         | All Control  |
| 1447 | G002 | GENE | RATR            | VOLT   |                 | 18.5     | 6> 18.  | 50 R    | CV.  |        |               | Rods Fully   |
| 1447 | C000 | CONT | ROD             | SYST I | WOLT            | OVR F    |   | 2.5.7.5 |      |        |               | Inserted     |
| 1447 | 0020 | SUPP | RESS            | ION I  | LEVL            | 16.0     | MM C  | NOF     | TAMS | RETURN |               |              |
| 14   | 47   | 09   | 140             | D52    | 20              | REAC WIT |   |         |      |        | LOW           |              |
| 1447 | C004 | REAC | TOR             | WATR I | LEVL            | 516      |   | 100 M   | M    |        |               |              |
| 14   | 47   | 09   | 150             | D52    | 21              | REAC WIT | R LEVL  | B       | 122. |        | LOW           |              |
| 1447 | E004 |      | IGEAR           |        | 1A              | 721      |   | 00 V    | 7    |        |               |              |
| 14   | 47   |      | 910             |        |                 | REAC WIT |   |         | -    | 1 1 1  | LOW           |              |
| 1447 | C020 |      | RESSI           |        | LEVL            |          | 5> 20   |         | M    |        |               |              |
| 14   | 47   | 10   | 910             | D52    | COLUMN AND A    | REAC WIT |   |         | 2020 |        | LOW           |              |
| 1447 | A549 | LOW  |                 | ALRM H |                 |          |   | 1000    |      |        |               |              |
| 14   | 47   | 20   | 620             | D52    |                 | REAC WIT | A DECEMBER OF | C       |      | N      | IORM          |              |
| 1447 | D622 |      | A 1997 C 1997 C |        | RIP             | O        |   | -       |      |        | in the second |              |
|      |      |      |                 |        |                 |          |   |         |      |        |               |              |

| 1447 | A570 | #1 H   | SIV   | A                     | OPN   | OP       | 7   |            |               |   |                               |
|------|------|--|-------|-----------------------|---|----------|---|------------|---------------|---|-------------------------------|
| 14   | 47   | 52   | 080   |                       | D680  | 6.9KV Et | IS VET  | 1C LOS     | ON            |   |                               |
| 1447 | A581 | #2 H   | SIV   | D                     | OFN   | OF       | ŧ   |            |               |   |                               |
| 14   | 47   | 52   | 090   | -                     | D588  | AUX PORE | tioss   | 15         | TRIP          |   |                               |
| 1447 | A571 | 71 M   | SIV   | в                     | OPN   | OFT      | 2   |            |               |   |                               |
| 14   | 47   | 52   | 120   |                       | D651  | CWP B TH | RIP   | _          | - CUI         |   |                               |
| 1447 | A573 | #I M   | SIV   | D                     | OPN   | OF2      | 8   |            |               |   |                               |
| 14   | 47   | 52   | 130   |                       | D657  | RFP C TH | ATP   | _          | ON            | - | Feed Water Pump (C)Trip       |
| 1447 | A579 | #2 14  | VIE   | B                     | OPM   | 021      |   |            |               |   | · · · ·                       |
| 14   | 47   | 52   | 140   |                       | D654  | CP C TR  | CP  |            | ON            | - | Water Condenser Pump (C) Trip |
| 1447 | A580 | #2 M   | SIV   | C                     | OPN   | OF       |   |            |               |   | · · · ·                       |
| 14   | -47  | 52   | 250   |                       | D653  | CP B TR  | IP -  |            | CIN           | - | Water Condenser Pump (B) Trip |
| 1447 | B031 | CAME   | 82    | MON                   | W\C 1   | LOW R    | 511   |            |               |   |                               |
| 14   | .47  | 52   | 250   |                       | D650  | CHE A TH | RIP   | _          | ON            |   |                               |
| 1447 | B032 | CAVES  | 02    | HOW                   | V/G D   | LOW R    | SN  |            |               |   |                               |
| 14   | 47   | 52   | 270   |                       | D655  | REP A TR | RIP -   | _          | - 009         |   | Feed Water Pump (B) Trip      |
| 1447 | 1033 | CANS   | 112   | HT.                   | 1 5/0   | LOW R    | 511   |            |               |   |                               |
| 14   | 47   | 57   | 070   |                       | 0630  | DIES GEI | CB  | 1D-1       | CRI           | - |                               |
| 1447 | B034 | CMMS   | 02    | MON                   | I 3/C   | LOW R    | aN .  |            |               |   |                               |
| 14   | 47   | 57   | 140   |                       | D681  | 6.9KV BL | IS VLT  | ID LOS     | OFP.          |   |                               |
| 1447 | 6000 | GENE   | BATR  | GRO                   | S LOAD  | 383.0    | MM C  | NORMAL     | RETURN        |   |                               |
| 14   | 47   | 58   | 920   |                       | D589  | DIES GER | CB  | 10-1       | CN            |   |                               |
| 1447 | 0001 | GENE   | RATE  |                       | S VARS  | 9.0      |   | AWM D.     |               |   |                               |
| 14   | 47   | 58   | 970   | and the second second | D680  | 6.9KV E  |   | 1C LOS     | OFF           |   |                               |
| 1447 | 0002 | DENE   | RATER |                       | THE OWNER OF THE OWN | LOW R    |   | an control | 1 Contraction |   |                               |
| 14   | 48   | 00   | 220   | and the second second | D660  | PLR A LO | and the second second   | RY ACT     | ON            |   |                               |
| 1447 | 0007 | FEAC   | PHP   | TOT                   | and the second second second  | LOW PS   | SNI .   |            |               |   |                               |
| 14   | 48   | 13   | 280   | -                     | 0576  | TURBLNE  | and the second se | VER        | NORM          |   |                               |
| 1447 | 0037 | and the second sec | RC2A  |                       | G PLON  | LOW RE   |   | diam.      | 345,653       |   |                               |
| 14   | 48   | 14   | 980   |                       | D661  | PLR B LA | COUT  | RY ACT     | ON            |   |                               |

### [Feed Water Pumps and Condenser Pumps Trip]



[Intermediate Range Monitor (IRM), Average Power Range Monitor (APRM)], m

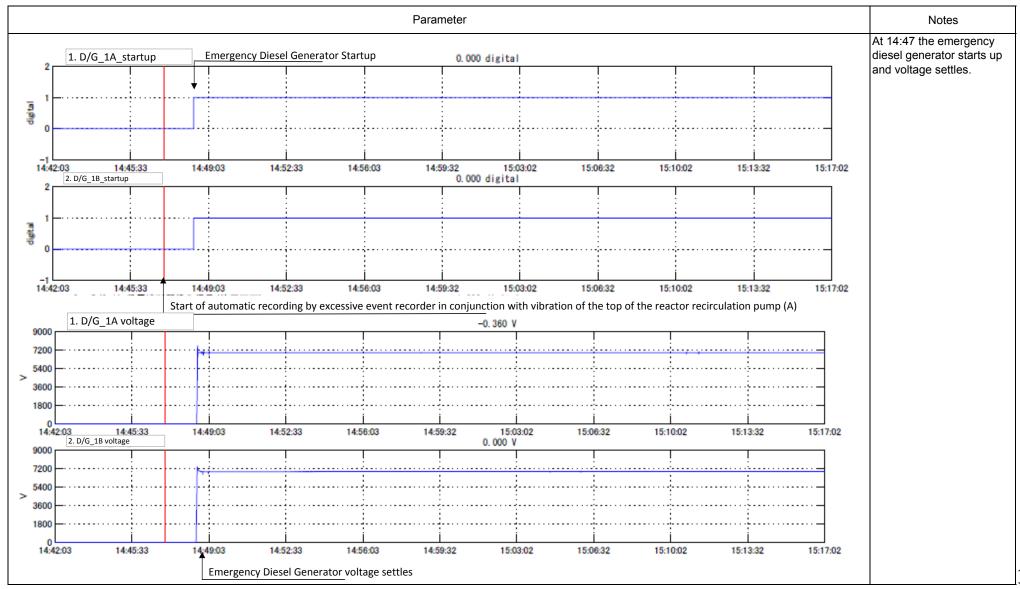
①Scram triggered by Earthquake at 14:46 and fall in output due to scram.

②Below the range of Average Power Range Monitor (APRM) and changed to Intermediate Range Monitor (IRM)

It is assumed that the tsunami arrived at 15:30.
It is supposed that the record stopped due to the impact of the tsunami.

| 1447 | B033 | CAMS  | H2   | MONT S/C  | LOW RSI   | 1     |        |        |    |                |
|------|------|-------|------|-----------|-----------|-------|--------|--------|----|----------------|
| 14   | 47   | 57    | 070  | D590      | DIES GEN  | CB    | 1D-1   | ÓN     | -  | D/G 1B Circuit |
| 1447 | B034 | CAMS  | 02   | MONI S/C  | LOW RS1   | 1     |        |        |    | Breaker On     |
| 14   | 47   | 57    | 140  | D681      | 6.9KV BUS | S VLT | 1D LOS | OFF    |    |                |
| 1447 | G000 | GENE  | RATR | GROS LOAD | 383.0     | MW    | NORMAL | RETURN |    |                |
| 14   | 47   | 58    | 920  | D589      | DIES GEN  | CB    | 10-1   | ON     | -> | D/G 1A Circuit |
| 1447 | G001 | GENE  | RATR | GROS VARS | 9.04      | ( 10. | 0 MVAR |        |    | Breaker On     |
| 14   | 47   | 58    | 970  | D680      | 6.9KV BUS | S VLT | IC LOS | OFF    |    |                |
| 1447 | G002 | GENER | RATR | VOLT      | LOW RSI   | 1     |        |        |    |                |
| 14   | 48   | 00    | 220  | D660      | PLR A LOC | OUT F | Y ACT  | ON     |    |                |
| 1447 | C007 | REAC  | PMP  | TOTL FLOW | LOW RSI   | 1     |        |        |    |                |
| 14   | 48   | 13    | 280  | D576      | TURBINE V | TB OV | ER     | NORM   |    |                |

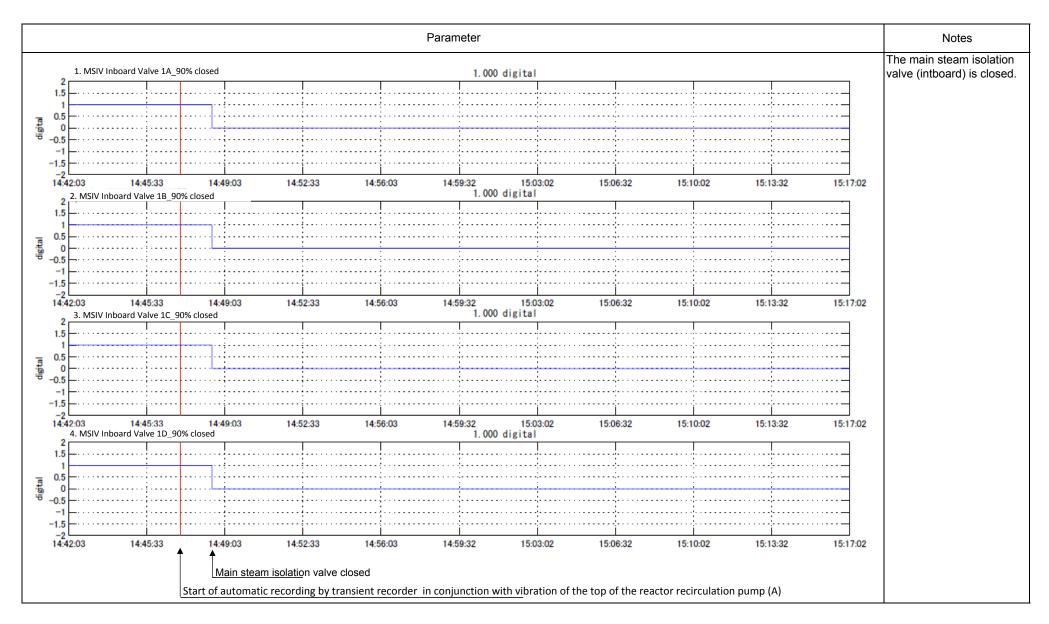
# [Diesel Generator (D/G) Circuit Breaker On]



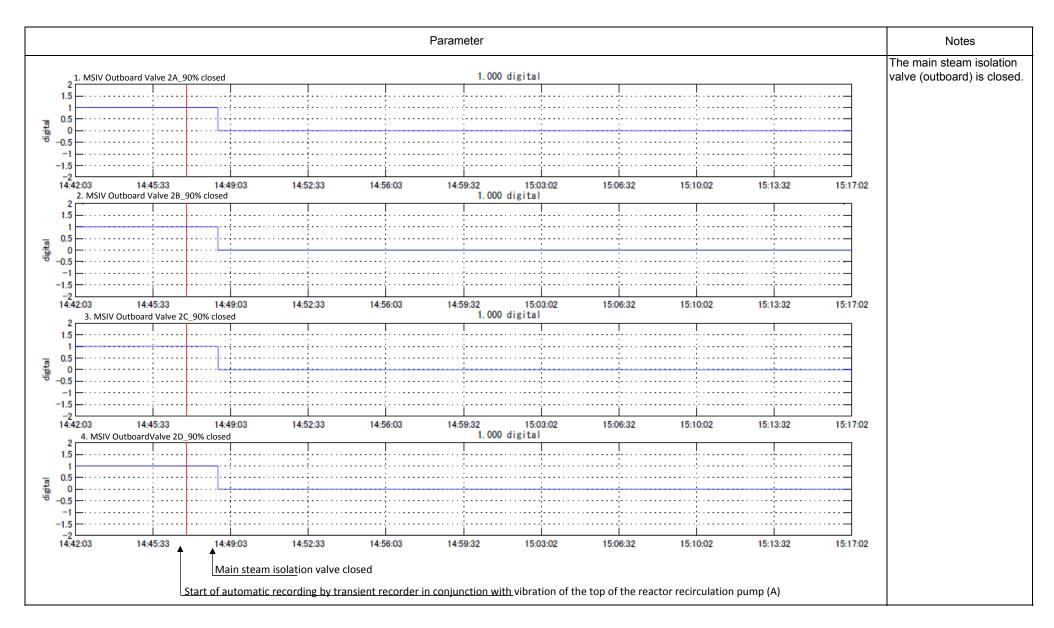
| 1447 | F065  | SWP  | DISC      | HG HDR P | RES LOW RSI  | N      |        |              |       |            |
|------|-------|------|-----------|----------|--------------|--------|--------|--------------|-------|------------|
| 14   | 47    | 50   | 930       | D520     | REAC WIR     | LEVL.  | A      | LOW          | <hr/> |            |
| 1447 |       | H2   | IN FL     | WO       | LOW RSI      | N      | _      |              |       |            |
| 14   | 47    | 50   | 930       | D508     | MAIN STM     | VALV   | A      | CLOSE        |       |            |
| 1447 | B009  | 02   | IN FL     | OW       | LOW RSI      | N      |        |              |       |            |
| 14   | 47    | 50   | 930       | D522     | REAC WIR     | LEVL   | C      | LOW          |       |            |
| 1447 | B001  | OG I | RECOM     | OUT 02 1 | DENS LOW RSI | N      |        |              |       |            |
| 14   |       | 50   | 930       | D606     | MAIN STM     | TEMP   | HIGH C | HIGH         |       |            |
| 1447 | A099  | HOT  | WELL      | MMHO A   | LOW RSI      | N      |        |              |       |            |
| 14   | 47    | 50   | 930       | D530     | NEUT MON     | SYST   | C      | TRIP         |       |            |
| 1447 | C030  | D/W  | PRES      | (W/R)    | LOW RSI      | N      |        |              |       |            |
| 14   | 47    | 50   | 930       | D526     | STM LINE     | RAD    | C      | HIGH         |       |            |
| 1447 | F001  | CLE  | ANUP      | OUTL A   | LOW RSI      | N      |        |              |       |            |
| 14   | 47    | 50   | 930       | D510     | MAIN STM     | VALV   | C      | CLOSE        |       |            |
| 1447 | C015  | SUP  | PRESS     | ION PRO  | ES LOW RSI   | 8      |        | Strength and |       |            |
| 14   | 47    | 50   | 930       | D532     | MANUAL       | SCRM   | A      | TRIP         |       | MSIV Closu |
| 1447 | C057  | RX   | WIR L     | NL (F/R) | A LOW RS!    | N      |        |              |       |            |
| 14   | 47    | 50   | 930       | D504     | CONDENSR     | VAC    | A      | LOW          | 1     |            |
| 1447 | B022  | STA  | CK RA     | D MONI H | R 0.47       | > -1.3 | O MS/H |              |       |            |
|      |       |      |           |          |              |        |        |              |       |            |
| 1447 | 10.01 | 1.11 |           | LEAK A   | HIGH         |        |        |              |       |            |
| 14   | 47    | 51   | 720       | D529     | NEUT MON     | SYST   | В      | TRIP         |       |            |
| 1447 | A502  | MAIN | STM       | FLOW C   | HIGH         |        |        |              |       |            |
| 14   | 47    | 51   | 720       | D525     | STM LINE     | RAD    | В      | HIGH         |       |            |
| 1447 |       |      |           | LEAK C   | HIGH         |        |        |              |       |            |
| - 14 | 47    | 51   | 720       | D533     | MANUAL       | SCRM   | B      | TRIP         |       |            |
| 1447 | A525  | APRM |           | INOP     | TREL         |        |        |              |       |            |
| 14   | 47    | 51   | 720       | D511     | MAIN STM     | VALV   | D      | CLOSE        |       |            |
| 1447 | A526  | APRM | FLOW      | BIAS IN  | OP TRBL      |        |        |              |       |            |
| 14   | 47    | 51   | 720       | D509     | MAIN SIM     | VALV   | В      | CLOSE        |       |            |
| 1447 | A529  | RBM  | NUMBER OF | INOP     | TREEL        |        |        |              | /     |            |
| 14   | 47    | 51   | 720       | D527     | STM LINE     | RAD    | D      | HIGH         |       |            |
| 1447 | A540  | APRM | FLOW      | BIAS CM  | PR TRBL      |        |        |              |       |            |

[Main Steam Isolation Valve (MSIV) Closure]

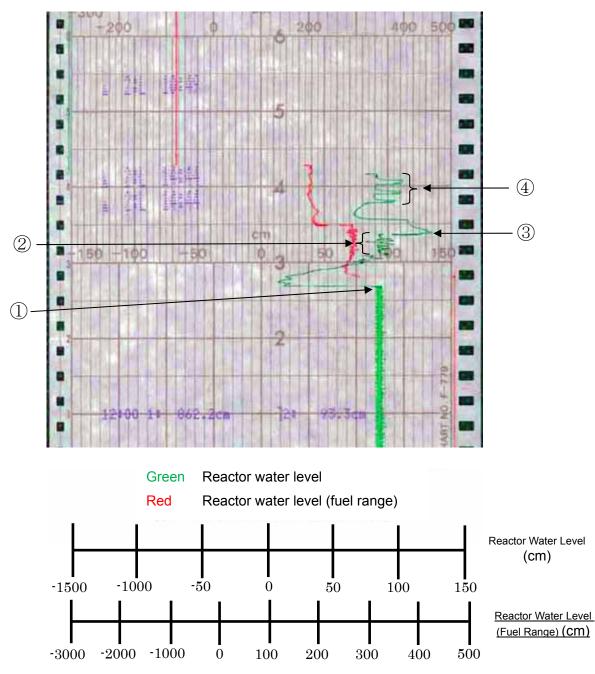
(Note) Before and after MSIV closure, abnormal signals such as rupture detection have been recorded, but it is observed that these abnormal signals were sent as a fail safe measure following the loss of power for the instruments due to the loss of off-site power as a result of the earthquake. Signs of abnormalities, such as the increase in steam flow rates, are not seen in the process of closing the MSIV.



Fukushima Daiichi Unit 1 Transient Recorder Trends

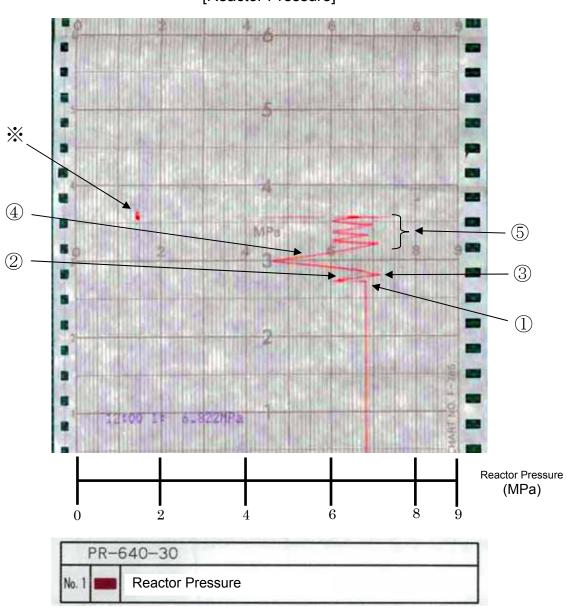


Fukushima Daiichi Unit 1 Transient Recorder Trends



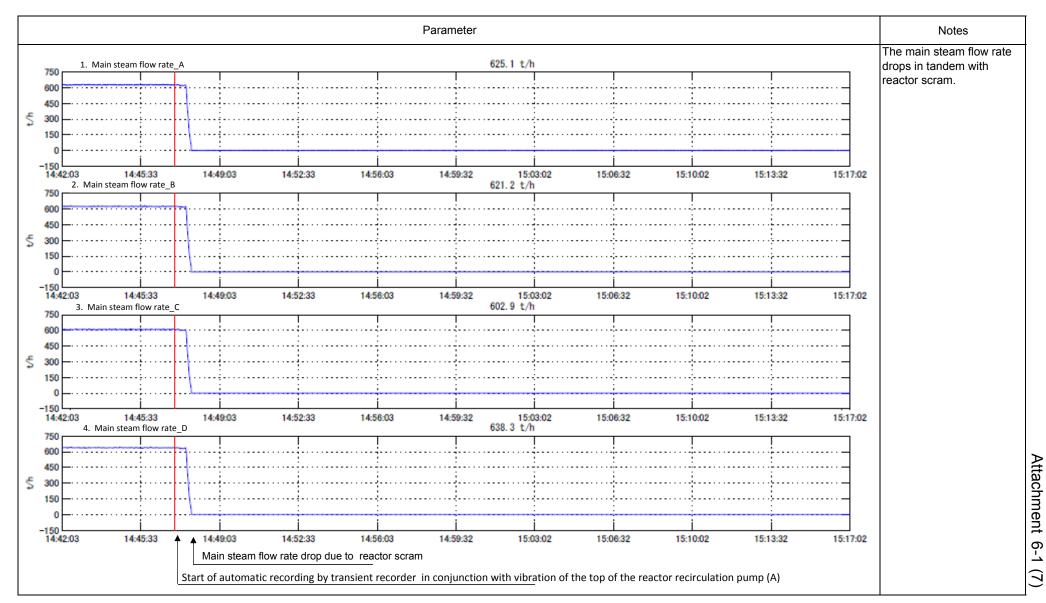
[Reactor Water Level]

- Scram triggered by earthquake at 14:46 (Chart fast forwarded: 60 times the speed, 1 hour = 1 minute)
- 2 Loss of off-site power around this time, MSIV closed (Chart fast forwarding reset due to loss of power)
- ③ Automatic IC startup
- ④ Change in water level supposedly due to IC operations

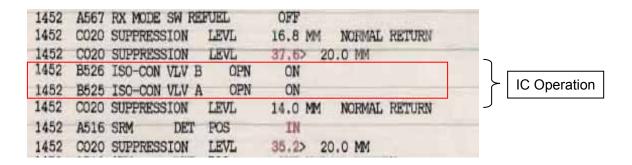


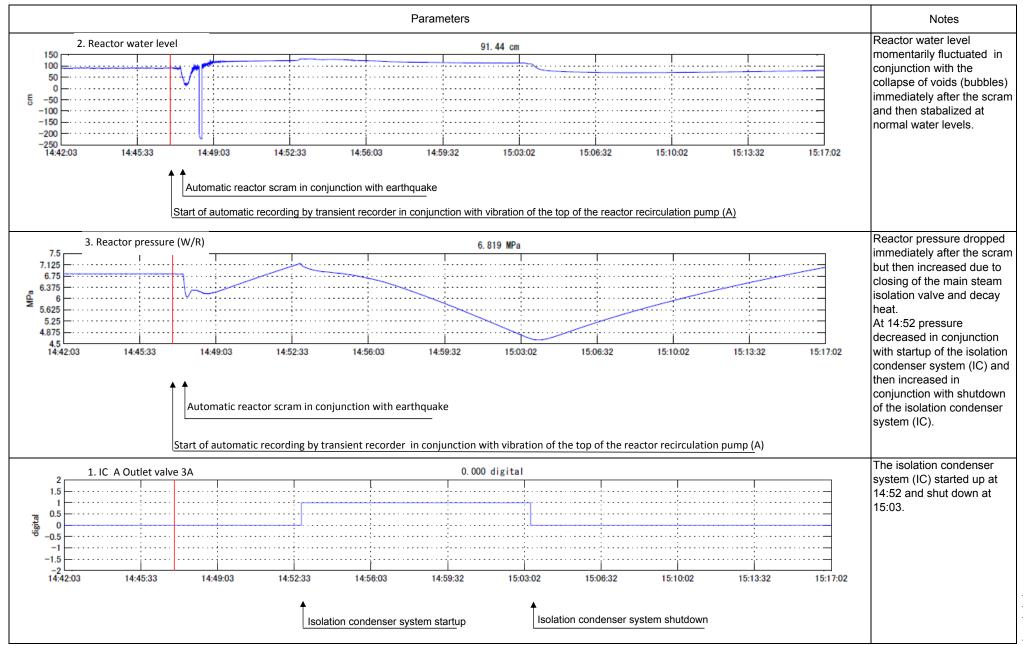
[Reactor Pressure]

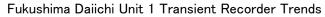
- ① Scram triggered by earthquake at 14:46
- ② Rise in pressure due to the closing of MSIV
- ③ IC activation and consequent drop in pressure at 14:52
- ④ Rise in pressure due to IC shutdown
- ⑤ Change in pressure supposedly caused by IC
- \* It is supposed that the tsunami arrived shortly after 15:30. It is assumed that the record stopped due to the impact of the tsunami.

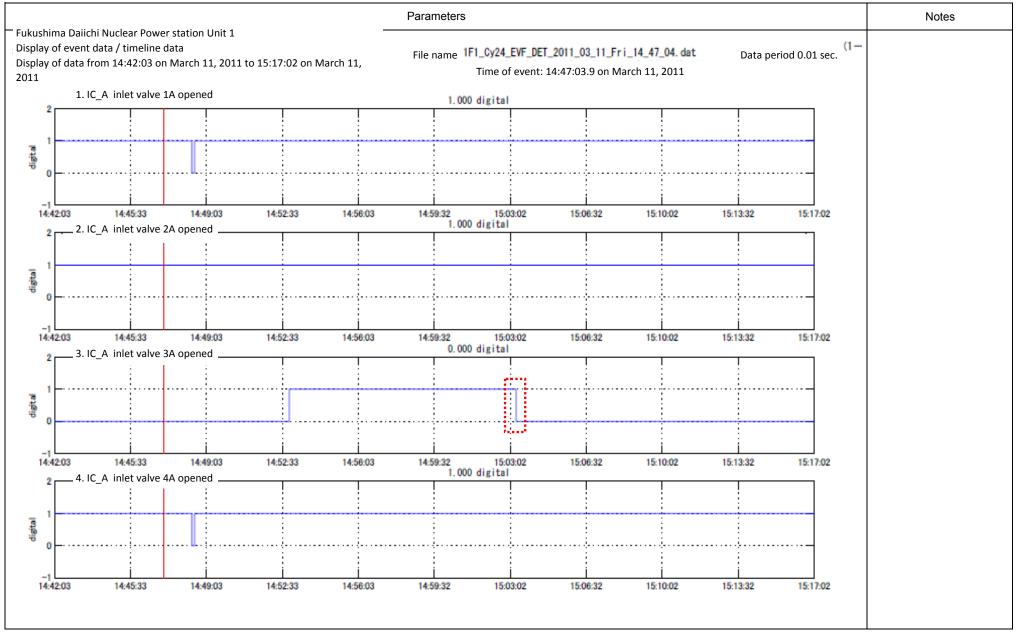


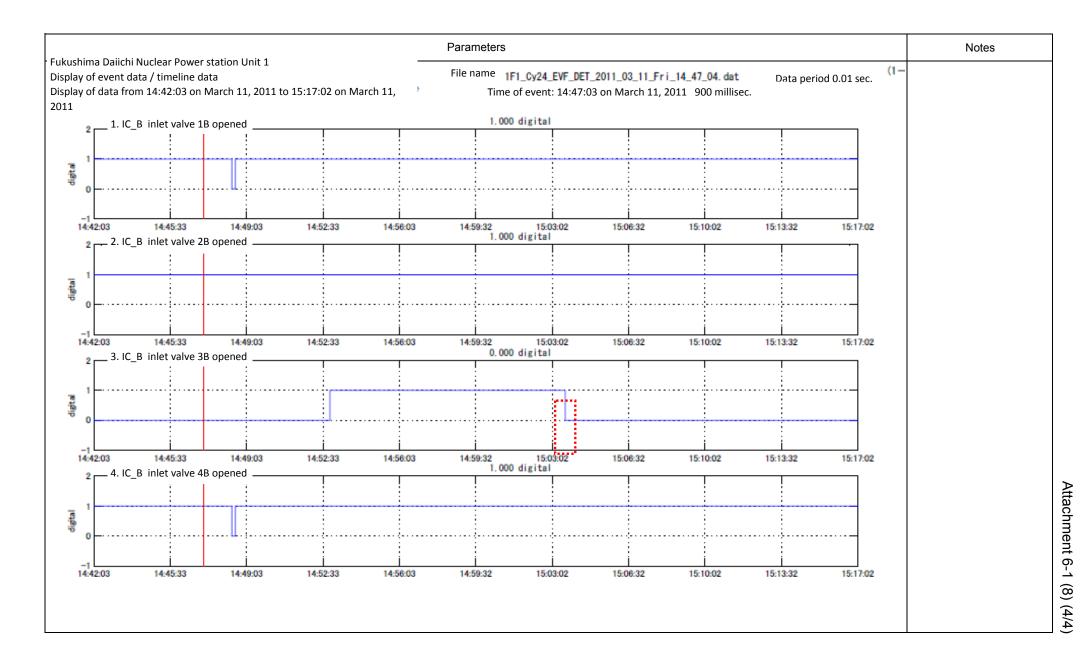
### [Isolation Condenser System (IC) Operation]

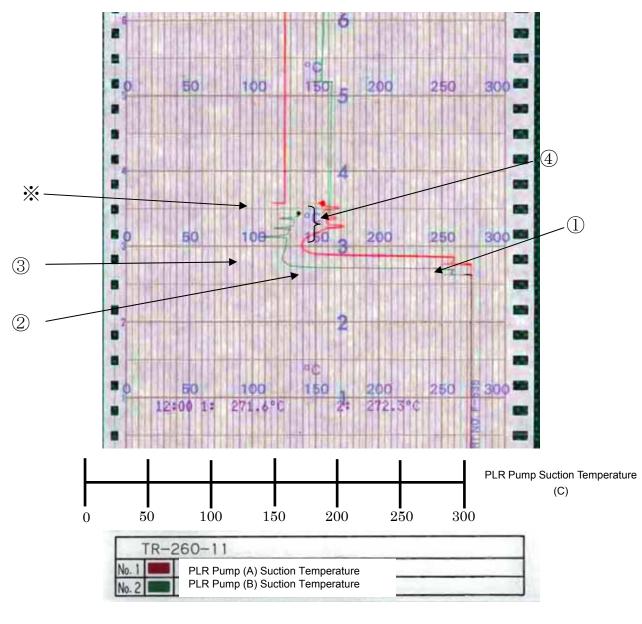








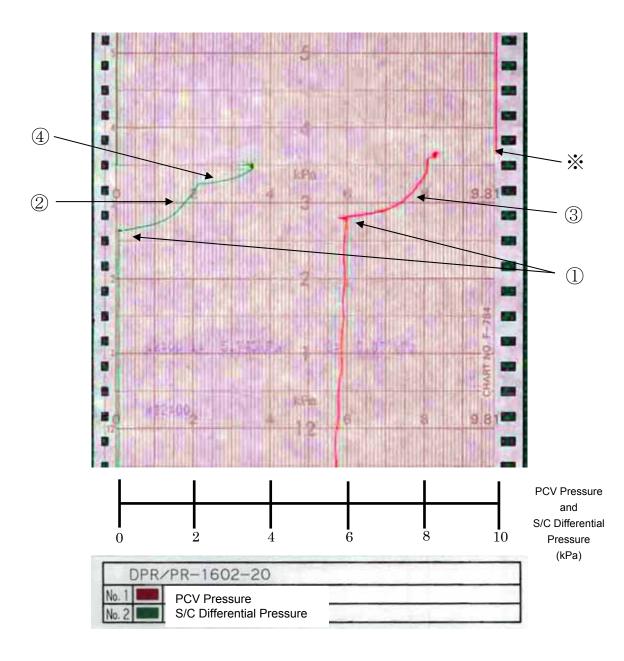




[Primary Loop Recirculation (PLR) Pump Suction Temperature]

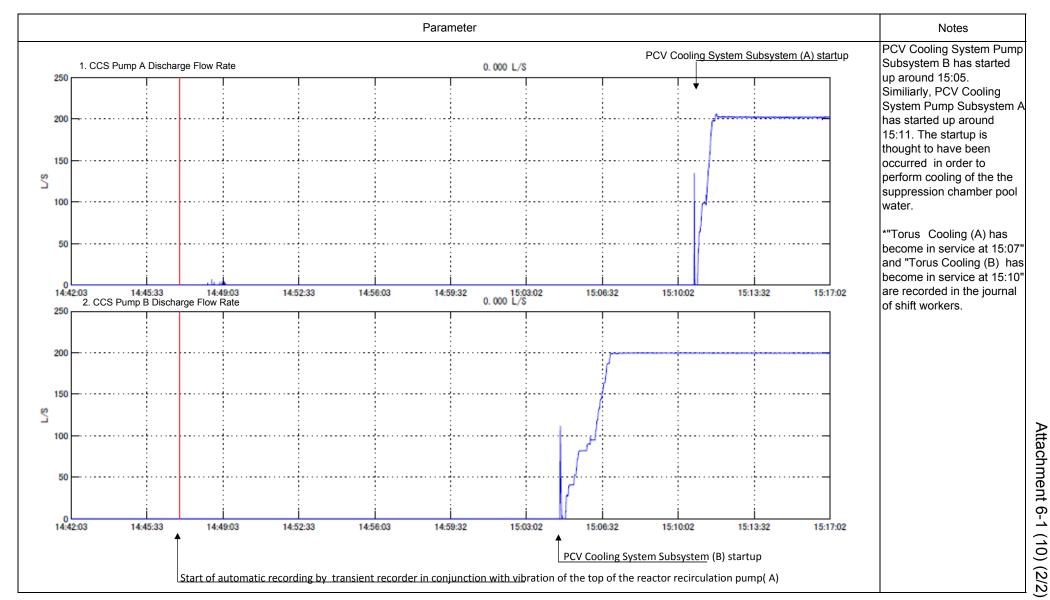
- ① Scram Triggered by Earthquake at 14:46
- 2 Drop in output due to scram, decrease in pressure due to IC activation, decrease in temperature because of low temperature water injection.
- ③ Shutdown of automatically activated IC
- ④ Change resulting from pressure control with IC (A) (PLR pump (B) suction temperature dropped dramatically due to cooled water from IC operation flowing directly into the PLR loop (B) suction. The cooled water flowed toward PLR Loop (A) on the opposite side of PLR loop (B) while slowly being warmed, and PLR pump (A) temperature gently dropped slightly after)

\* Loss of power for recorders shortly after 15:30 due to arrival of tsunami, resulting in the recorder to stop temporarily. It is assumed that it restarted afterwards.

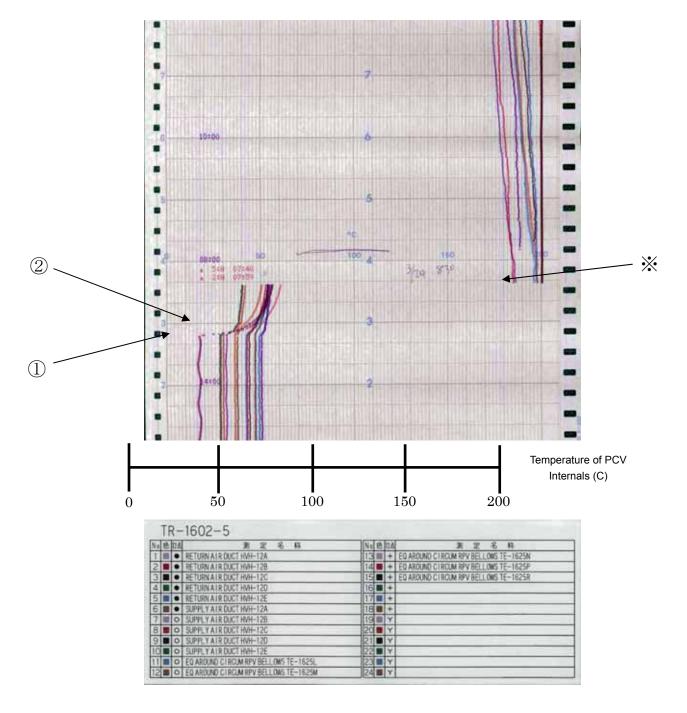


[Primary Containment Vessel (PCV) Pressure, Suppression Chamber (S/C) Differential Pressure]

- ① Scram triggered by earthquake at 14:46
- ② Rise in S/C Differential Pressure following rise in PCV pressure
- ③ Rise in PCV pressure due to PCV air conditioning shutdown
- ④ Decrease in S/C pressure following S/C cooling (indicates further increase in differential pressure)= inflection point
   \* It is supposed that the tsunami arrived shortly after 15:30. It is assumed that the chart no longer gives an accurate reading due to the impact of the tsunami.

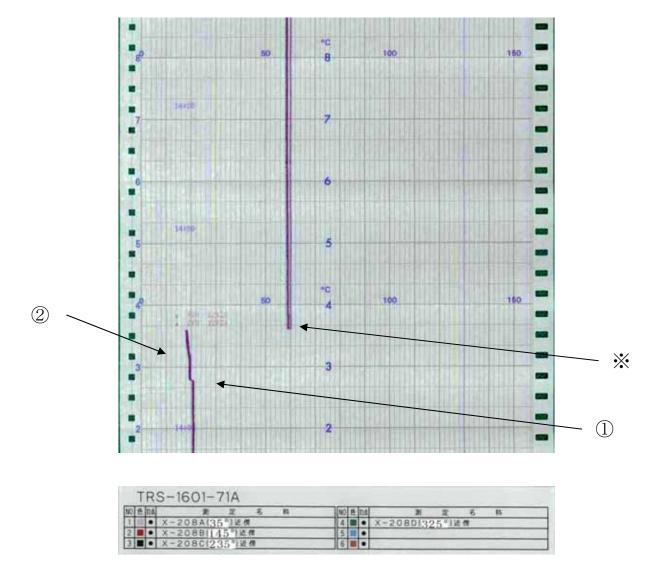


Fukushima Daiichi Unit 1 Transient Recorder Trends



## [Temperature of Primary Containment Vessel (PCV) Internals]

- ① Scram triggered due to Earthquake at 14:46
- 2 Rise in PCV Temperature following shutdown of PCV air conditioning due to loss of power.(Significant rise in pressure caused by piping ruptures was not found.)
- \* Loss of power for recorders shortly after 15:30 due to tsunami resulted in temporary halt in recording. Recording restarted on March 24 following restoration of power for recorders.

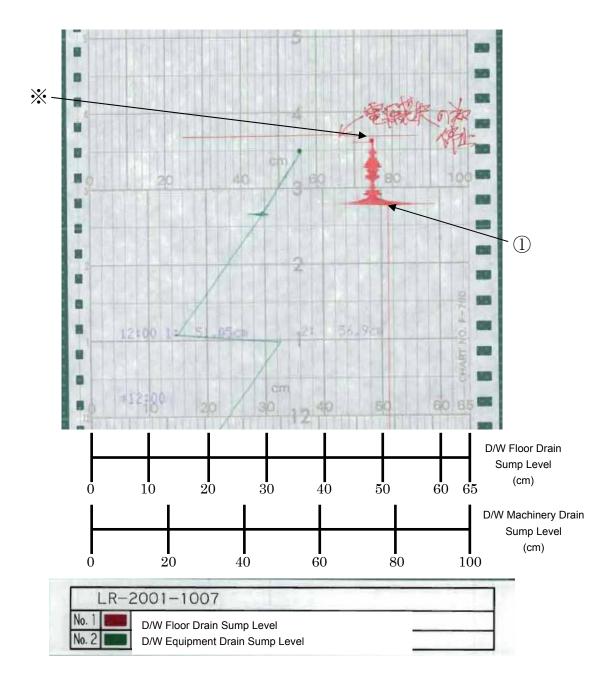


## [Suppression Pool Water Temperature]

① Scram triggered by earthquake at 14:46

② Cooling by PCV spray system (CCS)

\*It is assumed that the tsunami arrived shortly after 15:30. It is also assumed that correct recordings were not made due to the impact of the tsunami.

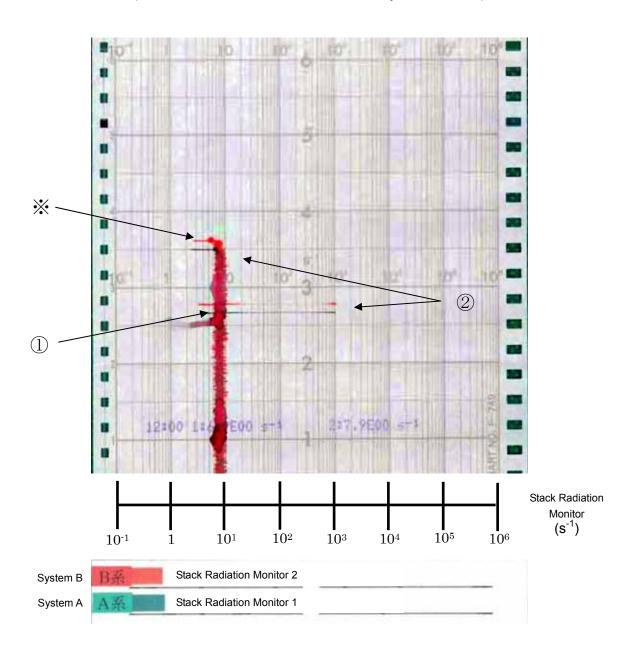


[Dry Well (D/W) Floor Drain Sump Water Level]

- ① Scram triggered by earthquake at 14:46
- ※ It is supposed that the tsunami arrived shortly after 15:30. It is assumed that the record stopped due to the impact of the tsunami.

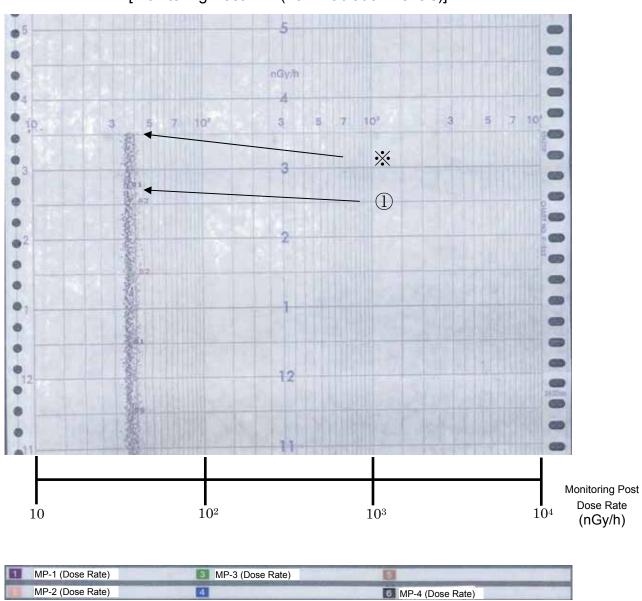
| 1447 | A549 LOW POWR ALRM POINT UNDER            |                                  |
|------|---|----------------------------------|
| 14   | 47 20 620 D522 REAC WIR LEVL C            | NORM                             |
| 1447 | D622 PCIS ISO IN TRIP ON                  |                                  |
| 14   | 47 20 620 D523 REAC WIR LEVL D            | NORM                             |
| 1447 | D623 PCIS ISO OUT TRIP ON                 | Oten dhu Oee Trestment Queters   |
| 14   | 47 21 910 D521 REAC WIR LEVL B            | NOT Standby Gas Treatment System |
| 1447 | B519 SGTS B START ON                      | (B) startup                      |
| 14   | 47 21 920 D520 REAC WTR LEVL A            | NOF                              |
| 1447 | GOO1 GENERATR GROS VARS 264.0> 228.0 MVAR |                                  |
| 14   | 47 26 290 D578 DUMPTANK 2 LEVL B          | HIGH                             |
| 1447 | CO55 RX WIR LVL (W/R) A 214< 700 MM       |                                  |
| 14   | 47 26 550 D502 DUMPTANK 1 LEVL C          | HIGH                             |
| 1447 | CO56 RX WIR LVL (W/R) B 276< 700 MM       |                                  |
| 14   | 47 26 750 D503 DUMPTANK 1 LEVL D          | HIGH                             |

# [Standby Gas Treatment System (SGTS) Operation]



## [Stack Radiation Monitor] (Stack Radiation Monitor is shared by Units 1 & 2)

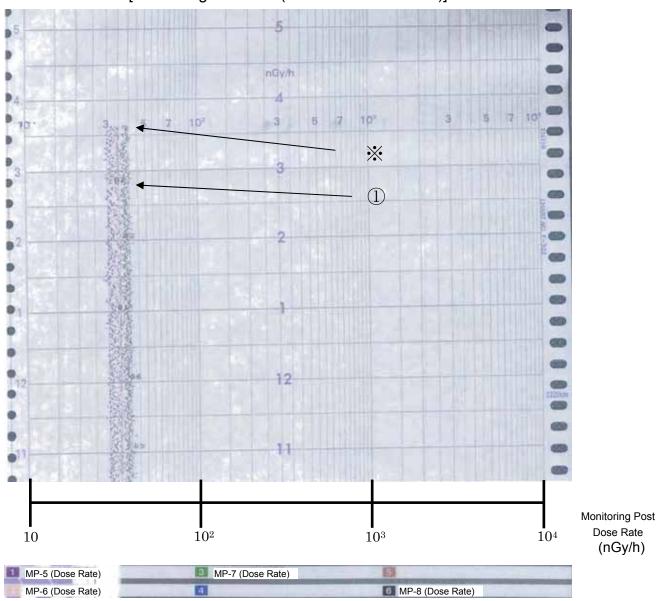
- ① Scram triggered by earthquake at 14:46
- ② Signal thought to be noise
- \*It is supposed that the tsunami arrived shortly after 15:30. It is assumed that the record stopped due to the impact of the tsunami.



[Monitoring Post 1~4 (Low Radiation Levels)]

① Scram triggered by earthquake at 14:46

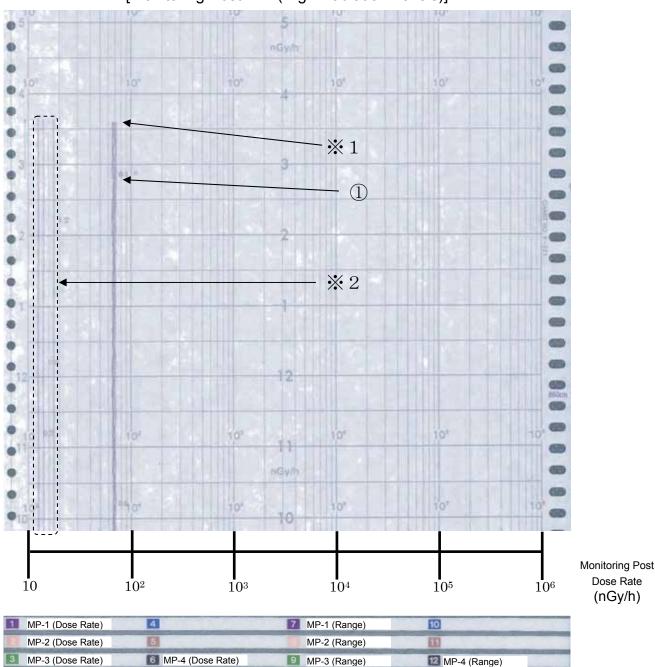
\*It is supposed that the tsunami arrived shortly after 15:30. It is assumed that the record stopped due to the impact of the tsunami.



[Monitoring Post 5~8 (Low Radiation Levels)]

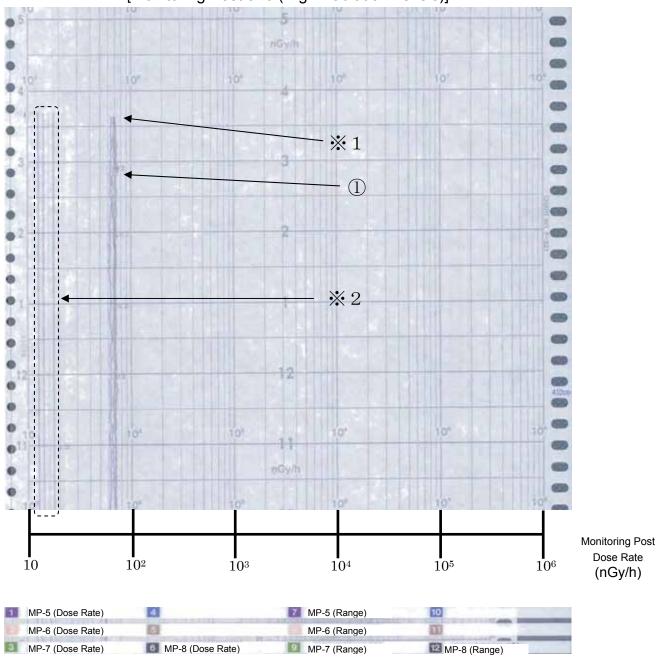
① Scram triggered by earthquake at 14:46

\*It is supposed that the tsunami arrived shortly after 15:30. It is assumed that the record stopped due to the impact of the tsunami.



[Monitoring Post 1~4 (High Radiation Levels)]

- ① Scram triggered by earthquake at 14:46
- %1 It is supposed that the tsunami arrived shortly after 15:30. It is assumed that the record stopped due to the impact of the tsunami.
- %2 This recorder can record two ranges (Low Range: 10~10<sup>6</sup>nGy/h, High Range: 10<sup>3</sup>~10<sup>8</sup> nGy/h). Indication of the range here means that Low Range is being recorded. (For High Range, records are plotted on the right side of the chart.)

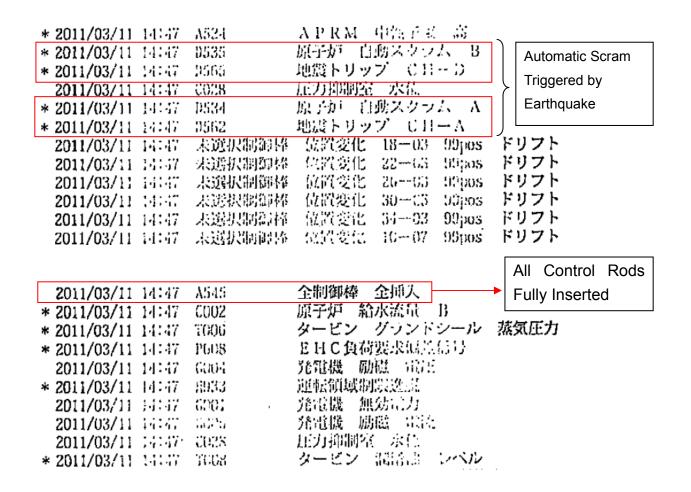


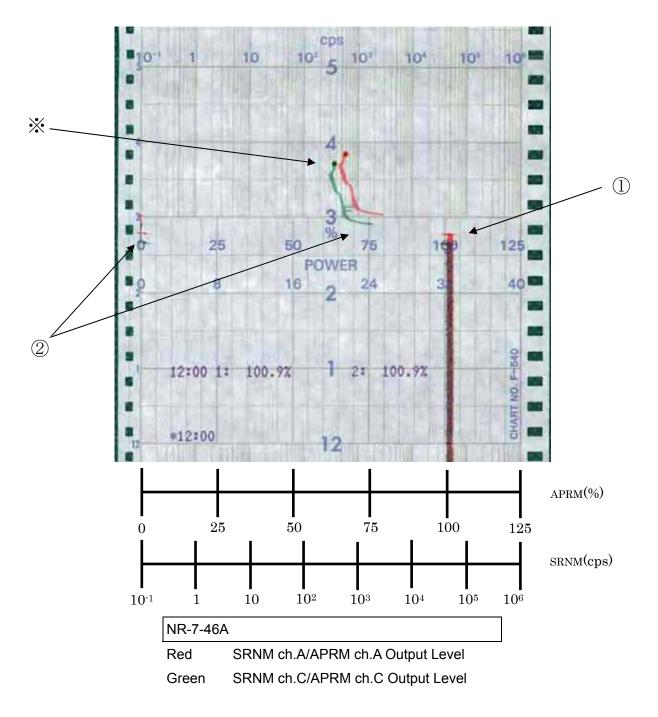
[Monitoring Post 5~8 (High Radiation Levels)]

- ① Scram triggered by earthquake at 14:46
- %1 It is supposed that the tsunami arrived shortly after 15:30. It is assumed that the record stopped due to the impact of the tsunami.
- %2 This recorder can record two ranges (Low Range: 10~10<sup>6</sup>nGy/h, High Range: 10<sup>3</sup>~10<sup>8</sup> nGy/h). Indication of the Range here means that Low Range is being recorded. (For High Range, records are plotted on the right side of the chart.)

#### Fukushima Daiichi Unit 2 Plant Data

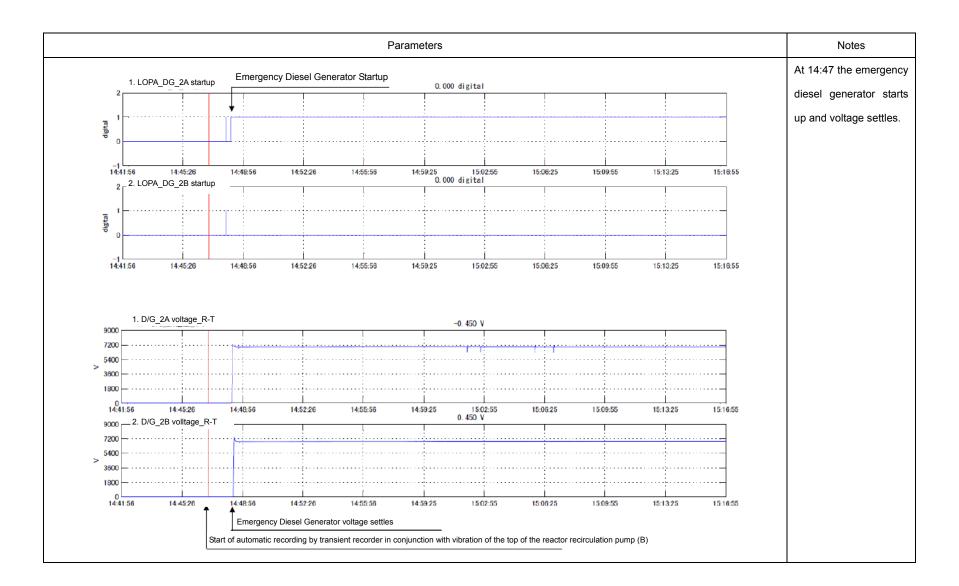
#### [Scram · All Control Rods Fully Inserted]



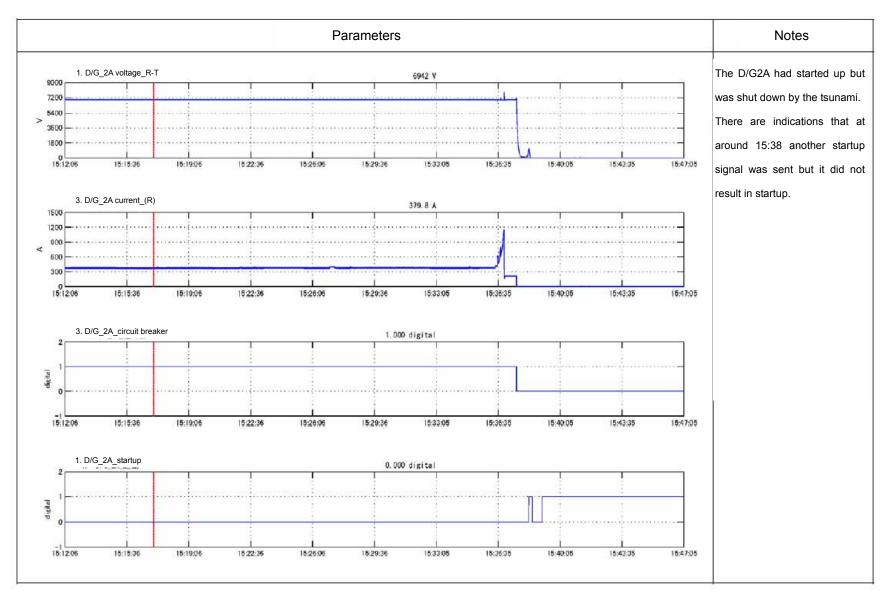


### [Start-Up Range Neutron Monitor (SRNM), Average Power Range Monitor (APRM)]

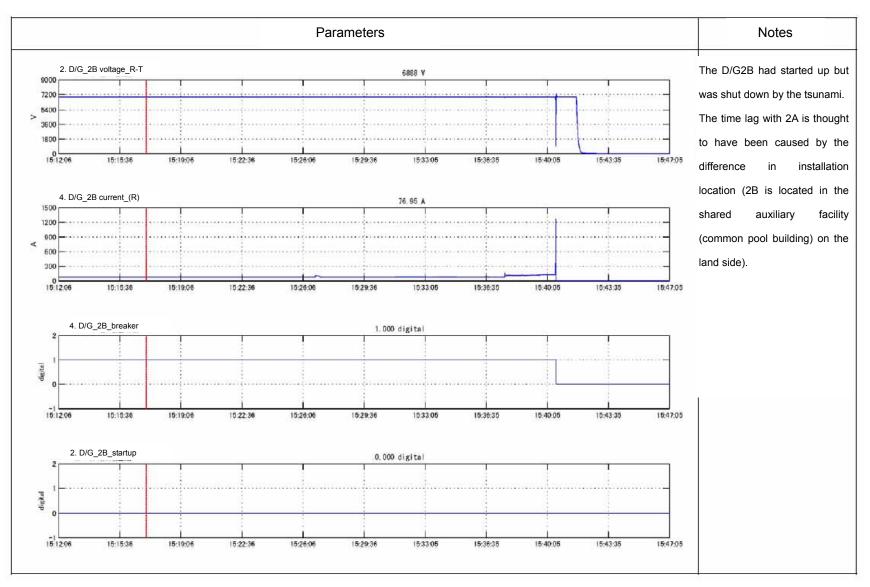
- ① Scram triggered by earthquake at 14:47, and fall in output due to scram
- ② Below the range of Average Power Range Monitor (APRM) and switch to Start-Up Range Neutron Monitor (SRNM)
- \*It is supposed that the tsunami arrived shortly after 15:30. It is assumed that the record stopped due to the impact of the tsunami.



Fukushima Daiichi Unit 2 Transient Recorder Trends



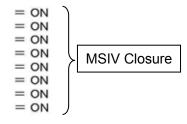
## Fukushima Daiichi Unit 2 Transient Recorder Trends



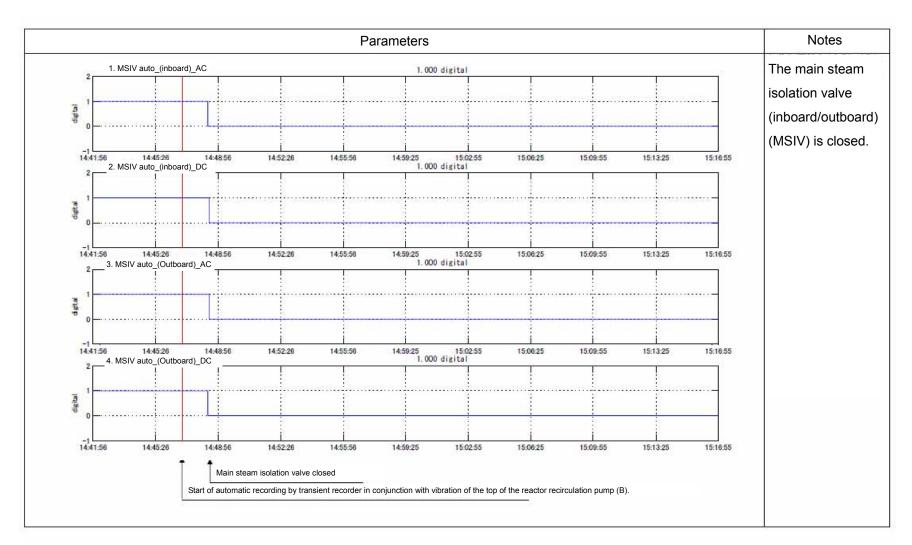
Fukushima Daiichi Unit 2 Transient Recorder Trends

### [Main Steam Isolation Valve (MSIV) Closure]

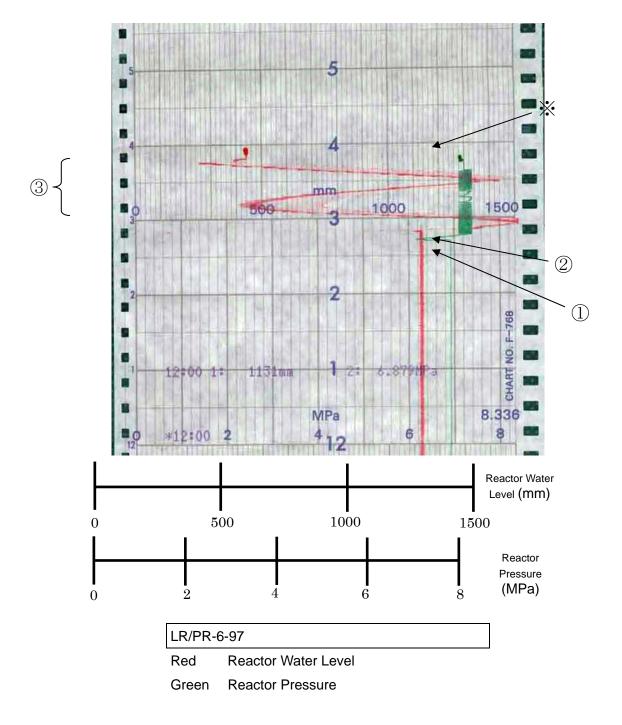
2011/3/11 14:48 A574 No.1 MSIV A closed 2011/3/11 14:48 A575 No.1 MSIV B closed 2011/3/11 14:48 A576 No.1 MSIV C closed 2011/3/11 14:48 A577 No.1 MSIV D closed 2011/3/11 14:48 A582 No.2 MSIV A closed A583 No.2 MSIV B closed A584 No.2 MSIV C closed 2011/3/11 14:48 2011/3/11 14:48 A585 No.2 MSIV D closed 2011/3/11 14:48



(Note) Before and after MSIV closure, abnormal signals such as rupture detection have been recorded, but it is observed that these abnormal signals were sent as a fail safe measure following the loss of power for the instruments due to the loss of off-site power as a result of the earthquake. Signs of abnormalities, such as the increase of steam flow rates, are not seen in the process of closing the MSIV.



Fukushima Daiichi Unit 2 Transient Recorder Trends



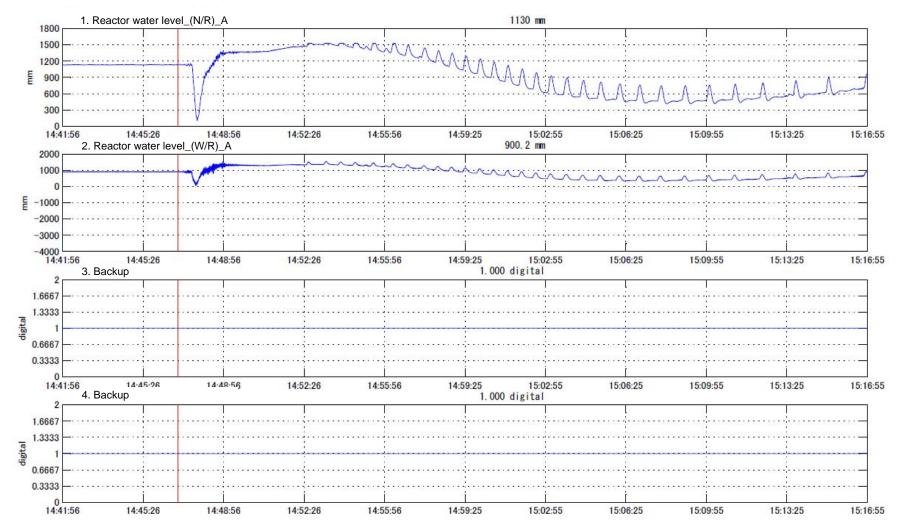
#### [Reactor Water Level, Reactor Pressure]

- ① Scram triggered by earthquake at 14:47
- ② Rise in pressure due to closing of MSIV, and pressure control due to the opening and closing of SRV that followed
- ③ Adjustment of water level due to RCIC startup and shutdown

\*It is supposed that the tsunami arrived shortly after 15:30. It is assumed that the record stopped due to the impact of the tsunami.

Fukushima Daiichi Nuclear Power Station Unit 2Display of event data / timeline data Display of data from 14:41:56 on March 11, 2011 to 15:16:55 on March 11, 2011 Group name: 1F-2 (1) Reactor water level

File name 1F2\_0y26\_EVF\_DET\_2011\_03\_11\_14\_46\_56\_400. dat Data period 0.01sec. Time of event: 14:46:56 on March 11, 2011 400 millisec.



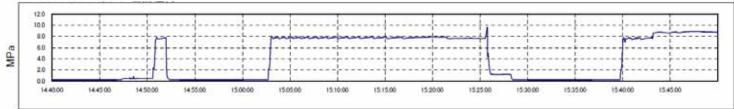
| F2ブロセス計算機アラー      | ムプリンタ出 | カ                 |                |     |        |
|-------------------|--------|-------------------|----------------|-----|--------|
| 9950              | PID    | 名称                | 値              | 単位  |        |
| * 2011/3/11 1450  | P418   | PLRポンプB 上部接動      | = 157,2899933  | µm. | 不良     |
| 2011/3/11 14:50   | P418   | PLRポンプB 上創揺動      | = 127.4175034  | µm. | 正常     |
| * 2011/3/11 14:50 | C028   | 任力抑制室 水位          | = -64.6875     | mm  | 包      |
| * 2011/3/11 1450  | P417   | PLRポンプA 上部接動      | = 186,2774963  | #m  | 不良     |
| + 2011/3/11 14:50 | D648   | RCIC タービン 起動      | = ON           |     | 불위     |
| 2011/3/11 14:50   | D703   | RCIC 注入井 開        | = ON           |     | 王常     |
| 2011/3/11 14:50   | F066   | 復水器 ホットウェル レベル A  | = 152.53125    | mm  | 王常     |
| 2011/3/11 1450    | R705   | RCIC把脑信号          | = 纪勒           |     | 王常     |
| 2011/3/11 14:50   | C028   | 臣力抑制堂 水位          | = 40.9375      | mm  | 正常     |
| 2011/3/11 14:51   | S236   | 復水器 ホットウェル 水位     | = 152.625      | mm  | 正常     |
| * 2011/3/11 14:51 | D585   | 原子炉 水位高           | = \$           |     | 業報     |
| 2011/3/11 14:51   | C028   | 圧力抑制室 水位          | = 25.625       | mm  | 正常     |
| 2011/3/11 14:51   | D648   | RCIC タービン 起動      | = OFF          |     | 正常     |
| # 2011/3/11 14:51 | C028   | 圧力抑制室 水位          | = -51.25       | mm  | 低      |
| 2011/3/11 15:02   | R734   | S/R弁 F 全開         | = OFF          |     | 正常     |
| * 2011/3/11 15:02 | D648   | RCIC タービン 起動      | = ON           |     | 警報     |
| 2011/3/11 15:02   | R705   | RCIC起動信号          | = 起動           |     | 正常     |
| 2011/3/11 15:02   | R708   | RHSW Cポンプ遮断器      | = リセット         |     | 正常     |
| + 2011/3/11 15:28 | C048   | D/W クーラー戻り空気温度 A  | = 64.43157196  | °C  | 高高     |
| * 2011/3/11 15:28 | D585   | 原子炉 水位高           | = 高            |     | 警報     |
| 2011/3/11 15:28   | D648   | RCIC タービン 起動      | = OFF          |     | 正常     |
| 2011/3/11 15:28   | D628   | 逃し安全弁 F 開         | = OFF          |     | 正常     |
| 2011/3/11 15:39   | T006   | ターピン グランドシール 蒸気圧力 | = -0.665531218 | kPa | 正常     |
| + 2011/3/11 15:39 | D648   | RCIC タービン 起動      | = ON           |     | 警報     |
| * 2011/3/11 15:39 | D672   | 発電機 モータリング トリップ   | = ON           |     | 警報     |
| 2011/3/11 15:39   | D703   | RCIC 注入并 開        | = ON           |     | 正常     |
| + 2011/3/11 15:39 | C048   | D/W クーラー戻り空気温度 A  | = 66.72718811  | °C  | L3高    |
| # 2011/3/11 15:39 | T006   | タービン グランドシール 蒸気圧力 | = -0.665531218 | kPa | 低      |
| 2011/3/11 15:39   | R705   | RCIC起動信号          | = 起動           |     | 正常     |
| * 2011/3/11 15:39 | T006   | タービン グランドシール 蒸気圧力 | = -0.665531218 | kPa | RL下服洗税 |

## [Reactor Core Isolation Cooling System (RCIC) Operation Status]

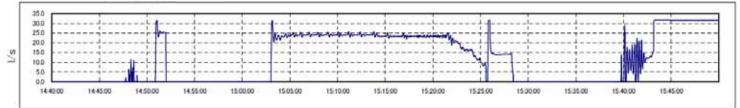
- ① RCIC manually activated at 14:50, afterwards, shut down at 14:51 due to high reactor water levels
- ② RCIC manually activated at 15:02, afterwards, shut down at 15:28 due to high reactor water levels.
- ③ RCIC manually activated at 15:39

Fukushima Daiichi Nuclear Power Station Unit 2 process computer history data Display of data from 14:40:00 on March 11, 2011 to 15:50:00 on March 11, 2011 Data period: 1sec.

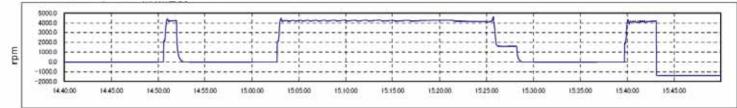
1. P750 RCIC Pump discharge pressure



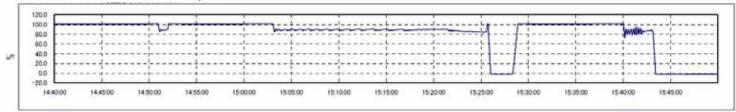
#### 2. P751 RCIC Pump discharge flow rate



#### 3. P752 RCIC Turbine rotation speed

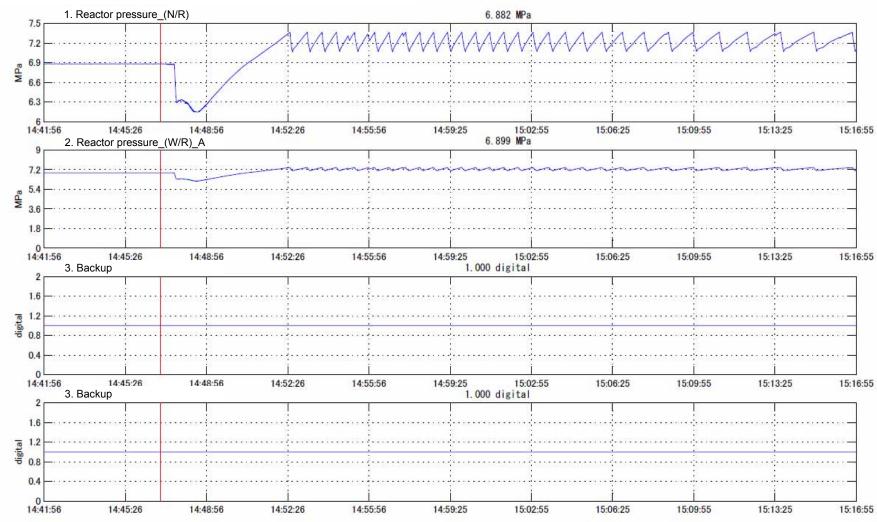


#### 4. P753 RCIC Flow rate controller output



Fukushima Daiichi Unit 2 Transient Recorder Trends

Fukushima Daiichi Nuclear Power Station Unit 2Display of event data / timeline data Display of data from 14:41:56 on March 11, 2011 to 15:16:55 on March 11, 2011 Group name: 1F-2 (1) Reactor pressure (1) File name 1F2\_Cy26\_EVF\_DET\_2011\_03\_11\_14\_46\_56\_400. dat Data period 0.01sec. Time of event: 14:46:56 on March 11, 2011 400 millisec.

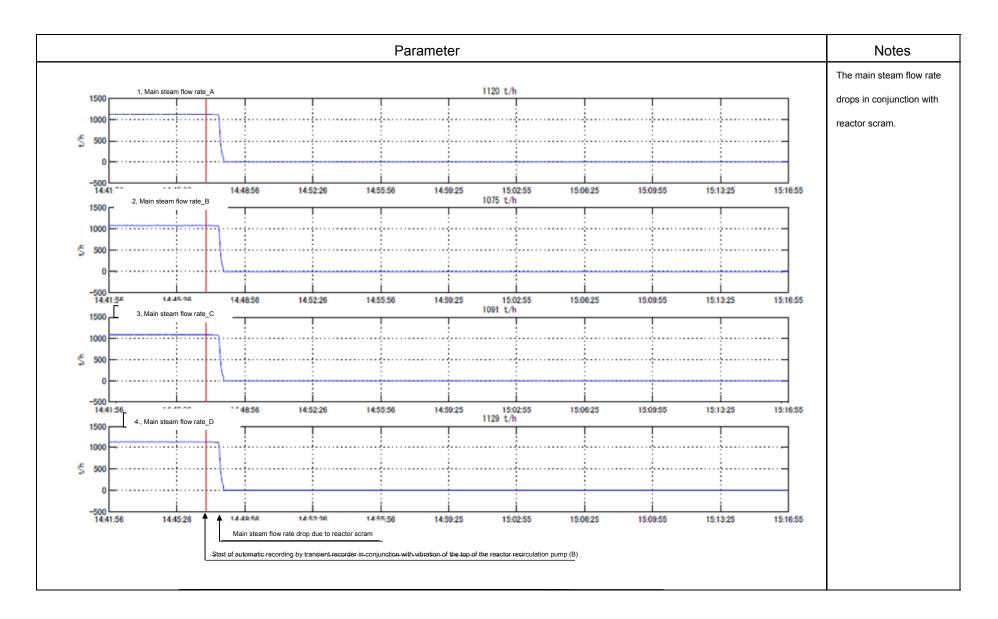


Fukushima Daiichi Unit 2 Transient Recorder Trends

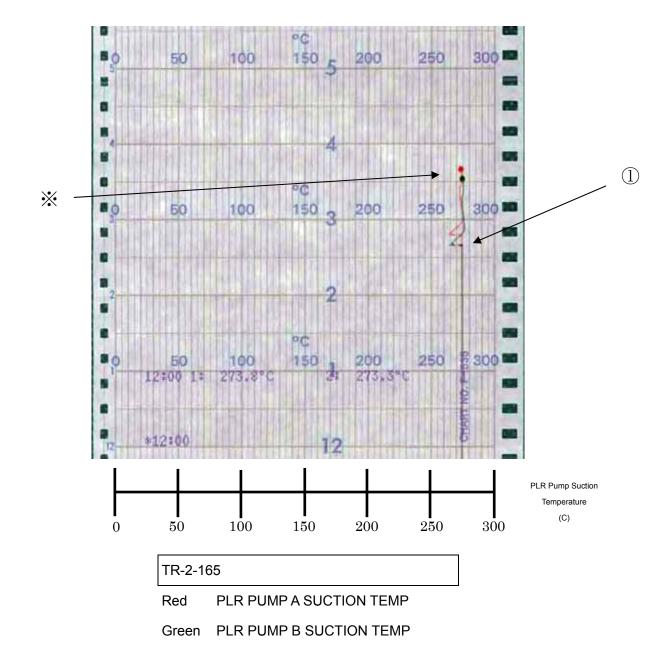
Fukushima Daiichi Nuclear Power Station Unit 2Display of event data / timeline data Display of data from 14:41:56 on March 11, 2011 to 15:16:55 on March 11, 2011 Group name: 1F-2 (1) Reactor pressure (2) File name 1F2\_Cy26\_EVF\_DET\_2011\_03\_11\_14\_46\_56\_400. dat Data period 0.01sec. Time of event: 14:46:56 on March 11, 2011 400 millisec.



Fukushima Daiichi Unit 2 Transient Recorder Trends



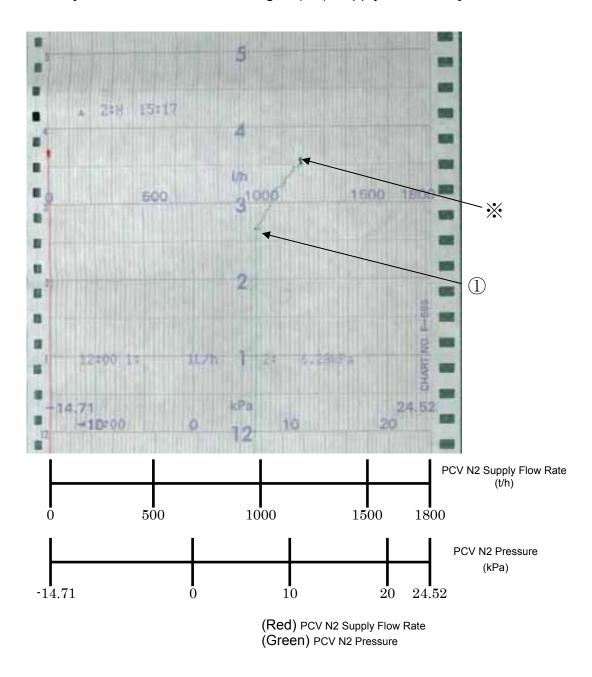
Fukushima Daiichi Unit 2 Transient Recorder Trends



## [Primary Loop Recirculation (PLR) Pump Suction Temperature]

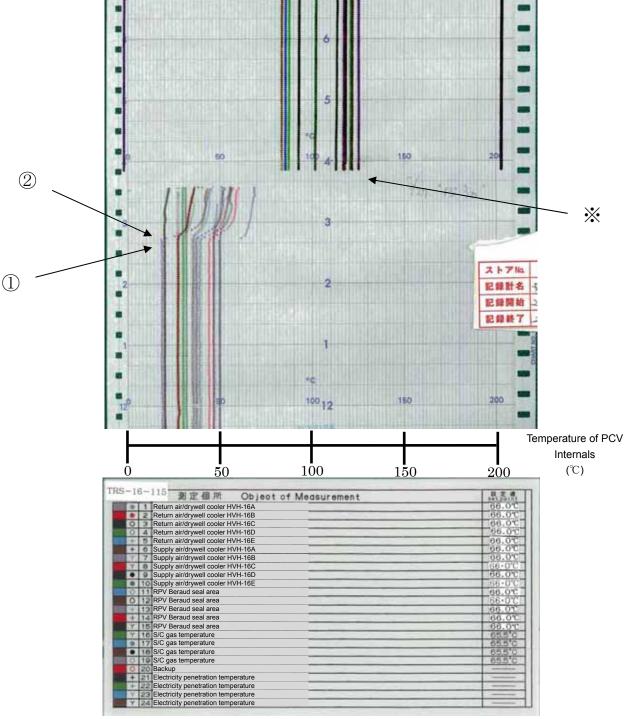
①Scram triggered by earthquake at 14:47

\*It is supposed that the tsunami arrived shortly after 15:30. It is assumed that the record stopped due to the impact of the tsunami.



[Primary Containment Vessel Nitrogen Pressure / Primary Containment Vessel Nitrogen (N2) Supply Flow Rate]

- ① Scram triggered by earthquake at 14:47
- \* It is supposed that the tsunami arrived shortly after 15:30. It is assumed that the chart no longer gives an accurate reading due to the impact of the tsunami.

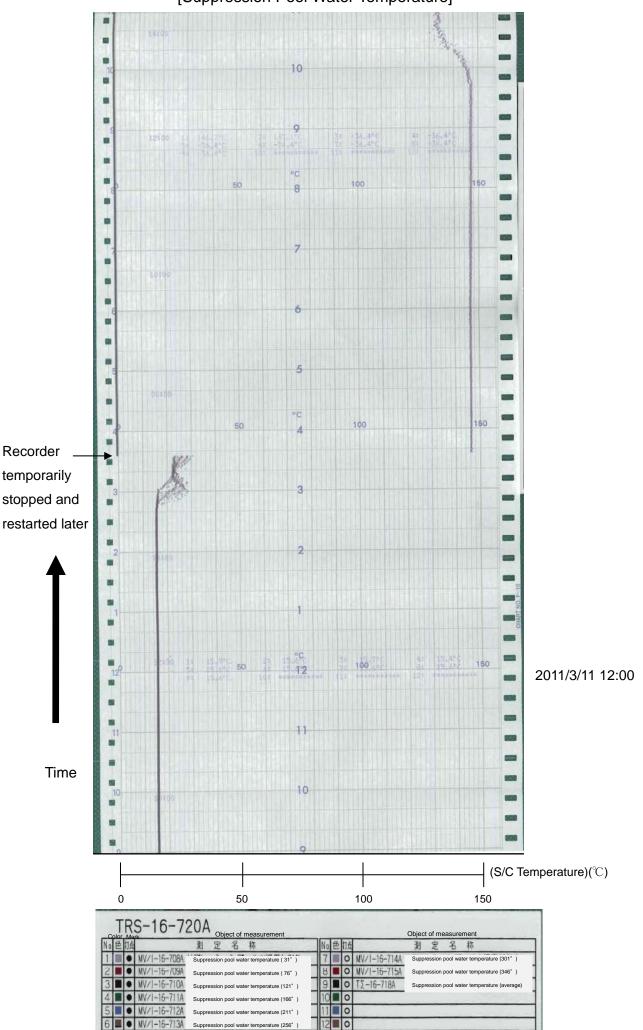


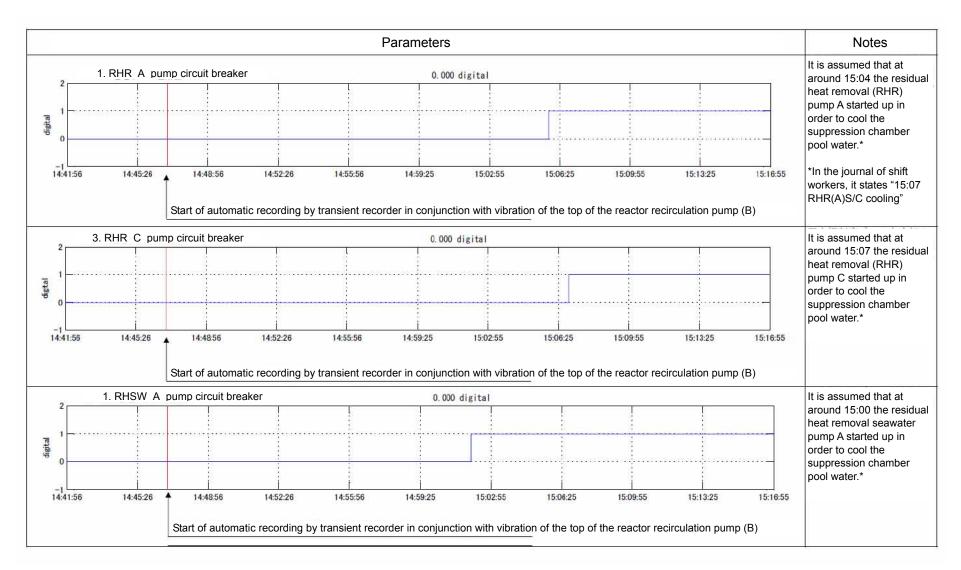
[Temperature of Primary Containment Vessel (PCV) Internals]

- ① Scram triggered due to Earthquake at 14:47
- ② Rise in PCV Temperature following shutdown of PCV air conditioning due to loss of power (Unable to confirm significant rise in pressure due to piping ruptures)

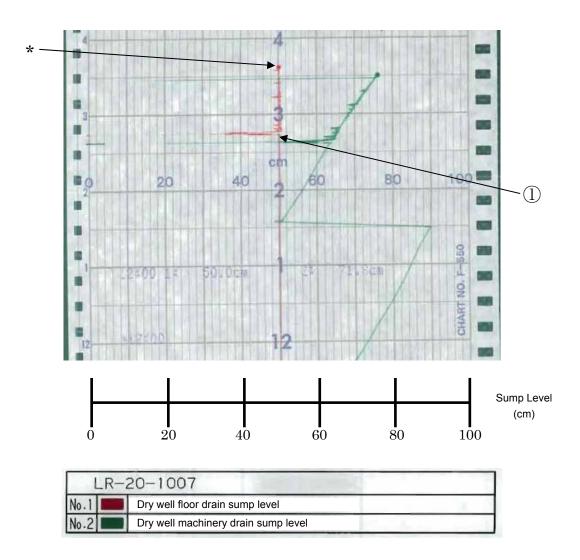
\* It is supposed that the tsunami arrived shortly after 15:30. Recorders temporarily halted due to the impact of tsunami. Later, recorders restarted after connecting to temporary power. It is assumed that correct measurements are not indicated.

[Suppression Pool Water Temperature]





Fukushima Daiichi Unit 2 Transient Recorder Trends



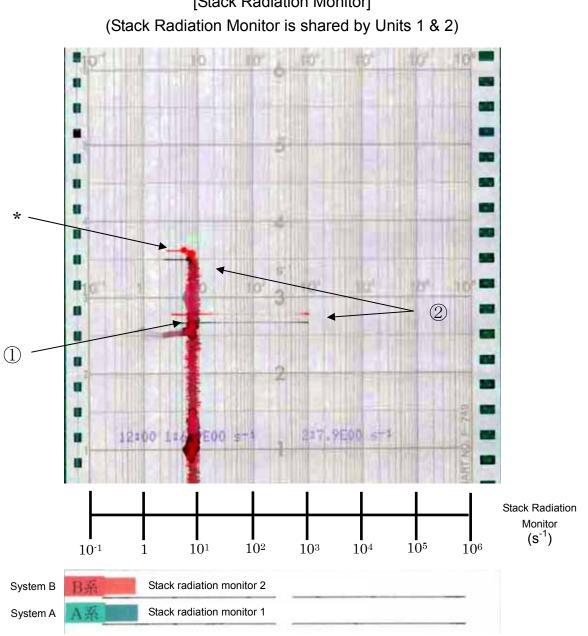
#### [Dry Well (D/W) Floor Drain Sump Water Level]

① Scram triggered by earthquake at 14:47.

\*It is supposed that the tsunami arrived shortly after 15:30. It is assumed that the record stopped due to the impact of the tsunami.

## [Standby Gas Treatment System (SGTS) Operation]

| * 2011/3/11 14:47 | D520 | 原子炉 水位 A Reactor water level A = 低域 Low range                        |  |
|-------------------|------|--|--|
| * 2011/3/11 14:47 | D521 | 原子炉 水位 B Reactor water level B = 低域 Low range                        |  |
| * 2011/3/11 14:47 | D522 | 原子炉 水位 C Reactor water level C = 低域 Low range                        |  |
| * 2011/3/11 14:47 | D523 | 原子炉 水位 D Reactor water level D = 低域                                  |  |
| 2011/3/11 14:47   | D708 | SGTS A 起動信号 SGTS A startup signal = ON Standby Gas Treatment         |  |
| 2011/3/11 14:47   | Z558 | TIPパージ隔離弁 開 TIP purge isolation valve open = OFF                     |  |
| 2011/3/11 14:47   | Z559 | TIPパージ隔離弁 閉 TIP purge isolation valve closed = ON System (A) startup |  |
| 2011/3/11 14:47   | Z593 | TIP制御盤 正常 TIP control panel normal = OFF                             |  |



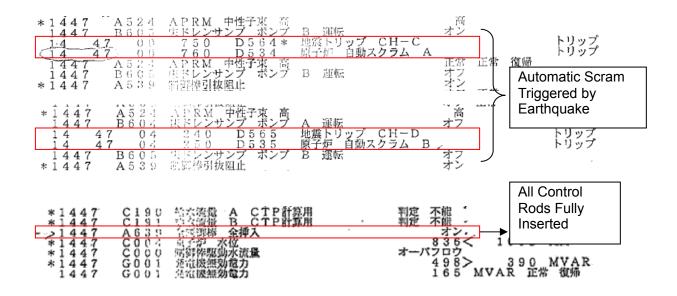
[Stack Radiation Monitor]

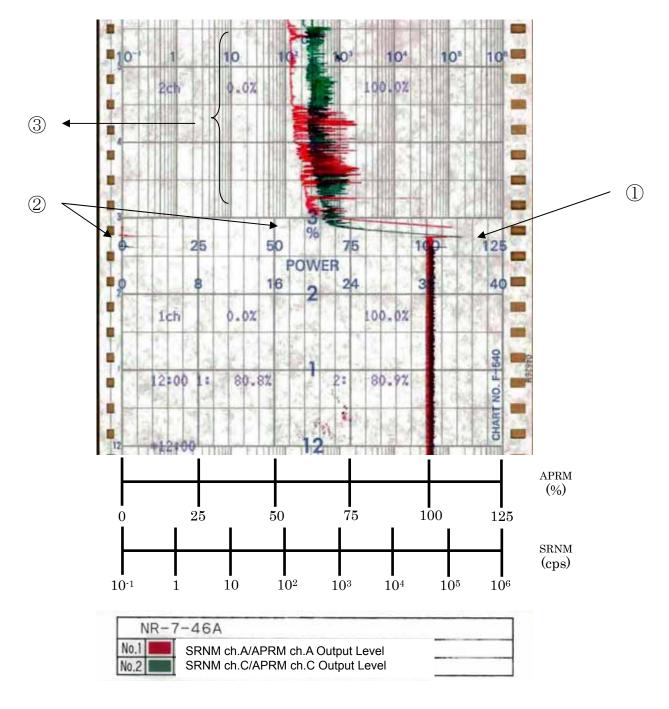
- ① Scram triggered by earthquake at 14:46
- ② Signal thought to be noise

\*It is supposed that the tsunami arrived shortly after 15:30. It is assumed that the record stopped due to the impact of the tsunami.

#### Fukushima Daiichi Unit 3 Plant Data

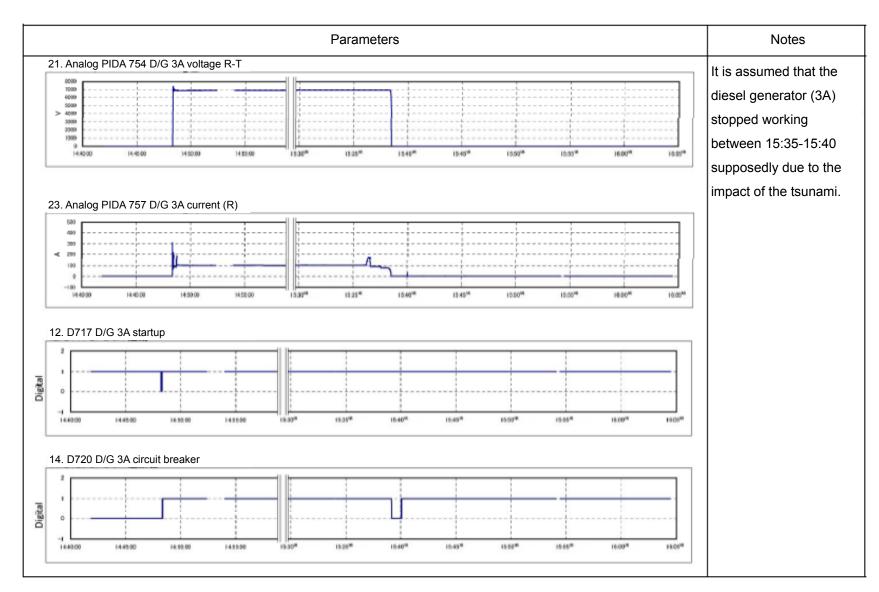
#### [Scram / All Control Rods Fully Inserted]



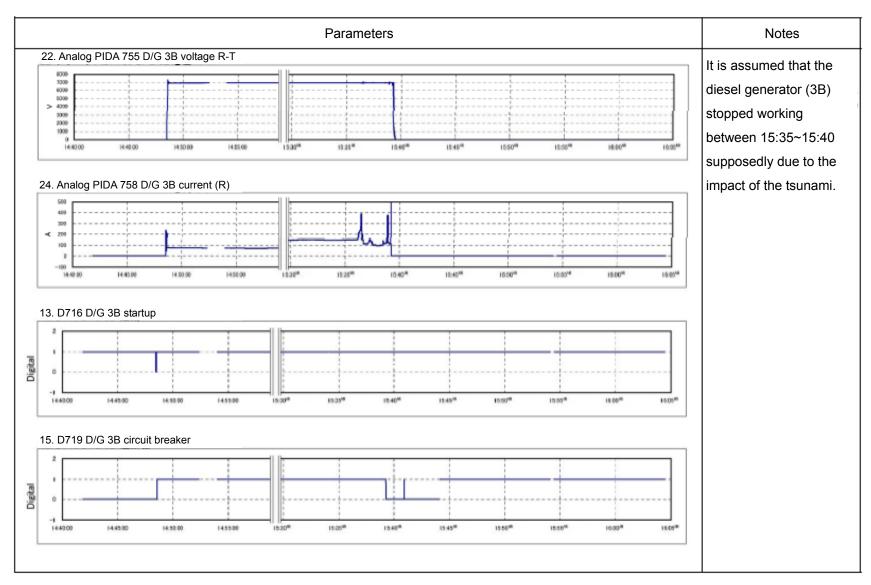


#### [Start-Up Range Neutron Monitor (SRNM), Average Power Range Monitor (APRM)]

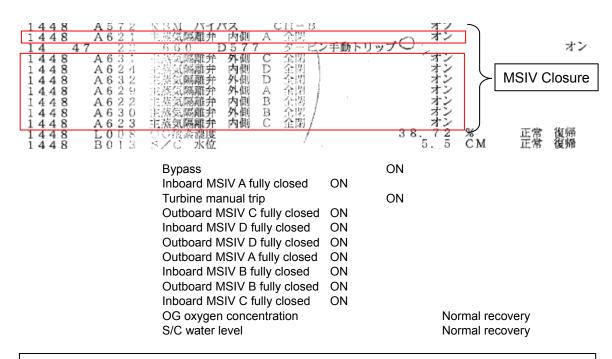
- ① Scram triggered by earthquake at 14:47 and drop in output due to scram
- 2 Below the range of Average Power Range Monitor (APRM) and switch to the Start-Up Range Neutron Monitor (SRNM)
- ③ Change in indication due to noise.



Fukushima Daiichi Unit 3 Transient Recorder Trends

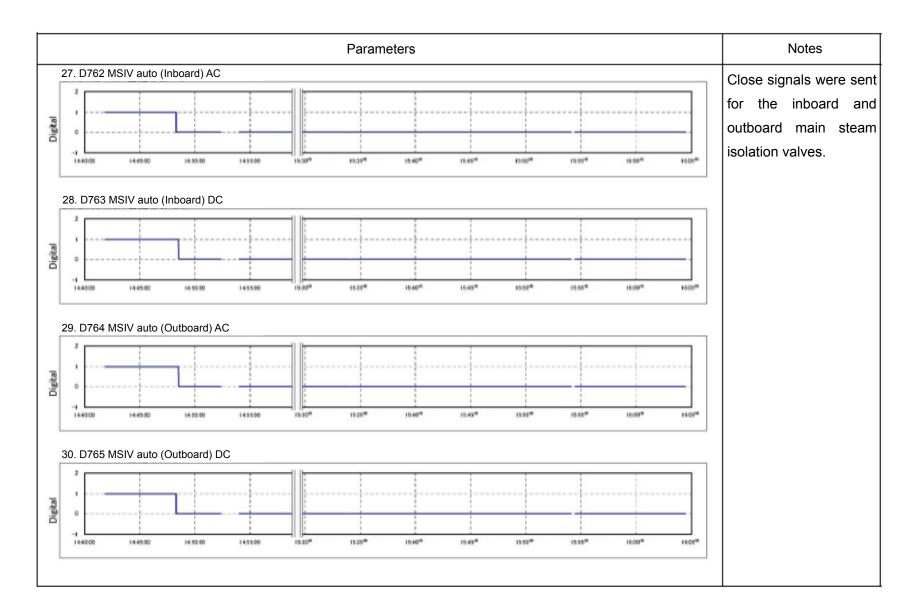


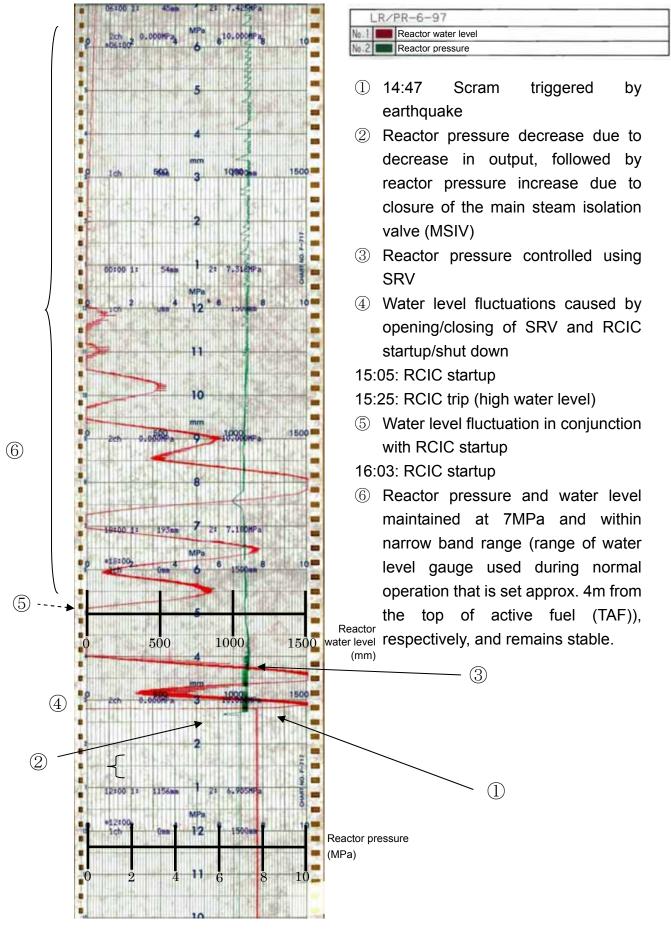
#### Fukushima Daiichi Unit 3 Transient Recorder Trends



#### [Main Steam Isolation Valve (MSIV) Closure]

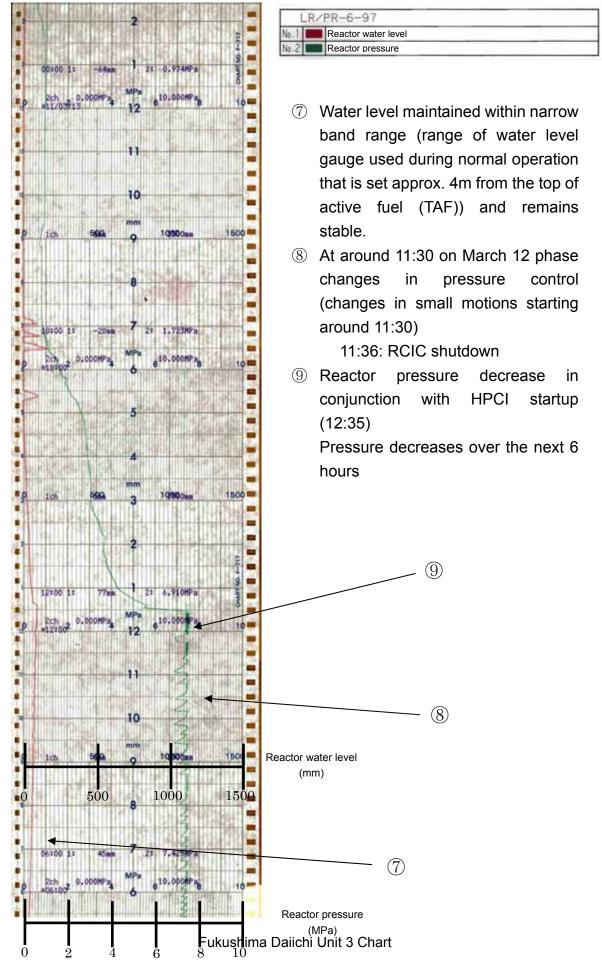
(Note) Before and after MSIV closure, abnormal signals such as rupture detection have been recorded, but it is observed that these abnormal signals were sent as a fail safe measure following the loss of power for the instruments due to the loss of off-site power as a result of the earthquake. Signs of abnormalities, such as the increase in steam flow rates, are not seen in the process of closing the MSIV.



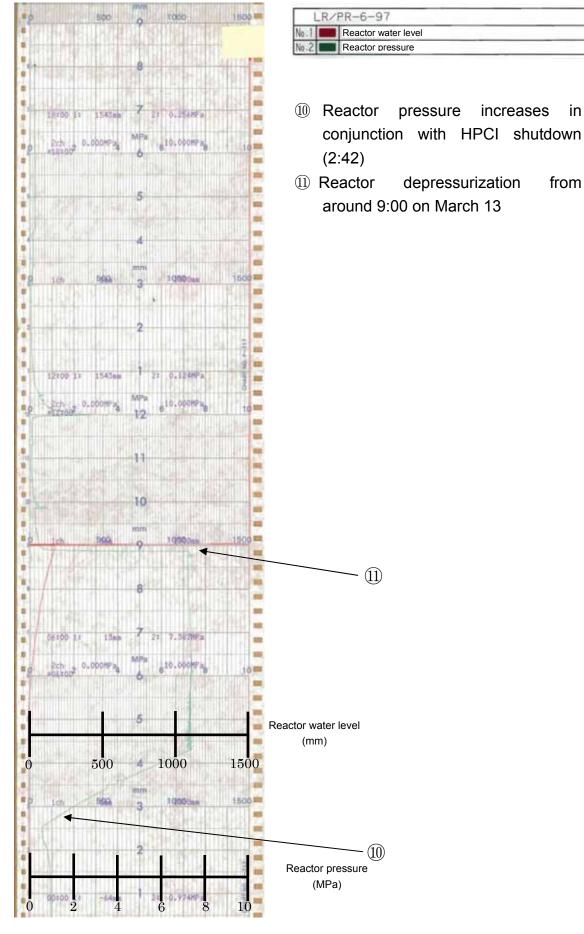


#### [Reactor water level, Reactor pressure (1/3)]

Fukushima Daiichi Unit 3 Chart

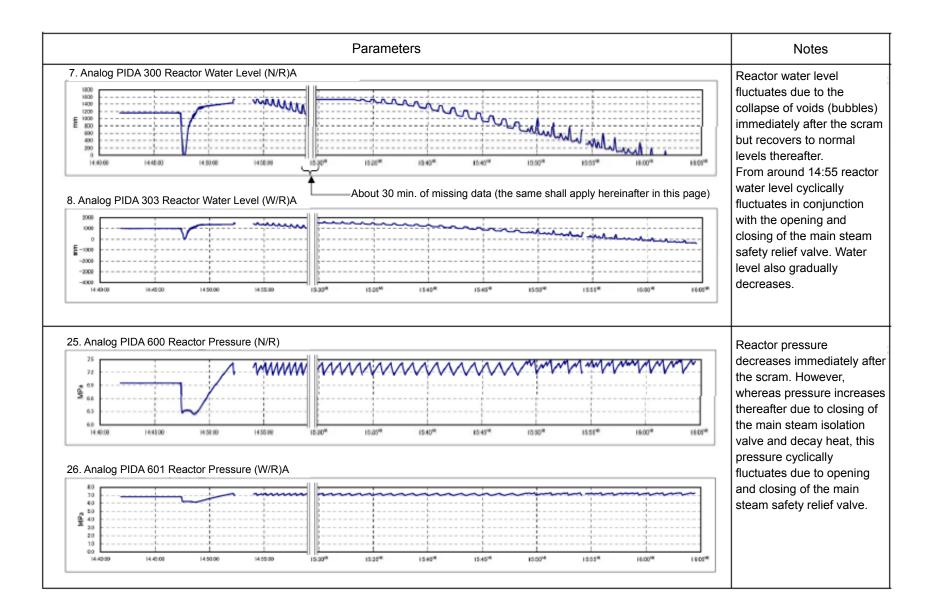


#### [Reactor water level, Reactor pressure (2/3)]



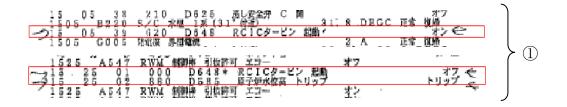
## [Reactor water level, Reactor pressure (3/3)]

Fukushima Daiichi Unit 3 Chart

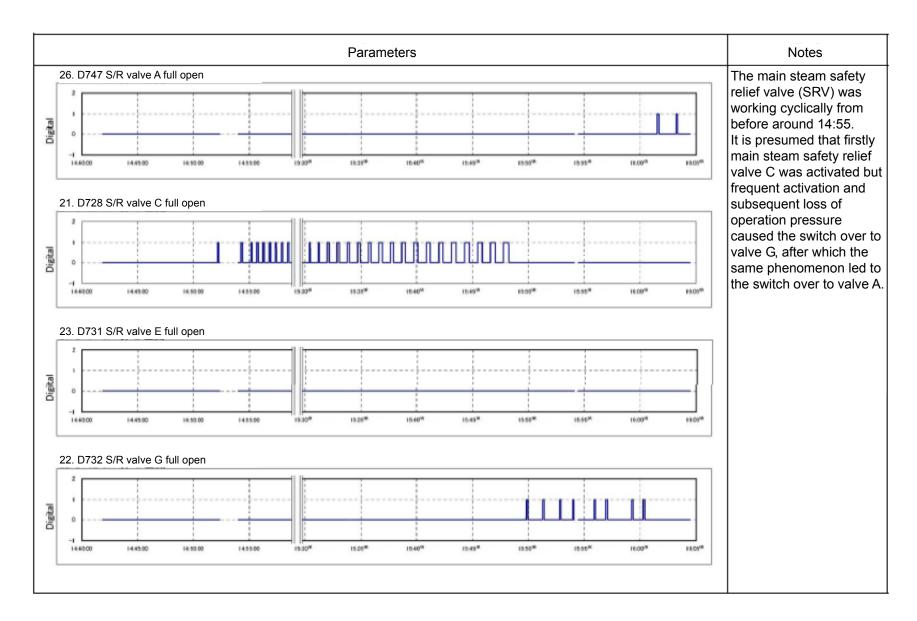


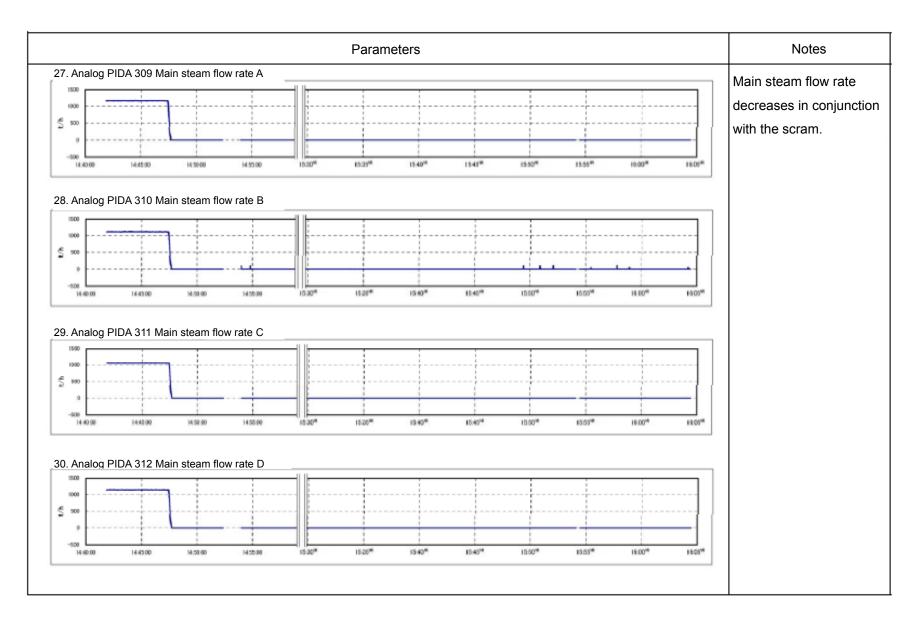
Fukushima Daiichi Unit 3 Transient Recorder Trends

[Reactor Core Isolation Cooling System (RCIC) Operation Status]

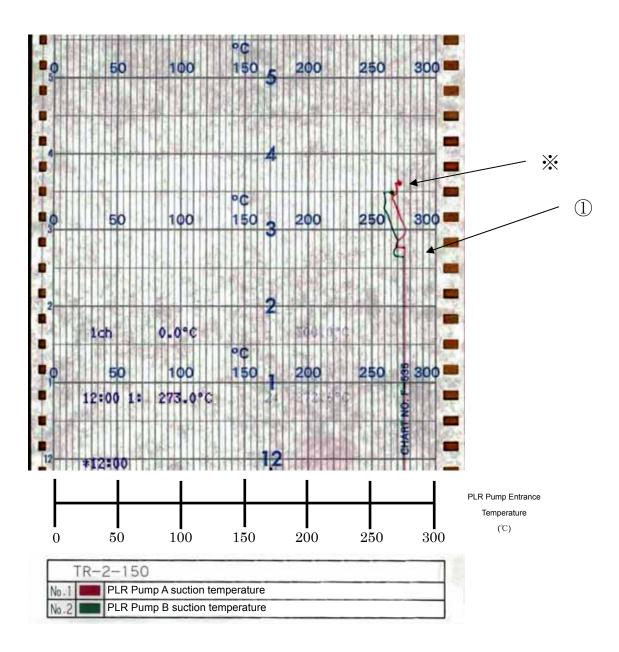


① RCIC manually activated at 15:05, afterwards, shut down at 15:25 due to high reactor water levels.





#### Fukushima Daiichi Unit 3 Transient Recorder Trends

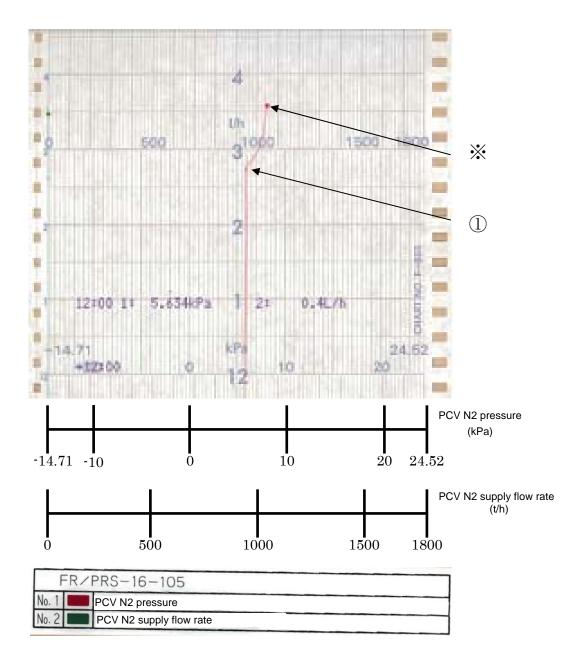


### [Primary Loop Recirculating (PLR) Pump Suction Temperature]

①Scram triggered by earthquake at 14:47

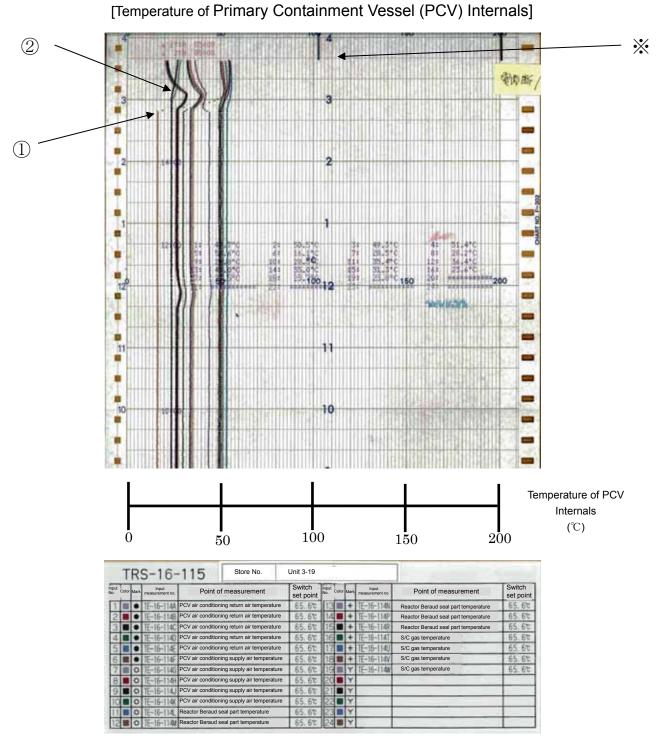
\*It is supposed that the tsunami arrived shortly after 15:30.

It is assumed that the record stopped due to the impact of the tsunami.



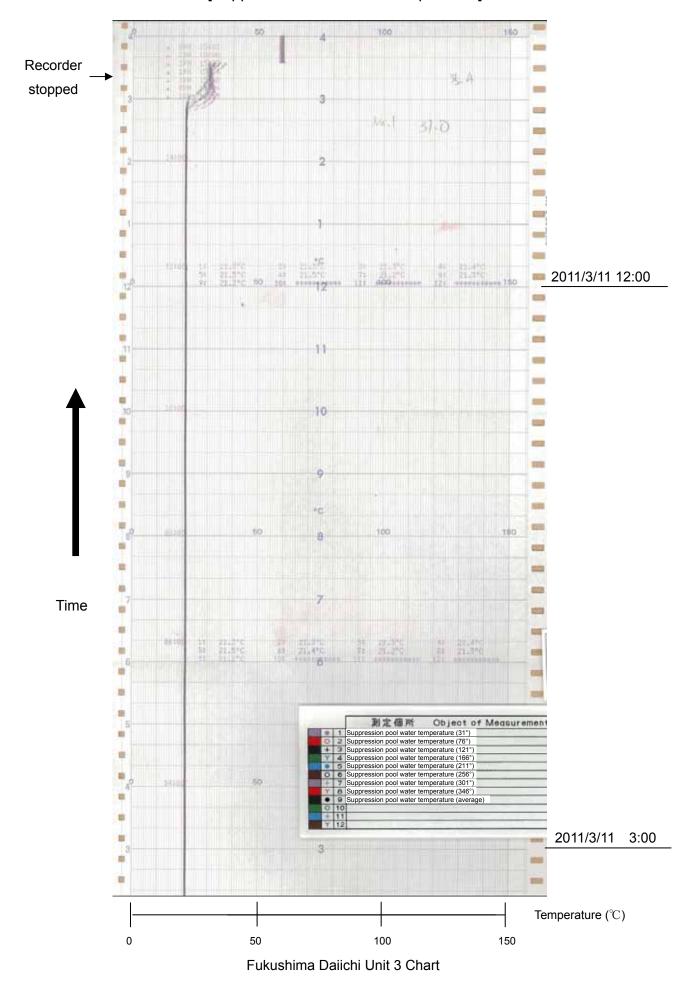
[ Primary Containment Vessel (PCV) Nitrogen Pressure / Primary Containment Vessel (PCV) Nitrogen (N2) Supply Flow Rate]

- ① Scram triggered by earthquake at 14:47
- \* It is supposed that the tsunami arrived shortly after 15:30. It is assumed that the chart no longer gives an accurate reading due to the impact of the tsunami.

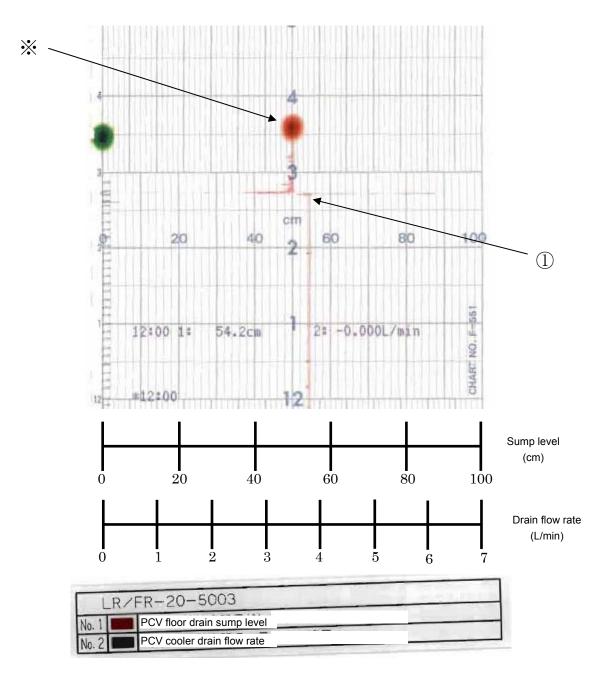


- ① Scram triggered due to Earthquake at 14:47
- ② Shutdown of PCV air conditioning due to loss of power, change in PCV temperature followed by drop in output triggered by scram (Unable to confirm rise in temperature due to piping ruptures)

\*It is assumed that recorders temporarily halted due to loss of power caused by the tsunami that arrived shortly after 15:30.



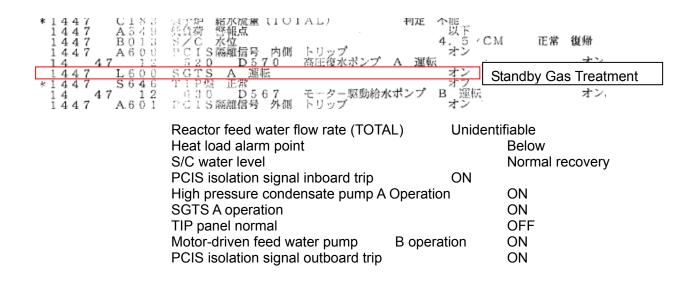
#### [Suppression Pool Water Temperature]



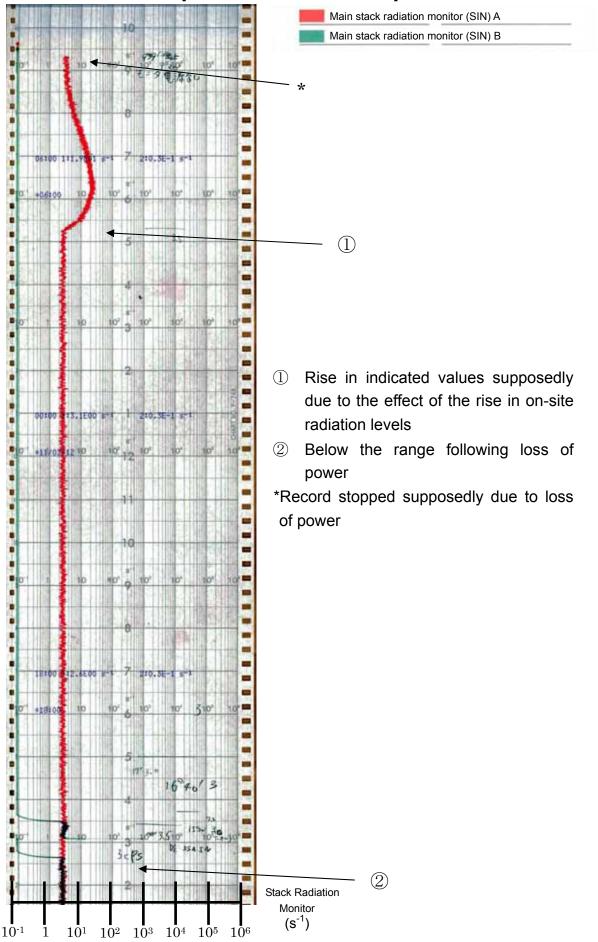
[Dry Well (D/W) Floor Drain Sump Level]

① Scram triggered by earthquake at 14:47

\*It is supposed that the tsunami arrived shortly after 15:30. It is assumed that the record stopped due to the impact of the tsunami.



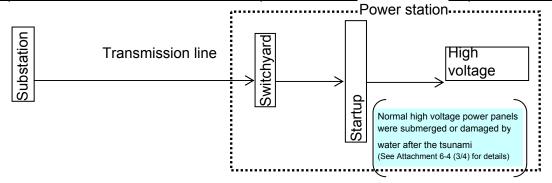
#### [Standby Gas Treatment System (SGTS) Operation]



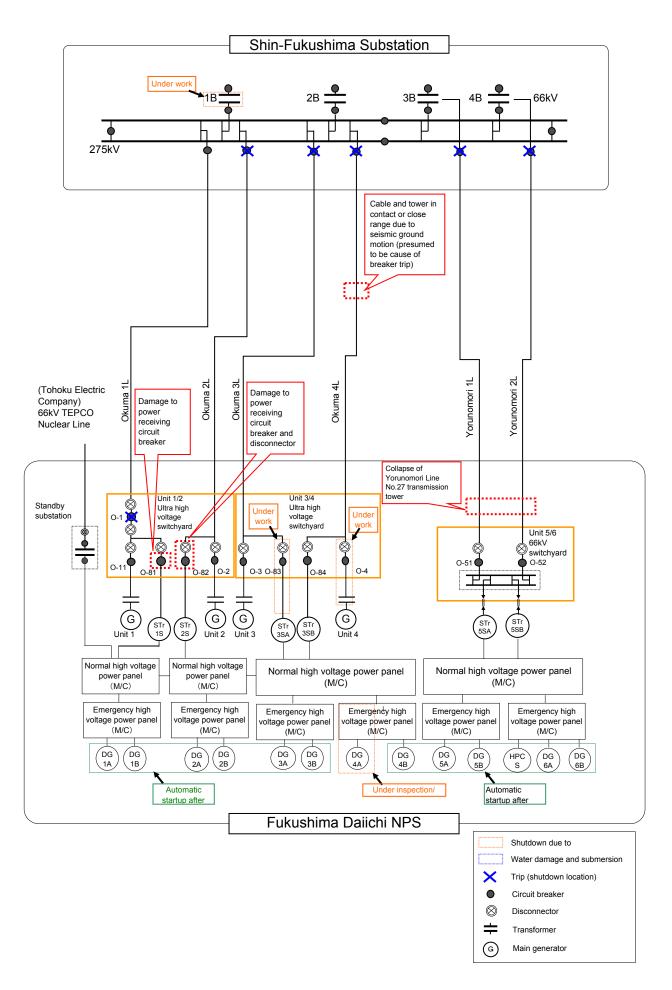
#### [Main Stack Radiation Monitor]

#### Fukushima Daiichi Nuclear Power Station Offsite power receiving status list

|                                | Transmissio<br>n line name | Power receipt from transmission line to switchyard                              |   |  | Oneite neuron recention status  |  |  |  |
|--------------------------------|----------------------------|---|---|--|---|--|--|--|
|                                |                            | Before<br>earthquake  | After earthquake -<br>before tsunami arrival                        | After tsunami  | Onsite power reception status<br>(Check results after tsunami arrival)  |  | Comments   |  |
| (Standby) Offsite power        | Okuma 1L                   | O (receiving power)   | Power not received due to damage to power receiving circuit breaker |  | Power not received due to water damage<br>and submersion of site-side power<br>receiving Unit 1 normal high voltage power<br>panel    |  |  |  |
|                                | Okuma 2L                   | O (receiving power)   |   | ed due to damage<br>ng circuit breaker   | Power not received due to water damage<br>and submersion of site-side power<br>receiving Unit 2 normal high voltage power<br>panel    |  |  |  |
|                                | Okuma 3L                   |   | ceived due to facilit<br>er receiving circuit l                     |  |   |  | Connected Yorunomori 1L<br>to Okuma 3L on same<br>tower. 3/22: received<br>power at Unit 3/4 low<br>voltage power panel          |  |
|                                | Okuma 4L                   | O (receiving power)   | (Presumed to be<br>contact or close rang<br>tower due to grou       | tion stopped.<br>breaker trip due to<br>je between cable and<br>ind motion. Found<br>vitchyard facilities) | Power not received due to water damage<br>and submersion of site-side power<br>receiving Unit 3, 4 normal high voltage<br>power panel |  |  |  |
|                                | Yorunomori 1L              | O (receiving power)   | Power not received due to collapsed tower.                          |  | Power not received due to water damage<br>and submersion of site-side power<br>receiving Unit 5, 6 normal high voltage<br>power panel |  | 3/22: received power<br>at Unit 3, 4 low voltage<br>power panel via<br>Okuma 3L  |  |
|                                | Yorunomori 2L              | O (receiving power)   | Power not received due to collapsed tower.                          |  | Power not received due to water damage<br>and submersion of site-side power<br>receiving Unit 5, 6 normal high voltage<br>power panel |  | 3/21: pass through new<br>route via Futaba Line<br>transmission tower and<br>received power at Unit 5, 6<br>existing power panel |  |
|                                | TEPCO<br>Nuclear Line      | Charging up to<br>disconnector on<br>receiving side<br>(disconnector<br>"open") | Shut down   |  | Found cable defect<br>from receiving end to<br>Unit 1 normal high<br>voltage power panel  | Power not received due<br>to water damage and<br>submersion of site-side<br>power receiving Unit 1<br>normal high voltage<br>power panel | 3/20: received power<br>on Unit 1,2 low voltage<br>power panel after<br>laying cable   |  |
| (Ref) transmission<br>facility | Futaba 1L                  | _   | _   | _  | _   | -  | *Futaba Line is not offsite power  |  |
| (Ref) trar<br>faci             | Futaba 2L                  | _   | _   | _  | _   | _  | (transmission only)  |  |



Flow of power reception from offiste power (substation)



Fukushima Daiichi Nuclear Power Station Offsite power system drawing (conditions after earthquake, before tsunami)

Water damage and submersion

Trip (shutdown area)

Circuit breaker

Disconnector

Transformer

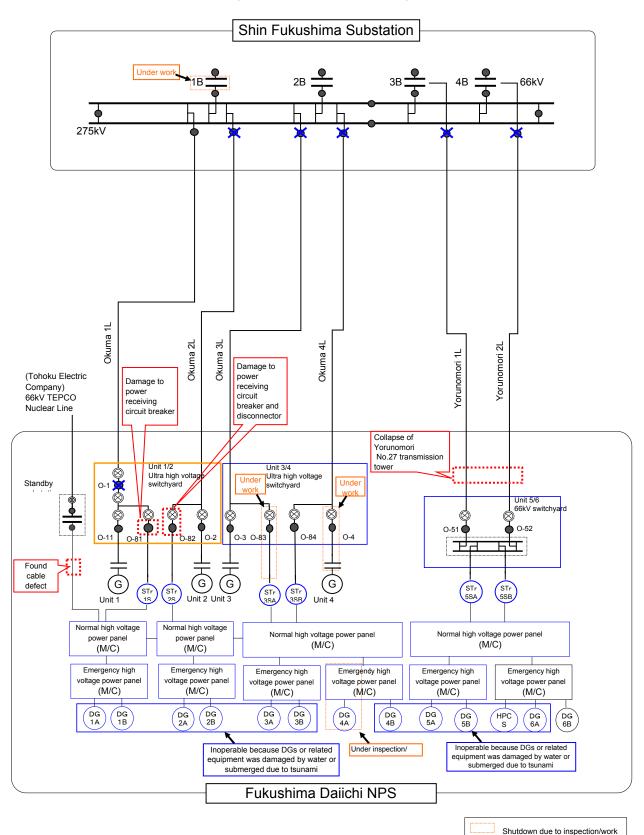
Main generator

×

 $\otimes$ 

+

(G)



Fukushima Daiichi Nuclear Power Station Offsite power system drawing (conditions after tsunami)

#### Damages to offsite power facilities



Figure 1. Fukushima Daiichi Nuclear Power Station Okuma 1L circuit breaker



Figure 2. Yorunomori Line No. 27 transmission tower

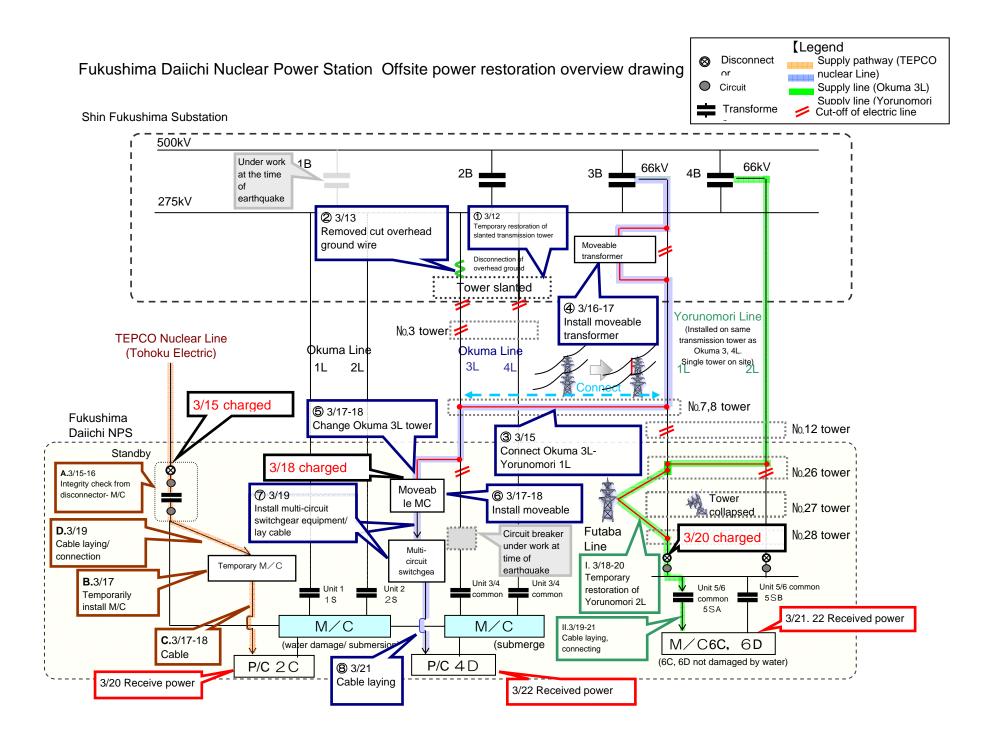
#### Fukushima Daiichi Nuclear Power Statiom Chronology of Offsite Power Restoration

The chronology of events for restoration of power supply to Fukushima Daiichi NPS from offsite power is as follows (chronology up to March 22 when all units started receiving power)

| Supplied to | Date and main work content   |
|-------------|--|
|             | 3/15: Charged up to power receiving circuit breaker via Tohoku Electric Power Company's TEPCO Nuclear Line 3/15, 16: Integrity check of TEPCO Nuclear Line between power receiving circuit breaker and M/C (Work A*) |
|             | 3/17: Install Unit 1/2 temporary metal clad switchgear (M/C)* (Work B)   |
|             | 3/17-18: Laid cable between Unit 1/2 M/C and Unit 2 power center (P/C) 2C (Work C) 3/19: Laid cable and connected between standby substation M/C and Unit 1/2 temporary M/C (Work D)                                 |
|             | 3/20: Start supply to Unit 1/2 (P/C 2C receiving power)  |
|             | 3/12: Temporary restoration of Shin Fukushima Substation Okuma 3L, 4L transmission tower slanting (Work $(1)^*$ )  |
|             | 3/13: Remove Okuma 3L cut-off elevated cables for Shin Fukushima Substation (Work $(2)$ )  |
| Unit 3 & 4  | 3/15: Connected Okuma 3L and Yorunomori 1L on Okuma 3, 4L No.7 and No. 8 transmission tower (Work ③)   |
|             | 3/16-17: Install moveable transformer (66kV/6kV) at Shin Fukushima Substation (Work $\textcircled{4}$ )  |
|             | 3/17-18: Change Fukushima Daiichi NPS Okuma 3L lead-in (Work ⑤)<br>3/17-18: Install moveable mini-metal clad switch gear (MC)* onsite of Fukushima Daiichi NPS<br>(Work ⑥)   |
|             | 3/18: Charged up to (Yorunomori 1L-) Okuma 3L moveable MC  |
|             | 3/19: Laid cable between moveable MC and multi-circuit switchgear (Work $\bigcirc$ )   |
|             | 3/21: Laid cable between multi-circuit switchgear* - Unit 4 P/C 4D (Work $(8)$ )   |
|             | 3/22: Start supply to Units 3/4 (received power at P/C4D)  |
|             | 3/18-19: Temporary restoration of Yorunomori Line No.27 transmission tower 2L (cut trees)  |
|             | (Work I*)<br>3/19-20: Temporary restoration of Yorunomori Line No. 27 transmission tower 2L (overhead<br>line) (Work I)  |
|             | 3/19-21: Laid temporary cable and connected between Unit 5/6 common startup transformer 5SA and Unit 6 M/C6C, 6D (Work II)   |
|             | 3/20: Charged between Yorunomori 2L - 1L disconnector  |
|             | 3/21: Start supply to Units 5/6 (received power at M/C6C. 3/22: received power at M/C6D)   |

\*) Work number and symbol corresponds with numbers/symbols in the figure (next page)

\*) Temporary metal clad switchgear (M/C), moveable mini metal clad switchgear (MC), multi-circuit switchgear Each department of the restoration team (nuclear, transmission, distribution) procured power panels and other equipment, respectively.

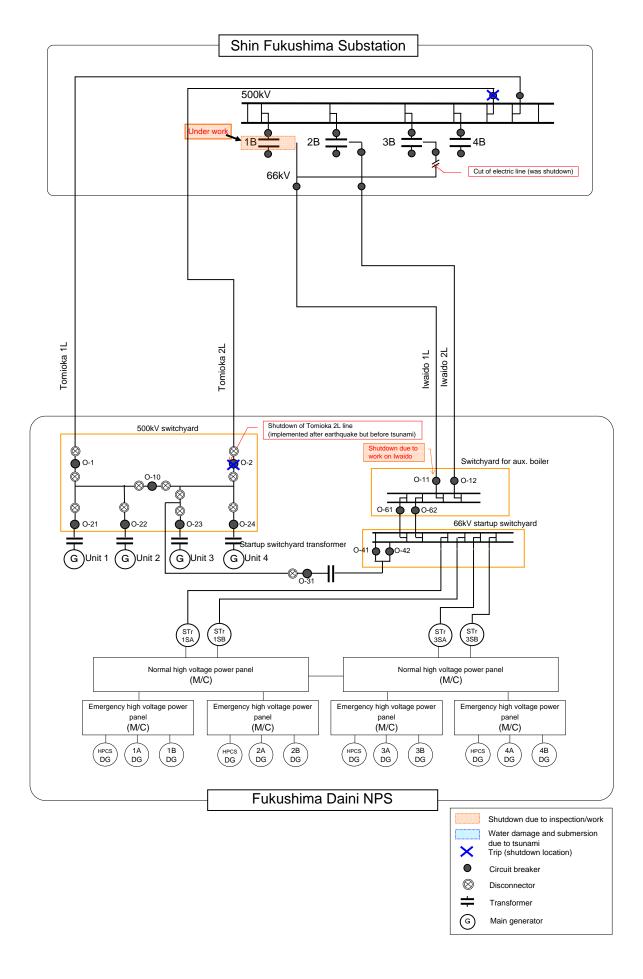


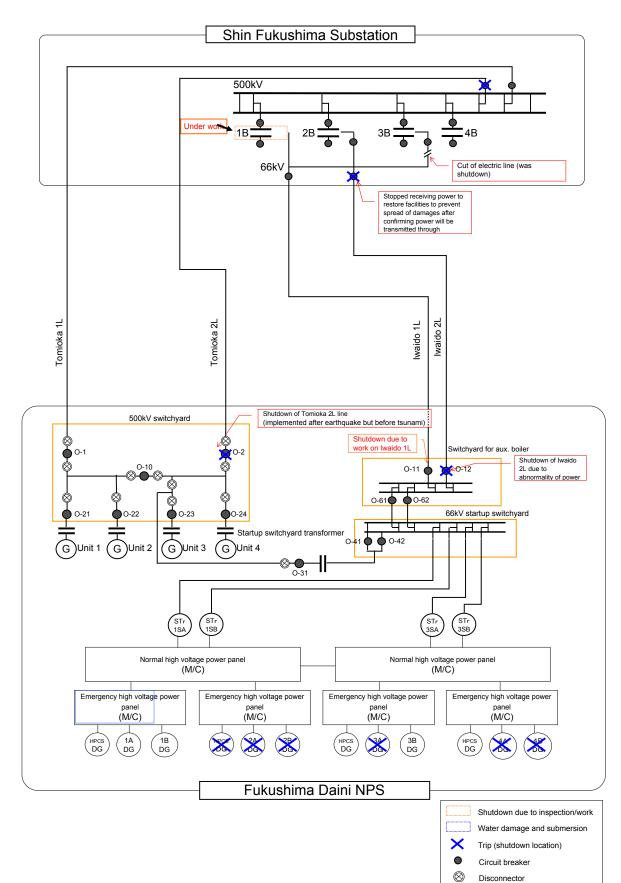
# Attachment 6-5 (2/2)

|               |                           | Power receipt   | Comments  |  |                      |
|---------------|---------------------------|---|---|--|----------------------|
|               | Transmission<br>line name | Before earthquake After earthquake - before tsunami arrival |   |  |                      |
| Offsite power | Tomioka 1L                |   |   |  |                      |
|               | Tomioka 2L                | O (receiving<br>power)                                      | Stopped receiving<br>damage to discon<br>Shin Fukushima S   | 4/15: received power   |                      |
| (Standby)     | lwaido 1L                 | Shutdown due to inspection                                  |   |  | 3/13: received power |
|               | lwaido 2L                 | O (charging)  | O (charging)<br>Continued<br>receiving power<br>despite damage<br>to lightning<br>arrestor at Shin<br>Fukushima<br>Substation | Temporarily<br>stopped receiving<br>power to prevent<br>spread of damage<br>after checking that<br>power suply will be<br>continued with<br>Tomioka 1L | 3/12: received power |

# Fukushima Daini NPS Offsite power receiving status list

# Fukushima Daini Nuclear Power Station Offsite power system drawing (conditions after earthquake, before tsunami)





=

(G)

Transformer

Main generator

# Fukushima Daini Nuclear Power Station Offsite power system drawing (conditions after tsunami)

Fukushima Daiichi Nuclear Power Station Unit 1 Results of Seismic Response Analysis for Reactor Building and Equipment & Piping Systems Important to Seismic Safety Using Observation Records from the 2011 Tohoku-Chihou-Taiheiyo-Oki Earthquake

#### 1. Introduction

There are numerous seismic observation records from the Tohoku-Chihou-Taiheiyo-Oki Earthquake that occurred on March 11, 2011 such as from the R/B base mat.

Based on written order\* from NISA, seismic response analysis was conducted using seismic observation records from Fukushima Daiichi NPS Unit 1.The analysis results for R/B and equipment and piping systems important to seismic safety were reported to NISA on July 28, 2011.

#### \*Written order

"Actions following the analysis of seismic data collected at Fukushima Daiichi NPS and Fukushima Daini NPS during the Tohoku-Chihou-Taiheiyo-Oki Earthquake (ORDER)" (May 16, 2011, NISA No.6)

#### 2. Reactor Building (R/B)

To conduct seismic response analysis considering the 2011

Tohoku-Chihou-Taiheiyo-Oki Earthquake for Fukushima Daiichi NPS Unit 1 R/B, the observation records from the building's base mat was used for analysis to verify the conditions of the building at the time of the earthquake.

To conduct the seismic response analysis, a model was defined that would

adequately express the characteristics of the buildings, structures and ground (Figure 1).

Results of the analysis verified that the maximum shear strain for the seismic wall was  $0.14 \times 10^{-3}$  (N-S direction, first floor) and that the stress and deformation conditions for all seismic walls were at or below the first flexion point on the skeleton curve (Figure 2, 3).

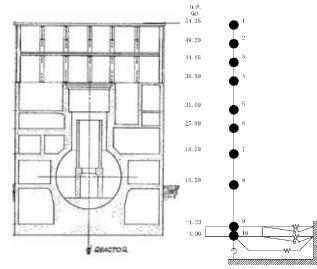
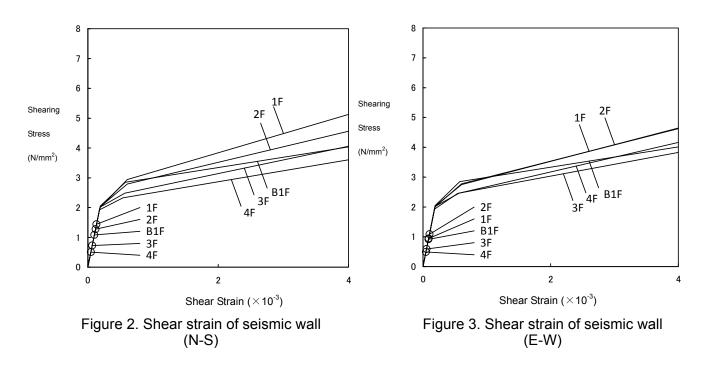


Figure 1. Unit 1 R/B (model drawing)



3. Equipment and piping systems important to seismic safety

For large equipment such as the reactor for Fukushima Daiichi NPS Unit 1, seismic response analysis using observed records from the Tohoku-Chihou-Taiheiyo-Oki Earthquake was conducted. The resulting seismic load and other values were compared against those calculated from the seismic safety assessment using the existing design basis seismic ground motion, Ss.

The comparison showed that the seismic load and other factors caused by this earthquake partially exceeded some of such figures from the seismic safety analysis. However, the seismic performance of major facilities with safety-critical functions such as "shutting down" and "cooling down" the reactor, as well as "confining inside" radioactive material was also assessed, which verified that the calculated stress and

other values were below the assessment standard values (Table 1). Based on the above results, it can be assumed that major facilities with safety-critical functions maintained their safety functions during and immediately after the earthquake.

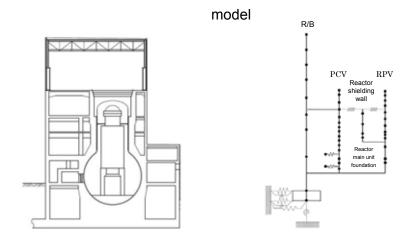
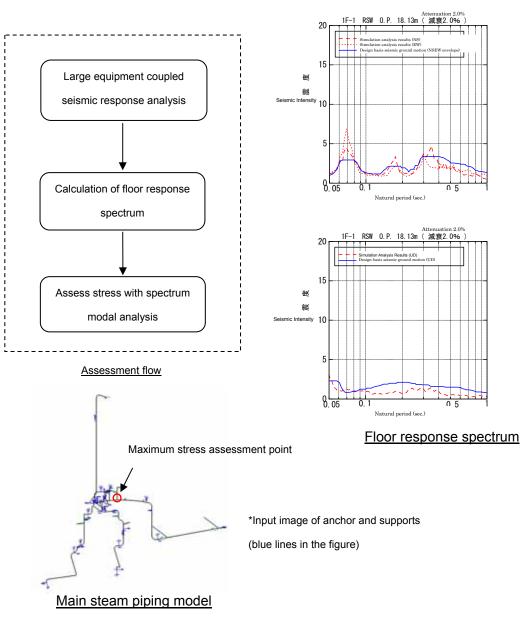


Figure 4. Example of large equipment coupled seismic response analysis

| F   | acility                             | Seismic respo   | nse load                | Design basis<br>seismic ground<br>motion Ss  | Simulation calculated result | Seismic performance<br>assessment result  |  |
|---|-------------------------------------|---|-------------------------|--|------------------------------|---|--|
|   |                                     | Shear force   | (kN)                    | 4730   | 6110                         | RPV<br>(foundation bolt)  |  |
|   | RPV<br>foundation                   | Moment  | (kN · m)                | 45900  | 62200                        | Calculated value: 93MPa   |  |
|   | loundation                          | Axial force   | (kN)                    | 5250   | 3890                         | Assessment standard<br>value: 222MPa  |  |
| Seismic load and others                         |                                     | Shear force   | (kN)                    | 4270   | 5080                         | PCV<br>(drywell)  |  |
| nic lo  | PCV<br>foundation                   | Moment  | (kN · m)                | 55900  | 64200                        | Calculated value:98MPa  |  |
| bad a   |                                     | Axial force   | (kN)                    | 2070   | 1560                         | Assessment standard<br>value: 411MPa  |  |
| nd of   | Core                                | Shear force   | (kN)                    | 3060   | 3370                         | Core support structure<br>(shroud support)  |  |
| thers   | shroud                              | Moment  | (kN · m)                | 15300  | 16600                        | Calculated value:103MPa   |  |
|   | foundation                          | Axial force   | (kN)                    | 1020   | 792                          | Assessment standard<br>value:196MPa   |  |
|   | Fuel<br>assembly                    | Relative<br>displacement  | (mm)                    | 21.2   | 26.4                         | Control rods (insertability)<br>Assessment standard<br>value:40.0mm   |  |
| S   | Refueling                           | Seismic<br>intensity<br>(horizontal)  | (G)                     | 0.96   | 1.29                         | Reactor shutdown cooling<br>pump<br>(foundation bolt)   |  |
| Seismic intensity for<br>assessment             | floor                               | Seismic<br>intensity<br>(vertical)  | (G)                     | 0.58   | 0.54                         | Calculated value: 8MPa<br>Assessment standard<br>value: 127MPa  |  |
| ensity fo<br>ment                               | Base mat                            | Seismic<br>intensity (G)<br>(horizontal)  |                         | 0.60   | 0.57                         |   |  |
|   |                                     | Seismic<br>intensity<br>(vertical)  | (G)                     | 0.51   | 0.32                         |   |  |
| Floor response spectrum (R/B)                   | 20<br>15<br>Seismic Inten∉0<br>0.05 | 15     Estimation matches results (SP)       15     Design basis science ground motion (NEW envelope       15     Estimation in the strence ground motion (NEW envelope       15     Estimation interview in the strence ground motion (NEW envelope       15     Estimation interview in the strence ground motion (NEW envelope       15     Estimation interview in the strence ground motion (NEW envelope       15     Estimation interview in the strence ground motion (NEW envelope       15     Estimation interview in the strence ground motion (NEW envelope       15     Estimation interview in the strence ground motion (NEW envelope       5     The strence ground motion (NEW envelope       5     The strence ground motion (NEW envelope       5     The strence ground motion (NEW envelope |                         |  |                              | Main steam piping<br>Calculated value: 269MPa<br>Assessment standard<br>value: 374MPa<br>Reactor shutdown cooling<br>piping<br>Calculated value: 228MPa<br>Assessment standard<br>value: 414MPa |  |
| Floor response spectrum<br>(R/B shielding wall) | < Reactor s                         | Simulation multiple reachts NSS<br>Simulation multiples results (NS)<br>Design husis seisenic (NSE)   | 16.1,4m)><br>.596 )<br> | 20<br>1F-1 RSW 0.P. 16.1<br>Simulation.Avalysis Results<br>15<br>10<br>5<br>9.05<br>0.1<br>Natural period<br>(vertice) |                              |   |  |

# Table 1. Overview of the impact assessment for equipment & piping systems important to seismic safety (Fukushima Daiichi NPS Unit 1)



### (Reference 1) Overview of seismic performance assessment (Example of main steam piping)

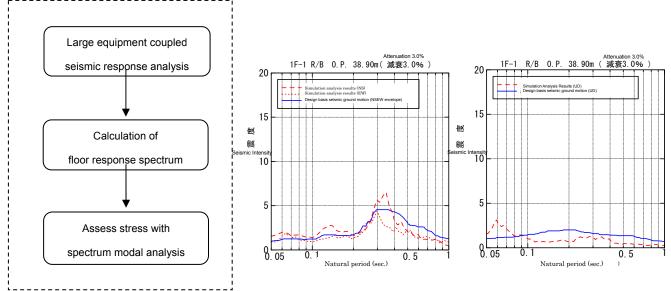
#### Structural Strength Assessment Results

|                         |                   | Des            | sign basis seis              | mic ground me                             | otion Ss              | March 11 earthquake |                               |   |                       |
|-------------------------|-------------------|----------------|------------------------------|---|-----------------------|---------------------|-------------------------------|---|-----------------------|
| Target<br>facility      | Assesse<br>d part | Stress<br>type | Calculated<br>value<br>(MPa) | Assessme<br>nt standard<br>value<br>(MPa) | Assessme<br>nt method | Stress<br>type      | Calculat<br>ed value<br>(MPa) | Assessme<br>nt standard<br>value<br>(MPa) | Assessme<br>nt method |
| Main<br>steam<br>piping | Piping<br>itself  | Primar<br>y    | 287*                         | 374                                       | Detailed              | Primar<br>y         | 269*                          | 374                                       | Detailed              |

\*: Though the horizontal floor response spectrum for the earthquake exceeded the design basis seismic ground motion in some periodic bands, the vertical floor response spectrum for the earthquake was mostly below the floor response spectrum for the design basis seismic ground motion, Ss. Therefore, it is believed that the calculated value for this earthquake is below the calculated value for the design basis seismic ground motion.

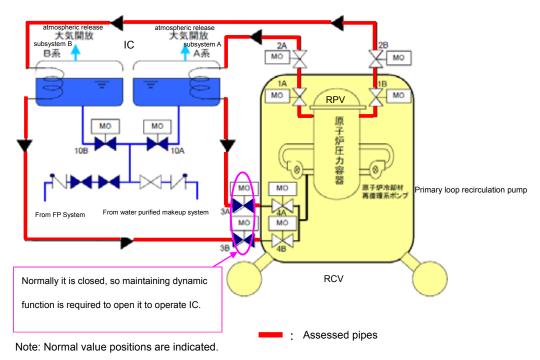
(Reference 2) Fukushima Daiichi Unit 1 Seismic performance assessment of isolation condenser (IC) piping

Seismic performance was assessed for Unit 1 IC piping (steam pipes) using the floor response spectrum defined based on the R/B simulation analysis at this time. The results verified that the calculated values were sufficiently below the assessment standard value for this earthquake.



Assessment flow

Floor response spectrum



Schematic system drawing of the IC system

| Analysis model | Calculated value<br>(MPa) | Assessment<br>standard value *1<br>(MPa) | Margin |  |  |  |  |  |
|----------------|---------------------------|--|--------|--|--|--|--|--|
| IC-PD-1        | 106                       | 414                                      | 3.90   |  |  |  |  |  |
| IC-PD-2        | 106                       | 414                                      | 3.90   |  |  |  |  |  |
| IC-R-1         | 94                        | 414                                      | 4.40   |  |  |  |  |  |
| IC-R-2         | 85                        | 414                                      | 4.87   |  |  |  |  |  |
| IC-R-3         | 105                       | 310                                      | 2.95   |  |  |  |  |  |
| IC-R-4         | 86                        | 310                                      | 3.60   |  |  |  |  |  |
| IC-R-5         | 75                        | 351                                      | 4.68   |  |  |  |  |  |
| IC-R-6         | 82                        | 351                                      | 4.28   |  |  |  |  |  |

#### Structural strength assessment results

#### Dynamic function maintenance assessment results

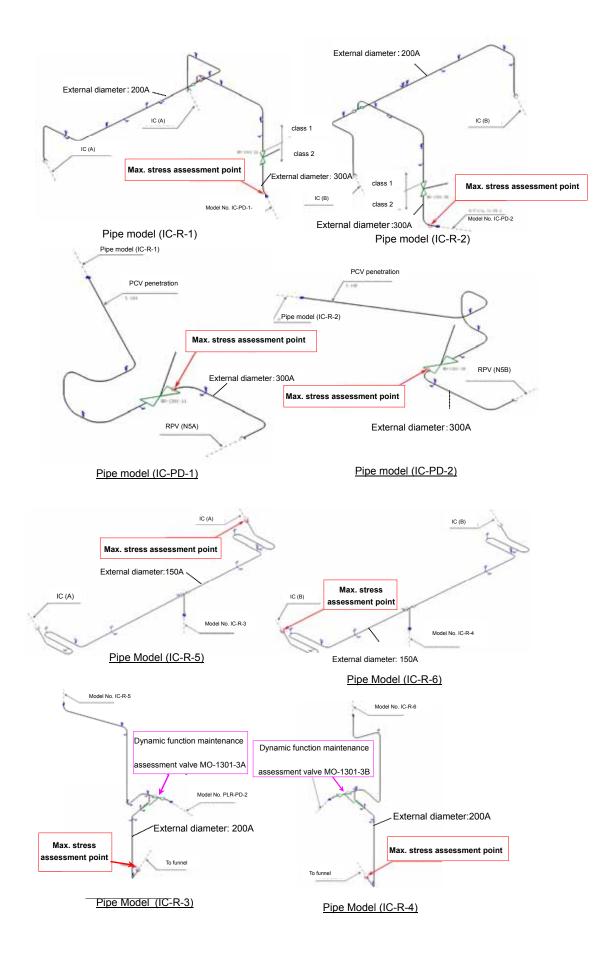
|                  | Horizor          | ntal (G* <sup>2</sup> )           | Vertic           |   |          |
|------------------|------------------|-----------------------------------|------------------|---|----------|
| Name of<br>valve | Calculated value | Assessment<br>standard<br>value*3 | Calculated value | Assessment<br>standard<br>value <sup>*3</sup> | Judgment |
| MO-1301-3A       | 0.9              | 6.0                               | 2.0              | 6.0   | 0        |
| MO-1301-3B       | 0.9              | 6.0                               | 1.9              | 6.0   | 0        |

\*1: Allowable values for service condition D as provided under "Codes for nuclear power generation facilities : rules on design and construction for nuclear power plants JSME S NC1-2005"

(Equivalent to allowable stress condition IV AS as indicated in "Technical Guidelines for Aseismic Design of Nuclear Power Plants JEAG4601 Supplement 1984")

\*2: G=9.80665(m/s<sup>2</sup>)

\*3: Acceleration with function verified indicated in "Technical Guidelines for Aseismic Design of Nuclear Power Plants JEAG4601-1991 Supplemental Volume"



Fukushima Daiichi Nuclear Power Station Unit 2 Results of Seismic Response Analysis for Reactor Building and Equipment & Piping Systems Important to Seismic Safety Using Observation Records from the 2011 Tohoku-Chihou-Taiheiyo-Oki Earthquake

### 1. Introduction

There are numerous seismic observation records from the Tohoku-Chihou-Taiheiyo-Oki Earthquake that occurred on March 11, 2011 such as from the R/B base mat.

Based on written order\* from NISA, seismic response analysis was conducted using seismic observation records from Fukushima Daiichi NPS Unit 2.The analysis results for R/B and equipment and piping systems important to seismic safety were reported to NISA on June 17, 2011.

### \*Written order

"Actions following the analysis of seismic data collected at Fukushima Daiichi NPS and Fukushima Daini NPS during the Tohoku-Chihou-Taiheiyo-Oki Earthquake (ORDER)" (May 16, 2011, NISA No.6)

### 2. Reactor Building (R/B)

To conduct seismic response analysis considering the 2011 Tohoku-Chihou-Taiheiyo-Oki Earthquake for Fukushima Daiichi NPS Unit 2 R/B, the observation records from the building's base mat was used for analysis to verify the conditions of the building at the time of the earthquake.

To conduct the seismic response analysis, a model was defined that would adequately express the characteristics of the buildings, structures and ground (Figure 1).

Results of the analysis verified that the maximum shear strain for the seismic wall was  $0.43 \times 10^{-3}$  (E-W direction, fifth floor) and that the stress and deformation conditions for all seismic walls, excluding those in the E-W direction on the fifth floor, were at or below the first flexion point on the skeleton curve (Figure 2, 3).

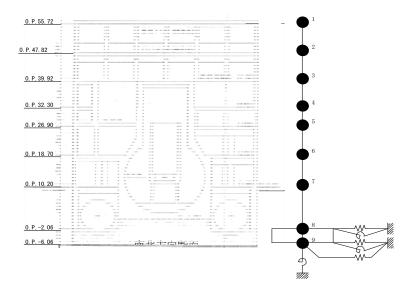
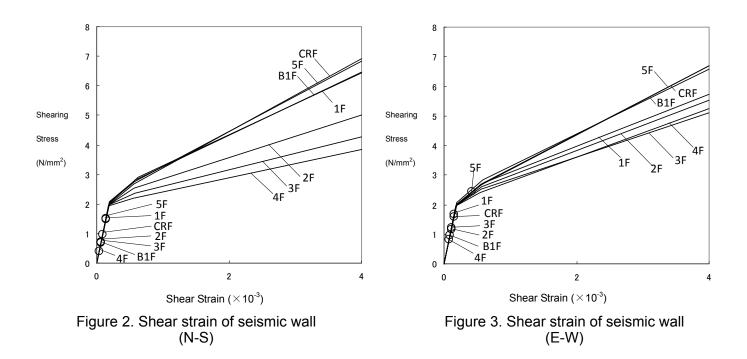


Figure 1. Unit 2 R/B (model drawing)



3. Equipment and piping systems important to seismic safety

For large equipment such as the reactor for Fukushima Daiichi NPS Unit 2, seismic response analysis using observed records from the Tohoku-Chihou-Taiheiyo-Oki Earthquake was conducted. The resulting seismic load and other values were compared against those calculated from the seismic safety assessment using the existing design basis seismic ground motion, Ss.

The comparison showed that the seismic load and other factors caused by this earthquake partially exceeded some of such figures from the seismic safety analysis. However, the seismic performance of major facilities with safety-critical functions such as "shutting down" and "cooling down" the reactor, as well as "confining inside" radioactive material was also assessed, which verified that the calculated stress and other values were below the assessment standard values (Table 1). Based on the above results, it can be assumed that major facilities with safety-critical functions maintained their safety functions during and immediately after the earthquake.

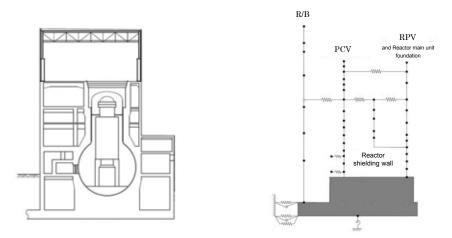
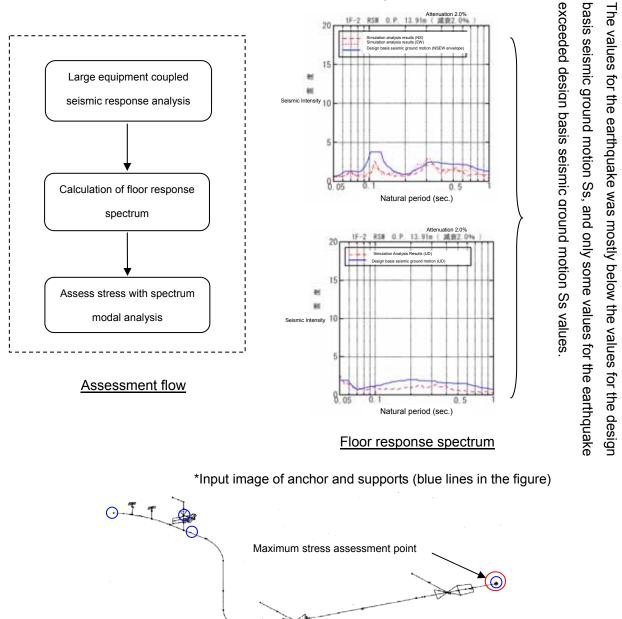


Figure 4. Example of large equipment coupled seismic response analysis model

# Table 1. Overview of the impact assessment for equipment & piping systems important to seismic safety (Fukushima Daiichi NPS Unit 2)

|   |                               |  |   | Dosign basis                                       | -                            | ]   |
|---|-------------------------------|--|---|--|------------------------------|---|
| F   | acility                       | Seismic respo  | onse load   | Design basis<br>seismic ground<br>motion Ss        | Simulation calculated result | Seismic performance<br>assessment result                            |
|   |                               | Shear force  | (kN)  | 4960   | 5110                         | RPV<br>(foundation bolt)  |
|   | RPV<br>foundation             | Moment (kN · m   |   | 22500  | 25600                        | Calculated value: 29MPa   |
|   |                               | Axial force  | (kN)  | 5710   | 4110                         | Assessment standard<br>value: 222MPa                                |
| Seisr   |                               | Shear force  | (kN)  | 7270   | 8290                         | PCV<br>(drywell)  |
| nic lo  | PCV<br>foundation             | Moment   | (kN • m)  | 124000   | 153000                       | Calculated value: 87MPa   |
| ad a  |                               | Axial force  | (kN)  | 3110   | 2350                         | Assessment standard<br>value: 278MPa                                |
| Seismic load and others                         | Core                          | Shear force  | (kN)  | 2590   | 3950                         | Core support structure<br>(shroud support)                          |
| hers  | shroud                        | Moment   | (kN • m)  | 13800  | 21100                        | Calculated value:122MPa   |
|   | foundation                    | Axial force  | (kN)  | 760  | 579                          | Assessment standard<br>value:300MPa                                 |
|   | Fuel<br>assembly              | Relative<br>displacement   | (mm)  | 16.5   | 33.2                         | Control rods (insertability)<br>Assessment standard<br>value:40.0mm |
| Se  | Refueling                     | Seismic<br>intensity<br>(horizontal)   | (G)   | 0.97   | 1.21                         | RHR cooling system pump<br>(motor bolt)<br>Calculated value: 45MPa  |
| Seismic intensity<br>assessment                 | floor                         | Seismic<br>intensity<br>(vertical)   | (G)   | 0.56   | 0.70                         | Assessment standard value: 185MPa                                   |
| tensity for<br>sment                            | Base mat                      | Seismic<br>intensity<br>(horizontal)   | (G)   | 0.54   | 0.68                         | -   |
|   |                               | Seismic<br>intensity<br>(vertical)   | (G)   | 0.52   | 0.37                         |   |
|   |                               | /el (O.P.18.70m)>  | ><br>ation 2.0%   |  | Attenuation 2.0%             | Main steam piping<br>Calculated value: 208MPa                       |
| Floor res                                       | 20<br>15                      | Simulation analysis results (NS)<br>Simulation analysis results (EW)<br>Design basis seismic ground motic        | 12.0%)  | Assessment standard<br>value: 360MPa<br>RHR piping |                              |   |
| oonse spe                                       | Seismic Intensity             |  | Calculated value: 87MPa<br>Assessment standard<br>value: 315MPa |  |                              |   |
| Floor response spectrum (R/B)                   | 8 05                          | 0.1  |   |  |                              |   |
| 3   |                               | Natural period (sec.)<br>(horizontal)  |   | 0.05 0.1<br>Natural peri<br>(vertic                | od (sec.)                    |   |
|   |                               | , , , , , , , , , , , , , , , , , , ,  |   | Υ.   | - /                          |   |
|   | 23                            | hielding wall base   | ion 2.0%  |  | Attenuation 2.0%             |   |
| Floor respo<br>(R/B shie                        | 20<br>15<br>Seismic Intensity | Simulation analysis results (NS)<br>Simulation analysis results (ND)<br>Design basis seismic ground motion (NSE) |   |  |                              |   |
| Floor response spectrum<br>(R/B shielding wall) | 5<br>0.05                     | Natural period (sec.)  | 0.5   | 8 05 01<br>Natural peri                            | 0 (sec.)                     |   |
|   |                               | (horizontal)   |   | (vertic  | al)                          |   |
|   |                               |  |   |  |                              |   |



### (Reference) Overview of seismic performance assessment (Example of main steam piping)

Main steam piping model (portion)

|                         |                   | Design         | basis seisi                   | mic ground                                   | motion Ss                | March 11 earthquake |                                  |  |                          |
|-------------------------|-------------------|----------------|-------------------------------|--|--------------------------|---------------------|----------------------------------|--|--------------------------|
| Target<br>facility      | Assess<br>ed part | Stress<br>type | Calculat<br>ed value<br>(MPa) | Assess<br>ment<br>standard<br>value<br>(MPa) | Assess<br>ment<br>method | Stres<br>s<br>type  | Calcula<br>ted<br>value<br>(MPa) | Assess<br>ment<br>standard<br>value<br>(MPa) | Assess<br>ment<br>method |
| Main<br>steam<br>piping | Piping<br>itself  | Prima<br>ry    | 288                           | 360  | Detailed                 | Prim<br>ary         | 208                              | 360  | Detailed                 |

Structural Strength Assessment Results

Fukushima Daiichi Nuclear Power Station Unit 3 Results of Seismic Response Analysis for Reactor Building and Equipment & Piping Systems Important to Seismic Safety Using Observation Records from the 2011 Tohoku-Chihou-Taiheiyo-Oki Earthquake

#### 1. Introduction

There are numerous seismic observation records from the Tohoku-Chihou-Taiheiyo-Oki Earthquake that occurred on March 11, 2011 such as from the Reactor Building (R/B) base mat. Based on written order\* from NISA, seismic response analysis was conducted using seismic observation records from Fukushima Daiichi NPS Unit 3.The analysis results for R/B and equipment and piping systems important to seismic safety were reported to NISA on July 28, 2011.

#### \*Written order

"Actions following the analysis of seismic data collected at Fukushima Daiichi NPS and Fukushima Daini NPS during the Tohoku-Chihou-Taiheiyo-Oki Earthquake (ORDER)" (May 16, 2011, NISA No.6)

#### 2. Reactor Building (R/B)

To conduct seismic response analysis considering the 2011 Tohoku-Chihou-Taiheiyo-Oki Earthquake for Fukushima Daiichi NPS Unit 3 R/B, the observation records from the building's base mat was used for analysis to verify the conditions of the building at the time of the earthquake.

To conduct the seismic response analysis, a model was defined that would adequately express the characteristics of the buildings, structures and ground (Figure 1).

Results of the analysis verified that the maximum shear strain for the seismic wall was  $0.17 \times 10^{-3}$  (E-W direction, fifth floor) and that the stress and deformation conditions for all seismic walls were at or below the first flexion point on the skeleton curve (Figure 2, 3).

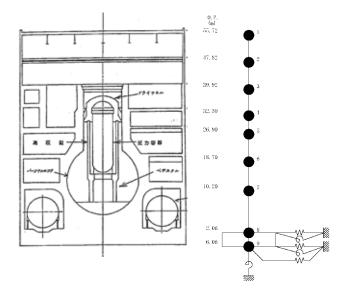
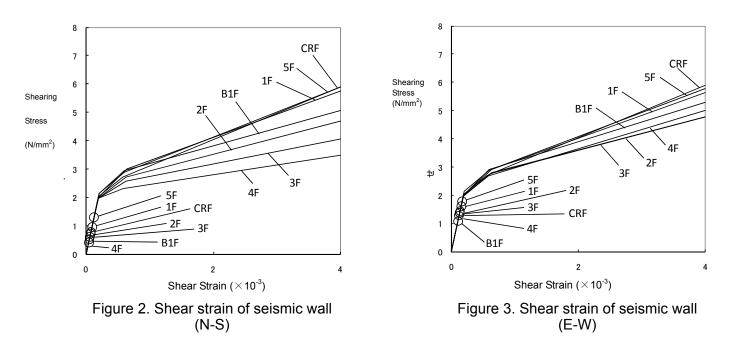


Figure 1. Unit 3 R/B (model drawing)



#### 3. Equipment and piping systems important to seismic safety

For large equipment such as the reactor for Fukushima Daiichi NPS Unit 3, seismic response analysis using observed records from the Tohoku-Chihou-Taiheiyo-Oki Earthquake was conducted. The resulting seismic load and other values were compared against those calculated from the seismic safety assessment using the existing design basis seismic ground motion, Ss.

The comparison showed that the seismic load and other factors caused by this earthquake partially exceeded some of such figures from the seismic safety analysis. However, the seismic performance of major facilities with safety-critical functions such as "shutting down" and "cooling

down" the reactor, as well as "confining inside" radioactive material was also assessed, which verified that the calculated stress and other values were below the assessment standard values (Table 1). Based on the above results, it can be assumed that major facilities with safety-critical functions maintained their safety functions during and immediately after the earthquake.

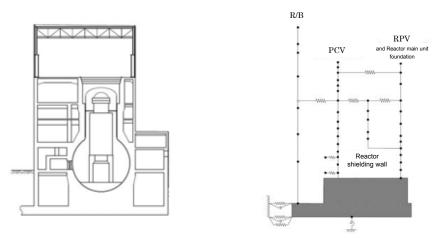
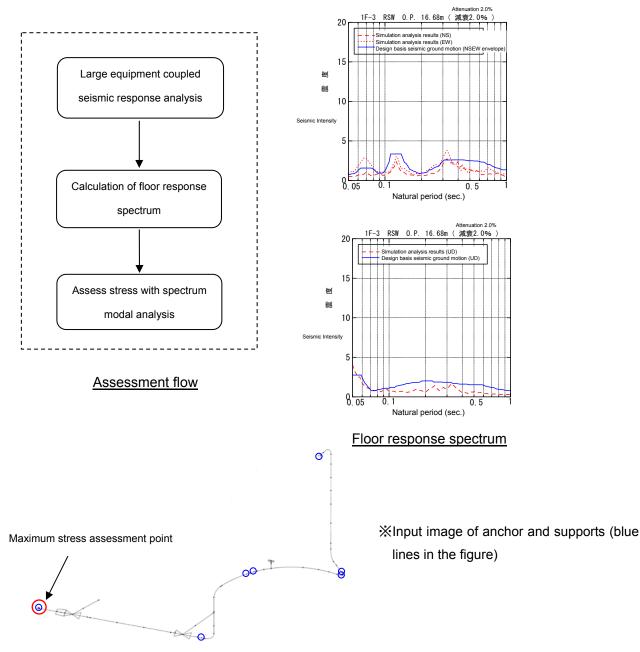


Figure 4. Example of large equipment coupled seismic response analysis model

# Table 1. Overview of the impact assessment for equipment & piping systems important to seismic safety (Fukushima Daiichi NPS Unit 3)

|   |   |   | <b>`</b>             | Design basis                        | Simulation  | Seismic performance   |
|---|---|---|----------------------|-------------------------------------|---|---|
| F   | Facility  | Seismic resp  | onse load            | seismic ground<br>motion Ss         | calculated result                                 | assessment result   |
|   |   | Shear force   | (kN)                 | 4970                                | 5750  | RPV<br>(foundation bolt)  |
|   | RPV<br>foundation   | Moment  | (kN · m)             | 30400                               | 41700   | Calculated value: 50MPa   |
|   |   | Axial force   | (kN)                 | 5780                                | 4900  | Assessment standard<br>value: 222MPa  |
| Seisn   |   | Shear force   | (kN)                 | 7070                                | 8150  | PCV<br>(drywell)  |
| Seismic load and others                         | PCV<br>foundation   | Moment  | (kN · m)             | 123000                              | 153000  | Calculated value: 158MPa<br>Assessment standard   |
| ad ar   |   | Axial force   | (kN)                 | 2930                                | 2080  | value: 278MPa   |
| nd oth  | Core  | Shear force   | (kN)                 | 2440                                | 3010  | Core support structure<br>(shroud support)  |
| ners  | shroud<br>foundation  | Moment  | (kN · m)             | 13600                               | 16600   | Calculated value:100MPa   |
|   | loundation  | Axial force   | (kN)                 | 783                                 | 681   | value:300MPa  |
|   | Fuel<br>assembly  | Relative<br>displacement  | (mm)                 | 14.8                                | 24.1  | Control rods (insertability)<br>Assessment standard<br>value:40.0mm                       |
| Se  | Refueling<br>floor  | Seismic<br>intensity<br>(horizontal)<br>Seismic   | (G)                  | 0.95                                | 1.34  | RHR cooling system pump<br>(motor bolt)<br>Calculated value: 42MPa<br>Assessment standard |
| ismic intensity<br>assessment                   | 1001  | intensity<br>(vertical)   | (G)                  | 0.57                                | 0.81  | value: 185MPa   |
| Seismic intensity for<br>assessment             | Base mat  | Seismic<br>intensity<br>(horizontal)  | (G)                  | 0.55                                | 0.61  |   |
| or  |   | Seismic<br>intensity<br>(vertical)  | (G)                  | 0.53                                | 0.29  |   |
|   | <r (0.p<="" b="" td=""><td>2.32.30m) &gt;</td><td>enuation 3.0% Seismi</td><td>c Intensity</td><td>Attenuation3.0%<br/>32.30m( 減衰3.0% )</td><td>Main steam piping<br/>Calculated value: 151MPa</td></r> | 2.32.30m) >   | enuation 3.0% Seismi | c Intensity                         | Attenuation3.0%<br>32.30m( 減衰3.0% )               | Main steam piping<br>Calculated value: 151MPa   |
| Floo  | 20<br>Seismic Intensity   | Simulation analysis results (N<br>Simulation analysis results (E<br>Design basis seismic ground   | S)<br>W)             | 20 Simulation and Design basis s    | alysis results (UD)<br>seismic ground motion (UD) | Assessment standard value: 378MPa   |
| Floor response spectrum (R/B                    | 15<br>一<br>総<br>10<br>5   |   |                      | 15<br>総<br>10<br>5<br>5<br>10<br>10 | r based on simulation analysis                    | RHR piping<br>Calculated value: 269MPa<br>Assessment standard<br>value: 363MPa            |
| trum (R/B                                       | 0.05  | 0.1   | 0.5                  | 8.05 0 1                            | 0.5   |   |
|   |   | Natural period (sec.)<br>(horizontal)   |                      | (vertic                             |   |   |
|   | < Peastor a   | hielding wall (O [  | 216.68  m            |                                     |   |   |
| Floor response spectrum<br>(R/B shielding wall) |   | hielding wall (O.F<br>Attenue<br>RSW 0.P. 16.680 (#2<br>Simulation analysis results (NS)<br>Simulation analysis results (NV)<br>Design basis setsmic ground mot<br>basis setsmic ground mot<br>design basis setsmic |                      |                                     |   |   |



### (Reference 1) Overview of seismic performance assessment (Example of main steam piping)

#### Main steam piping model (portion)

Structural Strength Assessment Results

|                         |                   | Design basis seismic ground motion Ss |                              |   |                       |                | March 11 earthquake           |   |                       |  |
|-------------------------|-------------------|---------------------------------------|------------------------------|---|-----------------------|----------------|-------------------------------|---|-----------------------|--|
| Target<br>facility      | Assesse<br>d part | Stress<br>type                        | Calculated<br>value<br>(MPa) | Assessme<br>nt standard<br>value<br>(MPa) | Assessme<br>nt method | Stress<br>type | Calculat<br>ed value<br>(MPa) | Assessme<br>nt standard<br>value<br>(MPa) | Assessme<br>nt method |  |
| Main<br>steam<br>piping | Piping<br>itself  | Primar<br>y                           | 183                          | 417*                                      | Detailed              | Primar<br>y    | 151                           | 378*                                      | Detailed              |  |

% : Since the material of piping at the maximum stress assessment point (point with minimum margin) is different for the assessment of design basis seismic ground motion Ss and the recent March 11 earthquake, the assessment standard value is different

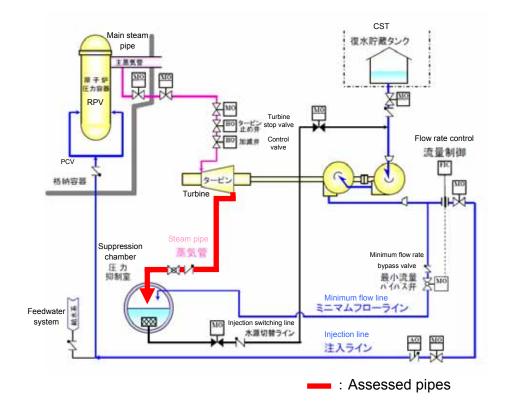
(Reference 2) Seismic performance assessment of high-pressure coolant injection piping

Seismic performance was assessed for Unit 3 high-pressure coolant injection (HPCI) piping (steam pipes) using the floor response spectrum defined based on the R/B simulation analysis at this time.

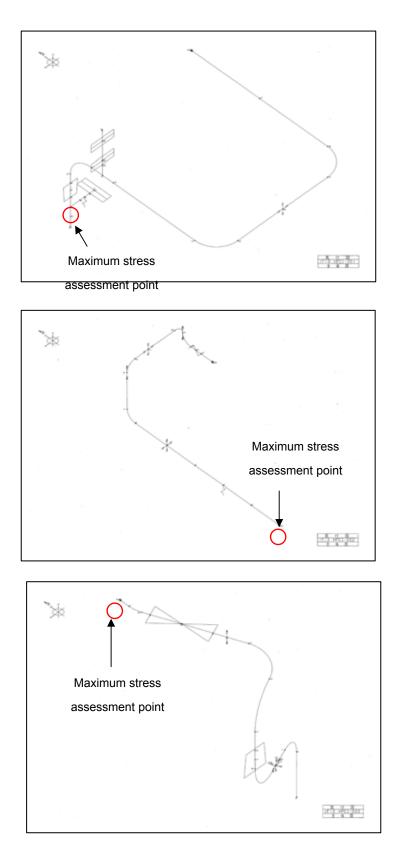
The results verified that the calculated values were sufficiently below the assessment standard value for this earthquake.

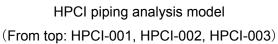
| Analysis model | Calculated value<br>(MPa) | Assessment standard value<br>(MPa) | Stress ratio<br>(Calculated value/ Assessment<br>standard value) |
|----------------|---------------------------|------------------------------------|--|
| HPCI-001       | 113                       | 335                                | 0.34   |
| HPCI-002       | 52                        | 335                                | 0.16   |
| HPCI-003       | 75                        | 335                                | 0.22   |

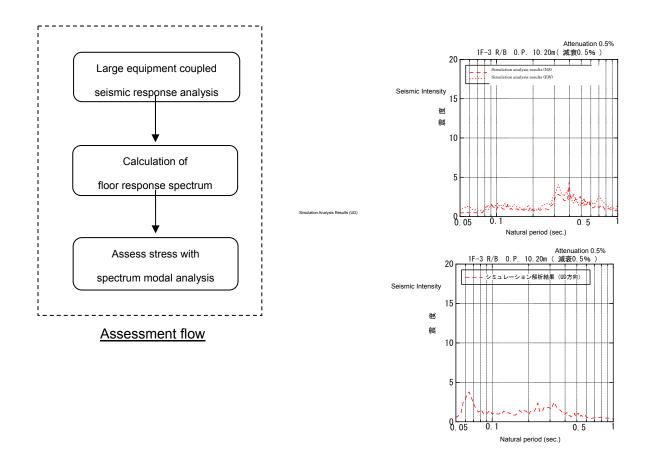
#### Results of seismic performance assessment for HPCI piping



Schematic system drawing of the HPCI system







Overview of seismic performance assessment for HPCI piping

Fukushima Daiichi Nuclear Power Station Unit 4 Results of Seismic Response Analysis for Reactor Building and Equipment & Piping Systems Important to Seismic Safety Using Observation Records from the 2011 Tohoku-Chihou-Taiheiyo-Oki Earthquake

#### 1. Introduction

There are numerous seismic observation records from the Tohoku-Chihou-Taiheiyo-Oki Earthquake that occurred on March 11, 2011 such as from the Reactor Building (R/B) base mat. Based on written order\* from NISA, seismic response analysis was conducted using seismic observation records from Fukushima Daiichi NPS Unit 4. The analysis results for R/B and equipment and piping systems important to seismic safety were reported to NISA on June 17, 2011.

#### \*Written order

"Actions following the analysis of seismic data collected at Fukushima Daiichi NPS and Fukushima Daini NPS during the Tohoku-Chihou-Taiheiyo-Oki Earthquake (ORDER)" (May 16, 2011, NISA No.6)

#### 2. Reactor Building (R/B)

To conduct seismic response analysis considering the 2011 Tohoku-Chihou-Taiheiyo-Oki Earthquake for Fukushima Daiichi NPS Unit 4 R/B, the observation records from the building's base mat was used for analysis to verify the conditions of the building at the time of the earthquake.

To conduct the seismic response analysis, a model was defined that would adequately express the characteristics of the buildings, structures and ground (Figure 1).

Results of the analysis verified that the maximum shear strain for the seismic wall was  $0.15 \times 10^{-3}$  (E-W direction, fifth floor) and that the stress and deformation conditions for all seismic walls were at or below the first flexion point (Figure 2, 3).

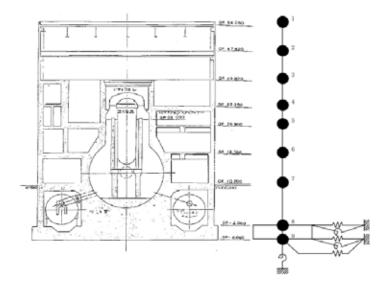
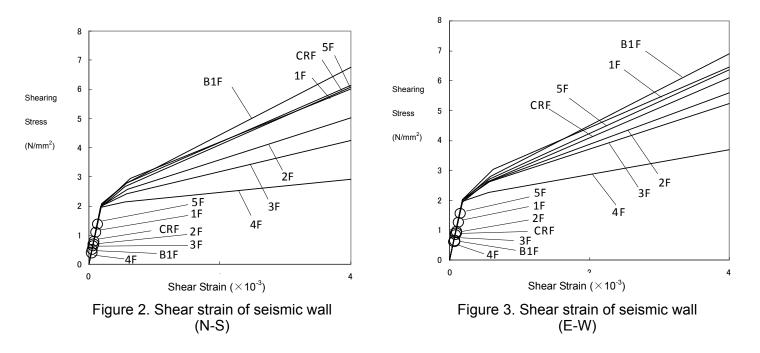


Figure 1. Unit 4 R/B (model drawing)



#### 3. Equipment and piping systems important to seismic safety

For large equipment such as the reactor for Fukushima Daiichi NPS Unit 4, seismic response analysis using observed records from the Tohoku-Chihou-Taiheiyo-Oki Earthquake was conducted. The resulting seismic load and other values were compared against those calculated from the seismic safety assessment using the existing design basis seismic ground motion, Ss.

The comparison showed that the seismic load and other factors caused by this earthquake, excluding some of the floor response spectrum peak, were below the figures from the seismic safety analysis. The seismic performance of the residual heat system piping was also assessed,

which verified that the calculated stress and other values were below the assessment standard values (Table-1). Based on the above results, it can be assumed that major facilities with safety-critical functions maintained their safety functions during and immediately after the earthquake.

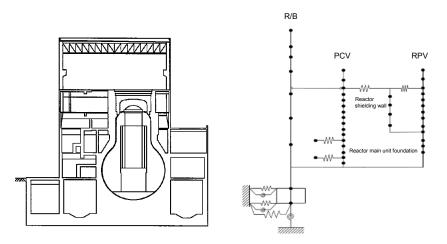
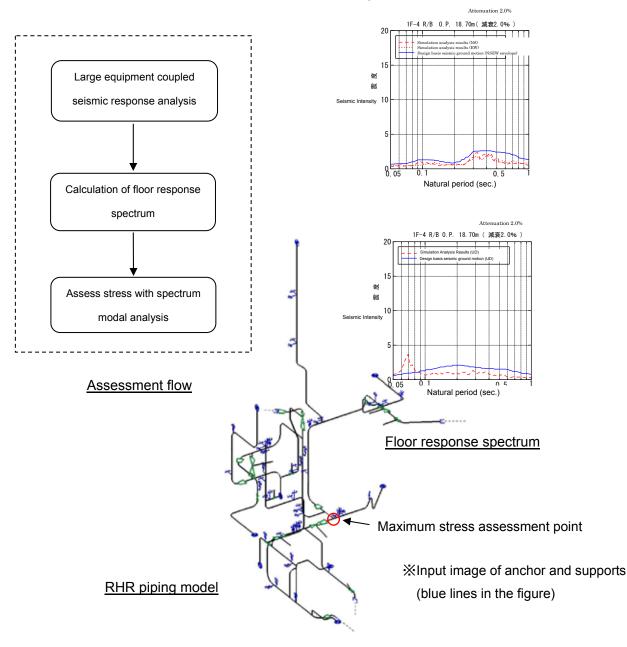


Figure 4. Example of large equipment coupled seismic response analysis model

#### Design basis Simulation Seismic performance Facility Seismic response load seismic ground calculated result assessment result motion Ss RPV Shear force 4000 (kN) 4790 (foundation bolt) Moment (kN • m) 38900 28000 Assessment unnecessary RPV as the calculated result is foundation smaller than the load for Axial force 6020 6660 (kN) design basis seismic ground motion Ss Seismic load and others PCV 4910 Shear force (kN) 6840 (drywell) Moment $(kN \cdot m)$ 113000 79900 Assessment unnecessary PCV as the calculated result is foundation smaller than the load for Axial force (kN) 2460 1170 design basis seismic ground motion Ss Shear force (kN) Core No core shroud as it was undergoing shroud Moment (kN • m) replacement work at the time of foundation earthquake Axial force (kN) All fuel assemblies were removed as Unit Fuel Relative 4 was undergoing the outage at the time of (mm) assembly displacement earthquake RHR cooling system pump Seismic intensity 0.96 0.68 (G) Refueling Seismic intensity for assessment (horizontal) (foundation bolt) floor Seismic intensity Assessment unnecessary (G) 0.58 0.71 (vertical) as the calculated result is Seismic intensity smaller than the load for (G) 0.55 0.39 (horizontal) Base mat design basis seismic Seismic intensity 0.25 (G) 0 52 ground motion Ss (vertical) <Middle level (O.P.18.70m)> Main steam piping\_ Assessment unnecessary Attenuation 2.0% (減衰2 0%) R/B 0.P. 18.70m(減衰2.0% R/R 0 P 18 70m as piping is isolated as 20 Floor response spectrum (R/B part of the safety measures for the shroud replacement work 度 ÊŬ £Κ RHR piping Calculated value: 124MPa Assessment standard value: 335MP 8.05 Natural period (Sec Natural period (Sec) (horizontal) (vertical) <Reactor shielding wall (O.P.19.43m)> 0 P 19 20 Floor response spectrum (R/B shielding wall) 15 1 箧 箧 ÉK 10 Natural period (Sec) Natural period (Sec (horizontal) (vertical)

# Table 1. Overview of the impact assessment for equipment & piping systems important to seismic safety (Fukushima Daiichi NPS Unit 4)



### (Reference) Overview of seismic performance assessment (Example of RHR piping)

|                    |                   | Des            | ign basis seisi              | mic ground mo                             | tion Ss               | March 11 earthquake |                                  |   |                       |
|--------------------|-------------------|----------------|------------------------------|---|-----------------------|---------------------|----------------------------------|---|-----------------------|
| Target<br>facility | Assess<br>ed part | Stress<br>type | Calculated<br>value<br>(MPa) | Assessme<br>nt standard<br>value<br>(MPa) | Assessme<br>nt method | Stress<br>type      | Calcul<br>ated<br>value<br>(MPa) | Assessme<br>nt standard<br>value<br>(MPa) | Assessme<br>nt method |
| RHR<br>piping      | Piping<br>itself  | Primary        | 137*                         | 335 <sup>*</sup>                          | Detailed              | Primary             | 124*                             | 335 <sup>*</sup>                          | Detailed              |

Since the part assessed in the Interim Report had its functions stopped as part of safety measures, at the time of the earthquake, this assessment was made with a different piping model. The comparison of the assessment results is only for reference.

Fukushima Daiichi Nuclear Power Station Unit 5 Results of Seismic Response Analysis for Reactor Building and Equipment & Piping Systems Important to Seismic Safety Using Observation Records from the 2011 Tohoku-Chihou-Taiheiyo-Oki Earthquake

#### 1. Introduction

There are numerous seismic observation records from the

Tohoku-Chihou-Taiheiyo-Oki Earthquake that occurred on March 11, 2011 such as from the R/B base mat.

Based on written order\* from NISA, seismic response analysis was conducted using seismic observation records from Fukushima Daiichi NPS Unit 5. The analysis results for R/B and equipment and piping systems important to seismic safety were reported to NISA on August 18, 2011.

\*Written order

"Actions following the analysis of seismic data collected at Fukushima Daiichi NPS and Fukushima Daini NPS during the Tohoku-Chihou-Taiheiyo-Oki Earthquake (ORDER)" (May 16, 2011, NISA No.6)

#### 2. Reactor Building (R/B)

To conduct seismic response analysis considering the 2011

Tohoku-Chihou-Taiheiyo-Oki Earthquake for Fukushima Daiichi NPS Unit 5 R/B, the observation records from the building's base mat was used for analysis to verify the conditions of the building at the time of the earthquake.

To conduct the seismic response analysis, a model was defined that would adequately express the characteristics of the buildings, structures and ground (Figure 1).

Results of the analysis verified that the maximum shear strain for the seismic wall was  $0.36 \times 10^{-3}$  (E-W direction, fifth floor) and that the stress and deformation conditions for all seismic walls, excluding those in the E-W direction on the crane floor and fifth floor, were at or below the first flexion point on the skeleton curve (Figure 2, 3).

1

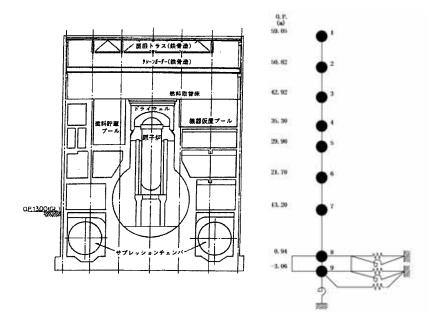
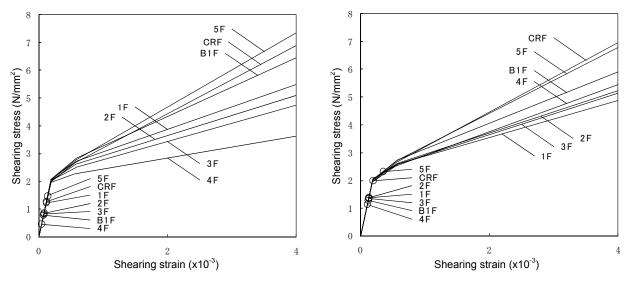
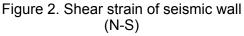
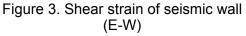


Figure 1. Unit 5 R/B (model drawing)







3. Equipment and piping systems important to seismic safety

For large equipment such as the reactor for Fukushima Daiichi NPS Unit 5, seismic response analysis using observed records from the Tohoku-Chihou-Taiheiyo-Oki Earthquake was conducted. The resulting seismic load and other values were

compared against those calculated from the seismic safety assessment using the existing design basis seismic ground motion, Ss.

The comparison showed that the seismic load and other factors caused by this earthquake partially exceeded some of such figures from the seismic safety analysis. However, the seismic performance of major facilities with safety-critical functions such as "shutting down" and "cooling down" the reactor, as well as "confining inside" radioactive material was also assessed, which verified that the calculated stress and other values were below the assessment standard values (Table 1). Based on the above results, it can be assumed that major facilities with safety-critical functions maintained their safety functions during and immediately after the earthquake.

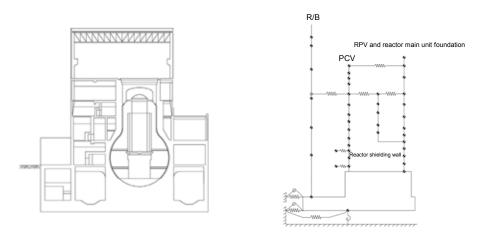
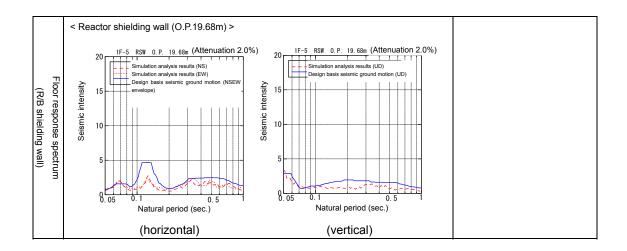
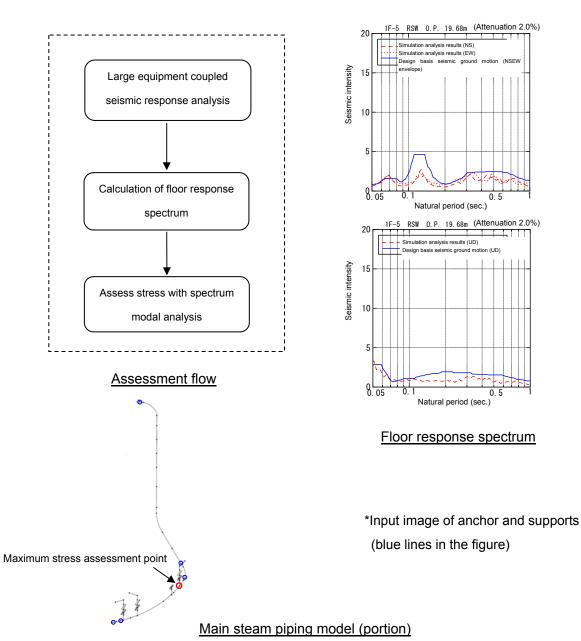


Figure 4. Example of large equipment coupled seismic response analysis model

| Facility          | Solomia roca  |   | Design basis  | Simulation  | Seismic performance  |
|-------------------|---|---|---|---|--|
| Facility          | Seismic resp  | onse load   | motion Ss   | calculated result   | assessment result  |
|                   | Shear force   | (kN)  | 5200  | 6830  | RPV<br>(foundation bolt)   |
| RPV<br>foundation | Moment  | (kN · m)  | 32200   | 43500   | Calculated value: 53MPa  |
|                   | Axial force   | (kN)  | 5940  | 5060  | Assessment standard value:<br>222MPa   |
|                   | Shear force   | (kN)  | 8290  | 8830  | PCV<br>(drywell)   |
| PCV<br>foundation | Moment  | (kN · m)  | 150000  | 169000  | PCV boundary unnecessary<br>to maintain function because   |
|                   | Axial force   | (kN)  | 3320  | 1820  | <u>of open vessel</u>  |
| 0                 | Shear force   | (kN)  | 2640  | 2820  | Core support structure   |
| shroud            | Moment  | (kN · m)  | 16600   | 15700   | (shroud support)<br>Calculated value: 84MPa  |
| foundation        | Axial force   | (kN)  | 754   | 842   | Assessment standard value: 300MPa  |
| Fuel<br>assembly  | Relative<br>displacement  | (mm)  | All control rods were inserted as Unit 5 was<br>undergoing the outage at the time of<br>earthquake  |   | _  |
| Refueling         | Seismic<br>intensity<br>(horizontal)  | (G)   | 0.94  | 1.17  | RHR cooling system pump<br>(motor bolt)<br>Calculated value: 44MPa   |
| floor             | Seismic<br>intensity<br>(vertical)  | (G)   | 0.55 0.68   |   | Assessment standard value:<br>185MPa   |
| Ross mot          | Seismic<br>intensity<br>(horizontal)  | (G)   | 0.56  | 0.67  |  |
| Dase mat          | Seismic<br>intensity<br>(vertical)  | (G)   | 0.53  | 0.32  |  |
| 20                | F-5 R/B 0. P. 21. 70m (Al<br>Simulation analysis results<br>Simulation analysis results<br>Design basis seismic grou<br>envelope)<br>0. 1<br>Natural period (si | (NS)<br>(EW)<br>id motion (NSEW<br>0.5 1<br>ec.)  | 20<br>Simulation analysis<br>Design basis selem<br>15<br>15<br>10<br>5<br>5<br>0.05<br>0.1<br>Natural per   | leak based<br>tion analysis<br>0.5<br>riod (sec.)   | Main steam piping<br>Calculated value: 244MPa<br>Assessment standard value:<br>417MPa<br>RHR piping<br>Calculated value: 189MPa<br>Assessment standard value:<br>364MPa  |
|                   | PCV<br>foundation<br>Core<br>shroud<br>foundation<br>Fuel<br>assembly<br>Refueling<br>floor<br>Base mat<br>< R/B (O.P.2   | RPV<br>foundation       Shear force         Moment       Axial force         PCV<br>foundation       Shear force         PCV<br>foundation       Moment         Axial force       Shear force         PCV<br>foundation       Moment         Axial force       Shear force         Moment       Axial force         Seismic       Moment         Axial force       Moment         Seismic       Seismic         intensity<br>(horizontal)       Seismic         Base mat       Seismic<br>intensity<br>(horizontal)         Seismic<br>intensity<br>(horizontal)       Seismic<br>simulation analysis results         Seismic<br>intensity<br>(horizontal)       Seismic<br>simulation analysis results         Seismic<br>intensity<br>(horizontal)       Seismic<br>simulation analysis results         Seismic<br>intensity<br>(horizontal)       Seismic<br>simulation analysis results         Seismic<br>intensity<br>(box       Simulation analysis results         Seismic<br>intensity<br>(box       Simulation analysis results         Seismic<br>intensity<br>(box       Simulation analysis results         Seismic<br>intensity<br>(box       Simulation analysis results         Seismic<br>intensity<br>(box       Simulatin analysis results | RPV<br>foundation       Shear force (kN)         Moment (kN · m)         Axial force (kN)         PCV<br>foundation         PCV<br>foundation         Axial force (kN)         Core<br>shroud<br>foundation         Fuel<br>assembly         Refueling<br>floor         Seismic<br>intensity (G)<br>(horizontal)         Seismic<br>intensity (G)<br>(horizontal)         Base mat         Seismic<br>intensity (G)<br>(vertical)         Simulation analysis realts (NS)<br>Simulation analysis realts (NS)         Simulation analysis realts (NS)<br>Simulation analysis realts (NS)         Simulation analysis realts (NS)         Simulation analysis realts (NS)         Simulation analysis realts (NS)         Simulation analysis rea | Facility       Seismic response load       seismic ground motion Ss         RPV foundation       Shear force (kN)       5200         Axial force (kN)       5940         Axial force (kN)       5940         PCV foundation       Shear force (kN)       8290         PCV foundation       Moment (kN · m)       150000         Axial force (kN)       3320         Core shroud foundation       Shear force (kN)       2640         Moment (kN · m)       16600         Axial force (kN)       754         Fuel assembly       Relative displacement (mm)       All control rods were i undergoing the ou earther intensity (G)         Refueling floor       Seismic intensity (G)       0.94         Refueling floor       Seismic intensity (G)       0.55         Base matt       Seismic intensity (G)       0.56         (horizontal)       Seismic intensity (G)       0.53         Vertical)       Seismic in | Facility         Seismic response load         seismic ground<br>motion Sa         Simulation<br>calculated result           RPV<br>foundation         Shear force         (KN)         5200         6830           Moment         (KN · m)         32200         43500           Axial force         (KN)         5940         5060           PCV<br>foundation         Shear force         (KN)         8290         8830           PCV<br>foundation         Moment         (KN · m)         150000         169000           Axial force         (KN)         3320         1820           Core<br>shroud<br>foundation         Shear force         (KN)         3320         1820           Axial force         (KN)         754         842           Fuel<br>assembly         Relative<br>displacement         All control rods were inserted as Unit 5 was<br>undergoing the outage at the time of<br>earthquake           Refueling<br>floor         Seismic<br>intensity         0.94         1.17           Base mat         Seismic<br>intensity         0.0         0.55         0.68           Vertical)         Seismic<br>intensity         0.0         0.53         0.32           Vertical)         Seismic<br>intensity         0.5         0.68         0.67           Vertical)         Seismic |

# Table 1. Overview of the impact assessment for equipment & piping systems important toseismic safety (Fukushima Daiichi NPS Unit 5)





### (Reference) Overview of seismic performance assessment (Example of main steam piping)

| Target facility      | Assessed<br>part | Design basis seismic ground motion Ss |                               |  |                                  | March 11 earthquake |                                  |  |                                  |
|----------------------|------------------|---------------------------------------|-------------------------------|--|----------------------------------|---------------------|----------------------------------|--|----------------------------------|
|                      |                  | Stress<br>type                        | Calculat<br>ed value<br>(MPa) | Assessment<br>standard<br>value<br>(MPa) | Asse<br>ssme<br>nt<br>meth<br>od | Stress<br>type      | Calculat<br>ed<br>value<br>(MPa) | Assessm<br>ent<br>standard<br>value<br>(MPa) | Asse<br>ssme<br>nt<br>meth<br>od |
| Main steam<br>piping | Piping<br>itself | Primary                               | 356                           | 417                                      | Detail<br>ed                     | Prima<br>ry         | 244                              | 417  | Detai<br>led                     |

### Structural Strength Assessment Results

Fukushima Daiichi Nuclear Power Station Unit 6 Results of Seismic Response Analysis for Reactor Building and Equipment & Piping Systems Important to Seismic Safety Using Observation Records from the 2011 Tohoku-Chihou-Taiheiyo-Oki Earthquake

#### 1. Introduction

There are numerous seismic observation records from the

Tohoku-Chihou-Taiheiyo-Oki Earthquake that occurred on March 11, 2011 such as from the R/B base mat.

Based on written order\* from NISA, seismic response analysis was conducted using seismic observation records from Fukushima Daiichi NPS Unit 6. The analysis results for R/B and equipment and piping systems important to seismic safety were reported to NISA on August 18, 2011.

\*Written order

"Actions following the analysis of seismic data collected at Fukushima Daiichi NPS and Fukushima Daini NPS during the Tohoku-Chihou-Taiheiyo-Oki Earthquake (ORDER)" (May 16, 2011, NISA No.6)

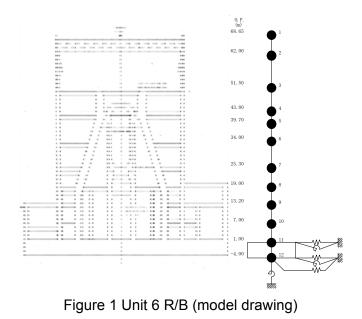
#### 2. Reactor Building (R/B)

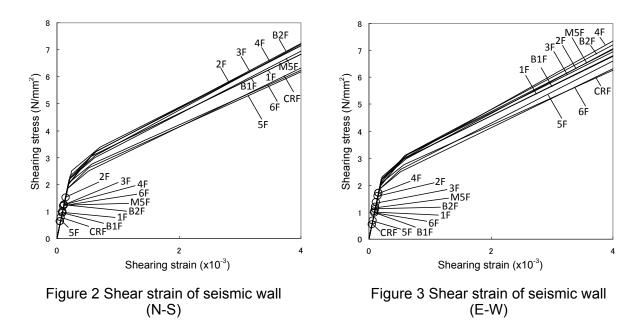
To conduct seismic response analysis considering the 2011

Tohoku-Chihou-Taiheiyo-Oki Earthquake for Fukushima Daiichi NPS Unit 6 R/B, the observation records from the building's base mat was used for analysis to verify the conditions of the building at the time of the earthquake.

To conduct the seismic response analysis, a model is defined that would adequately express the characteristics of the buildings, structures and ground (Figure 1).

Results of the analysis verified that the maximum shear strain for the seismic wall was  $0.16 \times 10^{-3}$  (E-W direction, fourth floor) and that the stress and deformation conditions for all seismic walls were at or below the first flexion point on the skeleton curve (Figure 2, 3).





3. Equipment and piping systems important to seismic safety

For large equipment such as the reactor for Fukushima Daiichi NPS Unit 6, seismic response analysis using observed records from the Tohoku-Chihou-Taiheiyo-Oki Earthquake was conducted. The resulting seismic load and other values were compared against those calculated from the seismic safety assessment using the existing design basis seismic ground motion, Ss.

The comparison showed that the seismic load and other factors caused by this earthquake, excluding some of the floor response spectrum peak, were below the figures from the seismic safety analysis. The seismic performance of the main steam system piping and the residual heat system piping was also assessed, which verified that the calculated stress and other values were below the assessment standard values (Table 1). Based on the above results, it can be assumed that major facilities with safety-critical functions maintained their safety functions during and immediately after the earthquake.

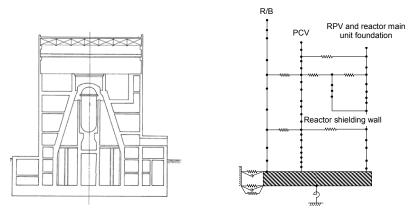
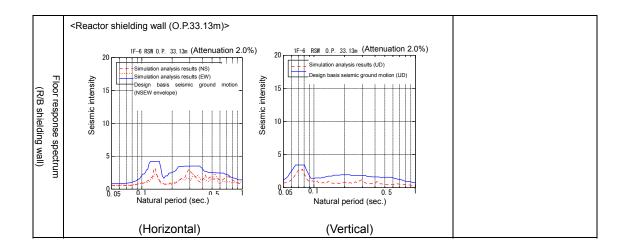
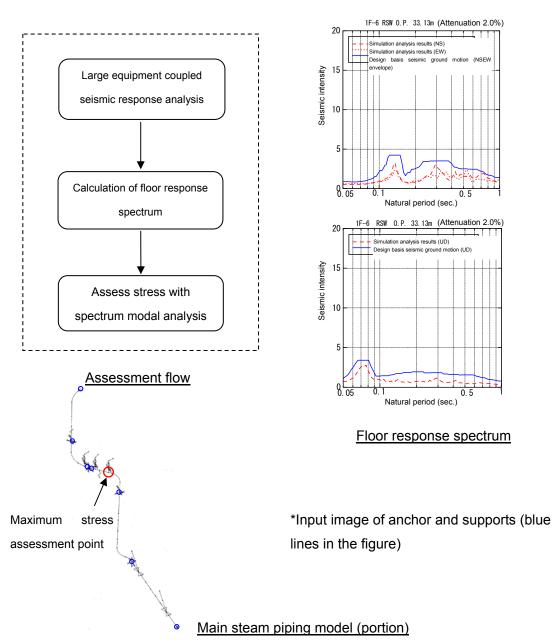


Figure 4 Example of large equipment coupled seismic response analysis model

|                                  |                              |  |          | Design basis  | Simulation           | Seismic performance  |  |
|----------------------------------|------------------------------|--|----------|---|----------------------|--|--|
|                                  | Facility                     | Seismic response load  |          | seismic ground<br>motion Ss   | calculated result    | assessment result  |  |
|                                  | RPV<br>foundation            | Shear force (kN)   |          | 5260  | 3950                 | RPV  |  |
|                                  |                              | Moment   | (kN · m) | 18500   | 11700                | (foundation bolt)<br>Assessment unnecessary as   |  |
|                                  |                              | Axial force  | (kN)     | 9470  | 5930                 | the calculated result is<br>smaller than the load for<br>design basis seismic ground<br>motion Ss  |  |
| Ś                                | PCV<br>foundation            | Shear force  | (kN)     | 21400   | 17700                | PCV  |  |
| Seismic load and others          |                              | Moment   | (kN · m) | 403000  | 314000               | (drywell)<br>PCV boundary unnecessary  |  |
|                                  |                              | Axial force  | (kN)     | 5570  | 3200                 | to maintain function because<br>of open vessel   |  |
| nd oth                           |                              | Shear force  | (kN)     | 6110  | 3880                 | Core support structure   |  |
| lers                             | Core                         | Moment   | (kN · m) | 36000   | 23800                | (shroud support)<br>Assessment unnecessary as  |  |
|                                  | shroud<br>foundation         | Axial force  | (kN)     | 1190  | 882                  | the calculated result is<br>smaller than the load for<br>design basis seismic ground<br>motion Ss  |  |
|                                  | Fuel<br>assembly             | Relative<br>displacement (mm) All control rods were inserted as Unit 6 was<br>undergoing the outage at the time of<br>earthquake |          |   |                      | _  |  |
| Seism                            | Refueling                    | Seismic<br>intensity (G)<br>(horizontal)   |          | 1.14 0.71   |                      | RHR cooling system pumps<br>(motor bolt)   |  |
| ic intensity                     | floor                        | Seismic<br>intensity<br>(vertical)   | (G)      | 0.67  | 0.41                 | Assessment unnecessary as<br>the calculated result is<br>smaller than the load for   |  |
| Seismic intensity for assessment | Base mat                     | Seismic<br>intensity<br>(horizontal)   | (G)      | 0.55  | 0.53                 | design basis seismic ground<br>motion Ss   |  |
| sment                            | Dase mat                     | Seismic<br>intensity<br>(vertical)   | (G)      | 0.51  | 0.20                 |  |  |
| Floor response spectrum (R/B)    | <r (o.p.13.20m)="" b=""></r> |  |          | %) 1F-6 R/B 0. P. 13<br>20<br>5<br>5<br>5<br>5<br>5<br>8. 05<br>0. 1<br>Natural per<br>(Verti | ; ground motion (UD) | Main steam piping<br>Calculated value: 211MPa<br>Assessment standard value:<br>375MPa<br>RHR piping<br>Calculated value: 88MPa<br>Assessment standard value:<br>335MPa |  |

# Table 1 Overview of the impact assessment for equipment & piping systems important toseismic safety (Fukushima Daiichi NPS Unit 6)





# (Reference) Overview of seismic performance assessment (Example of main steam piping)

| Target<br>facility   | Asses<br>sed<br>part | Stress type    |                              |  |                          | Calculated value<br>(MPa) |                               |  |                          |
|----------------------|----------------------|----------------|------------------------------|--|--------------------------|---------------------------|-------------------------------|--|--------------------------|
|                      |                      | Stress<br>type | Calculated<br>value<br>(MPa) | Assessment<br>standard<br>value<br>(MPa) | Assess<br>ment<br>method | Stress<br>type            | Calculate<br>d value<br>(MPa) | Assessment<br>standard<br>value<br>(MPa) | Assessm<br>ent<br>method |
| Main steam<br>piping | Piping<br>itself     | Primary        | 292                          | 375                                      | Detailed                 | Primary                   | 211                           | 375                                      | Detailed                 |

## Structural Strength Assessment Results

Partial Unrecorded Observation Records for the 2011 Tohoku-Chihou-Taiheiyo-Oki Earthquake at Fukushima Daiichi Nuclear Power Station

For the Reactor Building (R/B) of Fukushima Daiichi Nuclear Power Station (NPS) Units 1 to 6, seismic response analysis was performed using the observation records obtained during the Tohoku-Chihou-Taiheiyo-Oki Earthquake. From the analysis, it can be assumed that major facilities with safety-critical functions maintained conditions that ensured safety functions during the earthquake and immediately afterwards.

Some of the observation records obtained at Fukushima Daiichi NPS during the Tohoku-Chihou-Taiheiyo-Oki Earthquake stopped from about 130sec. to 150sec. after it started to record due to deficiency with the system that records seismometer data.

However, although time history data was not available during and after the unrecorded period for observation points on the R/B base mat, it has been verified that the maximum acceleration at these observation points occurred within the time period when time history data was recorded (See Reference-1).

In addition, for Fukushima Daiichi Unit 6, there were two observation points located close to one another on the R/B base mat, which provided both records with and without missing data. It has been confirmed that the maximum acceleration and response spectrum are roughly the same for both (See Reference-2).

Based on the above, it is believed that there is no problem posed by the content of the assessment regardless of the fact that there was missing data.

1

#### (Reference 1)

#### Verification of maximum acceleration for seismic observation records on Reactor Building base mat of Fukushima Daiichi Nuclear Power Station

Observation records for Fukushima Daiichi Units 1 to 6 Reactor Building (R/B) base mats stopped between 130sec. to 150sec. after they started to record the main earthquake. However, based on the results of investigations and considerations as shown below, it is believed that the maximum acceleration occurred within the time range where time histories are available for all units.

- The specifications of the seismic observation devices installed on the base mat allow the maximum acceleration data to be transmitted and recorded separate from the time history data. During the main earthquake, system deficiency caused time history data to remain unrecorded during and after the unrecorded period; however, it recorded the maximum acceleration after the unrecorded period, thus those values were examined.
- For the main earthquake, maximum acceleration up to unrecorded period (Record<sup>①</sup>) and maximum acceleration after the unrecorded period (Record<sup>②</sup>) were obtained. Such maximum accelerations obtained are indicated in Table-1.1.
- Figure-1.1. indicates the time range for which Record① and Record② was obtained. The time range for which Record② was obtained started 30 seconds before the unrecorded period. Within these 30 seconds, there is an overlap between Record① and Record② in terms of the time range.
- As shown in Figure-1.1, it is possible to categorize the size relationships of Record① and ②into Group A, B, C from the time at which maximum acceleration is recorded. The maximum acceleration observations points categorized as Group A or B occurs within the time range for which time history data was recorded.
- Table-1.2 indicates the categorization results for each observation point. From Table 1-2, it can be verified that all observation points are categorized as A or B, and as indicated in Figure-1.2 and 1.3, the maximum acceleration value occurred within the time range for which time history data was available.

|      |                        |   |       |          |   |       | (Units.Gar) |  |
|------|------------------------|---|-------|----------|---|-------|-------------|--|
| Unit | Observation point name | Max. acceleration up to<br>unrecorded period<br>(Record①) |       |          | Max. acceleration after<br>unrecorded period<br>(Record②) |       |             |  |
|      |                        | NS EW   |       | Vertical | NS  | EW    | Vertical    |  |
| 1    | 1-R2                   | 460.3   | 447.5 | 258.3    | 460.3   | 447.5 | 258.3       |  |
| 2    | 2-R2                   | 348.3   | 549.8 | 302.0    | 348.3   | 549.8 | 302.0       |  |
| 3    | 3-R2                   | 321.9   | 507.0 | 231.0    | 321.9   | 507.0 | 224.3       |  |
| 4    | 4-R2                   | 280.7319.0311.1547.4                                      |       | 199.6    | 280.7   | 319.0 | 199.6       |  |
| 5    | 5-R2                   |   |       | 255.7    | 311.1   | 547.4 | 255.7       |  |
| 6    | 6-R2                   | 298.1   | 443.8 | 170.7    | 298.1   | 443.8 | 170.7       |  |

| Table-1.1 Maximu | m acceleration of main e | arthquake on R/B base mat |
|------------------|--------------------------|---------------------------|
|                  |                          | (Units:Gal)               |

Note: The maximum accelerations indicated in the above table are preliminary values before baseline correction, therefore, the values are different from those in the "Report of Analysis of Observed Seismic Data Collected at Fukushima Daiichi NPS Pertaining to the Tohoku-Taiheiyo-Oki Earthquake" (submitted May 16, 2011) due to correction and rounding.

| Unit  | Observation | Category of time when maximum acceleration occurred |    |          |  |  |  |  |
|-------|-------------|---|----|----------|--|--|--|--|
| Offic | point name  | NS  | EW | Vertical |  |  |  |  |
| 1     | 1-R2        | В   | В  | В        |  |  |  |  |
| 2     | 2-R2        | В   | В  | В        |  |  |  |  |
| 3     | 3-R2        | В   | В  | А        |  |  |  |  |
| 4     | 4-R2        | В   | В  | В        |  |  |  |  |
| 5     | 5-R2        | В   | В  | В        |  |  |  |  |
| 6     | 6-R2        | В   | В  | В        |  |  |  |  |

#### Table-1.2 Categorization by comparing Record① and Record②

<Legend>

A: Record①>Record② Maximum acceleration occurred within the time range for which time history data was recorded.

B: Record = Record Maximum acceleration occurred within the time range for which time history data was recorded.

C: Record①<Record② Maximum acceleration occurred outside of the time range for which time history data was recorded.

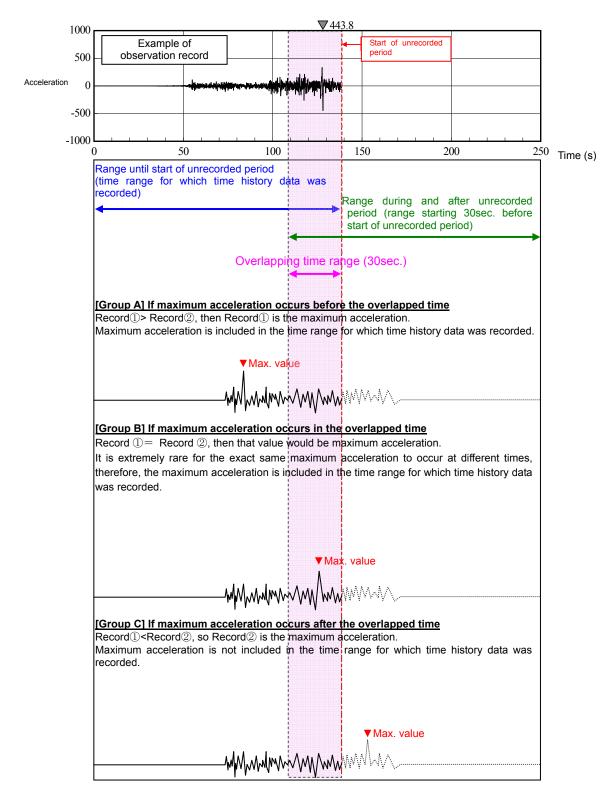


Figure-1.1 Time range for Record (1) and (2) and categorization by time when maximum acceleration occurred

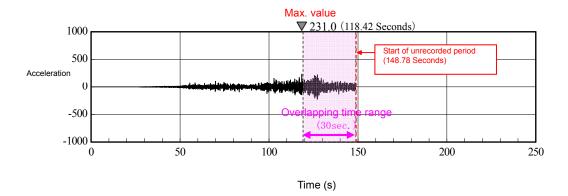


Figure-1.2 Group A Record (observation point 3-R2, vertical direction)

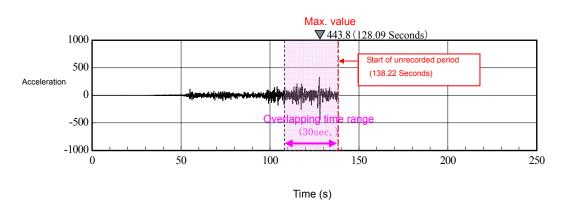


Figure-1.3 Group B Record (Example of observation point 6-R2, east-west direction)

#### (Reference 2)

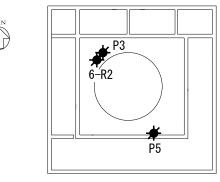
#### Comparison of seismic observation records from Unit 6 Reactor Building base mat of Fukushima Daiichi Nuclear Power Station

Some of the observation records obtained at Fukushima Daiichi Nuclear Power Station (NPS) during the Tohoku-Chihou-Taiheiyo-Oki Earthquake stopped from about 130sec. to 150sec. after it started to record due to deficiency with the system that records seismometer data.

For observation point 6-R2 on the Unit 6 Reactor Building (R/B) base mat, whose observation records ceased in the middle, complete records were available for observation point P3 which was located nearby. Therefore, these two records were compared. The seismometer layout for Unit 6 R/B base mat is indicated in Figure-2.1.

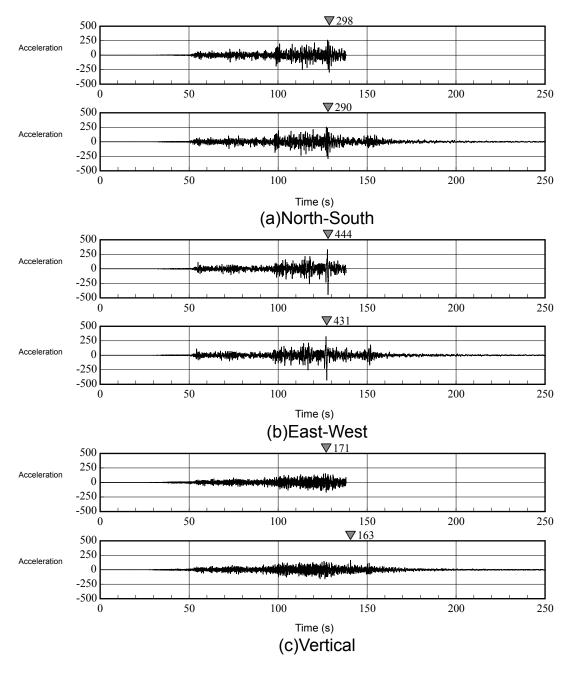
Figure-2.2 compares the acceleration time history waveforms observed at observation points 6-R2 and P3. Their response spectra are compared in Figure-2.3.

According to Figure-2.2 and -2.3, the maximum acceleration and response spectra are roughly the same for both.



B2F (on basemat)

Figure-2.1 Layout of seismometers (Unit 6 R/B)



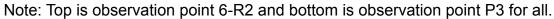


Figure-2.2 Comparison of acceleration time history waveforms of observation points closely located (on Unit6 R/B base mat)

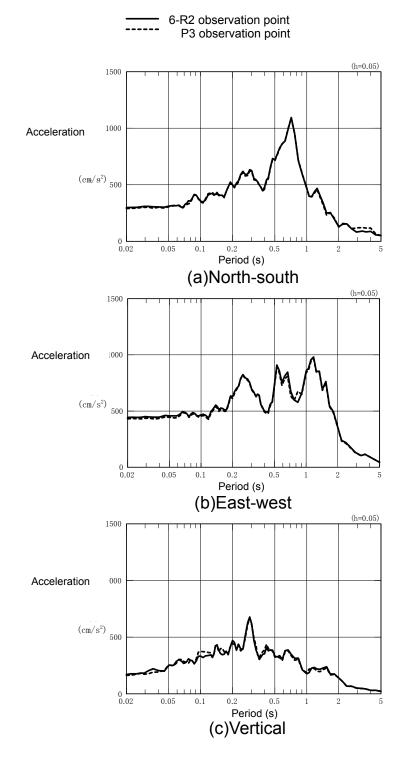


Figure-2.3 Comparison of acceleration response spectra of closely located observation points (h=0.05) (on Unit 6 R/B base mat)

Fukushima Daini Nuclear Power Station Unit 1 Report on Results of Seismic Response Analysis for Reactor Building and Equipment & Piping Systems Important to Seismic Safety Using Observation Records

from the 2011 Tohoku-Chihou-Taiheiyo-Oki Earthquake (Summary)

#### 1. Introduction

There are numerous seismic observation records from the

Tohoku-Chihou-Taiheiyo-Oki Earthquake that occurred on March 11, 2011 such as from the Reactor Building (R/B) base mat.

Based on written order\* from NISA, seismic response analysis was conducted using seismic observation records from Fukushima Daini Nuclear Power Station (NPS) Unit 1. This is to report the summarized analysis results for R/B and equipment and piping systems important to seismic safety.

\*Written order

"Actions following the analysis of seismic data collected at Fukushima Daiichi NPS and Fukushima Daini NPS during the Tohoku-Chihou-Taiheiyo-Oki Earthquake (ORDER)" (May 16, 2011, NISA No.6)

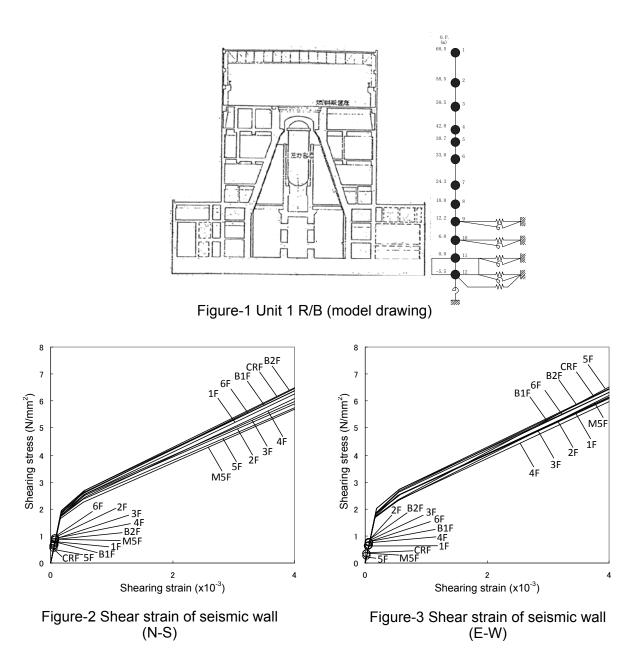
#### 2. Reactor Building (R/B)

To conduct seismic response analysis considering the 2011

Tohoku-Chihou-Taiheiyo-Oki Earthquake for Fukushima Daini NPS Unit 1 R/B, the observation records from the building's base mat was used for analysis to verify the conditions of the building at the time of the earthquake.

To conduct the seismic response analysis, a model was defined that would adequately express the characteristics of the buildings, structures and ground (Figure-1).

Results of the analysis verified that the maximum shear strain for the seismic wall was  $0.09 \times 10^{-3}$  (N-S direction, sixth floor) and that the stress and deformation conditions for all seismic walls were at or below the first flexion point on the skeleton curve (Figure-2, 3).



3. Equipment and piping systems important to seismic safety

For large equipment such as the reactor for Fukushima Daini NPS Unit 1, seismic response analysis using observed records from the Tohoku-Chihou-Taiheiyo-Oki Earthquake was conducted. The resulting seismic load and other values were compared against those calculated from the seismic safety assessment using the

existing design basis seismic ground motion, Ss.

The comparison showed that the seismic load and other factors caused by this earthquake, excluding some of the floor response spectrum peak, were below the figures from the seismic safety analysis. The seismic performance of the main steam system piping and the residual heat system piping was also assessed, which verified that the calculated stress and other values were below the assessment standard values (Table-1). Based on the above results, it can be assumed that major facilities with safety-critical functions maintained their safety functions during and immediately after the earthquake.

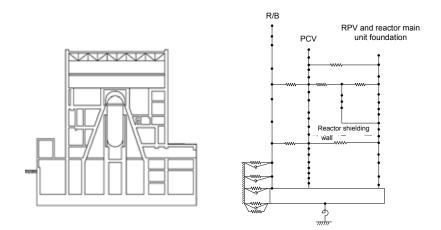
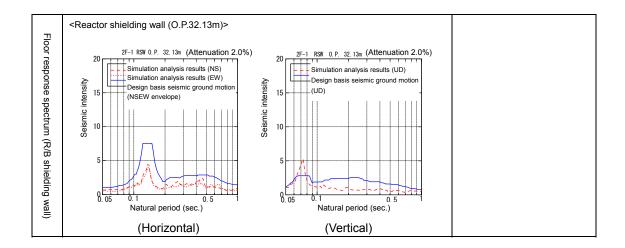
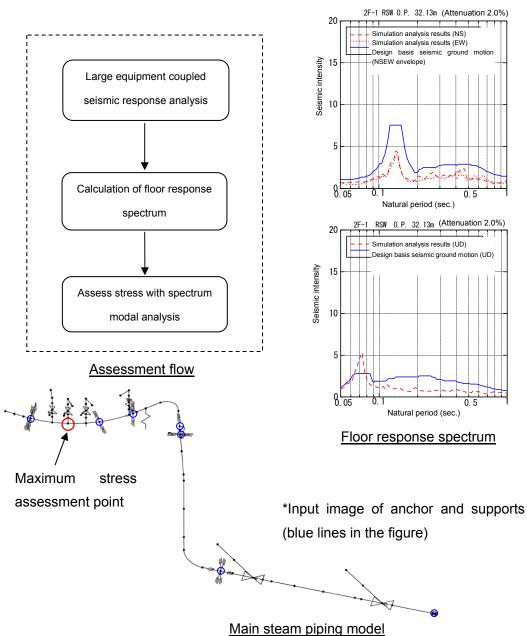


Figure-4 Example of large equipment coupled seismic response analysis model

|                                  | Facility  | Seismic res  |   | Design basis<br>seismic ground   | Simulation<br>calculated result | Seismic performance<br>assessment result  |
|----------------------------------|---|--|---|--|---------------------------------|---|
|                                  |   | Shear force  | (kN)                                      | motion Ss<br>5340  | 3860                            | RPV   |
|                                  |   | Moment   | (kN · m)                                  | 15000  | 11000                           | (foundation bolt)<br>Assessment unnecessary as  |
|                                  | RPV<br>foundation   | Axial force  | (kN)                                      | 9410   | 7930                            | the calculated result is<br>smaller than the load for<br>design basis seismic ground<br>motion Ss   |
|                                  |   | Shear force  | (kN)                                      | 20300  | 11800                           | PCV   |
| Se                               |   | Moment   | (kN ⋅ m)                                  | 341000   | 185000                          | (drywell)<br>Assessment unnecessary as  |
| Seismic load and others          | PCV<br>foundation   | Axial force  | (kN)                                      | 6460   | 3170                            | the calculated result is<br>smaller than the load for<br>design basis seismic ground<br>motion Ss   |
| thers                            |   | Shear force  | (kN)                                      | 6550   | 4740                            | Core support structure  |
|                                  | 0   | Moment   | (kN · m)                                  | 41800  | 29800                           | (shroud support)<br>Assessment unnecessary as   |
|                                  | Core<br>shroud<br>foundation  | Axial force  | (kN)                                      | 1180   | 1110                            | the calculated result is<br>smaller than the load for<br>design basis seismic ground<br>motion Ss   |
|                                  | Fuel<br>assembly  | Relative<br>displacement   | (mm)                                      | 14.2   | 9.1                             | Control rods (insertability)<br>Assessment standard value:<br>40.0mm  |
| Seismi                           | Refueling   | Seismic<br>intensity<br>(horizontal)   | (G)                                       | 1.02   | 0.66                            | RHR cooling system pumps<br>(motor bolt)<br>Assessment unnecessary as   |
| Seismic intensity for assessment | floor   | Seismic<br>intensity<br>(vertical)   | (G)                                       | 0.80   | 0.48                            | the calculated result is<br>smaller than the load for   |
| for assess                       | Base mat  | Seismic<br>intensity<br>(horizontal)   | (G)                                       | 0.54   | 0.32                            | design basis seismic ground<br>motion Ss  |
| sment                            |   | Seismic<br>intensity<br>(vertical)   | (G)                                       | 0.63   | 0.24                            |   |
| Floor response spectrum (R/B)    | <r (o.p.38<="" b="" td=""><td>8.70m)&gt;<br/>F-1 R/B 0.P. 38.70m (<br/>Simulation analysis re:<br/>Simulation analysis re:<br/>Chesign basis seismic ;<br/>(NSEW envelope)</td><td>sults (NS)<br/>sults (EW)<br/>pround motion</td><td>20<br/>Simulation ana<br/>Design basis s<br/>(UD)<br/>10<br/>Simulation ana<br/>10<br/>Simulation ana<br/>10<br/>10<br/>10<br/>10<br/>10<br/>10<br/>10<br/>10<br/>10<br/>10</td><td>vsis</td><td>Main steam piping<br/>Calculated value: 272MPa<br/>Assessment standard value:<br/>375MPa<br/>RHR piping<br/>Calculated value: 161MPa<br/>Assessment standard value:<br/>335MPa</td></r> | 8.70m)><br>F-1 R/B 0.P. 38.70m (<br>Simulation analysis re:<br>Simulation analysis re:<br>Chesign basis seismic ;<br>(NSEW envelope) | sults (NS)<br>sults (EW)<br>pround motion | 20<br>Simulation ana<br>Design basis s<br>(UD)<br>10<br>Simulation ana<br>10<br>Simulation ana<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10 | vsis                            | Main steam piping<br>Calculated value: 272MPa<br>Assessment standard value:<br>375MPa<br>RHR piping<br>Calculated value: 161MPa<br>Assessment standard value:<br>335MPa |

# Table-1 Overview of the impact assessment for equipment & piping systems important to seismic safety (Fukushima Daini NPS Unit 1)





#### (Reference) Overview of seismic performance assessment (Example of main steam piping)

|              |          |         |         | -     |
|--------------|----------|---------|---------|-------|
| Structural S | Strength | Assessn | nent Re | sults |

|                         |                  |                | Design basis seisn           | March 11 earthquake                   |                       |                |                               |   |                          |
|-------------------------|------------------|----------------|------------------------------|---------------------------------------|-----------------------|----------------|-------------------------------|---|--------------------------|
| Target<br>facility      | Assessed<br>part | Stress<br>type | Calculated<br>value<br>(MPa) | Assessment<br>standard value<br>(MPa) | Assessme<br>nt method | Stress<br>type | Calculate<br>d value<br>(MPa) | Assessme<br>nt standard<br>value<br>(MPa) | Assess<br>ment<br>method |
| Main<br>steam<br>piping | Piping itself    | Primary        | 281                          | 375                                   | Detailed              | Primary        | 272                           | 375                                       | Detailed                 |

Fukushima Daini Nuclear Power Station Unit 2 Report on Results of Seismic Response Analysis for Reactor Building and Equipment & Piping Systems Important to Seismic Safety Using Observation Records from the 2011 Tohoku-Chihou-Taiheiyo-Oki Earthquake (Summary)

#### 1. Introduction

There are numerous seismic observation records from the

Tohoku-Chihou-Taiheiyo-Oki Earthquake that occurred on March 11, 2011 such as from the R/B base mat.

Based on written order\* from NISA, seismic response analysis was conducted using seismic observation records from Fukushima Daini Nuclear Power Station (NPS) Unit 2. This is to report the summarized analysis results for Reactor Building (R/B) and equipment and piping systems important to seismic safety.

#### \*Written order

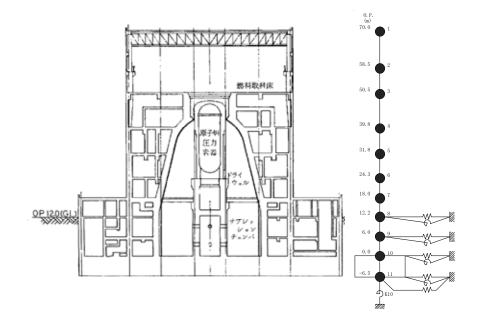
"Actions following the analysis of seismic data collected at Fukushima Daiichi NPS and Fukushima Daini NPS during the Tohoku-Chihou-Taiheiyo-Oki Earthquake (ORDER)" (May 16, 2011, NISA No.6)

#### 2. Reactor Building (R/B)

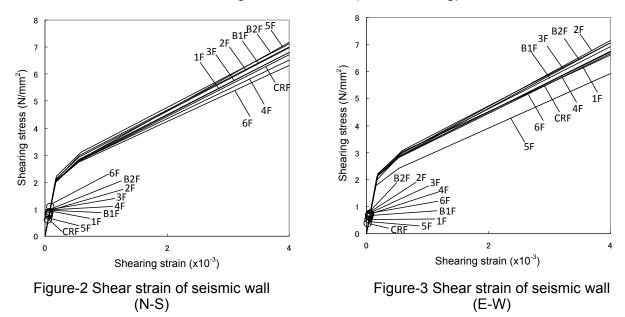
To conduct seismic response analysis considering the 2011 Tohoku-Chihou-Taiheiyo-Oki Earthquake for Fukushima Daini NPS Unit 2 R/B, the observation records from the building's base mat was used for analysis to verify the conditions of the building at the time of the earthquake.

To conduct the seismic response analysis, a model was defined that would adequately express the characteristics of the buildings, structures and ground (Figure-1).

Results of the analysis verified that the maximum shear strain for the seismic wall was  $0.10 \times 10^{-3}$  (N-S direction, sixth floor) and that the stress and deformation conditions for all seismic walls were at or below the first flexion point on the skeleton curve (Figure-2, -3).







Equipment and piping systems important to seismic safety
 For large equipment such as the reactor for Fukushima Daini NPS Unit 2, seismic response analysis using observed records from the Tohoku-Chihou-Taiheiyo-Oki

Earthquake was conducted. The resulting seismic load and other values were compared against those calculated from the seismic safety assessment using the existing design basis seismic ground motion, Ss.

The comparison showed that the seismic load and other factors caused by this earthquake, excluding some of the floor response spectrum peak, were below the figures from the seismic safety analysis. The seismic performance of the main steam system piping and the residual heat system piping was also assessed, which verified that the calculated stress and other values were below the assessment standard values (Table-1). Based on the above results, it can be assumed that major facilities with safety-critical functions maintained their safety functions during and immediately after the earthquake.

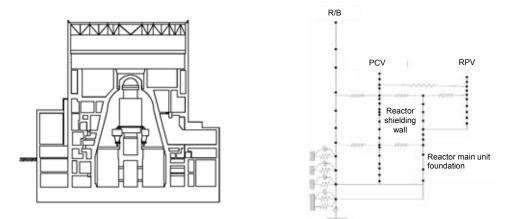
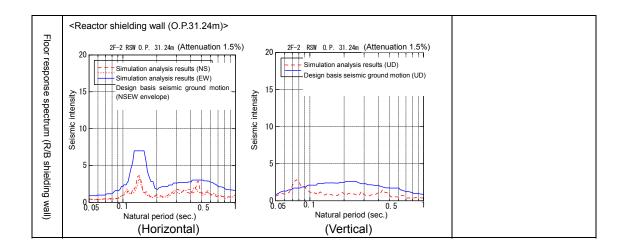
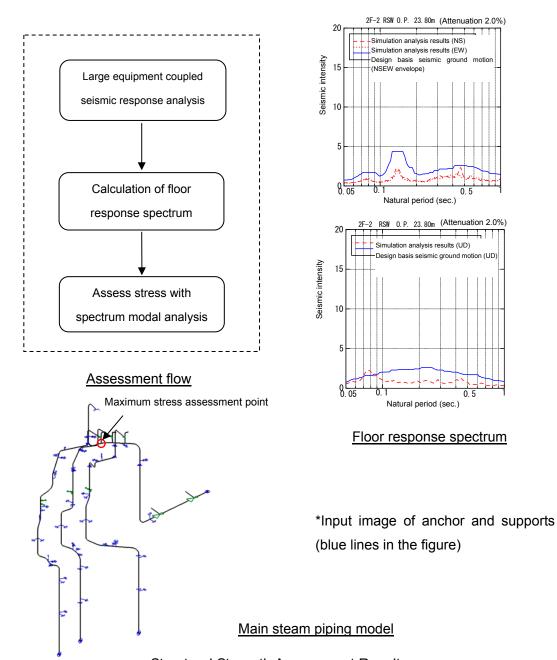


Figure-4 Example of large equipment coupled seismic response analysis model

|                                  | Facility  | Seismic res   |          | Design basis<br>seismic ground<br>motion Ss | Simulation calculated result | Seismic performance<br>assessment result  |  |
|----------------------------------|---|---|----------|---|------------------------------|---|--|
|                                  |   | Shear force   | (kN)     | 4730  | 2420                         | RPV   |  |
|                                  |   | Moment  | (kN · m) | 15200 12100                                 |                              | <ul> <li>(foundation bolt)</li> <li>Assessment unnecessary a</li> </ul>   |  |
|                                  | RPV<br>foundation   | Axial force   | (kN)     | 8440  | 5280                         | the calculated result is<br>smaller than the load for<br>design basis seismic ground<br>motion Ss   |  |
|                                  |   | Shear force   | (kN)     | 25000                                       | 15100                        | PCV   |  |
| Se                               |   | Moment  | (kN ⋅ m) | 381000                                      | 228000                       | (drywell)<br>Assessment unnecessary as  |  |
| Seismic load and others          | PCV<br>foundation   | Axial force   | (kN)     | 13800                                       | 8410                         | the calculated result is<br>smaller than the load for<br>design basis seismic ground<br>motion Ss   |  |
| thers                            |   | Shear force   | (kN)     | 3420  | 2760                         | Core support structure  |  |
| 0,                               |   | Moment  | (kN ⋅ m) | 21000                                       | 19400                        | (shroud support)  |  |
|                                  | Core<br>shroud<br>foundation  | Axial force   | (kN)     | 1310  | 819                          | Assessment unnecessary as<br>the calculated result is<br>smaller than the load for<br>design basis seismic ground<br>motion Ss  |  |
|                                  | Fuel<br>assembly  | Relative (mm)<br>displacement   |          | 14.4  | 7.2                          | Control rods (insertability)<br>Assessment standard value:<br>40.0mm  |  |
| Seismi                           | Refueling   | Seismic<br>intensity<br>(horizontal)  | (G)      | 0.92  | 0.75                         | RHR cooling system pumps<br>(foundation bolt)<br>Assessment unnecessary as  |  |
| Seismic intensity for assessment | floor   | Seismic<br>intensity<br>(vertical)  | (G)      | 0.70  | 0.43                         | the calculated result is<br>smaller than the load for   |  |
| for asses                        | Base mat  | Seismic<br>intensity<br>(horizontal)  | (G)      | 0.53  | 0.30                         | design basis seismic ground<br>motion Ss  |  |
| sment                            | Dase mat  | Seismic<br>intensity<br>(vertical)  | (G)      | 0.62  | 0.28                         |   |  |
| Floor response spectrum (R/B)    | 20<br>20<br>20<br>30<br>30<br>30<br>30<br>30<br>30<br>30<br>30<br>30<br>3 | B (O.P.18.00m)><br>2F-2 R/B 0.P. 18.00m (Attenuation 1.0%)<br>Simulation analysis results (NS)<br>Design basis seismic ground motion<br>(NSEW envelope) |          | Simulation analysis                         | ic ground motion (UD)        | Main steam piping<br>Calculated value: 164MPa<br>Assessment standard value:<br>374MPa<br>RHR piping<br>Calculated value: 104MPa<br>Assessment standard value:<br>364MPa |  |

# Table-1 Overview of the impact assessment for equipment & piping systems important to seismic safety (Fukushima Daini NPS Unit 2)





#### (Reference) Overview of seismic performance assessment (Example of main steam piping)

|                         | Assessed<br>part | Design basis seismic ground motion Ss |                              |  |                       | March 11 earthquake |                               |   |                       |
|-------------------------|------------------|---------------------------------------|------------------------------|--|-----------------------|---------------------|-------------------------------|---|-----------------------|
| Target<br>facility      |                  | Stress<br>type                        | Calculated<br>value<br>(MPa) | Assessment<br>standard<br>value<br>(MPa) | Assessme<br>nt method | Stress<br>type      | Calculat<br>ed value<br>(MPa) | Assessme<br>nt standard<br>value<br>(MPa) | Assessme<br>nt method |
| Main<br>steam<br>piping | Piping itself    | Primary                               | 217                          | 309 <sup>*</sup>                         | Detailed              | Primary             | 164                           | 374*                                      | Detailed              |

Structural Strength Assessment Results

Since the material of piping at the maximum stress assessment point (point with minimum margin) is different for the assessment of design basis seismic ground motion Ss and the recent March 11 earthquake, the assessment standard value is different Fukushima Daini Nuclear Power Station Unit 3 Report on Results of Seismic Response Analysis for Reactor Building and Equipment & Piping Systems Important to Seismic Safety Using Observation Records from the 2011 Tohoku-Chihou-Taiheiyo-Oki Earthquake (Summary)

#### 1. Introduction

There are numerous seismic observation records from the

Tohoku-Chihou-Taiheiyo-Oki Earthquake that occurred on March 11, 2011 such as from the R/B base mat.

Based on written order\* from NISA, seismic response analysis was conducted using seismic observation records from Fukushima Daini Nuclear Power Station (NPS) Unit 3. This is to report the summarized analysis results for Reactor Building (R/B) and equipment and piping systems important to seismic safety.

\*Written order

"Actions following the analysis of seismic data collected at Fukushima Daiichi NPS and Fukushima Daini NPS during the Tohoku-Chihou-Taiheiyo-Oki Earthquake (ORDER)" (May 16, 2011, NISA No.6)

#### 2. Reactor Building (R/B)

To conduct seismic response analysis considering the 2011

Tohoku-Chihou-Taiheiyo-Oki Earthquake for Fukushima Daini NPS Unit 3 R/B, the observation records from the building's base mat was used for analysis to verify the conditions of the building at the time of the earthquake.

To conduct the seismic response analysis, a model was defined that would adequately express the characteristics of the buildings, structures and ground (Figure-1).

Results of the analysis verified that the maximum shear strain for the seismic wall was  $0.11 \times 10^{-3}$  (N-S direction, fourth floor) and that the stress and deformation conditions for all seismic walls were at or below the first flexion point on the skeleton curve (Figure-2, -3).

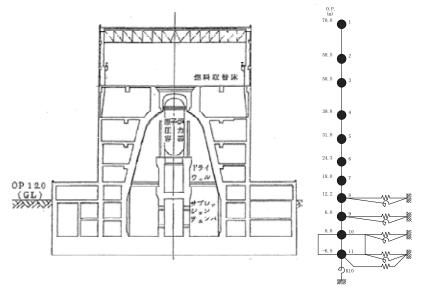
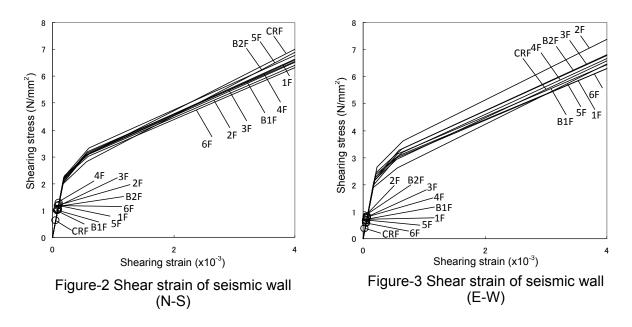


Figure-1 Unit 3 R/B (model drawing)



3. Equipment and piping systems important to seismic safety

For large equipment such as the reactor for Fukushima Daini NPS Unit 3, seismic response analysis using observed records from the Tohoku-Chihou-Taiheiyo-Oki Earthquake was conducted. The resulting seismic load and other values were compared against those calculated from the seismic safety assessment using the existing design basis seismic ground motion, Ss.

The comparison showed that the seismic load and other factors caused by this earthquake, excluding some of the floor response spectrum peak, were below the figures from the seismic safety analysis. The seismic performance of the main steam system piping and the residual heat system piping was also assessed, which verified that the calculated stress and other values were below the assessment standard values (Table-1). Based on the above results, it can be assumed that major facilities with safety-critical functions maintained their safety functions during and immediately after the earthquake.

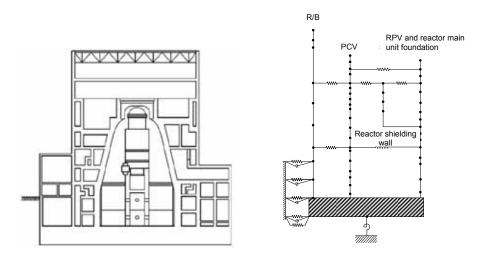
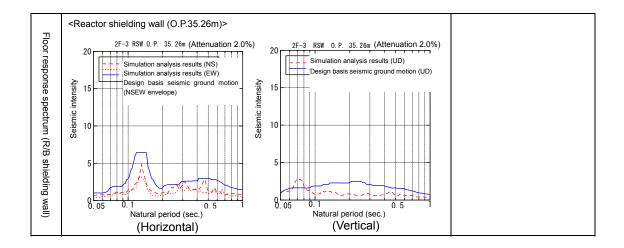


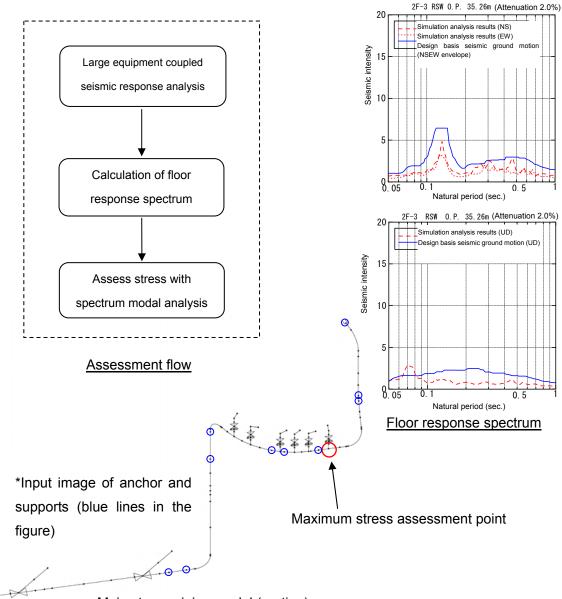
Figure-4 Example of large equipment coupled seismic response analysis model

|                                  |   |   | PS Unit 3)                              |   |                              |   |
|----------------------------------|---|---|---|---|------------------------------|---|
|                                  | Facility  | Seismic res   | ponse load                              | Design basis<br>seismic ground<br>motion Ss | Simulation calculated result | Seismic performance<br>assessment result  |
|                                  |   | Shear force   | (kN)                                    | 5220  | 4060                         | RPV   |
|                                  |   | Moment  | (kN · m)                                | 17900                                       | 11800                        | (foundation bolt)<br>Assessment unnecessary as  |
|                                  | RPV<br>foundation   | Axial force   | (kN)                                    | 8700  | 6120                         | the calculated result is<br>smaller than the load for<br>design basis seismic ground  |
|                                  |   | Shear force   | (kN)                                    | 26700                                       | 16400                        | motion Ss<br>PCV  |
| (0)                              |   |   |   |   |                              | (drywell)   |
| Seisr                            | PCV   | Moment  | (kN ∙ m)                                | 433000                                      | 325000                       | Assessment unnecessary as   |
| Seismic load and others          | foundation  | Axial force   | (kN)                                    | 9740  | 6420                         | the calculated result is<br>smaller than the load for<br>design basis seismic ground<br>motion Ss   |
| thers                            |   | Shear force   | (kN)                                    | 4990  | 2980                         | Core support structure  |
|                                  |   | Moment  | (kN ⋅ m)                                | 31800                                       | 19000                        | (shroud support)  |
|                                  | Core<br>shroud<br>foundation                                      | Axial force   | (kN)                                    | 1080  | 787                          | Assessment unnecessary as<br>the calculated result is<br>smaller than the load for<br>design basis seismic ground<br>motion Ss  |
|                                  | Fuel<br>assembly  | Relative<br>displacement  | (mm)                                    | 15.5  | 9.9                          | Control rods (insertability)<br>Assessment standard value:<br>40.0mm  |
| Seismic                          | Refueling   | Seismic<br>intensity<br>(horizontal)  | (G)                                     | 0.91  | 0.72                         | RHR cooling system pumps<br>(motor bolt)<br>Assessment unnecessary as   |
| : intensity                      | floor   | Seismic<br>intensity<br>(vertical)  | (G)                                     | 0.70  | 0.56                         | the calculated result is<br>smaller than the load for   |
| Seismic intensity for assessment | Base mat  | Seismic<br>intensity<br>(horizontal)  | (G)                                     | 0.53  | 0.34                         | design basis seismic ground<br>motion Ss  |
| sment                            | Base mat  | Seismic<br>intensity<br>(vertical)  | (G)                                     | 0.62  | 0.26                         |   |
| Floor response spectrum (R/B)    | <r (o.p.18<br="" b="">20<br/>20<br/>10<br/>10<br/>5<br/>80.05</r> | 8.00m)><br>F-3 R/B 0.P. 18.00m<br>Simulation analysis res<br>Simulation analysis res<br>Design basis seismic<br>(NSEW envelope)<br>CNSEW | ults (NS)<br>ults (EW)<br>ground motion | 20 Simulation ana                           | . ,                          | Main steam piping<br>Calculated value: 319MPa<br>Assessment standard value:<br>375MPa<br>RHR piping<br>Calculated value: 111MPa<br>Assessment standard value:<br>327MPa |

#### Table-1 Overview of the impact assessment for equipment & piping systems important to seismic safety

(Fukushima Daini NPS Unit 3)





#### (Reference) Overview of seismic performance assessment (Example of main steam piping)

Main steam piping model (portion)

|                         | Assessed<br>part | Design basis seismic ground motion Ss |                               |  |                       |                    | March 11 earthquake           |  |                          |  |
|-------------------------|------------------|---------------------------------------|-------------------------------|--|-----------------------|--------------------|-------------------------------|--|--------------------------|--|
| Target<br>facility      |                  | Stress<br>type                        | Calculate<br>d value<br>(MPa) | Assessment<br>standard<br>value<br>(MPa) | Assessme<br>nt method | Stres<br>s<br>type | Calculate<br>d value<br>(MPa) | Assessment<br>standard<br>value<br>(MPa) | Assessm<br>ent<br>method |  |
| Main<br>steam<br>piping | Piping<br>itself | Primary                               | 316                           | 375                                      | Detailed              | Prima<br>ry        | 319                           | 375                                      | Detailed                 |  |

#### Structural Strength Assessment Results

Fukushima Daini Nuclear Power Station Unit 4 Report on Results of Seismic Response Analysis for Reactor Building and Equipment & Piping Systems Important to Seismic Safety Using Observation Records from the 2011 Tohoku-Chihou-Taiheiyo-Oki Earthquake (Summary)

#### 1. Introduction

There are numerous seismic observation records from the

Tohoku-Chihou-Taiheiyo-Oki Earthquake that occurred on March 11, 2011 such as from the R/B base mat.

Based on written order\* from NISA, seismic response analysis was conducted using seismic observation records from Fukushima Daini Nuclear Power Station (NPS) Unit 4. This is to report the summarized analysis results for Reactor Building (R/B) and equipment and piping systems important to seismic safety.

\*Written order

"Actions following the analysis of seismic data collected at Fukushima Daiichi NPS and Fukushima Daini NPS during the Tohoku-Chihou-Taiheiyo-Oki Earthquake (ORDER)" (May 16, 2011, NISA No.6)

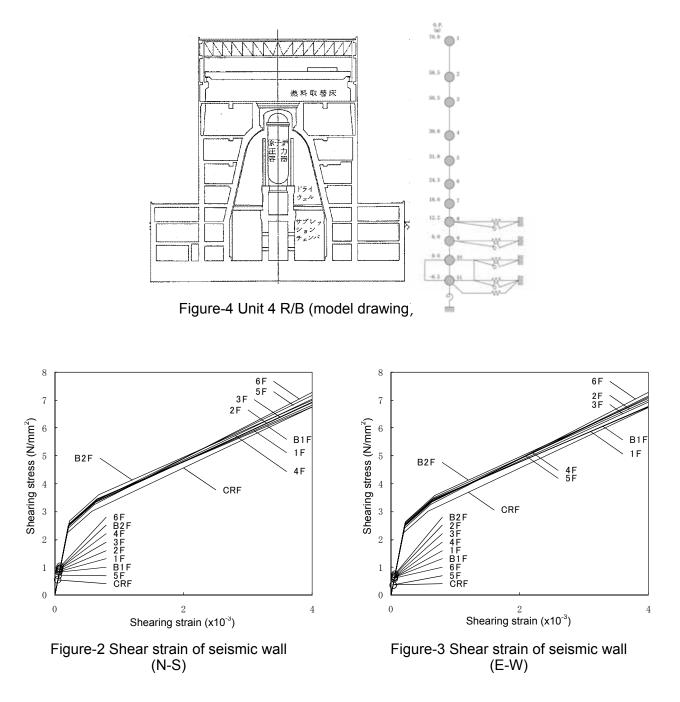
#### 2. Reactor Building (R/B)

To conduct seismic response analysis considering the 2011

Tohoku-Chihou-Taiheiyo-Oki Earthquake for Fukushima Daini NPS Unit 4 R/B, the observation records from the building's base mat was used for analysis to verify the conditions of the building at the time of the earthquake.

To conduct the seismic response analysis, a model was defined that would adequately express the characteristics of the buildings, structures and ground (Figure-1).

Results of the analysis verified that the maximum shear strain for the seismic wall was  $0.09 \times 10^{-3}$  (N-S direction, sixth floor) and that the stress and deformation conditions for all seismic walls were at or below the first flexion point on the skeleton curve (Figure-2, -3).



3. Equipment and piping systems important to seismic safety

For large equipment such as the reactor for Fukushima Daini NPS Unit 4, seismic response analysis using observed records from the Tohoku-Chihou-Taiheiyo-Oki Earthquake was conducted. The resulting seismic load and other values were compared against those calculated from the seismic safety assessment using the

existing design basis seismic ground motion, Ss.

The comparison showed that the seismic load and other factors caused by this earthquake, excluding some of the floor response spectrum peak, fell below the figures from the seismic safety analysis. The seismic performance of the main steam system piping and the residual heat system piping was also assessed, which verified that the calculated stress and other values were below the assessment standard values (Table-1). Based on the above results, it can be assumed that major facilities with safety-critical functions maintained their safety functions during and immediately after the earthquake.

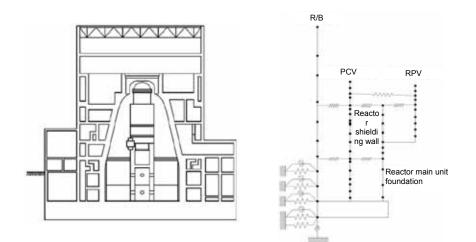
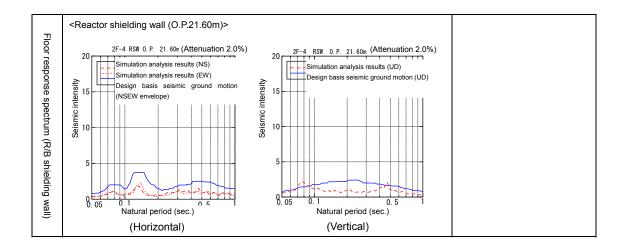


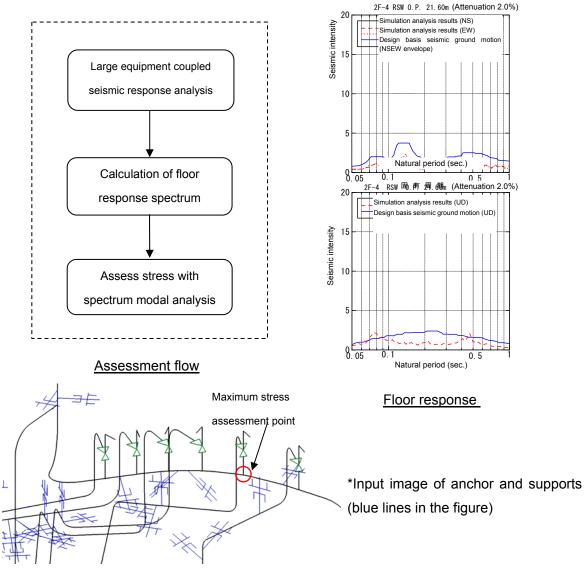
Figure-4 Example of large equipment coupled seismic response analysis model

|                                  |  |                                      | (Fu                    | kushima Daini NPS                           | S Unit 4)                    |   |
|----------------------------------|--|--------------------------------------|------------------------|---|------------------------------|---|
|                                  | Facility   | Seismic res                          | ponse load             | Design basis<br>seismic ground<br>motion Ss | Simulation calculated result | Seismic performance<br>assessment result  |
|                                  |  | Shear force                          | (kN)                   | 4360  | 2980                         | RPV   |
|                                  |  | Moment                               | (kN · m)               | 16200                                       | 9640                         | (foundation bolt)<br>Assessment unnecessary as  |
|                                  | RPV<br>foundation  | Axial force                          | (kN)                   | 8420  | 5980                         | the calculated result is<br>smaller than the load for<br>design basis seismic ground<br>motion Ss   |
|                                  |  | Shear force                          | (kN)                   | 25400                                       | 14000                        | PCV   |
| Š                                |  | Moment                               | (kN • m)               | 396000                                      | 236000                       | (drywell)   |
| eism                             | PCV  | Moment                               | (KIN <sup>+</sup> III) | 390000                                      | 230000                       | Assessment unnecessary as   |
| Seismic load and others          | foundation   | Axial force                          | (kN)                   | 13700                                       | 9670                         | the calculated result is<br>smaller than the load for<br>design basis seismic ground<br>motion Ss   |
| thers                            |  | Shear force                          | (kN)                   | 5270  | 4660                         | Core support structure  |
|                                  | 0  | Moment                               | (kN ⋅ m)               | 34300                                       | 28800                        | (shroud support)  |
|                                  | Core<br>shroud<br>foundation   | Axial force                          | (kN)                   | 1330  | 930                          | Assessment unnecessary as<br>the calculated result is<br>smaller than the load for<br>design basis seismic ground<br>motion Ss  |
|                                  | Fuel<br>assembly   | Relative<br>displacement             | (mm)                   | 14.1  | 7.3                          | Control rods (insertability)<br>Assessment standard value:<br>40.0mm  |
| Seismi                           | Refueling  | Seismic<br>intensity<br>(horizontal) | (G)                    | 0.91  | 0.57                         | RHR cooling system pumps<br>(motor bolt)<br>Assessment unnecessary as   |
| c intensity                      | floor  | Seismic<br>intensity<br>(vertical)   | (G)                    | 0.68  | 0.51                         | the calculated result is<br>smaller than the load for   |
| Seismic intensity for assessment | Base mat   | Seismic<br>intensity<br>(horizontal) | (G)                    | 0.51  | 0.26                         | design basis seismic ground<br>motion Ss  |
| sment                            | 2000   | Seismic<br>intensity<br>(vertical)   | (G)                    | 0.62  | 0.36                         |   |
| Floor response spectrum (R/B)    | (vertical)<br><r (o.p.18.00m)="" b=""><br/>2F-4 R/B 0.P. 18.00m (Attenuation 1.0%)<br/>Simulation analysis results (NS)<br/>Design basis seismic ground motion<br/>(NSEW envelope)<br/>10<br/>0,05<br/>0,05<br/>Natural period (sec.)<br/>(Horizontal)</r> |                                      |                        | 20 Simulation anal                          | . ,                          | Main steam piping<br>Calculated value: 140MPa<br>Assessment standard value:<br>374MPa<br>RHR piping<br>Calculated value: 123MPa<br>Assessment standard value:<br>321MPa |

#### Table-1 Overview of the impact assessment for equipment & piping systems important to seismic safety

(Fukushima Daini NPS Unit 4)





#### (Reference) Overview of seismic performance assessment (Example of main steam piping)

#### Main steam piping model (Portion)

|                         |                  |                | Design basis se              | ismic ground mo                          | March 11 earthquake  |                |                              |   |                          |
|-------------------------|------------------|----------------|------------------------------|--|----------------------|----------------|------------------------------|---|--------------------------|
| Target<br>facility      | Assessed<br>part | Stress<br>type | Calculated<br>value<br>(MPa) | Assessment<br>standard<br>value<br>(MPa) | Assessment<br>method | Stress<br>type | Calculated<br>value<br>(MPa) | Assessme<br>nt standard<br>value<br>(MPa) | Assess<br>ment<br>method |
| Main<br>steam<br>piping | Piping<br>itself | Primary        | 157                          | 309 <sup>*</sup>                         | Detailed             | Prima<br>ry    | 140                          | 374*                                      | Detailed                 |

#### Structural Strength Assessment Results

※ : Since the material of piping at the maximum stress assessment point (point with minimum margin) is different for the assessment of design basis seismic ground motion Ss and the recent March 11 earthquake, the assessment standard value is different Partial Unrecorded Observation Records for the 2011 Tohoku-Chihou-Taiheiyo-Oki Earthquake at Fukushima Daini Nuclear Power Station

For the Reactor Building (R/B) of Fukushima Daini Nuclear Power Station (NPS) Units 1 to 4, seismic response analysis was performed using the observation records obtained during the Tohoku-Chihou-Taiheiyo-Oki Earthquake. From the analysis, it can be assumed that major facilities with safety-critical functions maintained conditions that ensured safety functions during the earthquake and immediately afterwards.

Some of the observation records obtained at Fukushima Daini NPS during the Tohoku-Chihou-Taiheiyo-Oki Earthquake stopped from about 130sec. to 140sec. after it started to record due to deficiency with the system that records seismometer data.

However, though time history data was not available during and after the unrecorded period for observation points on the R/B base mat, it has been verified that the maximum acceleration at these observation points occurred within the time period when time history data was recorded (See Reference-1).

Based on the above, it is believed that there is no problem posed by the content of the assessment regardless of the fact that there was missing data.

#### (Reference)

### Verification of maximum acceleration for seismic observation records on R/B base mat of Fukushima Daini Nuclear Power Station

Observation records for Fukushima Daini Units 3 and 4 Reactor Building (R/B) base mats stopped between 130sec. to 140sec. after they started to record the main earthquake. However, based on the results of investigations and considerations as shown below, it is believed that the maximum acceleration occurred within the time range where time histories are available.

- The specifications of the seismic observation devices installed on the base mat allow the maximum acceleration data to be transmitted and recorded separate from the time history data. During the main earthquake, (system) deficiency caused time history data to remain unrecorded during and after the unrecorded period; however, it recorded the maximum acceleration after the unrecorded period, and those values were examined.
- For the main earthquake, maximum acceleration up to unrecorded period (Record

   and maximum acceleration after the unrecorded period (Record
   ) were obtained. Such maximum accelerations obtained are indicated in Table-1.1.
- Figure-1.1. indicates the time range for which Record① and Record② was obtained. The time range for which Record② was obtained started 30 seconds before the unrecorded period. With these 30 seconds, there is an overlap between Record① and Record②in terms of the time range.
- As shown in Figure-1.1, it is possible to categorize the size relationships of Record① and ②into Group A, B, C from the time at which maximum acceleration is recorded. The maximum acceleration observations records categorized as Group A or B occurs within the time range for which time history data was recorded.
- Table-1.2 indicates categorization results. From Table 1-2, it can be verified that all observation points are categorized as B, and as indicated in Figure-1.2, the maximum acceleration value occurred within the time range for which time history data was available.

|  |      |                        |                         |       |          |                         |       | (Units:Gal) |
|--|------|------------------------|-------------------------|-------|----------|-------------------------|-------|-------------|
|  | Unit | Observation point name | Max. acceleration up to |       |          | Max. acceleration after |       |             |
|  |      |                        | unrecorded period       |       |          | unrecorded period       |       |             |
|  |      |                        | (Record①)               |       |          | (Record②)               |       |             |
|  |      |                        | NS                      | EW    | Vertical | NS                      | EW    | Vertical    |
|  | 3    | 3-R2                   | 276.6                   | 216.2 | 208.5    | 276.6                   | 216.2 | 208.5       |
|  | 4    | 4-R2                   | 209.7                   | 205.2 | 287.7    | 209.7                   | 205.2 | 287.7       |

### Table-1.1 Maximum acceleration of main earthquake on R/B base mat

Note: The maximum accelerations indicated in the above table are preliminary values before baseline correction, therefore, the values are different from those in the "Report of Analysis of Observed Seismic Data Collected at Fukushima Daini NPS Pertaining to the Tohoku-Taiheiyo-Oki Earthquake" (submitted May 16, 2011) due to correction and rounding.

Table-1.2Categorization by comparing Record① and Record②

| Unit | Observation point name | Category of time when maximum acceleration occurred |    |          |  |  |  |
|------|------------------------|---|----|----------|--|--|--|
| Unit |                        | NS  | EW | Vertical |  |  |  |
| 3    | 3-R2                   | В   | В  | В        |  |  |  |
| 4    | 4-R2                   | В   | В  | В        |  |  |  |

<Legend>

A: Record①>Record② □ Maximum acceleration occurred within the time range for which time history data was recorded.

B: Record D=Record Maximum acceleration occurred within the time range for which time history data was recorded.

C: Record①<Record② Maximum acceleration occurred outside of the time range for which time history data was recorded.

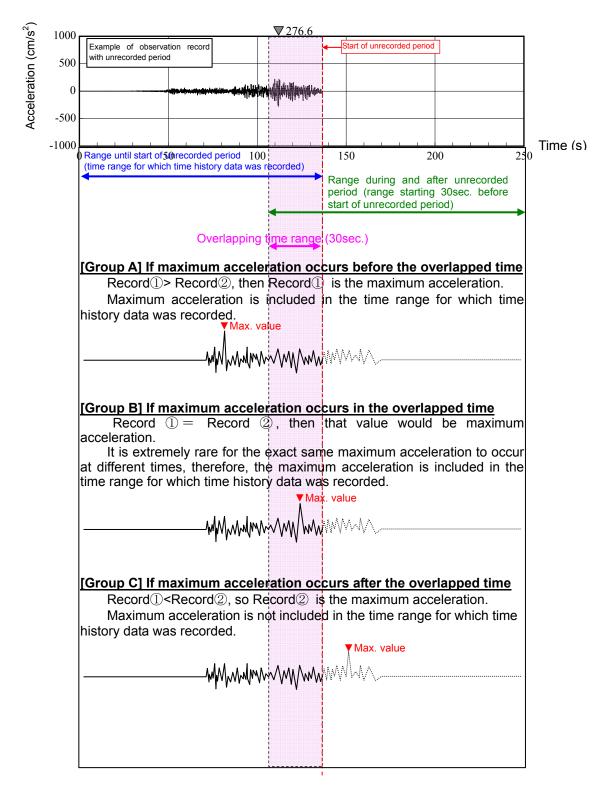


Figure-1.1 Time range for Record (1) and (2) and categorization by time when maximum acceleration occurred

Seismic intensity for assessment

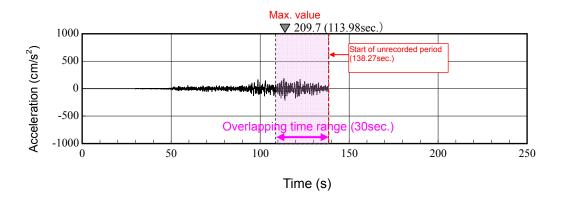


Figure-1.2 Group B Record (Example of observation point 4-R2, south-north direction)

Outline of "Reports about the study regarding current seismic safety and reinforcement of reactor buildings at Fukushima Daiichi Nuclear Power Station

(1)"

### [Positioning]

This report is based on the "Collection of reports pursuant to Section 67.1 of the Act on the Regulation of Nuclear Source Material, Nuclear Fuel Material and Reactors" (April 13, 2011) and is regarding the implementation of studies on the current seismic safety and reinforcements of the reactor buildings (R/B) of Fukushima Daiichi NPS. Assessment for Units 1 and 4 were completed at this time, and the results have been compiled in this report and submitted to the Nuclear and Industrial Safety Agency (NISA) of the Ministry of Economy, Trade and Industry (METI) on this day (May 28). The results for other units will be compiled and reported when the assessment results are available.

### [Assessment overview]

- Unit 1 R/B
- For Unit 1 R/B, an event apparently being a hydrogen explosion occurred on March 12, the day after the Tohoku-Chihou-Taiheiyo-Oki Earthquake, which caused damage to the top of the fifth floor, operating floor. This information was incorporated into the lumped mass model to analyze the time history response of the design basis seismic ground motion, Ss, and to study whether the seismic wall would reach ultimate conditions of shear failure (Figure 1).
- As a results of time history response analysis using design basis seismic ground motion Ss, the maximum shear strain generated for the remaining seismic wall on the fifth floor and lower floors is 0.12×10<sup>-3</sup> (Ss-1, Ss-2, NS direction, 1F) and is significantly below 4×10<sup>-3</sup>, the assessment standard value. Therefore, it is assessed that there is sufficient safety (Figure 2).
- Unit 4 R/B
- Though the causes have not been identified, on March 15, the Unit 4 R/B lost the majority of the fifth floor roof slab and walls with only frame structure of pillars and beams and roof truss remaining. It was also found that the majority of the walls of the fourth floor and some of the walls of third floor were damaged. For Unit 4, the walls on the fifth and lower floors are damaged, which is different from Unit 1. Therefore, it was decided that this information would be incorporated into the

lumped mass model to conduct time history response analysis using the design basis seismic ground motion Ss and overall assessment of whether the seismic walls would reach ultimate conditions of shear failure (Figure 3).

Based on this, it was decided to conduct local assessment with three-dimensional (3D) FEM analysis including the spent fuel pool. The maximum values obtained from time history response analysis by the lumped mass model is input as seismic load. Temperature load and other loads were combined for assessment.

- Results of time history response analysis using design basis seismic ground motion Ss for lumped mass model indicated that the maximum shear strain of the remaining seismic walls on or above the fifth floor is 0.17×10<sup>-3</sup> (Ss-1, Ss-2, EW direction, 1F), which is significantly lower than 4×10<sup>-3</sup>, the assessment standard value. Therefore, it is assessed that there is sufficient safety (Figure 4).
- Results of seismic safety assessment using 3D FEM analysis (Figure 5) showed that the maximum strain on rebars of the spent fuel pool was 1230×10<sup>-6</sup> when combining the seismic load due to the design basis seismic ground motion Ss and other loads. This has significant margin against the assessment standard value of 5000×10<sup>-6</sup> of plastic limit strain. The stress generated at the location where the out-of-plane shear force has the smallest margin is 800 (N/mm) and has sufficient margin against 1150 (N/mm), the assessment standard value. Therefore, it is assessed that there is sufficient safety.

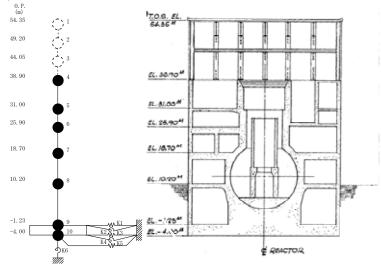


Figure 1.Unit 1 R/B seismic response analysis model (NS)

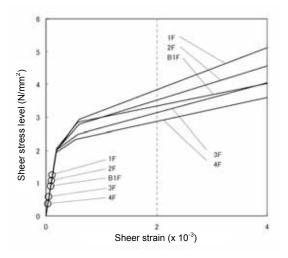


Figure 2. Maximum response value on shear skeleton curve (Unit 1, Ss-1, NS)

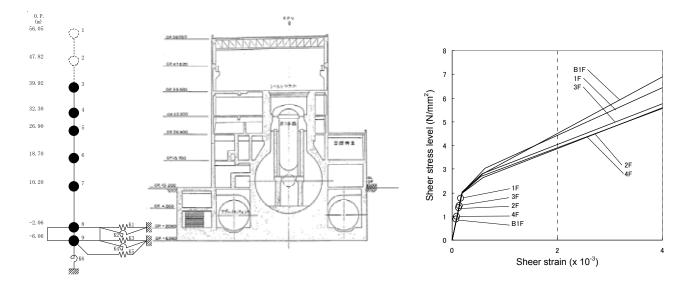


Figure 3. Unit 4 R/B seismic response analysis model (EW)

Figure 4. Maximum response value on shear skeleton curve (Unit 4, Ss-1, EW)

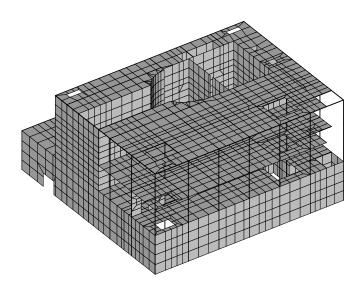


Figure 5. 3D FEM local assessment model (Unit 4)

End

Outline of "Reports about the study regarding current seismic safety and reinforcement of reactor buildings at Fukushima Daiichi Nuclear Power Station

(2)"

### [Positioning]

This report is based on the "Collection of reports pursuant to Section 67.1 of the Act on the Regulation of Nuclear Source Material, Nuclear Fuel Material and Reactors" (April 13, 2011) and is regarding the implementation of studies on the current seismic safety and reinforcements of the reactor buildings (R/B) of Fukushima Daiichi NPS. Report (1) was submitted to the Nuclear and Industrial Safety Agency (NISA) of the Ministry of Economy, Trade and Industry (METI) on May 28 for Units 1 and 4 whose assessment was completed in advance. The assessment for Unit 3, which was significantly damaged, has been completed at this time, the results compiled and submitted to METI's NISA on this day (July 13).

[Assessment overview]

• For Unit 3 reactor building (R/B), the fifth floor, operating floor and above were damaged due to an event on March 14 thought to be a hydrogen explosion. The majority of the building on the fifth floor and above has steel frames and concrete members that collapsed after the explosion lying on top of one another. In addition, the floor on the northwest side of the fifth floor was damaged, and part of the collapsed steel frames and concrete members were lying on top of each other on the floor of the fourth floor. Major portions of the walls of the fourth floor were also damaged. This information was incorporated into the lumped mass model to analyze the time history response of the design basis seismic ground motion, Ss, and to conduct an overall assessment of whether the seismic wall would reach ultimate conditions of shear failure.

Based on this, it was decided to conduct local assessment with three-dimensional (3D) FEM analysis including the spent fuel pool. The maximum values obtained from time history response analysis is input as the seismic load. Temperature load and other loads were combined for assessment (Figure 1).

- Results of time history response analysis using design basis seismic ground motion Ss for lumped mass model indicated that the maximum shear strain of the remaining seismic walls on the fifth and lower floors is 0.14×10<sup>-3</sup> (Ss-1, Ss-2, NS direction, 1F), which is significantly lower than 4×10<sup>-3</sup>, the assessment standard value (figure 2).
- Results of seismic safety assessment using 3D FEM analysis (Figure 3) showed that

the maximum strain on rebars of the spent fuel pool was  $1303 \times 10^{-6}$  when combining the seismic load due to the design basis seismic ground motion Ss and other loads. This has a significant margin against the assessment standard value of  $5000 \times 10^{-6}$  of plastic limit strain. The stress generated at the location where the out-of-plane shear force has the smallest margin is 1,689 (N/mm) and has sufficient margin against 3,130 (N/mm), the assessment standard value. Therefore, it is assessed that there is sufficient safety.

 A similar assessment was conducted for the shell wall on the outer side of the RPV. The maximum strain on rebars was 469×10<sup>-6</sup> and has sufficient margin against the assessment standard value of 5000×10<sup>-6</sup> of plastic limit strain. The stress generated at the location where the out-of-plane shear force has the smallest margin is 2,475 (N/mm) and has sufficient margin against 3,270 (N/mm), the assessment standard value. Therefore, it is assessed that there is sufficient safety.

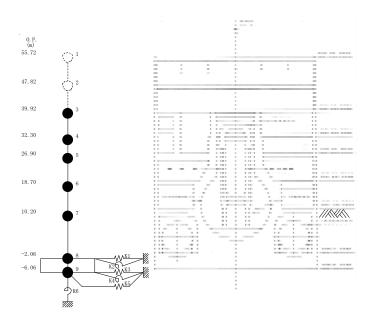


Figure 1. Unit 1 R/B seismic response analysis model(NS)

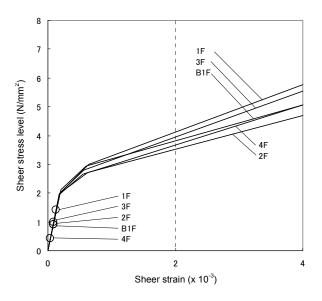


Figure 2. Maximum response value on shear skeleton curve (Unit 3, Ss-2, NS)

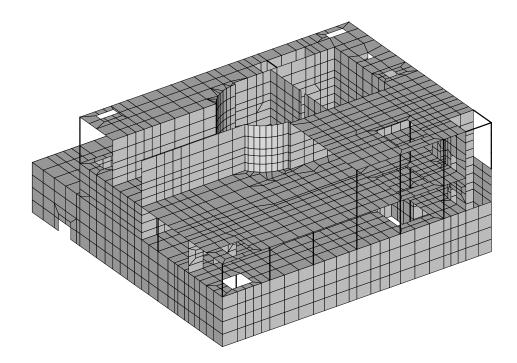


Figure 3. 3D FEM local assessment model (Unit 3)

End

Outline of "Reports about the study regarding current seismic safety and reinforcement of reactor buildings at Fukushima Daiichi Nuclear Power Station

(3)"

### [Positioning]

This report is based on the "Collection of reports pursuant to Section 67.1 of the Act on the Regulation of Nuclear Source Material, Nuclear Fuel Material and Reactors" (April 13, 2011) and is regarding the implementation of studies on the current seismic safety and reinforcements of the reactor buildings (R/B) of Fukushima Daiichi NPS. Report (1) was submitted to the Nuclear Industrial Safety Agency (NISA) of the Ministry of Economy, Trade and Industry (METI) on May 28 for Units 1 and 4 whose assessment was completed in advance. Report (2) for Unit 3 was submitted on July 13 to NISA, METI. Assessment for Units 2, 5, and 6 was completed at this time, the results compiled in this report and submitted to NISA, METI on this day (August 26).

### [Assessment overview]

- Unit 2 R/B
- For Unit 2 R/B, though the blow-out panel on the exterior wall of the east-side was released, there is no visible external damage. Access to the interior of the building is restricted due to high radiation, so the conditions are unclear but it is understood that there is no damage at this point. Considering such conditions, the seismic performance of the building was assessed using the analysis results for the seismic back-check as-is ('Fukushima Daiichi NPS Interim Report on seismic safety assessment results pursuant to the revision of "Regulatory Guide for Reviewing Seismic Design of Nuclear Power Reactor Facilities" (Revision 2)' April 19, 2010)
- As results of implementing the time history response analysis using the design basis seismic ground motion Ss for the seismic back check, the maximum shear strain for the seismic wall is 0.17×10<sup>-3</sup> (Ss-1, EW, 5F), which is significantly lower than 4×10<sup>-3</sup>, the assessment standard value. Therefore, it is assessed that there is sufficient safety.
- A parameter study was conducted for assurance by considering the possibility of lower rigidity of the shell wall due to the temporary rise in temperature in the PCV and the abnormal sound near the suppression chamber on the basement floor on March 15. It was found that there are slight value variations but there are no significant differences in analysis results.

Unit 5 and 6 R/B

- For Units 5 and 6, they have already maintained cold shutdown conditions, there is no external damages, and no information is available for structural damage though no detailed inspections have been conducted for the interior areas. Therefore, when considering the above conditions, assessment was performed by applying the analysis results of the seismic back check similarly to Unit 2 from the perspective of seismic performance of buildings.
- Results of time history response analysis using design basis seismic ground motion Ss for the seismic back check show that the maximum shear strain of the Unit 5 seismic wall is 0.19×10<sup>-3</sup> (Ss-1, EW, fifth floor) while the maximum shear strain of the Unit 6 seismic wall is 0.33×10<sup>-3</sup> (Ss-1, NS, second floor). Both are significantly lower than 4×10<sup>-3</sup>, the assessment standard value. Therefore, it is assessed that there is sufficient safety.

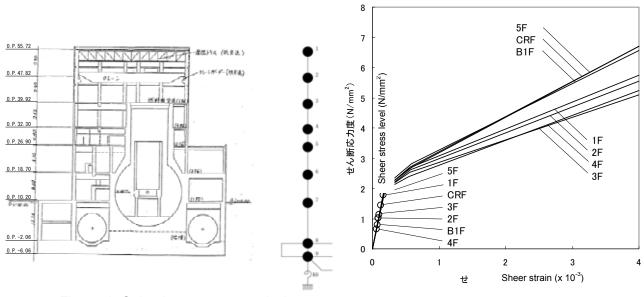
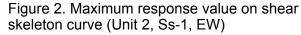


Figure 1. Seismic response analysis model (Unit 2 example)



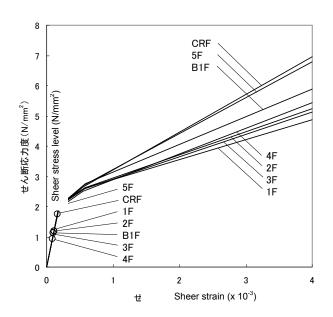


Figure 3. Maximum response value on shear skeleton curve (Unit 5, Ss-1, EW)

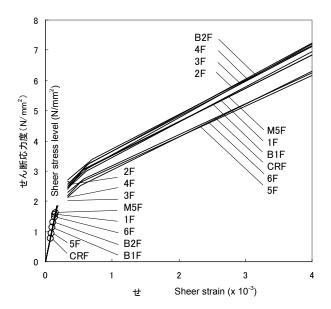


Figure 4. Maximum response value on shear skeleton curve (Unit 6, Ss-1, NS)

End

### Fukushima Daiichi Nuclear Power Station Pipe Fatigue Assessment

A characteristic of the Tohoku-Chihou-Taiheiyo-Oki Earthquake was that its duration was relatively long. When the earthquake duration is long, force is repeatedly applied on facilities and leads to concerns in degraded strength of facilities due to cumulative damage. Fatigue assessment of the piping was conducted using observation records to check this impact.

For the fatigue assessment, ground observations records which did not have missing records like those for the R/B were used. The subject plant is Unit 5 which experienced relatively stronger motion during the March 11 earthquake compared to the other plants located near the point where records were being collected.

(1) Seismic response analysis using ground observation records

The free surface wave of the base stratum estimated from the ground seismic observation records (Figure 2) was used for large equipment coupled seismic response analysis to obtain time history acceleration response of the main earthquake (Figure 1).

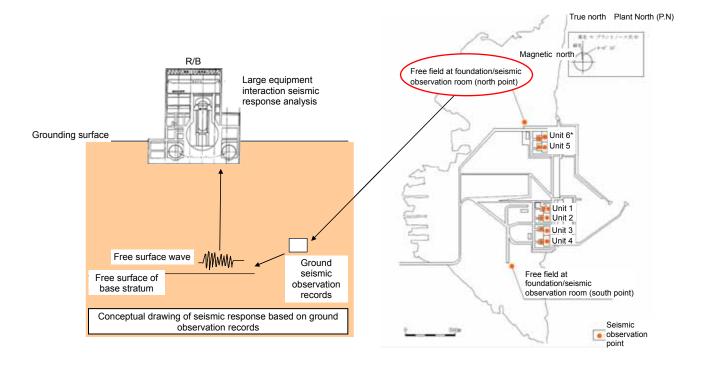


Figure 1. Conceptual drawing of seismic response analysis based on ground observation records

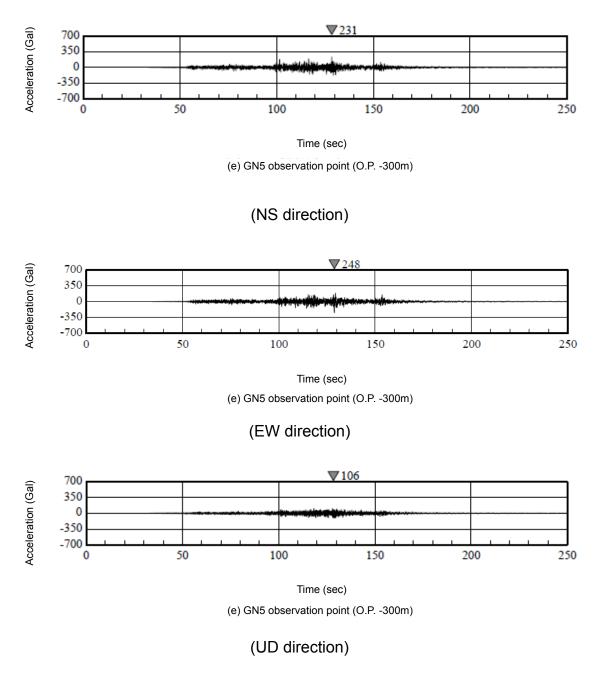


Figure 2. Acceleration time history wave of free field at foundation observation point (north point)

### (2) Subject for assessment

Assessment was performed on the feedwater pipings (branch points) because they are installed in a wide area spanning the RPV to the T/B via the R/B and is assumed to be significantly impacted by fatigue due to relative displacement between large structures (Figure 3).

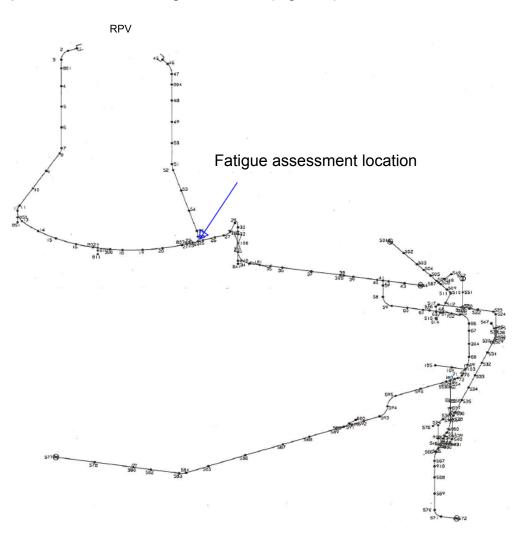


Figure 3. Model drawing of feedwater system piping

### (3) Procedures for fatigue assessment

a. Fatigue assessment procedure (Figure 4) (3 direction simultaneous time history analysis)

①Conduct 3-direction simultaneous time history response analysis for pipes to obtain the time history response for recurring peak stress intensity during the earthquake.

②From peak values of time history response of recurring peak stress intensity, calculate usage factor (UF) using design fatigue diagram.

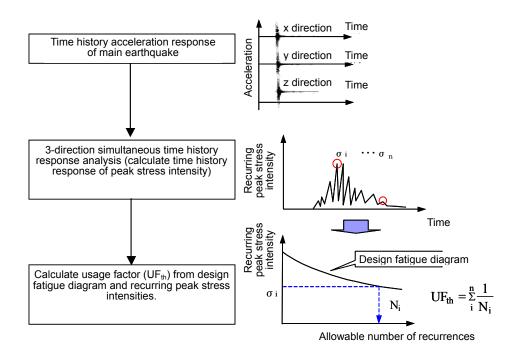


Figure 4. Procedure for fatigue assessment (3-direction simultaneous time history analysis)

b. Calculation of equivalent number of recurrence (Figure 5)

The equivalent number of recurrence (Ne) for the main earthquake is calculated by multiplying the usage factor (UF) by the allowable number of recurrence (N) for the maximum recurring peak stress intensity obtained by the 3-direction simultaneous time history response analysis.

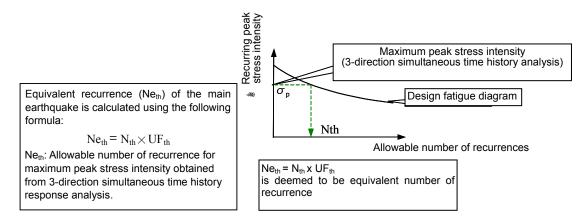


Figure 5. Calculation of equivalent recurrence

### (4) Assessment result (Table 1)

Results of the fatigue assessment showed that the usage factor for the main earthquake was 0.00001 or less, which was sufficiently low.

|                        | (Fukushima                                   | a Dalichi Unit                        | 5 feedwater s                        | ystem piping)       |                                 |
|------------------------|--|---------------------------------------|--------------------------------------|---------------------|---------------------------------|
|                        | Tohokı                                       |                                       | eiyo-Oki Eartł<br>rthquake)          | nquake              | A                               |
| Assessed<br>facilities | Maximum<br>peak stress<br>intensity<br>[MPa] | equivalent<br>number of<br>recurrence | Allowable<br>number of<br>recurrence | Usage<br>factor: UF | Assessment<br>standard<br>value |
| Feedwater piping       | 80   | 2                                     | 9.0 x 10 <sup>5</sup>                | 0.00001<br>or less  | 1                               |

| Table 1. Assessment results                        |
|--|
| (Fukushima Daiichi Unit 5 feedwater system piping) |

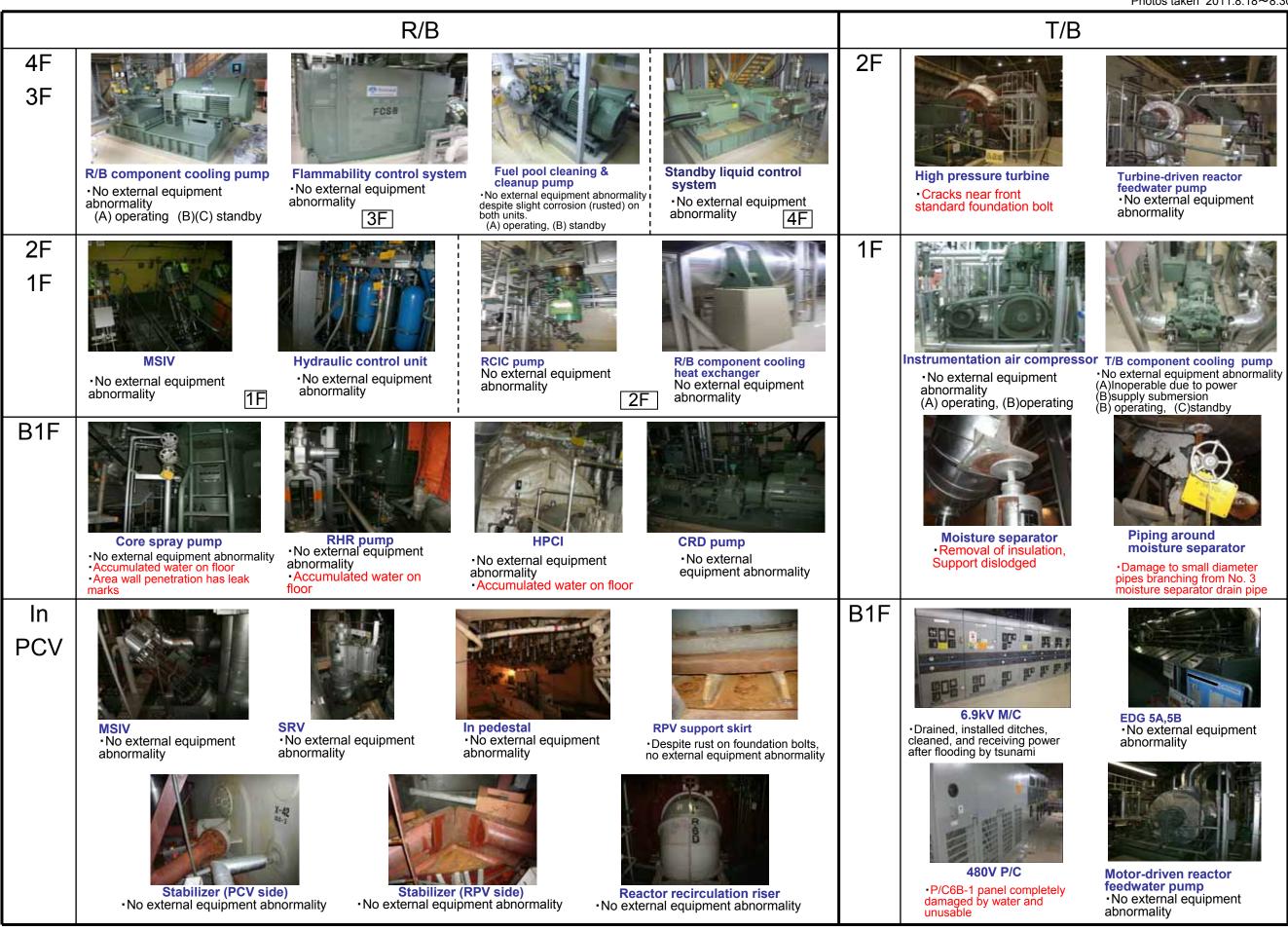
(5) Conclusions

To verify the impact of cumulative damage due to the Tohoku-Taiheiyo-Oki Earthquake, which had a long duration, complete observation records were used for fatigue assessment of the pipes that would be susceptible to cumulative damage by the earthquake.

Of the seismic class S pipes in Unit 5 subject to relatively stronger motion, pipes that would be more susceptible to cumulative damage due to the earthquake were selected for assessment. The assessment results showed that the cumulative damage was largely negligible. Even when considering the subsequent aftershocks, it is estimated that the impact is extremely minor and that there is no need for similar assessments to be performed in the future.

End

## Fukushima Daiichi Unit 5 Facility Status Verification Results



#### Attachment 6-9 (1)

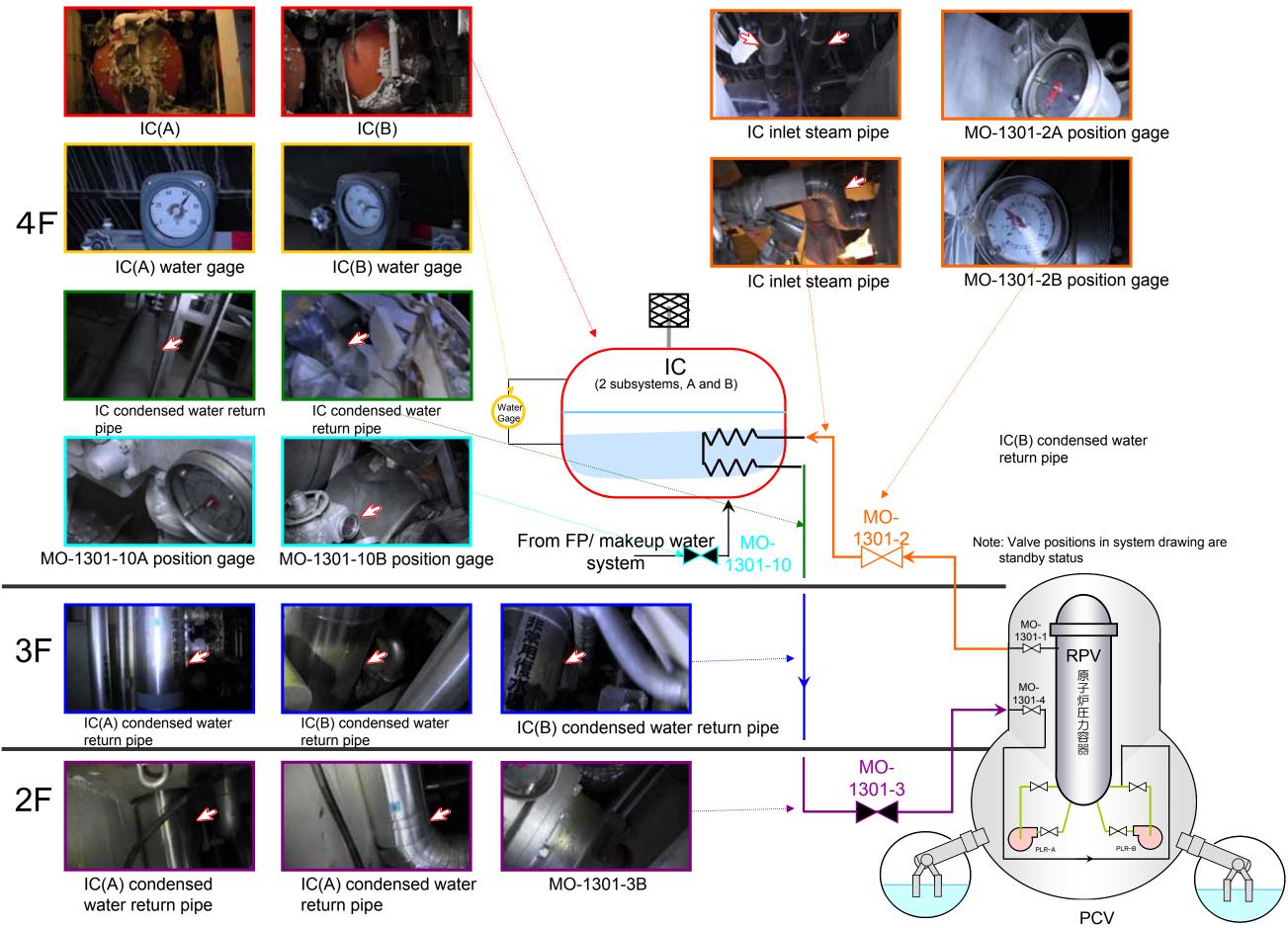
Photos taken 2011.8.18~8.30

## Fukushima Daiichi Unit 6 Facility Status Verification Results

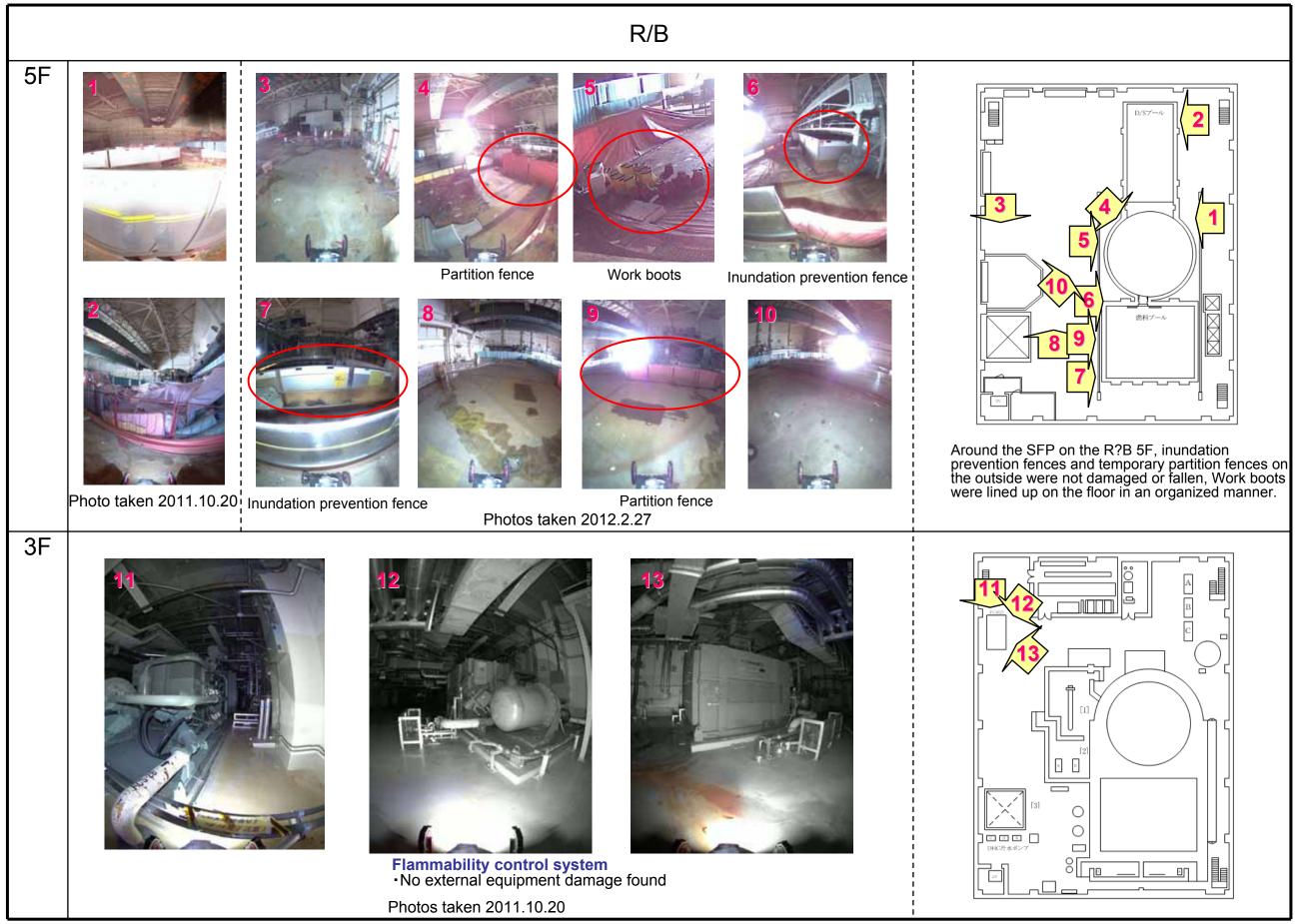




# Fukushima Daiichi Unit 1 Isolation Condenser (IC) Visual Check Results

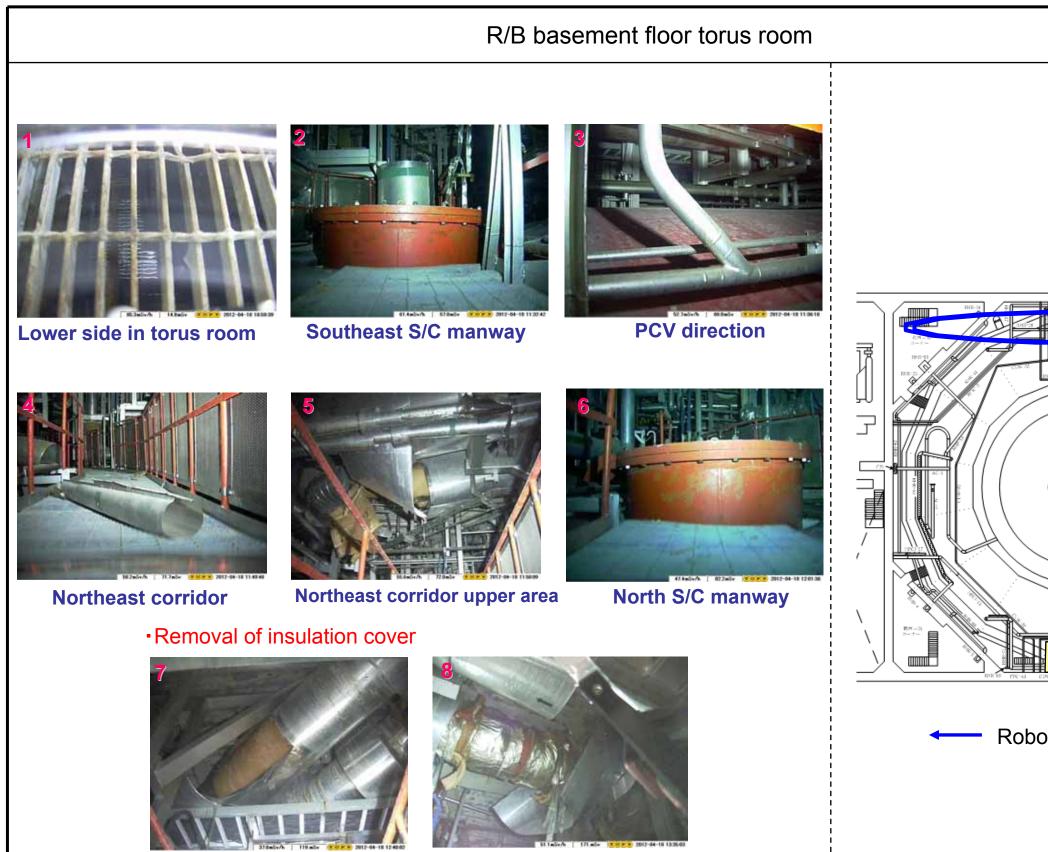


Attachment 6-9 (3)



### Attachment 6-9 (4)

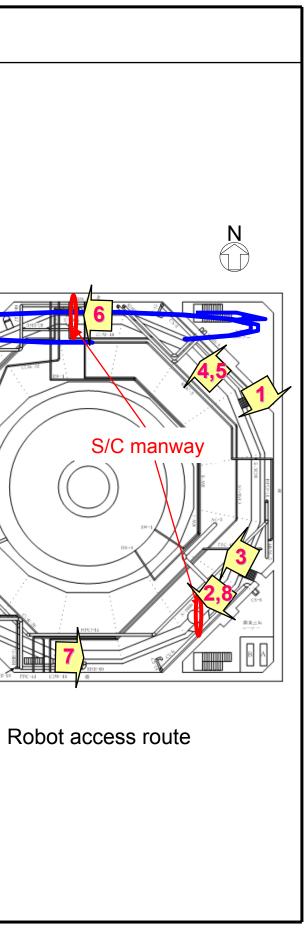
Photos taken 2011.10.20,2012.2.27



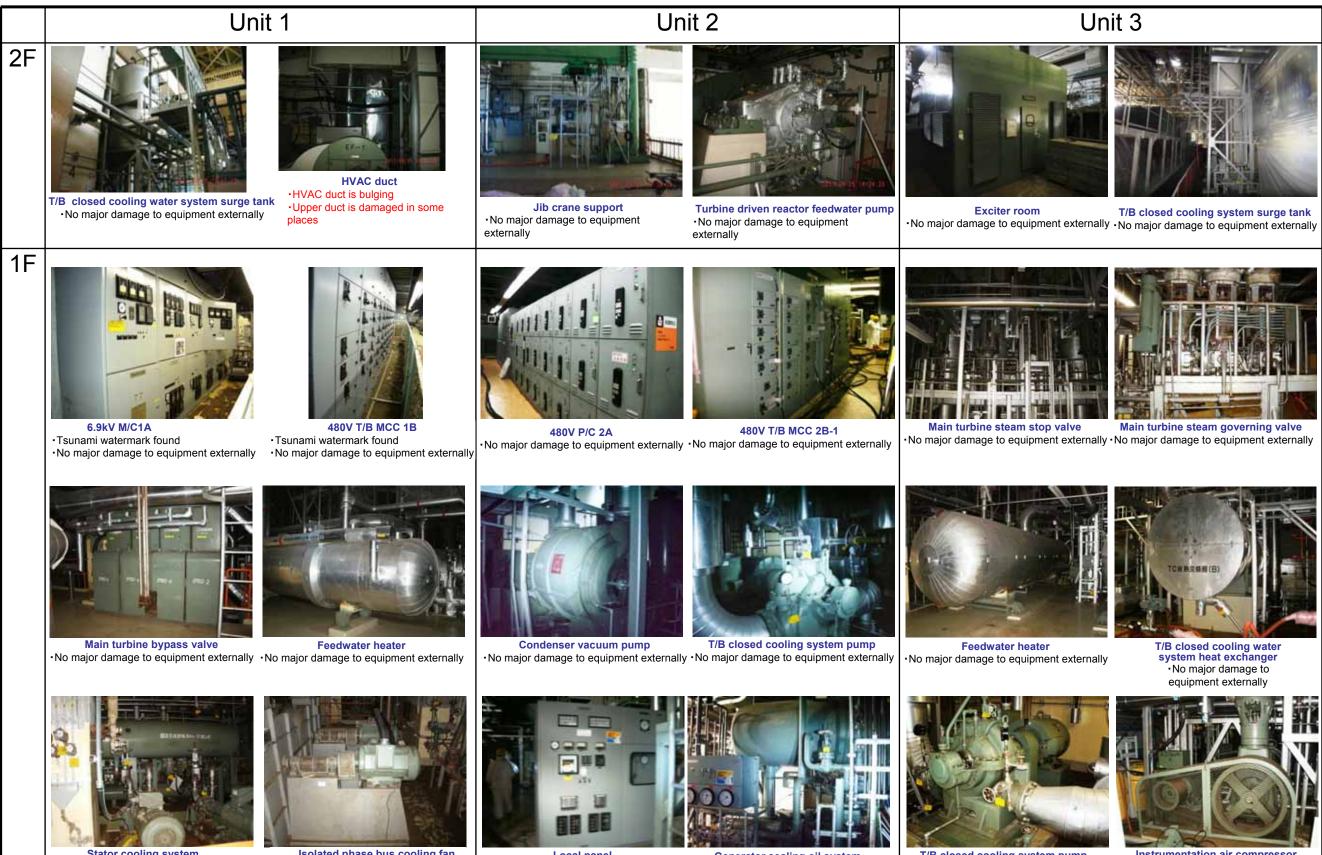
Southeast S/C manway upper area South corridor upper area Removal of insulation cover

### Attachment 6-9 (5)

Photos taken 2012.4.18



# Fukushima Daiichi Unit 1, 2, 3 T/B Facility Condition Check Results



Stator cooling system Tsunami watermark found ·No major damage to equipment externally

Isolated phase bus cooling fan Tsunami watermark found •No major damage to equipment externally

Local panel Generator sealing oil system •No major damage to equipment externally •No major damage to equipment externally

Instrumentation air compressor T/B closed cooling system pump No major damage to equipment externally No major damage to equipment externally

### Attachment 6-9 (6)

Photos taken August 24-26, 2011

# Fukushima Daiichi Units 1 to 4 Outdoor Facility Condition Check Results





6 Unit 1 batch oil tank
 No major external abnormalities including oil barrier



Former No.1, 2 heavy oil location



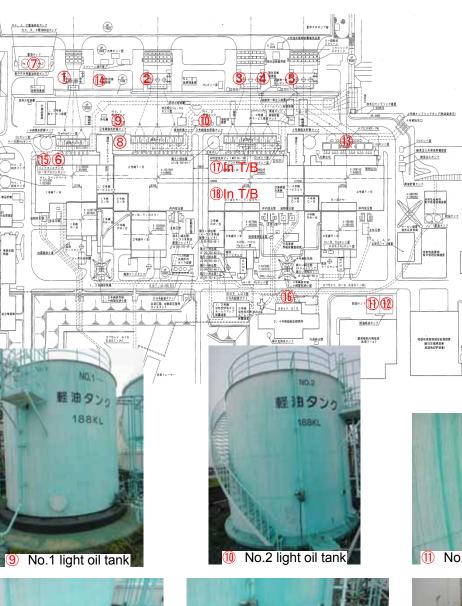
7 No.1,2 heavy oil tank oil barrier

•Found cracks in oil barrier



9 No.1 light oil tank foundation 8 Unit 2 Condensate storage tank lower al-Ground under tank collapsed. No leakage





10 No.2 light oil tank foundation



Unit 3 seaside pumps



Unit 3 seaside pumps •No motor cover on Unit 3 RHR seawater pump (D)



(1) MCC in front of Unit 4 back wash valve MCC collapsed







2011年夏夏の まの外球200月前



No.5 light oil tank oil barrier Some subsidence of light oil tank foundation soil was found but no leakage.
D/G3A, 3B fuel day tank is in the building but no external abnormalities were found.



Inside Unit 3 T/B

Photos taken August 24-26, 2011





Unit 2 power room collapsed



6 Common boiler transformer •No major external damages

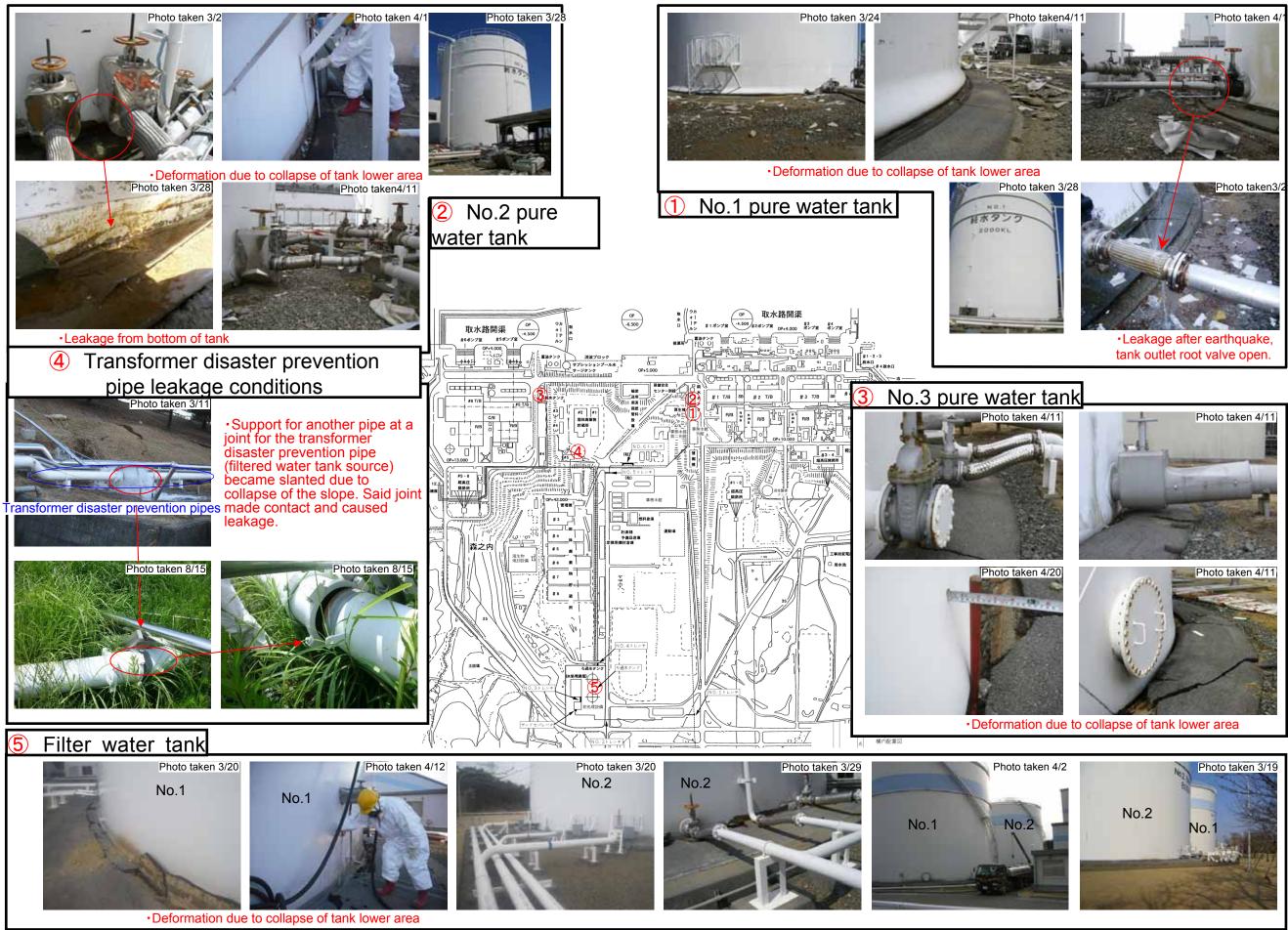






18 D/G3B day tank lower area

# Fukushima Daiichi NPS Filtered Water Tank, Pure Water Tank Condition Check Results



### Attachment 6-9 (8)

## Fukushima Daiichi NPS Outdoor FP Pipes Condition Check Results



1 Fire plug (FO-20)



7 FP pipe (FP-106)





8 FP pipe (FP-201)





FP pipe (FP-406)

Significant damage of outdoor FP pipes caused by the tsunami was found on the seaside and southeast side with dislodged and deformed pipes. No major damage to FP pipe in trenches was found.







IP pipe (FP-407)

•FP pipe is deformed



Unit 4 water sampling outlet
 FP pipe (inside trench)
 FP pie
 Inside trench
 FP pie
 FP pie<



19 Fire plug (G06)

22 FP pipe(FP-8001)

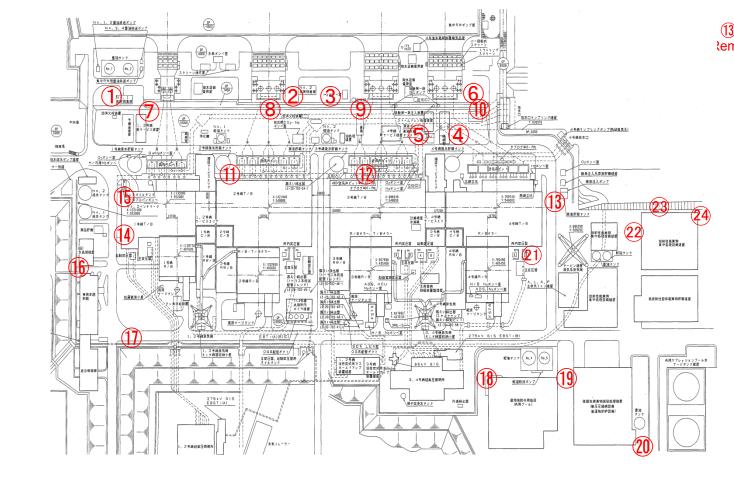




FP pipe (FP-8001)



23 FP pipe(FP-8001) 24 FP pipe(FP-8001) •FP pipes removed from support and dislodged (presumed to be tsunami impact)



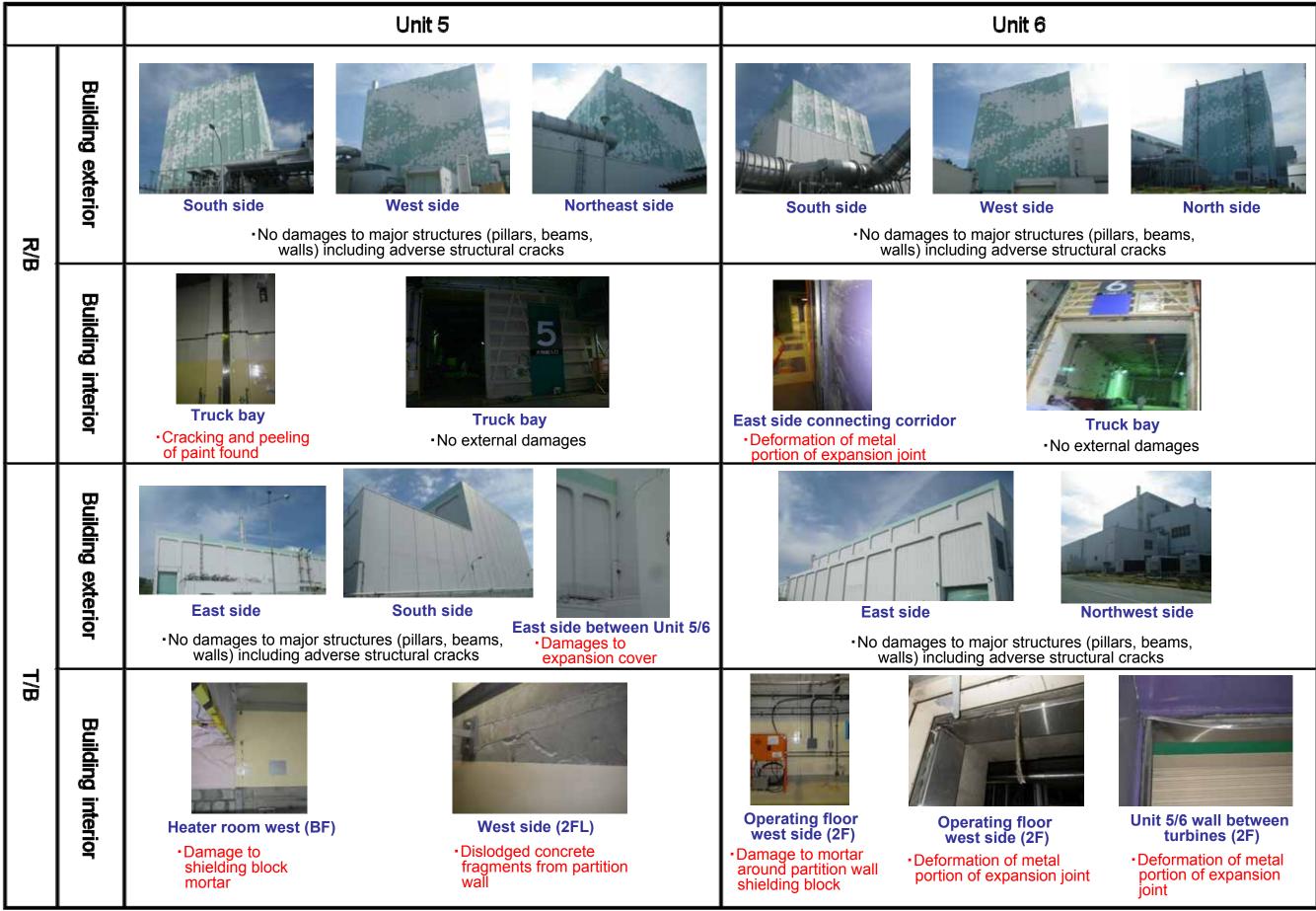
### Attachment 6-9 (9)



(15 FP pipe/ building interface



# Fukushima Daiichi Units 5, 6 Building Visual Check Results



### Attachment 6-9 (10)

# Fukushima Daiichi NPS Priority Emergency Route Condition Check Results



the tsunami blocked the road making it impassable Photo taken 3/17

Photo taken 8/26

### Attachment 6-9 (11)

### Fukushima Daiichi Unit 5 Major Facility Condition List

| System  | Facility             | Building | Location                | Facility condition  | Actual operation | As of May 21, 2012<br>Comments   |
|---|----------------------|----------|-------------------------|---|------------------|--|
| - ,   | DG5A                 | T/B      | B1F                     | ©   | 6/27/2011        | No abnormality with external inspection, insulation resistance   |
|   | D/G 5A SW pump A     | Outdoors | _                       | Damage to motor   | _                | measurements   |
|   | D/G 5A SW pump B     | Outdoors | _                       | (due to collapse of crane)  | 6/22/2011        | No abnormality with external pump inspection, startup after motor overhall<br>(bearing replaced) (due to sand intrusion caused by tsunami) |
| Emergency diesel generator<br>(D/G)             | DG5B                 | T/B      | B1F                     | Ø   | 6/28/2011        | No abnormality with external inspection, insulation resistance<br>measurements   |
|   | D/G 5B SW pump C     | Outdoors | _                       | ٥   | 6/10/2011        | No abnormality with external pump inspection, startup after motor overhall<br>(bearing replaced) (due to sand intrusion caused by tsunami) |
|   | D/G 5B SW pump D     | Outdoors | -                       | 0   | -                |  |
|   | Pump/ turbine        | R/B      | Basement<br>(RCIC room) | 0   | -                |  |
| Reactor core isolation cooling<br>system (RCIC) | Vacuum pump          | R/B      | Basement<br>(RCIC room) | 0   | -                |  |
|   | Condensate pump      | R/B      | Basement<br>(RCIC room) | 0   | -                |  |
|   | Pump/ turbine        | R/B      | Basement<br>(HPCI room) | 0   | -                |  |
| High pressure core injection                    | Vacuum pump          | R/B      | Basement<br>(HPCI room) | 0   | -                |  |
| system (HPCI)                                   | Condensate pump      | R/B      | Basement<br>(HPCI room) | 0   | _                |  |
|   | Auxiliary oil pump   | R/B      | Basement<br>(HPCI room) | 0   | -                |  |
| Core spray system                               | Pump A               | R/B      | Basement<br>(northeast) | Ø   | 1/20/2012        | No abnormality with external inspection, insulation resistance<br>measurements   |
| (CS)  | Pump B               | R/B      | Basement<br>(southeast) | 0   | -                |  |
| Residual heat removal<br>Subsystem A            | Pump A               | R/B      | Basement<br>(northwest) | Ø   | 12/21/2011       | No abnormality with external inspection, insulation resistance<br>measurements   |
| (RHR)   | Pump C               | R/B      | Basement<br>(northwest) | 0   | 3/19/2011        |  |
| Residual heat removal seawater<br>Subsystem A   | Pump A               | Outdoors | -                       | Lubricating oil pipe dislodged<br>and deformed<br>Damage to motor | -                |  |
| (RHRS)  | Pump C               | Outdoors | -                       | (due to collapse of crane)  | -                |  |
| Residual heat removal<br>Subsystem B            | Pump B               | R/B      | Basement<br>(southwest) | Ø   | 12/13/2011       | No abnormality with external inspection, insulation resistance measurements  |
| (RHR)   | Pump D               | R/B      | Basement<br>(southwest) | ۵   | 7/15/2011        | No abnormality with external inspection, insulation resistance<br>measurements   |
| Residual heat removal seawater<br>Subsystem B   | Pump B               | Outdoors | -                       | ٥   | 12/20/2011       | No abnormality with external pump inspection, startup after motor overhall<br>(bearing replaced) (due to sand intrusion caused by tsunami) |
| (RHRS)  | Pump D               | Outdoors | _                       | 0   | 7/15/2011        | Startup after simple pump inspection (gland replaced), motor overhaul<br>(bearing replaced) (due to sand intrusion caused by tsunami)      |
|   | Pump A               | R/B      | 3F                      | ٥   | 6/4/2011         | No abnormality with external inspection, insulation resistance<br>measurements   |
| R/B cooling water system<br>(RCW)               | Pump B               | R/B      | 3F                      | Ø   | 6/4/2011         | No abnormality with external inspection, insulation resistance<br>measurements   |
|   | Pump C               | R/B      | 3F                      | Ø   | 6/4/2011         | No abnormality with external inspection, insulation resistance<br>measurements   |
|   | Pump A               | T/B      | 1F                      | 0   | -                | Inoperable (power supply submerged)  |
| T/B cooling water system<br>(TCW)               | Pump B               | T/B      | 1F                      | Ø   | 5/23/2011        | No abnormality with external inspection, insulation resistance<br>measurements   |
|   | Pump C               | T/B      | 1F                      | Ø   | 5/23/2011        | No abnormality with external inspection, insulation resistance<br>measurements   |
|   | Pump A               | Outdoors | _                       | 0   | -                |  |
| Auxiliary seawater system<br>(SW)               | Pump B               | Outdoors | -                       | Ø   | 12/22/2011       | No abnormality with external pump inspection, startup after motor overhall<br>(bearing replaced) (due to sand intrusion caused by tsunami) |
|   | Pump C               | Outdoors | -                       | ø   | 6/24/2011        | Startup after simple pump inspection (gland replaced), motor overhaul<br>(bearing replaced) (due to sand intrusion caused by tsunami)      |
| Clean-up water system (CUW)                     | Recirculation pump A | R/B      | 2F                      | 0   | -                |  |
|   | Recirculation pump B | R/B      | 2F                      | 0   | -                |  |
| Control rod drive hydraulic<br>system           | Pump A               | R/B      | Basement<br>(southeast) | 0   | -                |  |
| (CRD)   | Pump B               | R/B      | Basement<br>(southeast) | 0   | -                |  |
| Standby liquid control system                   | Pump A               | R/B      | 4F                      | Ø   | 11/25/2011       | No abnormality with external inspection, insulation resistance measurements  |
| (SLC)   | Pump B               | R/B      | 4F                      | Ø   | 11/30/2011       | No abnormality with external inspection, insulation resistance<br>measurements   |
| Make up water system purified                   | Pump A               | T/B      | B1F                     | Ø   | 3/17/2011        | No abnormality with external inspection, insulation resistance   |
| (MUWP)  | Pump B               | T/B      | B1F                     | 0   | 8/24/2011        | measurements   |
| Make up water system<br>condensate              | Pump A               | T/B      | B1F                     | Ø   | 3/13/2011        | No abnormality with external inspection, insulation resistance   |
| (MUWC)  | Pump B               | T/B      | B1F                     | 0   | 7/2/2011         | measurements<br>No abnormality with external inspection, insulation resistance   |
| Fuel pool cooling clean-up<br>system            | Pump A               | R/B      | 3F                      | 0   | 8/16/2011        | measurements<br>No abnormality with external inspection, insulation resistance   |
| (FPC)   | Pump B               | R/B      | 3F                      | 0   | 6/24/2011        | measurements<br>No abnormality with external inspection, insulation resistance   |
| Standby gas treatment system<br>(SGTS)          | Fan A                | T/B      | 2F                      | 0   | 3/13/2011        | measurements<br>No abnormality with external inspection, insulation resistance   |
|   | Fan B                | T/B      | 2F                      | ©   | 7/2/2011         | measurements<br>No abnormality with external inspection, insulation resistance   |
| Station service area system (SA)                | Compressor           | T/B      | 1F                      | 0   | 5/11/2011        | measurements<br>No abnormality with external inspection, insulation resistance   |
| Instrument air system (IA)                      | Compressor A         | T/B      | 1F                      | Ø   | 6/1/2011         | measurements<br>No abnormality with external inspection, insulation resistance   |
|   | Compressor B         | T/B      | 1F                      | Ø   | 3/31/2011        | measurements   |

©: Operating or standby O: Not on standby (no external abnormalities)

- : Not applicable

\*: Indicates the day when it was first confirmed to be operable after the earthquake

### Fukushima Daiichi Unit 6 Major Facility Condition List

| System  | Facility             | Building | Location | Facility condition                    | Actual operation | As of May 21, 201<br>Comments   |
|---|----------------------|----------|----------|---------------------------------------|------------------|---|
|   | DG6A                 | C/S      | B1F      | Ø                                     | 3/19/2011        |   |
|   | D/G 6A SW pump       | Outdoor  | -        | Ø                                     | 3/18/2011        | No abnormality with external inspection, insulation resistance measurements   |
| Emergency diesel                                      | DG6B                 | DG/B     | 1F       | Ø                                     | 3/11/2011        |   |
| enerator (D/G)  | EECW pump            | DG/B     | B1F      | Ø                                     | 3/11/2011        |   |
|   | HPCS D/G             | C/S      | B1F      | 0                                     | -                |   |
|   | HPCS D/G SW pump     | Outdoor  | _        | Damage to motor                       | -                |   |
|   | RCIC turbine/ pump   | R/B      | B2F      | 0                                     | -                |   |
| Reactor core<br>solation cooling                      | Vacuum pump          | R/B      | B2F      | 0                                     | -                |   |
| system (RCIC)   | Condensate pump      | R/B      | B2F      | 0                                     | _                |   |
| ligh pressure core                                    | Pump                 | R/B      | B2F      | 0                                     | _                |   |
| ow pressure core<br>pray system (LPCS)                | Pump                 | R/B      | B2F      | Ø                                     | 12/15/2011       | No abnormality with external inspection   |
| Residual heat   | Rump A               | R/B      | B2F      | Ø                                     | 0/0/2011         | No charmolity with external increation  |
| emoval system<br>Subsystem A                          | Pump A               | к/b      | BZF      |                                       | 9/9/2011         | No abnormality with external inspection   |
| Residual heat<br>emoval seawater                      | Pump A               | Outdoor  | _        | ۵                                     | 12/27/2011       | No abnormality with external inspection,<br>insulation resistance measurements  |
| subsystem A<br>RHRS)                                  | Pump C               | Outdoor  | _        | Ø                                     | 9/9/2011         | Startup after simple pump inspection (gland<br>replaced), motor overhaul (bearing replaced) (du<br>to sand intrusion caused by tsunami) |
| Residual heat<br>emoval Subsystem<br>3<br>RHR)        | Pump B               | R/B      | B2F      | ۵                                     | 3/19/2011        |   |
| Residual heat<br>removal seawater                     | Pump B               | Outdoor  | _        | Motor cooling water pipe ruptured     | -                |   |
| Subsystem B   | Pump D               | Outdoor  | _        | 0                                     | -                |   |
| RHRS)<br>esiudar neat<br>emoval system<br>Subsystem C | Pump C               | R/B      | B2F      | Ø                                     | 12/2/2011        | No abnormality with external inspection   |
| R/B cooling water<br>system                           | Pump A               | T/B      | 1F       | Ø                                     | 3/17/2011        |   |
|   | Pump B               | T/B      | 1F       | 0                                     | 3/17/2011        |   |
| RCW)  | Pump C               | T/B      | 1F       | 0                                     | 8/16/2011        | No abnormality with external inspection,  |
| T/B cooling water                                     | Pump A               | T/B      | 1F       | O                                     | 7/29/2011        | insulation resistance measurements<br>No abnormality with external inspection,  |
|   | Pump B               | T/B      | 1F       | O                                     | 7/29/2011        | insulation resistance measurements<br>No abnormality with external inspection,  |
| system (TCW)  | Pump C               | T/B      | 1F       | 0                                     | 7/29/2011        | insulation resistance measurements<br>No abnormality with external inspection,  |
|   | Pump A               | Outdoor  |          | ©                                     | 9/15/2011        | insulation resistance measurements<br>Startup after simple pump inspection (gland<br>replaced), motor overhaul (bearing replaced) (du   |
| Auxiliary seawater                                    |                      | Outdool  |          |                                       | 3/13/2011        | to sand intrusion caused by tsunami)  |
| system<br>SW)   | Pump B               | Outdoor  | -        | 0                                     | -                |   |
|   | Pump C               | Outdoor  | -        | Ø                                     | 2/22/2012        | Startup after simple pump inspection (gland<br>replaced), motor overhaul (bearing replaced) (du<br>to sand intrusion caused by tsunami) |
| Reactor water clean-                                  | Recirculation pump A | R/B      | 2F       | Ø                                     | 10/7/2011        | No abnormality with external inspection   |
| RWCU)   | Recirculation pump B | R/B      | 2F       | Ø                                     | 1/13/2012        | No abnormality with external inspection   |
| Control rod drive                                     | Pump A               | R/B      | B1F      | Ø                                     | 10/7/2011        | No abnormality with external inspection, insulation resistance measurements   |
| iydraulic system<br>CRD)                              | Pump B               | R/B      | B1F      | 0                                     | 1/13/2012        | No abnormality with external inspection, insulation resistance measurements   |
| tandby liquid   | Pump A               | R/B      | 5F       | 0                                     | _                |   |
| ontrol system<br>SLC)                                 | Pump B               | R/B      | 5F       | Ø                                     | 11/8/2011        | No abnormality with external inspection, insulation resistance measurements   |
| Make up water   | Pump A               | T/B      | B1F      | O                                     | 7/27/2011        | No abnormality with external inspection   |
| system purified                                       | Pump B               | T/B      | B1F      | ٥                                     | 5/11/2011        | No abnormality with external inspection, insulation resistance measurements   |
| Make up water   | Pump A               | T/B      | B1F      | ٥                                     | 7/12/2011        | No abnormality with external inspection,<br>insulation resistance measurements  |
| ystem condensate<br>MUWC)                             | Pump B               | T/B      | B1F      | Ø                                     | 3/13/2011        |   |
| uel pool cooling                                      | Pump A               | R/B      | 4F       | Ø                                     | 3/16/2011        |   |
| lean-up system<br>FPC)                                | Pump B               | R/B      | 4F       | Ø                                     | 8/17/2011        | No abnormality with external inspection, insulation resistance measurements   |
| Standby gas   | Fan A                | C/S      | 3F       | Ø                                     | 6/3/2011         | No abnormality with external inspection,  |
| reatment system<br>SGTS)                              | Fan B                | C/S      | 3F       | 0                                     | 3/11/2011        | insulation resistance measurements  |
| Station service area                                  | Compressor A         | T/B      | B1F      | Ø                                     | 9/21/2011        | No abnormality with external inspection,  |
| system<br>SA)   | Compressor B         | T/B      | B1F      | Ø                                     | 9/21/2011        | insulation resistance measurements<br>No abnormality with external inspection,  |
| ,   |                      |          |          | , , , , , , , , , , , , , , , , , , , |                  | insulation resistance measurements  |
| nstrument air   | Compressor A         | T/B      | B1F      | Ø                                     | 9/21/2011        | No abnormality with external inspection, insulation resistance measurements   |

Operating or standby −:Not applicable O:Not on standby (no external abnormalities) \*: Indicates the day when it was first confirmed to be operable after the earthquake

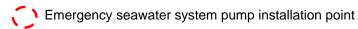
### Fukushima Daiichi Nuclear Power Station Location of Openings That May Be Water Flow Pathways Into Major Buildings



Above-ground openings assumed to be water flow pathways into major buildings
 Openings connecting to underground trenches/ducts assumed to be water flow pathways into major buildings



(C)GeoEye / Japan Space Imaging



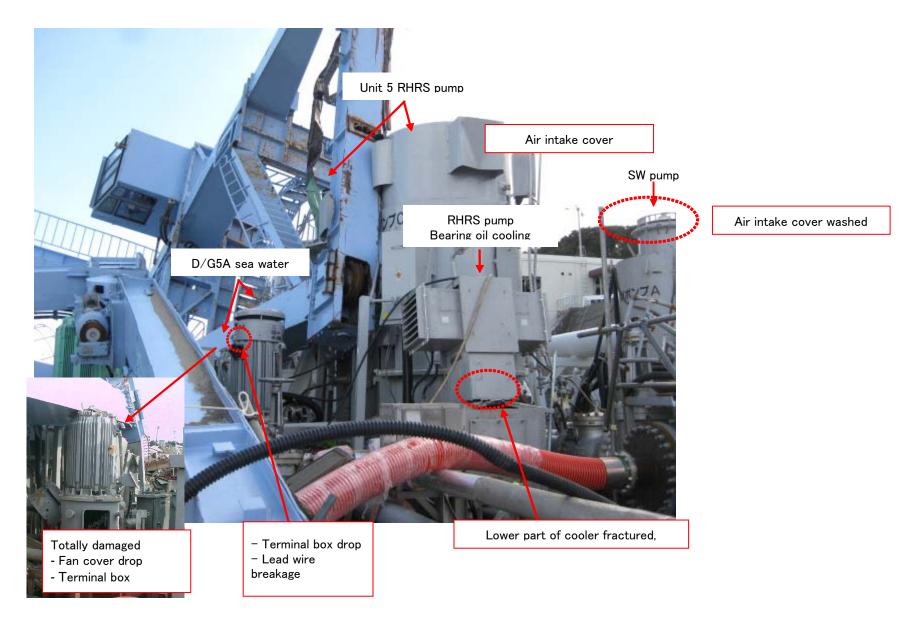
wash pump

pump

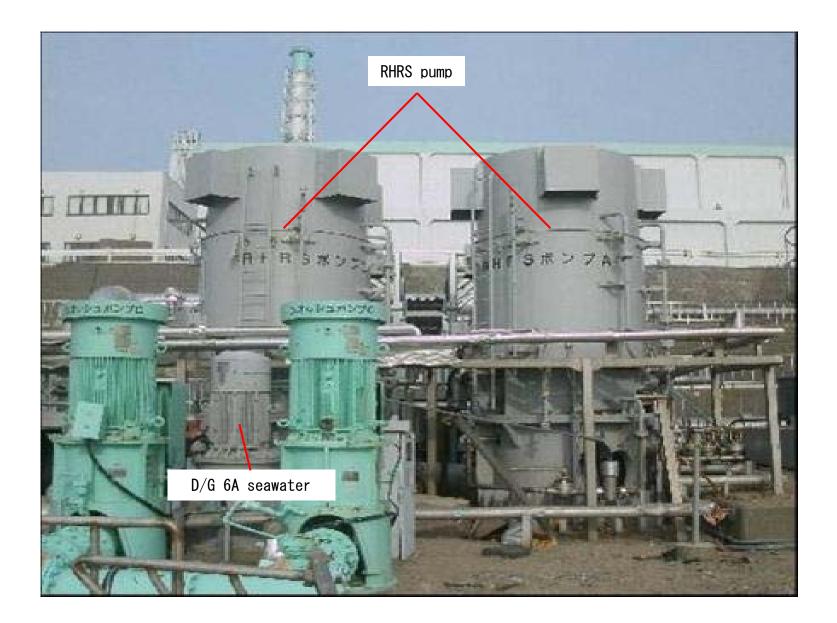
\*2:Circulating Water pump \*3:Residual Heat Removal System Sea Water pump Facility configuration

example

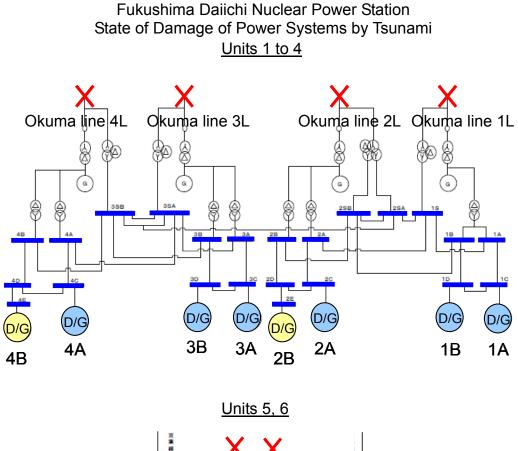
Fukushima Daiichi Nuclear Power Station Damage state of sea side, outdoor seawater

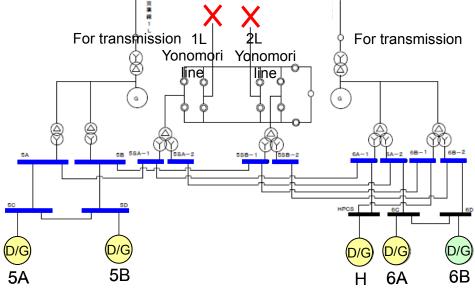


Fukushima Daiichi Nuclear Power Station Unit 5,6 damage state of seawater pump due to collapse of screen facility inspection crane



Fukushima Daiichi Unit 6 state of emergency seawater





- X :Shutdown due to earthquake
- 0 Coperable after tsunami
- : Power panel suffered water damage or submerged by tsunami

og:M/C related equipment submerged by tsunami

(M/C: Metal clad switchgear)

### Damage Status at Fukushima Daiichi Nuclear Power Station(After Tsunami)

| This table is based on the results of interviews with TEPCO employees who commed the damages to site powe |                                       |                     |              |           |  |                                       |                     |                   |           |   |                                   |  |                     |                 | though    |                                       |  |                     | Sugator     |           |                                    |                        |  |                     |                 |  |  |                     |              |  |  |
|---|---------------------------------------|---------------------|--------------|-----------|--|---------------------------------------|---------------------|-------------------|-----------|---|-----------------------------------|--|---------------------|-----------------|-----------|---------------------------------------|--|---------------------|-------------|-----------|------------------------------------|------------------------|--|---------------------|-----------------|--|--|---------------------|--------------|--|--|
|   |                                       |                     |              | Ur        | nits 1 to 2                            |                                       |                     |                   |           |   | Units 3 to 4                      |  |                     |                 |           |                                       |  |                     |             |           | Units 5 to 6                       |                        |  |                     |                 |  |  |                     |              |  |  |
| $\backslash$  | Equipment                             | Location            | Floor        | Operable? | Conditions                             | Equipment                             | Location            | Floor             | Operable? | Conditions  |                                   | Equipment                              | Location            | Floor           | Operable? | Conditions                            | Equipment                              | Location            | Floor       | Operable? | Conditions                         |                        | Equipment                              | Location            | Floor Operable? | Conditions   | Equipment                              | Location            | Floor        | Operable? Conditions                               |  |
| Startup<br>transformer  | . STr(1S)                             | Transformer<br>yard | Above ground | d Unknown | Water<br>damage                        | STr(2S)                               | Transformer<br>yard | r Above<br>ground | Unknown   | damage<br>Ancillary<br>items such as<br>insulator | Startup<br>transforme<br>r        | STr(3SA)                               | Transformer<br>yard | Above<br>ground | Unknown   | Cannot be<br>verified<br>(Note 1)     | Str(3SB)                               | Transformer<br>yard | Above groun | c Unknown | Cannot be<br>verified<br>(Note 1)  | Startup<br>transforme  |  | Transformer<br>yard | Above<br>ground | -  | STr(5SB)                               | Transformer<br>yard | Above ground | -  |  |
| Cable   | OF cable<br>(Switchyard ~<br>STr(1S)) | -                   | Basement     | Unknown   | External<br>appearance<br>partially OK | OF cable<br>(Switchyard ~<br>STr(2S)) | -                   | Basemen<br>t      | Unknown   | Cannot be<br>verified<br>(Note 2)                 | Cable                             | CV cable<br>(Switchyard ~<br>Str(3SA)) | -                   | Basemen<br>t    | -         | Under<br>work                         | OF cable<br>(Switchyard ~<br>STr(3SB)) | -                   | Basement    | Unknown   | Cannot be<br>verified<br>(Note 2)  | Cable                  | CV cable<br>(Switchyard ~<br>STr(5SA)) | -                   | Basem<br>ent    | -  | CV cable<br>(Switchyard ~<br>STr(5SB)) | -                   | Basement     | -  |  |
| $\sim$  | Unit 1                                |                     |              |           |  | Unit 2                                |                     |                   |           |   |                                   |  |                     | Unit 3          |           |                                       |  |                     | Unit 4      |           |                                    | $\sim$                 |  |                     | Unit 5          |  |  |                     | Unit 6       | . <u></u>  |  |
|   | Equipment                             | Location            | Floor        | Operable? | Conditions                             | Equipment                             | Location            | Floor             | Operable? | Conditions  |                                   | Equipment                              | Location            | Floor           | Operable? | Conditions                            | Equipment                              | Location            | Floor       | Operable? | Conditions                         |                        | Equipment                              | Location            | Floor Operable? | Conditions   | Equipment                              | Location            | Floor        | Operable? Conditions                               |  |
| enerator  | DG 1A                                 | T/B                 | B1FL         | ×         | Submerged                              | DG 2A                                 | T/B                 | B1FL              | ×         | Submerged   | ienerator                         | DG 3A                                  | T/B                 | B1FL            | ×         | Submerged                             | DG 4A                                  | T/B                 | B1FL        | ×         | Submerge<br>d<br>(Under<br>work)   | enerator               | DG 5A                                  | T/B                 | B1FL ×          | Related<br>equipment<br>(excitation<br>equipment)<br>submerged | DG 6A                                  | C/S                 | B1FL         | × Related<br>equipment<br>(SW pump)<br>Water damag |  |
| Jency Diesel G  | DG 1B                                 | T/B                 | B1FL         | ×         | Submerged                              | DG 2B                                 | Common<br>pool      | 1FL               | ×         | M/C<br>submerged<br>inoperable                    | jency Diesel G                    | DG 3B                                  | T/B                 | B1FL            | ×         | Submerged                             | DG 4B                                  | Common pool         | 1FL         | ×         | M/C<br>submerged<br>Inoperable     | Jency Diesel G         | DG 5B                                  | T/B                 | B1FL ×          | Related<br>equipment<br>(excitation<br>equipment)<br>submerged | DG 6B                                  | DG bldg.            | 1FL          | o -  |  |
| Emerg   | -                                     | -                   | -            | -         | -                                      | -                                     | -                   | -                 | -         | -   | Emerg                             | -                                      | -                   | -               | -         | -                                     | -                                      | -                   | -           | -         | -                                  | Emerg                  | -                                      | -                   |                 | -  | HPCSD/G                                | C/S                 | B1FL         | × Related<br>equipment<br>(SW pump)<br>Water damag |  |
| /C)<br>oltage<br>· panel<br>fety]   | M/C 1C                                | T/B                 | 1FL          | ×         | Water damage                           | M/C 2C                                | T/B                 | B1FL              | ×         | Submerged   | /C)<br>oltage<br>· panel<br>fety] | M/C 3C                                 | T/B                 | B1FL            | ×         | Submerged                             | M/C 4C                                 | T/B                 | B1FL        | ×         | Submerged<br>(under<br>inspection) | /C)<br>oltage<br>fety] | M/C 5C                                 | T/B                 | B1FL ×          | Submerged  | M/C 6C                                 | C/S                 | B2FL         | -  |  |
| (M<br>gh v<br>Saf   | M/C 1D                                | T/B                 | 1FL          | ×         | Water damage                           | M/C 2D                                |                     | B1FL              | ×         | Submerged   | (M)<br>gh v<br>swer<br>[Sat       | M/C 3D                                 | T/B                 | B1FL            | ×         | Submerged                             | M/C 4D                                 | T/B                 | B1FL        | ×         | Submergeo                          | gh v<br>Sat            | M/C 5D                                 | T/B                 | B1FL ×          | Submerged  | M/C 6D                                 | C/S                 | B1FL         | -  |  |
| Ηд  | -                                     | -                   | -            | -         | -                                      | M/C 2E                                | Common<br>pool      | B1FL              | ×         | Submerged   | Ηg                                | -                                      | -                   | -               | -         | -                                     | M/C 4E                                 | Common pool         | B1FL        | ×         | Submergeo                          | л Ш d                  | -                                      | -                   |                 | -  | HPCS<br>DG M/C                         | C/S                 | 1FL          | -  |  |
| -   | M/C 1A                                | T/B                 | 1FL          | ×         | Water damage                           | M/C 2A                                | T/B                 | B1FL              | ×         | Submerged   | H                                 | M/C 3A                                 | T/B                 | B1FL            | ×         | Submerged                             | M/C 4A                                 | T/B                 | B1FL        | ×         | Submerged                          | 1                      | M/C 5A                                 | C/B                 | B1FL ×          | Submerged  | M/C 6A-1<br>M/C 6A-2                   | T/B<br>T/B          | B1FL<br>B1FL | × Submerged<br>× Submerged                         |  |
| pane  | M/C 1B                                | T/B                 | 1FL          | ×         | Water damage                           | M/C 2B                                | T/B                 | B1FL              | ×         | Submerged   | pane                              | M/C 3B                                 | T/B                 | B1FL            | ×         | Submerged                             | M/C 4B                                 | T/B                 | B1FL        | ×         | Submergeo                          | pane                   | M/C 5B                                 | C/B                 | B1FL ×          | Submerged  | M/C 6B-1                               | T/B                 | B1FL         | × Submergeo  |  |
| )<br>ower<br>fety]  |                                       |                     |              |           |  | M/C 2SA                               | M/C 2SA             |                   |           | _   | )<br>ower<br>fety]                |  |                     | B1FL            |           | , , , , , , , , , , , , , , , , , , , | -                                      | -                   | -           | -         | -                                  | )<br>ower<br>fety]     | M/C 5SA-1                              |                     | B1FL ×          | Submerged  | M/C 6B-2                               | T/B                 | B1FL         | × Submergeo  |  |
| (M/C)<br>age pov<br>on-Safe   |                                       |                     |              |           |  | M/C 2SA                               | bldg.               | 1FL               | ×         | Submerged   | M/C<br>ge pc                      | M/C 3SA                                | C/B                 | BIFL            | ×         | Submerged                             | -                                      | -                   | -           | -         | -                                  | M/C<br>ge pc           | M/C 5SA-2                              |                     | B1FL ×          | Submerged  | -                                      | -                   | -            | <u> </u>   |  |
| gh voltaç<br>[Nor   | M/C 1S                                | T/B                 | 1FL          | ×         | Water damage                           | M/C 2SB                               | T/B                 | B1FL              | ×         | Submerged   | )<br>gh voltaç<br>[Nor            | M/C 3SB                                | C/B                 | B1FL            | ×         | Submerged                             | -                                      | -                   | -           | -         | -                                  | gh voltaç<br>[Nor      | M/C 5SB-1                              | C/B                 | B1FL ×          | Submerged  | -                                      | -                   | -            |  |  |
| Η̈́   |                                       |                     |              |           |  |                                       |                     |                   |           |   | Hić                               |  |                     |                 |           | <u> </u>                              | -                                      | -                   | -           | -         | -                                  | Ξįč                    | M/C 5SB-2                              | C/B                 | B1FL ×          | Submerged  | -                                      | -                   | -            |  |  |

#### This table is based on the results of interviews with TEPCO employees who confirmed the damages to site power supply facilities though field patrols and field investigations.

Operable: Results determined by TEPCO employees checking equipment conditions in the field Water damage: Water intrusion watermarks are found

Submerged: Water has accumulated

Equipment not operable 

Not able to receive power due to inoperability of upstream feed source 

:D/G is not damaged by water but is inoperable due to submersion of M/C and other related equipment

T/B : Turbine Building

Control Building

C/B C/S Reactor Combination Structure

Note 1: Due to high radiation

Note 2: Assumed that location is submerged

Attachment 7-4 (1/2)

### Damage Status at Fukushima Daiichi Nuclear Power Station(After Tsunami)

This table is based on the results of interviews with TEPCO employees who confirmed the damages to site power supply facilities though field patrols and field investigations.

| Units 1 to 2              |       |                 |          |       |           |            |      |                                   |               |        |           | Units 3 to 4             |                           |   |          |       |           |            |                                     |             |       |           | Units 5 to 6 |                           |  |          |       |           |              |                                     |          |              |           |              |
|---------------------------|-------|-----------------|----------|-------|-----------|------------|------|-----------------------------------|---------------|--------|-----------|--------------------------|---------------------------|---|----------|-------|-----------|------------|-------------------------------------|-------------|-------|-----------|--------------|---------------------------|--|----------|-------|-----------|--------------|-------------------------------------|----------|--------------|-----------|--------------|
|                           | Equi  | uipment         | Location | Floor | Operable? | Conditio   |      |                                   | Location F    | loor ( | Operable? | Conditions               |                           | Equipment                                   | Location | Floor | Operable? | Conditions | Equipment                           | Location    | Floor | Operable? | Conditions   |                           | Equipment  | Location | Floor | Operable? | Conditions   | Equipment                           | Location | Floor        | Operable? | Conditions   |
| ()<br>enter<br>ty]        | P/0   | P/C 1C          | C/B      | B1FL  | ×         | Submerg    | ed   | P/C 2C                            | T/B 1         | IFL    |           | Base damaged<br>by water | ;)<br>enter<br>ty]        | P/C 3C                                      | T/B      | B1FL  | ×         | Submerged  | P/C 4C                              | T/B         | 1FL   | -         | Under work   | )<br>enter<br>tvl         | P/C 5C   | T/B      | B1FL  | ×         | Water damage | P/C 6C                              | C/S      | B2FL         |           | -            |
| (P/C<br>ower c<br>[Safe   | P/0   | P/C 1D          | C/B      | B1FL  | ×         | Submerg    | ed   | P/C 2D                            | T/B 1         | IFL    |           | Base damaged<br>by water | (P/C<br>ower c<br>[Safe   | P/C 3D                                      | T/B      | B1FL  | ×         | Submerged  | P/C 4D                              | T/B         | 1FL   |           | -            | (P/C<br>ower c<br>ISafe   | P/C 5D   | T/B      | B1FL  | ×         | Water damage | P/C 6D                              | C/S      | B1FL         |           | -            |
| P                         |       | -               | -        | -     | -         | -          |      | P/C 2E                            | Common pool B | 1FL    | ×         | Submerged                | Ъ                         | -   | -        | -     | -         | -          | P/C 4E                              | Common pool | B1FL  | ×         | Submerged    | ЪЧ                        | -  | -        | -     | -         | -            | P/C 6E                              | DG bldg. | B1FL         |           | -            |
|                           | P/C   | P/C 1A          | T/B      | 1FL   | ×         | Water dama | lage | P/C 2A                            | T/B 1F        | 1FL    |           | Base damaged<br>by water |                           | P/C 3A                                      | T/B      | B1FL  | ×         | Submerged  | P/C 4A                              | T/B         | 1FL   | -         | Under work   |                           | P/C 5A   | C/B      | B1FL  | ×         | Water damage | P/C 6A-1                            | T/B      | B1FL         | ×         | Water damage |
| je 🖂                      | 2     |                 |          |       |           |            |      | P/C 2A-1                          | T/B B         | 1FL    | ×         | Submerged                | , e                       |   | -        | -     | -         | -          | -                                   | -           | -     | -         | -            | e e                       | P/C 5A-1   | T/B      | 2FL   |           | -            | P/C 6A-2                            | T/B      | B1FL         | ×         | Water damage |
| P/C)<br>er cent<br>-safet | P/0   | P/C 1B          | T/B      | 1FL   | ×         | Water dam  | iage | P/C 2B                            | T/B 1         | IFL    |           | Base damaged<br>by water | 7/C)<br>r cent<br>-safet) | P/C 3B                                      | T/B      | B1FL  | ×         | Submerged  | P/C 4B                              | T/B         | 1FL   |           | -            | P/C)<br>er cent<br>-safet | Display         P/C 5B         C/B         B1FL           P/C 5B-1         T/B         2FL           P/C 5SA         C/B         B1FL           P/C 5SA-1         T/B         B1FL | C/B      | B1FL  | ×         | Water damage | P/C 6B-1                            | T/B      | B1FL         | ×         | Water damage |
|                           |       | -               | -        | -     | -         | -          |      | -                                 | -             | -      | -         | -                        | ) Mor                     | -   | -        | -     | -         | -          | -                                   | -           | -     | -         | -            |                           |  |          | -     | P/C 6B-2  | T/B          | B1FL                                | ×        | Water damage |           |              |
| <u>د</u> د                | - P/C | P/C 1S          | T/B      | 1FL   | ×         | Water dam  | lage | -                                 | -             | -      | -         | -                        | <u>م</u> د                | P/C 3SA                                     | C/B      | B1FL  | ×         | Submerged  | -                                   | -           | -     | -         | -            | <u>م</u> د                |  |          |       |           | Water damage | -                                   | -        | -            | -         | -            |
|                           |       | -               | -        | -     | -         | -          |      | -                                 | -             | -      | -         | -                        |                           | -   | -        | -     | -         | -          | -                                   | -           | -     | -         | -            |                           |  |          |       |           | Water damage | -                                   | -        | -            | -         | -            |
|                           |       | -               | -        | -     | -         | -          |      | P/C 2SB                           | T/B B         | 1FL    | ×         | Submerged                |                           | P/C 3SB                                     | C/B      | B1FL  | ×         | Submerged  | -                                   | -           | -     | -         | -            |                           | P/C 5SB  | C/B      | B1FL  | ×         | Water damage | -                                   | -        | -            | -         | -            |
|                           |       | 25V DC<br>US-1A | C/B      | B1FL  | ×         | Submerg    |      | 25V DC DIST<br>TR 2A              | C/B B         | 1FL    | ×         | Submerged                |                           | 125V DC<br>main bus<br>panel 3A             | T/B      | MB1FL |           | -          | 125V DC<br>main bus<br>panel 4A     | C/B         | B1FL  | ×         | Submerged    |                           | 125V DC main<br>bus panel 5A   | T/B      | MB1FL |           | -            | 125V DC<br>PLANT DISTR<br>CENTER 6A | T/B      | MB1FL        |           | -            |
| DC125V                    |       | 5V DC<br>US-1B  | C/B      | B1FL  | ×         | Submerg    | ed C | 25V DC DIST<br>TR 2B              | C/B B         | 1FL    | ×         | Submerged                | DC125V                    | panel 3A<br>125V DC<br>main bus<br>panel 3B | T/B      | MB1FL |           | -          | 125V DC<br>main bus<br>panel 4B     | C/B         | B1FL  | ×         | Submerged    | DC125V                    | 125V DC main<br>bus panel 5B   | T/B      | MB1FL |           | -            | 125V DC<br>PLANT DISTR<br>CENTER 6B | T/B      | MB1FL        |           | -            |
|                           |       | -               | -        | -     | -         | -          | 2[   | 25V DC<br>D/G B<br>nain bus panel | Common pool B | 1FL    | ×         | Submerged                |                           | -   | -        | -     | -         |            | 125V DC<br>4D/G B main<br>bus panel | Common pool | B1FL  | ×         | Submerged    |                           | -  | -        | -     | -         | -            | 125V DC<br>HPCS DIST<br>CTR         | C/S      | 1FL          |           | -            |

Operable: Results determined by TEPCO employees checking equipment conditions in the field

Water damage: Water intrusion watermarks are found

Submerged: Water has accumulated

Equipment inoperable

Not able to receive power due to inoperability of M/C feeding power

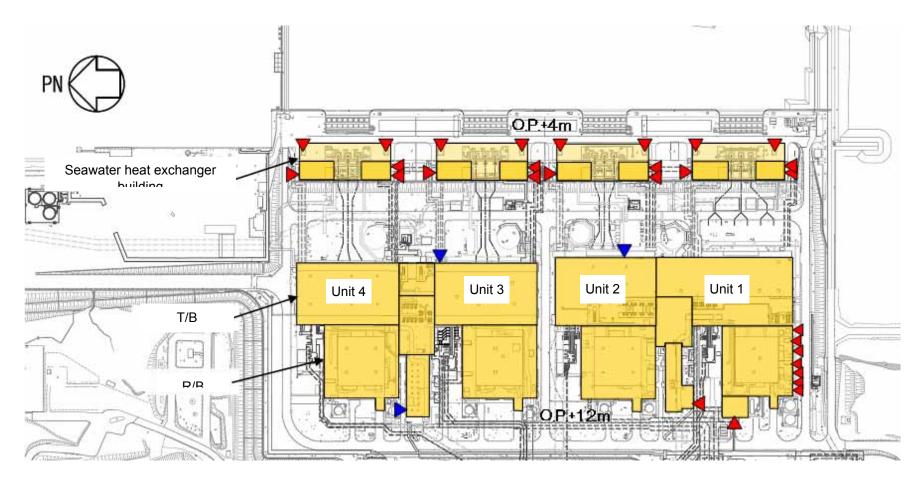
T/B : Turbine Building

C/B : Control Building

C/S : Reactor Combination Structure

Attachment 7-4 (2/2)

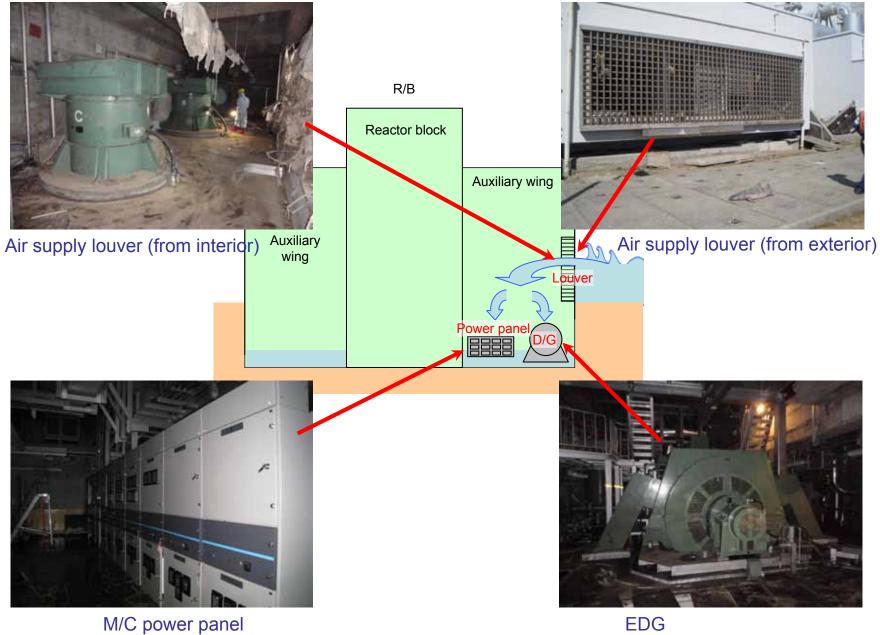
### Fukushima Daini Nuclear Power Station Location of Openings That May Be Water Flow Pathways Into Major Buildings



▼ : Above-ground openings assumed to be water flow pathways into major buildings

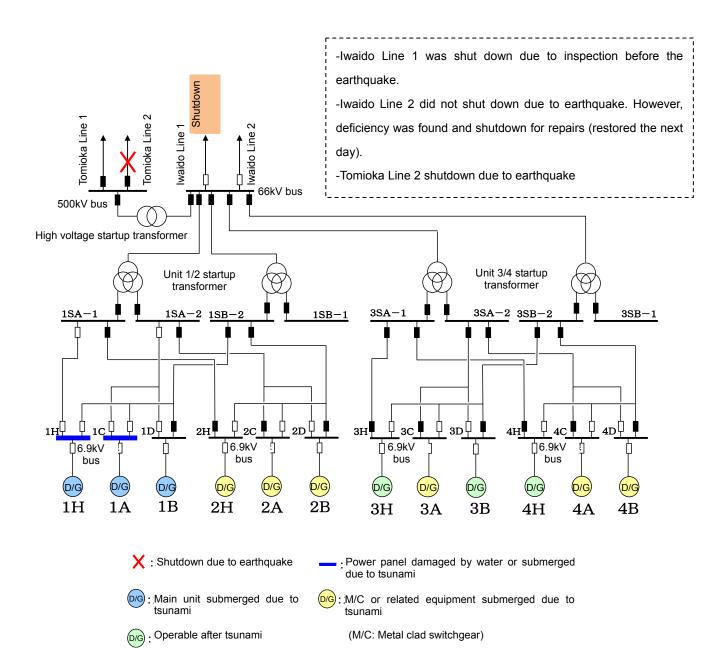
▼ : Openings connecting to underground trenches/ducts assumed to be water flow pathways into major buildings

# Fukushima Daini Unit 1 EDG Water Damage Conditions



Attachment 7-5 (2)

### Fukushima Daini Nuclear Power Station Power System Damage Conditions Caused by Tsunami



### Damage Status at Fukushima Daiini Nuclear Power Station(After Tsunami)

This table is based on the results of interviews with TEPCO employees who confirmed the damages to site power supply facilities though field patrols and field investigations.

| $\sim$                                      |                                |                     |                 |           | Un         | its 1 to 2                     | 2                   |                 |           |   |                                |                     |                 |           | Units   | s 3 to 4                       |                     |                 |          |   |
|---|--------------------------------|---------------------|-----------------|-----------|------------|--------------------------------|---------------------|-----------------|-----------|---|--------------------------------|---------------------|-----------------|-----------|---|--------------------------------|---------------------|-----------------|----------|---|
|   | Equipment                      | Location            | Floor           | Operable? | Conditions | Equipment                      | Location            | Floor           | Operable? | Conditions  | Equipment                      | Location            | Floor           | Operable? | Conditions  | Equipment                      | Location            | Floor           | Operable | Conditions  |
| up<br>rmer                                  | STr(1SA)                       | Transformer<br>yard | Above<br>ground | 0         | -          | STr(1SB)                       | Transformer<br>yard | Above<br>ground | 0         | _   | STr(3SA)                       | Transformer<br>yard | Above<br>ground | 0         | -   | STr(3SB)                       | Transformer<br>yard | Above<br>ground | 0        | _   |
| Startup<br>transformer                      | _                              | _                   | _               | _         | -          | -                              | -                   | _               | _         | _   | HSTr                           | Transformer<br>yard | Above<br>ground | 0         | Oil leak due to<br>damage of oil<br>pipe          | _                              | _                   | _               | _        | _   |
| Cable                                       | CV cable<br>(GIS~<br>STr(1SA)) | _                   | Basement        | 0         | _          | CV cable<br>(GIS~<br>STr(1SB)) | _                   | Basement        | 0         | _   | CV cable<br>(GIS~<br>STr(3SA)) | _                   | Basement        | 0         | -   | CV cable<br>(GIS~<br>STr(3SB)) | _                   | Basement        | 0        | _   |
|   | _                              | _                   |                 | —         | -          |                                | —                   | _               | _         | _   | CV cable<br>(HSTr~GIS)         | -                   | Basement        | 0         | _   | _                              | _                   | _               | _        | _   |
| $\sim$                                      |                                |                     | Unit 1          |           |            |                                |                     | Unit            |           | -   |                                |                     | Unit 3          |           |   |                                |                     | Unit 4          |          |   |
|   | Equipment                      | Location            | Floor           | Operable? | Conditions | Equipment                      | Location            | Floor           | Operable? | Conditions  | Equipment                      | Location            | Floor           | Operable? | Conditions  | Equipment                      | Location            | Floor           | Operable | Conditions  |
|   | DG 1A                          | C/S                 | B2FL            | ×         | Submerged  | DG 2A                          | C/S                 | B2FL            | ×         | Related equipment<br>(SW pump)<br>Water damage            | DG 3A                          | C/S                 | B2FL            | ×         | Related<br>equipment<br>(SW pump)<br>Water damage | DG 4A                          | C/S                 | B2FL            | ×        | Related equipment<br>(SW pump)<br>Water damage            |
| DG  | DG 1B                          | C/S                 | B2FL            | ×         | Submerged  | DG 2B                          | C/S                 | B2FL            | ×         | Related equipment<br>(SW system<br>power)<br>Water damage | DG 3B                          | C/S                 | B2FL            | 0         | -   | DG 4B                          | C/S                 | B2FL            | ×        | Related equipment<br>(SW system<br>power)<br>Water damage |
|   | DG 1H                          | C/S                 | B2FL            | ×         | Submerged  | DG 2H                          | C/S                 | B2FL            | ×         | Related equipment<br>(SW pump)<br>Water damage            | DG 3H                          | C/S                 | B2FL            | 0         | -   | DG 4H                          | C/S                 | B2FL            | 0        | Ι   |
| ower  | M/C 1C                         | C/S                 | B1FL            | ×         | Submerged  | M/C 2C                         | C/S                 | B1FL            | 0         | _   | M/C 3C                         | C/S                 | B1FL            | 0         | -   | M/C 4C                         | C/S                 | B1FL            | 0        | _   |
| (M/C)<br>voltage power<br>panel<br>[Safety] | M/C 1D                         | C/S                 | B1FL            | 0         | _          | M/C 2D                         | C/S                 | B1FL            | 0         | _   | M/C 3D                         | C/S                 | B1FL            | 0         | _   | M/C 4D                         | C/S                 | B1FL            | 0        | _   |
| High  | M/C 1H                         | C/S                 | B1FL            | ×         | Submerged  | M/C 2H                         | C/S                 | B1FL            | 0         | _   | M/C 3H                         | C/S                 | B1FL            | 0         | _   | M/C 4H                         | C/S                 | B1FL            | 0        | _   |
|   | M/C 1A-1                       | C/B                 | B1F             | 0         | _          | M/C 2A-1                       | C/B                 | B1FL            | 0         | _   | M/C 3A-1                       | C/B                 | B2FL            | 0         | _   | M/C 4A-1                       | C/B                 | B2FL            | 0        | _   |
|   | M/C 1A-2                       | C/B                 | B1F             | 0         | _          | M/C 2A-2                       | C/B                 | B1FL            | 0         | _   | M/C 3A-2                       | C/B                 | B2FL            | 0         | _   | M/C 4A-2                       | C/B                 | B2FL            | 0        | _   |
| panel                                       | M/C 1B-1                       | C/B                 | B1F             | 0         | _          | M/C 2B-1                       | C/B                 | B1FL            | 0         | _   | M/C 3B-1                       | C/B                 | B2FL            | 0         | _   | M/C 4B-1                       | C/B                 | B2FL            | 0        | _   |
| L   | M/C 1B-2                       | C/B                 | B1F             | 0         | _          | M/C 2B-2                       | C/B                 | B1FL            | 0         | _   | M/C 3B-2                       | C/B                 | B2FL            | 0         | _   | M/C 4B-2                       | C/B                 | B2FL            | 0        | _   |
| (M/C)<br>High voltage powei<br>[Non-safety] | M/C 1SA-1                      | C/B                 | B1F             | 0         | _          | _                              | _                   | _               | _         | _   | M/C 3SA-1                      | C/B                 | B2FL            | 0         | _   | _                              | _                   | _               | _        | _   |
| High  | M/C 1SA-2                      | C/B                 | B1F             | 0         | _          | _                              | _                   | _               | _         | _   | M/C 3SA-2                      | C/B                 | B2FL            | 0         | -   | _                              | _                   | _               | _        | _   |
|   | M/C 1SB-1                      | C/B                 | B1F             | 0         | _          | _                              | _                   | _               | _         | _   | M/C 3SB-1                      | C/B                 | B2FL            | 0         | -   | _                              | _                   | _               | _        |   |
|   | M/C 1SB-2                      | C/B                 | B1F             | 0         | _          | _                              | _                   | _               | _         |   | M/C 3SB-2                      | C/B                 | B2FL            | 0         | _   | _                              | _                   | _               | _        |   |

Operable: Results determined by TEPCO employees cf Water damage: Water intrusion watermarks are found Submerged: Water has accumulated : Equipment is not operable

: D/G is not damaged by water but is inoperable due to submersion of M/C and other related equipment

Reactor Combination Structure C/S

C/B : Control Building

Attachment 7-7 (1/2)

### Damage Status at Fukushima Daiini Nuclear Power Station(After Tsunami)

This table is based on the results of interviews with TEPCO employees who confirmed the damages to site power supply facilities though field patrols and field investigations.

|                                       |                                     |          |       |           | Units      | 1 to 2                              |          |       |           | Units 3 to 4<br>s Equipment Location Floor Operable? Conditions Equipment Location Floor Operable? Conditions |                                     |          |       |           |            |                                     |          |       |           |            |
|---------------------------------------|-------------------------------------|----------|-------|-----------|------------|-------------------------------------|----------|-------|-----------|---|-------------------------------------|----------|-------|-----------|------------|-------------------------------------|----------|-------|-----------|------------|
|                                       | Equipment                           | Location | Floor | Operable? | Conditions | Equipment                           | Location | Floor | Operable? | Conditions  | Equipment                           | Location | Floor | Operable? | Conditions | Equipment                           | Location | Floor | Operable? | Conditions |
|                                       | P/C 1C-1                            | C/S      | B1F   | ×         | Submerged  | P/C 2C-1                            | C/S      | B1FL  | 0         | _   | P/C 3C-1                            | C/S      | B1FL  | 0         | _          | P/C 4C-1                            | C/S      | B1FL  | 0         | _          |
| (P/C)<br>Power center<br>[Safety]     | P/C 1C-2                            | Hx/B     | 1FL   | ×         | Submerged  | P/C 2C-2                            | Hx/B     | 1FL   | ×         | Submerged   | P/C 3C-2                            | Hx/B     | 1FL   | ×         | Submerged  | P/C 4C-2                            | Hx/B     | 1FL   | ×         | Submerged  |
| (P<br>Power<br>[Sa                    | P/C 1D-1                            | C/S      | B1F   | 0         | _          | P/C 2D-1                            | C/S      | B1FL  | 0         | _   | P/C 3D-1                            | C/S      | B1FL  | 0         | _          | P/C 4D-1                            | C/S      | B1FL  | 0         | -          |
|                                       | P/C 1D-2                            | Hx/B     | 1FL   | ×         | Submerged  | P/C 2D-2                            | Hx/B     | 1FL   | ×         | Submerged   | P/C 3D-2                            | Hx/B     | 1FL   | 0         | -          | P/C 4D-2                            | Hx/B     | 1FL   | ×         | Submerged  |
|                                       | P/C 1A-1                            | C/B      | 1FL   | 0         | _          | P/C 2A-1                            | C/B      | 1FL   | 0         | _   | P/C 3A-1                            | C/B      | 1FL   | 0         | -          | P/C 4A-1                            | C/B      | 1FL   | 0         | _          |
|                                       | P/C 1A-2                            | C/B      | 1FL   | 0         | _          | P/C 2A-2                            | C/B      | 1FL   | 0         | _   | P/C 3A-2                            | C/B      | 1FL   | 0         | _          | P/C 4A-2                            | C/B      | 1FL   | 0         | -          |
| (P/C)<br>Power center<br>[Non safety] | P/C 1B-1                            | C/B      | 1FL   | 0         | _          | P/C 2B-1                            | C/B      | 1FL   | 0         | _   | P/C 3B-1                            | C/B      | 1FL   | 0         | _          | P/C 4B-1                            | C/B      | 1FL   | 0         | -          |
| (P<br>Power<br>[Non                   | P/C 1B-2                            | C/B      | 1FL   | 0         | _          | P/C 2B-2                            | C/B      | 1FL   | 0         | _   | P/C 3B-2                            | C/B      | 1FL   | 0         | _          | P/C 4B-2                            | C/B      | 1FL   | 0         | -          |
|                                       | P/C 1SA                             | C/B      | 1FL   | 0         | _          | _                                   | _        | Ι     | _         | _   | P/C 3SA                             | C/B      | B2FL  | 0         | Ι          | Ι                                   | _        | Ι     | _         | _          |
|                                       | P/C 1SB                             | C/B      | 1FL   | 0         | _          | _                                   | _        | Ι     | _         | _   | P/C 3SB                             | C/B      | B2FL  | 0         | Ι          | Ι                                   | _        | Ι     | _         | _          |
|                                       | DC125V<br>Main bus<br>panel A       | C/B      | 1FL   | 0         | _          | DC125V<br>Main bus<br>panel A       | C/B      | 2FL   | 0         | -   | DC125V<br>Main bus<br>panel A       | C/B      | 1FL   | 0         | _          | DC125V<br>Main bus<br>panel A       | C/B      | 1FL   | 0         | _          |
| DC 125V                               | DC125V<br>Main bus<br>panel B       | C/B      | 1FL   | 0         | _          | DC125V<br>Main bus<br>panel B       | C/B      | 2FL   | 0         | _   | DC125V<br>Main bus<br>panel B       | C/B      | 1FL   | 0         | _          | DC125V<br>Main bus<br>panel B       | C/B      | 1FL   | 0         | _          |
|                                       | DC125V<br>HPCS<br>Main bus<br>panel | C/S      | B2FL  | ×         | Submerged  | DC125V<br>HPCS<br>Main bus<br>panel | C/S      | B2FL  | 0         | _   | DC125V<br>HPCS<br>Main bus<br>panel | C/S      | B2FL  | 0         | -          | DC125V<br>HPCS<br>Main bus<br>panel | C/S      | B2FL  | 0         | _          |
| DC 250V                               | DC250V<br>Main bus<br>panel         | C/S      | 1FL   | 0         | _          | DC250V<br>Main bus<br>panel         | C/S      | 1FL   | 0         | _   | DC250V<br>Main bus<br>panel         | C/S      | B2FL  | 0         | _          | DC250V<br>Main bus<br>panel         | C/S      | B2FL  | 0         | _          |

Operable: Results determined by TEPCO employees checking equipment conditions in the field

Water damage: Water intrusion watermarks are found

Submerged: Water has accumulated

: Equipment is not operable

Reactor Combination Structure C/S

: Control Building C/B

Hx/B Seawater Heat Exchanger Building

Attachment 7-7 (2/2)

### Fukushima Daiichi Unit 1 Emergency Core Cooling Systems (including equipments) List (Pre- & post-earthquake, post-tsunami)

|                 |                      |         | Location                                  | Seismic<br>class | At time of<br>reactor<br>automatic<br>scram | From reactor automatic<br>scram up to first tsunami<br>wave | After the arrival of the tsunami | Notes  |
|-----------------|----------------------|---------|---|------------------|---|---|----------------------------------|--|
| Cooling<br>down |                      | CS(A)   | R/B basement<br>(O.P1230)                 | А                | 0   | O<br>Note 1   | Х                                | Power and seawater systems (CCSW) lost following tsunami   |
|                 |                      | CS(C)   | R/B basement<br>(O.P1230)                 | А                | 0   | O<br>Note 1   | Х                                | Power and seawater systems (CCSW) lost following tsunami   |
|                 |                      | CCS(A)  | R/B basement<br>(O.P1230)                 | А                | 0   | Ø   | Х                                | Operation of manual startup (S/C cooling) confirmed prior to tsunami<br>Power and seawater systems (CCSW) lost following tsunami                         |
|                 |                      | CCS(B)  | R/B basement<br>(O.P1230)                 | А                | 0   | Ø   | Х                                | Operation of manual startup (S/C cooling ) confirmed prior to tsunami<br>Power and seawater systems (CCSW) lost following tsunami                        |
|                 |                      | CCSW(A) | Outside<br>(O.P. 4000)                    | А                | 0   | O   | Х                                | Operation of manual startup (S/C cooling ) confirmed prior to tsunami<br>Unit flooded with seawater and power lost during tsunami                        |
|                 |                      | CCSW(B) | Outside<br>(O.P. 4000)                    | А                | 0   | O<br>Note 1   | Х                                | Operation of manual startup (S/C cooling) confirmed prior to tsunami<br>Unit flooded with seawater and power lost during tsunami                         |
|                 |                      | CS(B)   | R/B basement<br>(O.P1230)                 | А                | 0   | O<br>Note 1   | Х                                | Power and seawater systems (CCSW) lost following tsunami   |
|                 | ECCS                 | CS(D)   | R/B basement<br>(O.P1230)                 | А                | 0   | O   | Х                                | Power and seawater systems (CCSW) lost following tsunami   |
|                 |                      | CCS(C)  | R/B basement<br>(O.P1230)                 | А                | 0   | O   | Х                                | Operation of manual startup (S/C cooling) confirmed prior to tsunami<br>Power and seawater systems (CCSW) lost following tsunami                         |
|                 |                      | CCS(D)  | R/B basement<br>(O.P1230)                 | А                | 0   | O   | Х                                | Operation of manual startup (S/C cooling) confirmed prior to tsunami<br>Power and seawater systems (CCSW) lost following tsunami                         |
|                 |                      | CCSW(C) | Outside<br>(O.P. 4000)                    | А                | 0   | O   | Х                                | Operation of manual startup (S/C cooling) confirmed prior to tsunami<br>Unit flooded with seawater and power lost during tsunami                         |
|                 |                      | CCSW(D) | Outside<br>(O.P. 4000)                    | А                | 0   | O<br>Note 1   | Х                                | Operation of manual startup (S/C cooling) confirmed prior to tsunami<br>Unit flooded with seawater and power lost during tsunami                         |
|                 |                      | HPCI    | R/B basement<br>(O.P1230)                 | А                | 0   | O   | Х                                | Power lost after tsunami (auxiliary oil pump)  |
|                 |                      | IC(A)   | R/B 4 <sup>th</sup> Floor<br>(O.P. 31000) | А                | 0   | O   | (Unknown)                        | Operation confirmed by automatic startup (RPV high pressure) prior to tsunami<br>Valve status after tsunami could not be confirmed due to loss of power  |
|                 |                      | IC(B)   | R/B 4 <sup>th</sup> Floor<br>(O.P. 31000) | А                | 0   | O   | (Unknown)                        | Operation confirmed by automatic startup (RPV high pressure ) prior to tsunami<br>Valve status after tsunami could not be confirmed due to loss of power |
|                 | Reactor<br>injection | MUWC    | T/B basement<br>(O.P. 3200)               | В                | O   | O   | Х                                | Power lost after tsunami   |

|           |                           | SFP cooling<br>(FPC system)      | R/B 3 <sup>rd</sup> floor<br>(O.P. 25900) | В | 0            | △<br>Note 1  | Х | Power lost after earthquake. Seawater systems (SW) lost after tsunami  |
|-----------|---------------------------|----------------------------------|---|---|--------------|--------------|---|--|
|           | SFP Cooling               | SFP cooling<br>(SHC system)      | R/B 1 <sup>st</sup> floor<br>(O.P. 10200) | А | 0            | O<br>Note 1  | Х | Power lost after tsunami. Seawater systems (SW) lost after tsunami   |
| Confining | Reactor                   | Reactor<br>Building              |   | А | (functional) | (functional) | Х | It is presumed that the SGTS was in operation and that negative pressure was<br>maintained after the reactor scram until the tsunami. Thereafter, the building was<br>damaged by the hydrogen explosion. |
| inside    | containment<br>facilities | Reactor<br>Containment<br>Vessel |   | А | (functional) | (functional) | Х | There is no evidence that suggests that the PCV was damaged prior to tsunami arrival.  |

(Key)  $\bigcirc$ : In Operation  $\bigcirc$ : Standby  $\triangle$ : Shutdown due to loss of normal power supply X: Loss of function or excluded from standby

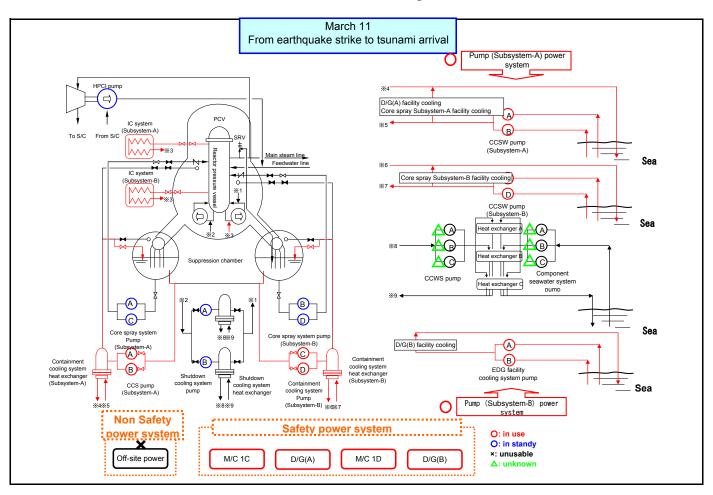
Note 1: At Unit 5, which experienced relatively large vibration during this earthquake, the residual heat removal system was operated on March 19, 2011 following the earthquake and walk-down by shift members revealed no major damage to systems or equipments.

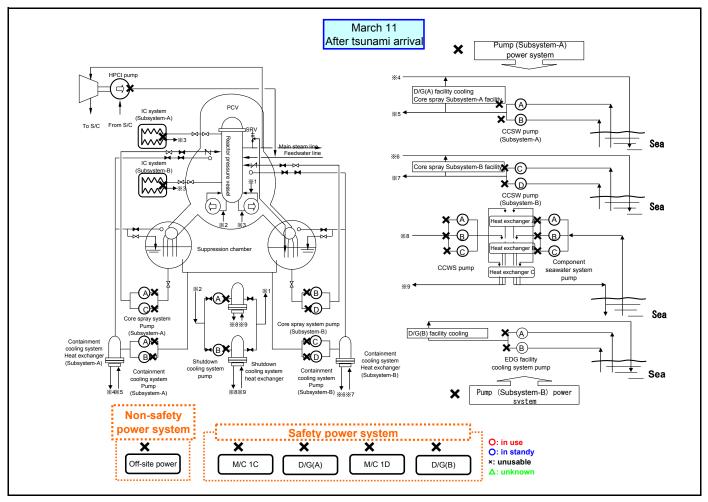
Furthermore, the maximum acceleration recorded in the basement of the reactor building where these equipments are located is well below the maximum acceleration for which it has been confirmed that equipments can maintain function\*.

For these reasons it is presumed that function was maintained.

\*JEAC4601-2008 "Technical Code for Seismic Design for Nuclear Power Plants"

# Fukushima Daiichi Unit 1 System schematic





### Fukushima Daiichi Unit 2 Emergency Core Cooling Systems (including equipments) List (Pre- & post-earthquake, post-tsunami)

|                 |             |                             | Location                                  | Seismic<br>class | At time of<br>reactor<br>automatic<br>scram | From reactor<br>automatic scram up<br>to first tsunami<br>wave | After the arrival of the tsunami | Notes   |
|-----------------|-------------|-----------------------------|---|------------------|---|--|----------------------------------|---|
|                 |             | RHR(A)                      | R/B basement<br>(O.P1030)                 | А                | 0   | O  | Х                                | Operation confirmed by manual startup (S/C cooling) prior to tsunami<br>Power and seawater system (RHRS A/C) lost after tsunami       |
|                 |             | RHR(B)                      | R/B basement<br>(O.P1030)                 | А                | 0   | O<br>Note 1  | Х                                | Power and seawater system (RHRS B/D) lost after tsunami   |
|                 |             | RHR(C)                      | R/B basement<br>(O.P1030)                 | А                | 0   | 0  | Х                                | Operation confirmed by manual startup (S/C cooling) prior to tsunami<br>Power and seawater system (RHRS A/C) lost after tsunami       |
|                 |             | RHR(D)                      | R/B basement<br>(O.P1030)                 | А                | 0   | O<br>Note 1  | Х                                | Power and seawater system (RHRS B/D) lost after tsunami   |
|                 |             | RHRS(A)                     | Outside<br>(O.P. 4000)                    | А                | 0   | 0  | Х                                | Operation confirmed by manual startup (S/C cooling) prior to tsunami<br>Equipment flooded and lost power during tsunami               |
|                 | ECCS        | RHRS(B)                     | Outside<br>(O.P. 4000)                    | А                | 0   | O<br>Note 1  | Х                                | Unit flooded with seawater and power lost during tsunami  |
|                 |             | RHRS(C)                     | Outside<br>(O.P. 4000)                    | А                | 0   | 0  | Х                                | Operation confirmed by manual startup (S/C cooling) prior to tsunami<br>Equipment flooded and lost power during tsunami               |
| Cooling<br>down |             | RHRS(D)                     | Outside<br>(O.P. 4000)                    | А                | 0   | O<br>Note 1  | X                                | Unit flooded with seawater and power lost during tsunami  |
|                 |             | CS(A)                       | R/B basement<br>(O.P1000)                 | А                | 0   | O<br>Note 1  | Х                                | Power and seawater system (RHRS A/C) lost after tsunami   |
|                 |             | CS(B)                       | R/B basement<br>(O.P1000)                 | А                | 0   | O<br>Note 1  | Х                                | Power and seawater system (RHRS B/D) lost after tsunami   |
|                 |             | НРСІ                        | R/B basement<br>(O.P2060)                 | А                | 0   | O<br>Note 1  | Х                                | Power lost after tsunami (auxiliary oil pump)   |
|                 | Reactor     | RCIC                        | R/B basement<br>(O.P2060)                 | А                | 0   | O  | ©→X                              | Manually started after earthquake. Operation following tsunami was confirmed but the equipment stopped shortly after. (cause unknown) |
|                 | injection   | MUWC                        | T/B basement<br>(O.P. 1900)               | В                | Ø   | O  | Х                                | Power lost after tsunami  |
|                 |             | SFP cooling<br>(FPC system) | R/B 3 <sup>rd</sup> floor<br>(O.P. 26900) | В                | O   | △<br>Note 1  | Х                                | Power lost after earthquake. Seawater systems (SW) lost after tsunami   |
|                 | SFP cooling | SFP cooling<br>(RHR system) | R/B basement<br>(O.P1030)                 | А                | 0   | O<br>Note 1  | Х                                | Power and seawater system lost after tsunami  |

Attachment 7-8 (2) (1/2)

| Confining | Reactor                   | Reactor<br>Building              | А | (functional) | (functional) | Х | It is presumed that the SGTS was in operation and that negative pressure was<br>maintained after the reactor scram until the tsunami. After the tsunami the<br>blowout panels were opened. |
|-----------|---------------------------|----------------------------------|---|--------------|--------------|---|--|
| inside    | containment<br>facilities | Reactor<br>Containment<br>Vessel | А | (functional) | (functional) | Х | There is no evidence that suggests that the PCV was damaged prior to arrival of the tsunami  |

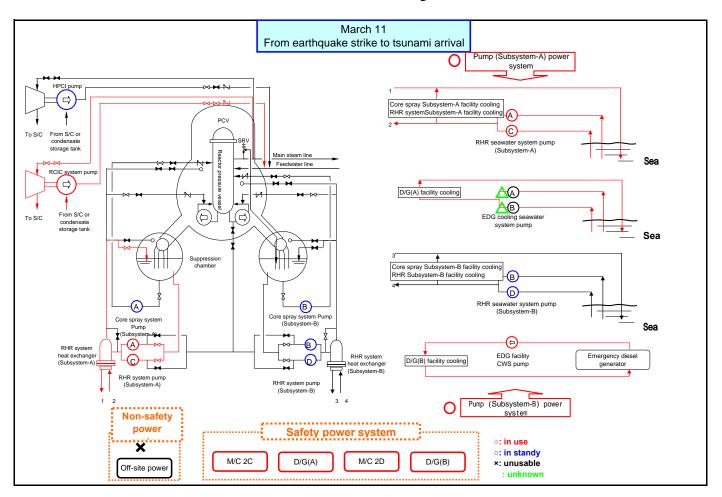
(Key)  $\bigcirc$ : In Operation  $\bigcirc$ : Standby  $\triangle$ : Shutdown due to loss of normal power supply X: Loss of function or excluded from standby

Note 1: At Unit 5, which experienced relatively large vibration during this earthquake, the residual heat removal system was operated on March 19, 2011 following the earthquake and walk-down by shift members revealed no major damage to systems or equipments.

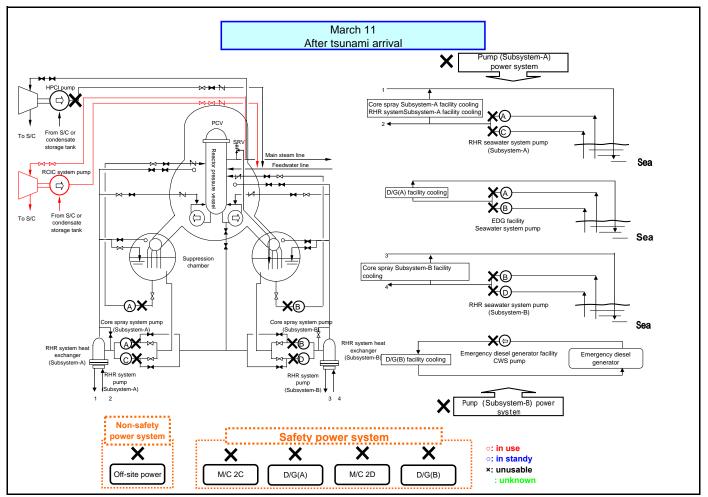
Furthermore, the maximum acceleration recorded in the basement of the reactor building where these equipments are located is well below the maximum acceleration for which it has been confirmed that equipments can maintain function\*.

For these reasons it is presumed that function was maintained.

\*JEAC4601-2008 "Technical Code for Seismic Design for Nuclear Power Plants"



## Fukushima Daiichi Unit 2 System schematic



### Fukushima Daiichi Unit 3 Emergency Core Cooling Systems (including equipments) List (Pre- & post-earthquake, post-tsunami)

|                 |             |                             | Location                                  | Seismic class | At time of<br>reactor<br>automatic<br>scram | From reactor automatic<br>scram up to first<br>tsunami wave | After the arrival of the tsunami | Notes  |
|-----------------|-------------|-----------------------------|---|---------------|---|---|----------------------------------|--|
|                 |             | RHR(A)                      | R/B basement<br>(O.P1030)                 | А             | 0   | O<br>Note 1   | X                                | Power and seawater system (RHRS A/C) lost after tsunami  |
|                 |             | RHR(B)                      | R/B basement<br>(O.P1030)                 | А             | 0   | O<br>Note 1   | X                                | Power and seawater system (RHRS B/D) lost after tsunami  |
|                 |             | RHR(C)                      | R/B basement<br>(O.P1030)                 | А             | 0   | O<br>Note 1   | X                                | Power and seawater system (RHRS A/C) lost after tsunami  |
|                 |             | RHR(D)                      | R/B basement<br>(O.P1030)                 | А             | 0   | O<br>Note 1   | X                                | Power and seawater system (RHRS B/D) lost after tsunami  |
|                 |             | RHRS(A)                     | Outside<br>(O.P. 4000)                    | А             | 0   | O<br>Note 1   | X                                | Unit flooded with seawater and power lost during tsunami   |
|                 | ECCS        | RHRS(B)                     | Outside<br>(O.P. 4000)                    | А             | 0   | O<br>Note 1   | Х                                | Unit flooded with seawater and power lost during tsunami   |
|                 |             | RHRS(C)                     | Outside<br>(O.P. 4000)                    | А             | 0   | O<br>Note 1   | X                                | Unit flooded with seawater and power lost during tsunami   |
| Cooling<br>down |             | RHRS(D)                     | Outside<br>(O.P. 4000)                    | А             | 0   | O<br>Note 1   | X                                | Unit flooded with seawater and power lost during tsunami   |
|                 |             | CS(A)                       | R/B basement<br>(O.P1000)                 | А             | 0   | O<br>Note 1   | X                                | Power and seawater system (RHRS A/C) lost after tsunami  |
|                 |             | CS(B)                       | R/B basement<br>(O.P1000)                 | А             | 0   | O<br>Note 1   | X                                | Power and seawater system (RHRS B/D) lost after tsunami  |
|                 |             | HPCI                        | R/B basement<br>(O.P2060)                 | А             | 0   | 0   | ©→X                              | Following the tsunami the HPCI automatically started up when reactor<br>water level dropped. It was manually shut down due to decreased reactor<br>pressure. Thereafter, the unit could not be restarted due to AC power loss. |
|                 | Reactor     | RCIC                        | R/B basement<br>(O.P2060)                 | А             | 0   | 0   | ©→X                              | Manually started following tsunami but the unit automatically shut down<br>short after. Thereafter it was unable to be restarted (cause unknown)   |
|                 | injection   | MUWC                        | T/B basement<br>(O.P. 2420)               | В             | 0   | O   | Х                                | Power lost after tsunami   |
|                 |             | SFP cooling<br>(FPC system) | R/B 3 <sup>rd</sup> floor<br>(O.P. 26900) | В             | 0   | △<br>Note 1   | Х                                | Power lost after earthquake. Seawater systems (SW) lost after tsunami  |
|                 | SFP Cooling | SFP cooling<br>(RHR system) | R/B basement<br>(O.P1030)                 | А             | 0   | O<br>Note 1   | Х                                | Power and seawater system lost after tsunami   |

|           |             |             |   |    | 0            |              |   | It is presumed that the SGTS was in operation and the negative pressure |
|-----------|-------------|-------------|---|----|--------------|--------------|---|---|
|           |             | Reactor     |   | Δ  | $\odot$      | $\odot$      | x | was maintained from the time following the reactor scram until the      |
| Confining | Reactor     | Building    |   | 11 | (functional) | (functional) | 1 | tsunami. Thereafter it was damaged by the hydrogen explosion.           |
|           | containment |             | / |    |              |              |   |   |
| inside    | facilities  | Reactor     |   |    | $\bigcirc$   | 0            |   | There is no evidence that suggests that the PCV was damaged prior to    |
|           | lacintics   | Containment |   | А  |              |              | Х | arrival of the tsunami  |
|           |             | Vessel      |   |    | (functional) | (functional) |   |   |

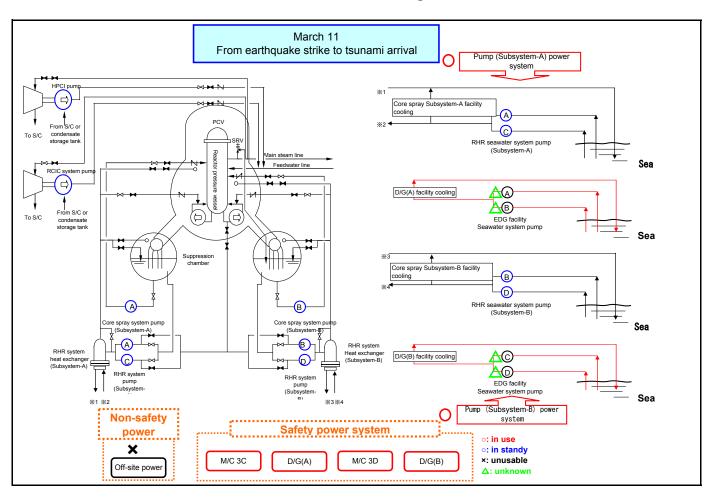
(Key)  $\bigcirc$ : In Operation  $\bigcirc$ : Standby  $\triangle$ : Shutdown due to loss of normal power supply X: Loss of function or excluded from standby

Note 1: At Unit 5, which experienced relatively large vibration during this earthquake, the residual heat removal system was operated on March 19, 2011 following the earthquake and walk-down by shift members revealed no major damage to systems or equipments.

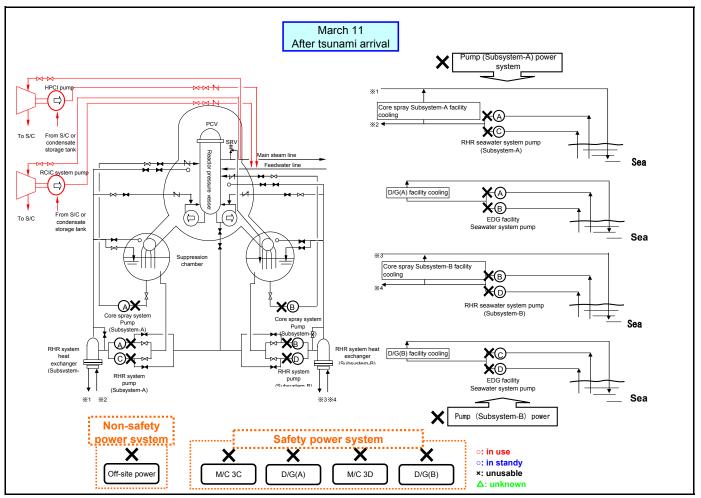
Furthermore, the maximum acceleration recorded in the basement of the reactor building where these equipments are located is well below the maximum acceleration for which it has been confirmed that equipments can maintain function\*.

For these reasons it is presumed that function was maintained.

\*JEAC4601-2008 "Technical Code for Seismic Design for Nuclear Power Plants"



# Fukushima Daiichi Unit 3 System schematic



### Fukushima Daiichi Unit 4 Emergency Core Cooling Systems (including equipments) List (Pre- & post-earthquake, post-tsunami)

|                 |             |                             | Location                                  | Seismic<br>class | At time of<br>reactor<br>automatic<br>scram | From reactor automatic<br>scram up to first<br>tsunami wave | After the arrival of the tsunami | Notes  |
|-----------------|-------------|-----------------------------|---|------------------|---|---|----------------------------------|--|
|                 |             | RHR(A)                      | R/B basement<br>(O.P1110)                 | А                | _   | _   |                                  |  |
|                 |             | RHR(B)                      | R/B basement<br>(O.P1110)                 | А                | 0   | O<br>Note 1   | Х                                | Power and seawater system (RHRS B/D) lost after tsunami  |
|                 |             | RHR(C)                      | R/B basement<br>(O.P1110)                 | А                |   | _   |                                  |  |
|                 |             | RHR(D)                      | R/B basement<br>(O.P1110)                 | А                | (SFP cooling)                               | O<br>Note 1   | Х                                | Shut down due to power loss during earthquake (Note 2)<br>Power and seawater system (RHRS B/D) lost after tsunami  |
|                 |             | RHRS(A)                     | Outside<br>(O.P. 4000)                    | А                |   | _   |                                  |  |
|                 | ECCS        | RHRS(B)                     | Outside<br>(O.P. 4000)                    | А                | O<br>(SFP cooling)                          | O<br>Note 1   | X                                | Shut down due to power loss during earthquake (Note 2)<br>Unit flooded with seawater and power lost during tsunami.                                      |
|                 |             | RHRS(C)                     | Outside<br>(O.P. 4000)                    | А                | _   |   | X                                |  |
| Cooling<br>down |             | RHRS(D)                     | Outside<br>(O.P. 4000)                    | А                | (SFP cooling)                               | O<br>Note 1   | X                                | Shut down due to power loss during earthquake (Note 2)<br>Unit flooded with seawater and power lost during tsunami.                                      |
|                 |             | CS(A)                       | R/B basement<br>(O.P1110)                 | А                | _   | _   | _                                |  |
|                 |             | CS(B)                       | R/B basement<br>(O.P1110)                 | А                |   | _   |                                  |  |
|                 |             | HPCI                        | R/B basement<br>(O.P2060)                 | А                |   | _   | _                                |  |
|                 | Reactor     | RCIC                        | R/B basement<br>(O.P2060)                 | А                |   | _   | _                                |  |
|                 | injection   | MUWC                        | T/B basement<br>(O.P. 1900)               | В                | O   | O   | X                                | Power lost after tsunami   |
|                 | SFP Cooling | SFP cooling<br>(FPC system) | R/B 3 <sup>rd</sup> floor<br>(O.P. 26900) | В                | O   | $\triangle$<br>Note 1                                       | Х                                | One unit was undergoing inspection and one unit was in operation prior<br>to the earthquake. Off-site power was interrupted following the<br>earthquake. |
|                 |             | SFP cooling<br>(RHR system) | R/B basement<br>(O.P1110)                 | А                | 0   | O<br>Note 1   | X                                | Shut down due to power loss during earthquake (Note 2)<br>Power and seawater system (RHRS B/D) lost after tsunami  |

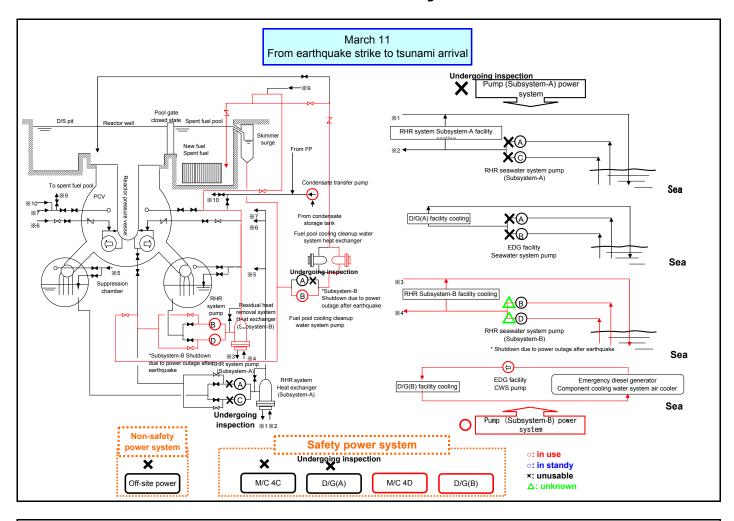
| Confining | Reactor    | Reactor<br>Building              | А | (functional) | (functional) | X | It is presumed that the SGTS was in operation and the negative pressure<br>was maintained from the time following the reactor scram until the<br>tsunami. Thereafter it was damaged by the hydrogen explosion. |
|-----------|------------|----------------------------------|---|--------------|--------------|---|--|
| inside    | facilities | Reactor<br>Containment<br>Vessel |   |              |              |   | Open for outage  |

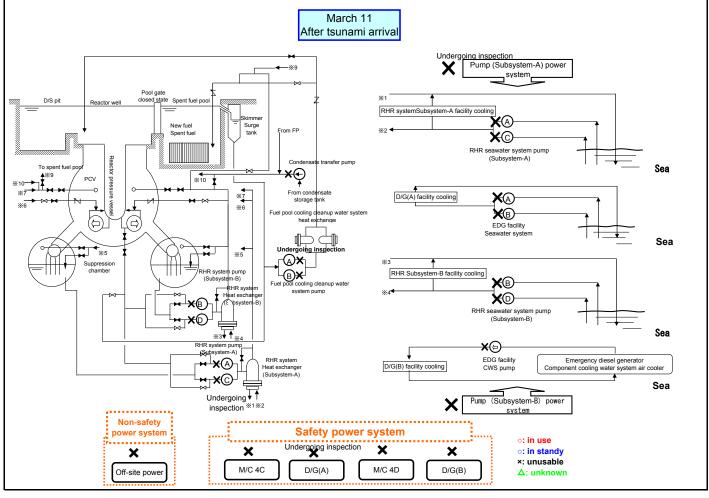
((Key)  $\odot$ : In Operation  $\bigcirc$ : Standby  $\triangle$ : Shutdown due to loss of normal power supply X: Loss of function or excluded from standby —: Shut down for outage (function not needed)

Note 1: At Unit 5, which experienced relatively large vibration during this earthquake, the residual heat removal system was operated on March 19, 2011 following the earthquake and walk-down by shift members revealed no major damage to systems or equipments. Furthermore, the maximum acceleration recorded in the basement of the reactor building where these equipments are located is well below the maximum acceleration for which it has been confirmed that equipments can maintain function\*. For these reasons it is presumed that function was maintained.
 \*JEAC4601-2008 "Technical Code for Seismic Design for Nuclear Power Plants"

Note 2: Restarting the residual heat removal system after power was being supplied by the emergency diesel generators was not implemented prior to arrival of the tsunami because the SFP water level was full (near overflowing) prior to the earthquake and the SFP water temperature was around 27 degree-C, therefore during the early stages of the event not restarting the unit was not expected to hinder fuel cooling.

### Fukushima Daiichi Unit 4 System schematic





### Fukushima Daiichi Unit 5 Emergency Core Cooling Systems (including equipments) List (Pre- & post-earthquake, post-tsunami)

|                 |             |                             | Location                                   | Seismic<br>class | At time of<br>reactor<br>automatic<br>scram | From reactor<br>automatic scram<br>up to first<br>tsunami wave | After the<br>arrival of the<br>tsunami                                 | Notes   |
|-----------------|-------------|-----------------------------|--|------------------|---|--|--|---|
|                 |             | RHR(A)                      | R/B basement<br>(O.P. 940)                 | А                | 0   | O<br>Note 1  | Х  | Power and seawater system (RHRS A/C) lost after tsunami   |
|                 |             | RHR(B)                      | R/B basement<br>(O.P. 940)                 | А                | 0   | O<br>Note 1  | Х  | Power and seawater system (RHRS B/D) lost after tsunami   |
|                 |             | RHR(C)                      | R/B basement<br>(O.P. 940)                 | А                | 0   | 0  | $\begin{array}{c} X \rightarrow \bigcirc \\ \text{Note 2} \end{array}$ | Power and seawater system (RHRS A/C) lost after tsunami. A temporary RHRS submerged pump was installed and operated after March 19 (SHC/emergency heat load mode alternate operation)                     |
|                 |             | RHR(D)                      | R/B basement<br>(O.P. 940)                 | А                | 0   | O<br>Note 1  | Х  | Power and seawater system (RHRS B/D) lost after tsunami   |
|                 | ECCS        | RHRS(A)                     | Outside<br>(O.P. 4000)                     | А                | 0   | O<br>Note 1  | X<br>Note 2  | The unit was flooded with seawater and lost power after the tsunami. On March 18 a temporary submerged pump was installed and operated on March 19. (One temporary submerged pump started up by RHRS A/C) |
|                 | Leeb        | RHRS(B)                     | Outside<br>(O.P. 4000)                     | А                | 0   | O<br>Note 1  | Х  | Unit flooded with seawater and power lost after tsunami   |
| Cooling<br>down |             | RHRS(C)                     | Outside<br>(O.P. 4000)                     | А                | 0   | O<br>Note 1  | X<br>Note 2  | The unit was flooded with seawater and lost power after the tsunami. On March 18 a temporary submerged pump was installed and operated on March 19. (One temporary submerged pump started up by RHRS A/C) |
|                 |             | RHRS(D)                     | Outside<br>(O.P. 4000)                     | А                | 0   | O<br>Note 1  | Х  | Unit flooded with seawater and power lost during tsunami  |
|                 |             | CS(A)                       | R/B basement<br>(O.P. 940)                 | А                | 0   | O<br>Note 1  | Х  | Power and seawater system (RHRS A/C) lost after tsunami   |
|                 |             | CS(B)                       | R/B basement<br>(O.P. 940)                 | А                | 0   | O<br>Note 1  | Х  | Power and seawater system (RHRS B/D) lost after tsunami   |
|                 |             | HPCI                        | R/B basement<br>(O.P. 940)                 | А                | —   | —  | —  | Shut down for outage  |
|                 | Reactor     | RCIC                        | R/B basement<br>(O.P. 940)                 | А                | _   |  |  | Shut down for outage  |
|                 | Injection   | MUWC                        | T/B basement<br>(O.P 4900)                 | В                | O   | Ô  | $\begin{array}{c} X \rightarrow \bigcirc \\ \text{Note 2} \end{array}$ | In operation after earthquake. Power lost after tsunami. Operated using temporary power.  |
|                 |             | SFP cooling<br>(FPC system) | R/B 3 <sup>rd</sup> floor<br>(O.P. 32,700) | В                | 0   | △<br>Note 1  | Х  | Off-site power was interrupted after earthquake. Seawater system (SW) lost after tsunami.   |
|                 | SFP Cooling | SFP cooling<br>(RHR system) | R/B basement<br>(O.P. 940)                 | А                | 0   | 0  | $X \rightarrow \bigcirc$<br>Note 2                                     | Power and seawater system lost after tsunami. A temporary RHRS submerged pump was installed<br>and operated from March 19 by RHR (C) (SHC/emergency heat load mode alternate operation)                   |

| Confining | Reactor<br>containment | Reactor<br>Building              | А | (functional) | (functional) | Х | It is presumed that the SGTS was in operation and the negative pressure was maintained from the time following the reactor scram until the tsunami.<br>Holes were drilled in the roof on March 18 after the tsunami (hydrogen accumulation prevention: preventative maintenance) |
|-----------|------------------------|----------------------------------|---|--------------|--------------|---|--|
| inside    | facilities             | Reactor<br>Containment<br>Vessel | А |              |              |   | Open for outage  |

(Key)  $\bigcirc$ : In Operation  $\bigcirc$ : Standby  $\triangle$ : Shutdown due to loss of normal power supply X: Loss of function or excluded from standby —: Shut down for outage (function not needed)

Note 1: At Unit 5, which experienced relatively large vibration during this earthquake, the residual heat removal system was operated on March 19, 2011 following the earthquake and walk-down by shift members revealed no major damage to systems or equipments.

Furthermore, the maximum acceleration recorded in the basement of the reactor building where these equipments are located is well below the maximum acceleration for which it has been confirmed that equipments can maintain function\*.

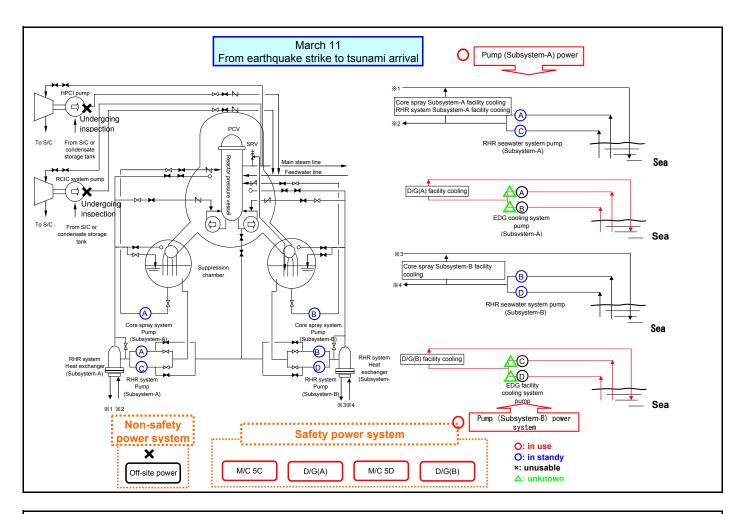
For these reasons it is presumed that function was maintained.

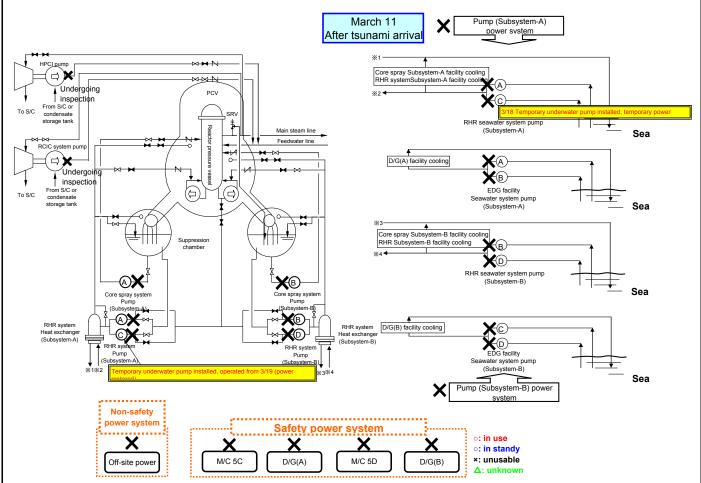
\*JEAC4601-2008 "Technical Code for Seismic Design for Nuclear Power Plants"

Note 2: After the tsunami one or both of the power and seawater systems were lost and system function was lost temporarily, but thereafter function was restored by temporary equipment.

Note 3: Status under cold shut down (March 20, 2011)

### Fukushima Daiichi Unit 5 System schematic





### Fukushima Daiichi Unit 6 Emergency Core Cooling Systems (including equipments) List (Pre- & post-earthquake, post-tsunami)

|                 |                      |         | Location   | Seismic<br>class | At time of<br>reactor<br>automatic<br>scram | From reactor<br>automatic scram<br>up to first<br>tsunami wave | After the arrival of the tsunami                                       | Notes   |
|-----------------|----------------------|---------|--|------------------|---|--|--|---|
| Cooling<br>down |                      | RHR(A)  | R/B Basement<br>2 <sup>nd</sup> floor<br>(O.P. 1000) | А                | 0   | O<br>Note 1  | Х  | Seawater system (RHRS A/C) lost after tsunami   |
|                 | ECCS                 | RHR(B)  | R/B Basement<br>2 <sup>nd</sup> floor<br>(O.P. 1000) | А                | (SHC operation)                             | 0  | $X \rightarrow \bigcirc$<br>Note 3                                     | Shut down due to power loss during earthquake (Note 2)<br>Seawater system (RHRS B/D) lost after tsunami.<br>RHRS temporary submerged pump installed and operated starting on March 19<br>(SHC/emergency heat load mode alternate operation)                             |
|                 |                      | RHR(C)  | R/B Basement<br>2 <sup>nd</sup> floor<br>(O.P. 1000) | А                | 0   | O<br>Note 1  | $\begin{array}{c} X \rightarrow \bigcirc \\ \text{Note 3} \end{array}$ | Seawater system (RHRS B/B) lost after tsunami. Could be operated by installation of temporary submerged pump.   |
|                 |                      | RHRS(A) | Outside<br>(O.P. 4000)                               | А                | 0   | O<br>Note 1  | X  | Unit flooded with seawater and power lost after tsunami   |
|                 |                      | RHRS(B) | Outside<br>(O.P. 4000)                               | А                | (SHC operation)                             | O<br>Note 1  | X<br>Note 3  | Shut down due to power loss during earthquake (Note 2)<br>Unit flooded with seawater and power lost during tsunami.<br>A temporary RHRS submerged pump was installed on March 19 and operated on the same<br>day (Two temporary submerged pumps started up by RHRS B/D) |
|                 |                      | RHRS(C) | Outside<br>(O.P. 4000)                               | А                | 0   | O<br>Note 1  | Х  | Unit flooded with seawater and power lost after tsunami   |
|                 |                      | RHRS(D) | Outside<br>(O.P. 4000)                               | А                | (SHC operation)                             | O<br>Note 1  | X<br>Note 3  | Shut down due to power loss during earthquake (Note 2)<br>Unit flooded with seawater and power lost during tsunami.<br>A temporary RHRS submerged was installed on March 19 and operated on the same day<br>(Two temporary submerged pumps started up by RHRS B/D)      |
|                 |                      | LPCS    | R/B Basement<br>2 <sup>nd</sup> floor<br>(O.P. 1000) | А                | 0   | O<br>Note 1  | Х  | Power and seawater system (RHRS A/C) lost after tsunami   |
|                 |                      | HPCS    | R/B Basement<br>2 <sup>nd</sup> floor<br>(O.P. 1000) | А                | 0   | O<br>Note 1  | Х  | Seawater system (D/G (H) SW) lost after tsunami.  |
|                 | Reactor<br>injection | RCIC    | R/B Basement<br>2 <sup>nd</sup> floor<br>(O.P. 1000) | А                | _   |  | _  | Shut down for outage  |
|                 |                      | MUWC    | T/B basement<br>(O.P. 3400)                          | В                | O   | Ô  | O  | D/G B system started and MUWC (B) operated by receiving power from power source D system  |

### Attachment 7-8 (6) (1/2)

|                     |                        | SFP cooling<br>(FPC system)      | R/B 4 <sup>th</sup> floor<br>(O.P. 34,000)           | В | O            | △<br>Note 1  | Х                                  | Power lost after earthquake. Seawater systems (SW) lost after tsunami  |
|---------------------|------------------------|----------------------------------|--|---|--------------|--------------|------------------------------------|--|
|                     | SFP Cooling            | SFP cooling<br>(RHR system)      | R/B Basement<br>2 <sup>nd</sup> floor<br>(O.P. 1000) | А | 0            | 0            | $X \rightarrow \bigcirc$<br>Note 3 | Shut down due to power loss during earthquake (Note 2)<br>Seawater system (RHRS B/D) lost after tsunami.<br>A temporary RHRS submerged pump was installed and operated from March 19.<br>(SHC/emergency heat load mode alternate operation)                          |
| Confining<br>inside | Reactor<br>containment | Reactor<br>Building              |  | А | (functional) | (functional) | Х                                  | It is presumed that the SGTS was in operation and the negative pressure was maintained<br>from the time following the reactor scram.<br>Holes were drilled in the roof on March 18 after the tsunami<br>(hydrogen accumulation prevention: preventative maintenance) |
| mside               | facilities             | Reactor<br>Containment<br>Vessel |  | А |              | _            |                                    | Open for outage  |

(Key)  $\bigcirc$ : In Operation  $\bigcirc$ : Standby  $\triangle$ : Shutdown due to loss of normal power supply X: Loss of function or excluded from standby

—: Shut down for outage (function not needed)

Note 1: At Unit 5, which experienced relatively large vibration during this earthquake, the residual heat removal system was operated on March 19, 2011 following the earthquake and walk-down by shift members revealed no major damage to systems or equipments.

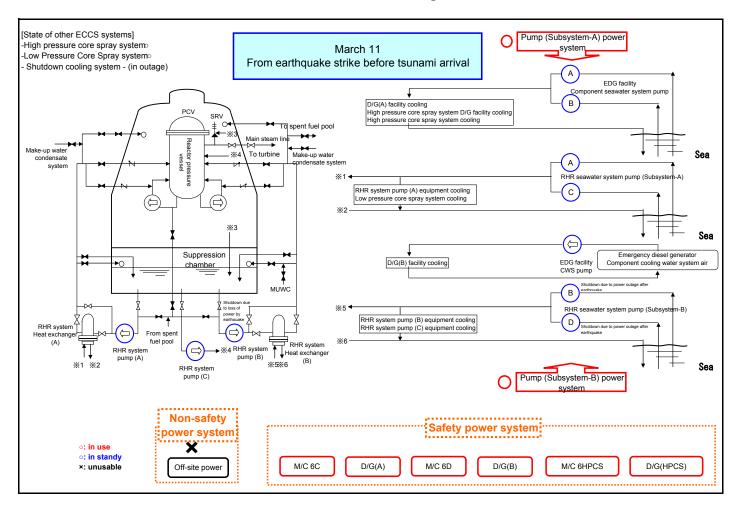
Furthermore, the maximum acceleration recorded in the basement of the reactor building where these equipments are located is well below the maximum acceleration for which it has been confirmed that equipments can maintain function\*.

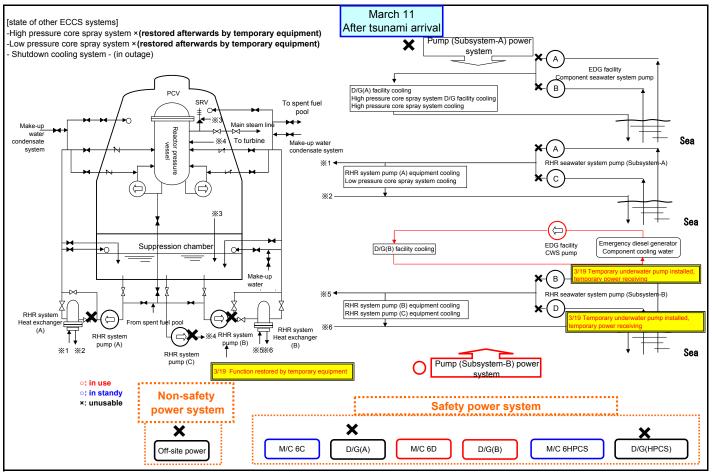
For these reasons it is presumed that function was maintained.

\*JEAC4601-2008 "Technical Code for Seismic Design for Nuclear Power Plants"

- Note 2: Cooling of the reactor while shut down and pool cooling using power supplied by emergency diesel generators was not implemented prior to arrival of the tsunami because reactor was already in cold shut down prior to the earthquake, the SFP water level was full (near overflowing) prior to the earthquake, and the SFP water temperature was around 25 degree-C, so during the early stages of the event not cooling the reactor or the pool was not expected to hinder fuel cooling.
- Note 3: After the tsunami one or both of the power and seawater systems were lost and system function was lost temporarily, but thereafter function was restored by temporary equipment.
- Note 4: Status until cold shut down (March 20, 2011)

# Fukushima Daiichi Unit 6 System schematic

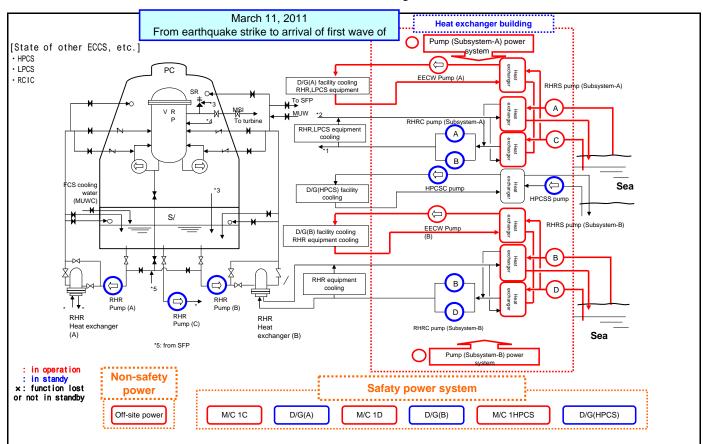




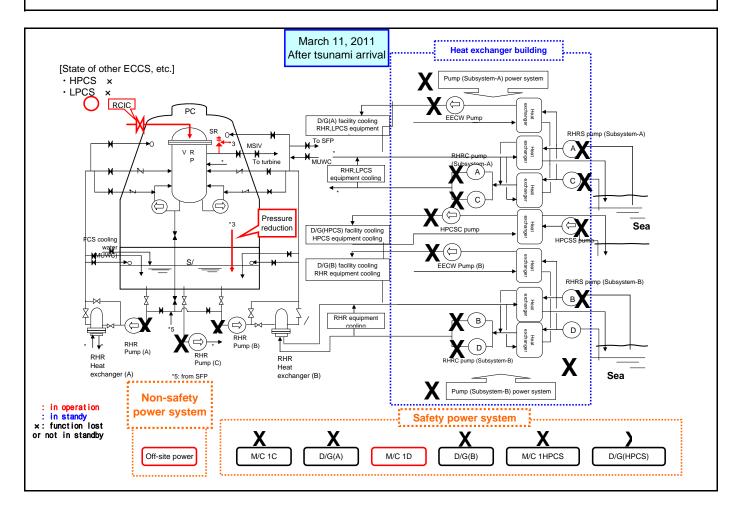
# Fukushima Daini Unit 1 Emergency Core Cooling Systems (including components) List (Pre- & post-earthquake, post-tsunami)

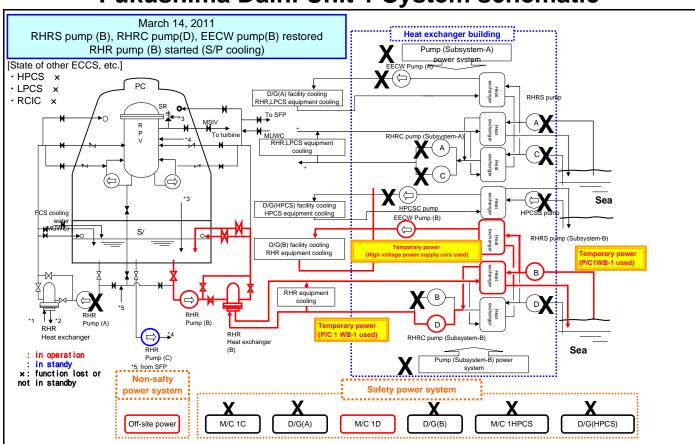
|                  |                                   |                                  | Location<br>(units: mm)                              | Seismic<br>class | At time of reactor automatic scram | From reactor<br>automatic scram<br>up to first tsunami<br>wave | From tsunami<br>arrival up to cold<br>shutdown | Status from cold<br>shutdown to<br>present<br>(March 1, 2012) | Comments   |
|------------------|-----------------------------------|----------------------------------|--|------------------|------------------------------------|--|--|---|--|
|                  |                                   | RHR(A)                           | R/B Reactor Wing<br>B2F<br>(O.P.0000)                | A                |                                    |  | ×  |   | Power damaged by water by tsunami; unavailable due to<br>inoperability of RHRS, RHRC, EECW; no damage to pumps<br>After restoration of RHRS, RHRC, EECW, started up on November<br>17, 2011  |
|                  |                                   | LPCS                             | R/B Reactor Wing<br>B2F<br>(O.P.0000)                | A                |                                    |  | ×  | ×   | Power damaged by water by tsunami; unavailable due to<br>inoperability of RHRS, RHRC, EECW.<br>No damage to pumps.   |
|                  |                                   | RHRC(A)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | A                |                                    |  | ×  |   | Power supply and motors unavailable due to water damage by<br>tsunami.<br>No damage to pumps.<br>After repairing motor, laid temporary cables to supply power. Started<br>up on November 9, 2011.  |
|                  |                                   | RHRC(C)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | A                |                                    |  | ×  | ×   | Power supply and motors unavailable due to water damage by<br>tsunami.<br>No damage to pumps.<br>After repairing motor, temporarily placed at Unit 3 T/B 2nd Floor.  |
|                  |                                   | RHRS(A)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | A                |                                    |  | ×  |   | Power supply and motors unavailable due to water damage by<br>tsunami.<br>No damage to pumps.<br>After repairing motor, laid temporary cables to supply power. Started<br>up on November 11, 2011.   |
|                  |                                   | RHRS(C)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | A                |                                    |  | ×  | ×   | Power supply and motors unavailable due to water damage by<br>Isunami.<br>No damage to pumps.<br>After repairing motor, temporarily placed at Unit 1 seawater heat<br>exchanger bidg. 2nd Floor.   |
|                  |                                   | EECW(A)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | A                |                                    |  | ×  |   | Power supply and motors unavailable due to water damage by<br>tsunami.<br>No damage to pumps.<br>After repairing motor, laid temporary cables to supply power. Started<br>up on November 4, 2011.  |
|                  |                                   | RHR(B)                           | R/B Reactor Wing<br>B2F<br>(O.P.0000)                | A                |                                    |  | ×  |   | Unavailable due to inoperability of RHRS, RHRC, EECW due to<br>tsunami.<br>No damage to pumps.<br>After restoration of RHRS, RHRC, EECW, started up on March 14,<br>2011.  |
|                  | ECCS & c                          | RHR(C)                           | R/B Reactor Wing<br>B2F<br>(O.P.0000)                | A                |                                    |  | ×  | ×   | Unavailable due to inoperability of RHRS, RHRC, EECW due to<br>tsunami.<br>No damage to pumps.<br>After restoration of RHRS, RHRC, EECW, standby from march<br>14, 2011.<br>Removed power to feed to RHR(A) due to start up of RHR(A).   |
| Cooli            | others                            | RHRC(B)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | A                |                                    |  | ×  |   | Power supply and motors unavailable due to water damage by<br>tsunami.<br>No damage to pumps.<br>After repairing motor, laid temporary cables to supply power. Startup<br>on September 26, 2011.   |
| Cooling down     |                                   | RHRC(D)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | A                |                                    |  | ×  | ×   | Power supply and motors unavailable due to water damage by<br>tsunami. No damage to pumps.<br>After laying temporary cables, feeding power, and replacing motor,<br>started up on march 13, 2011.<br>After repairing motor, installed on Unit 1 seawater heat exchanger<br>blidg. 1st Floor. |
|                  |                                   | RHRS(B)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | A                |                                    |  | ×  | ×   | Unavailable due to water damage to power supply caused by<br>tsunami.<br>No damage to pumps.<br>After laying temporary cables and feeding power, started up on<br>March 13, 2017. The mporarily placed on Unit 1 seawater heat<br>exchanger blog. 2nd Floor.                                 |
|                  |                                   | RHRS(D)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | A                |                                    |  | ×  |   | Unavailable due to water damage to power supply caused by<br>tsunami.<br>No damage to pumps.<br>After repairing motor, feed power through temporary cables. Started<br>up on January 12, 2012.   |
|                  |                                   | EECW(B)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | A                |                                    |  | ×  |   | rower suppy and motors unavailable due to water damage of<br>isonami.<br>No damage to pumps.<br>Read power through high voltage power supply car and by laying<br>temporary cables.<br>After repairing motor, laid temporary cables to supply power. Started                                 |
|                  |                                   | HPCS                             | R/B Reactor Wing<br>B2F<br>(O.P.0000)                | A                |                                    |  | ×  | ×   | Unavailable due to inoperability of HPCSS, HPCSC due to water<br>damage to power supply caused by tsunami<br>No damage to pumps  |
|                  |                                   | HPCSC                            | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | A                |                                    |  | ×  | ×   | Power supply and motors unavailable due to water damage by<br>tsunami.<br>No damage to pumps.<br>After repairing motor, temporarily placed on Unit 3 T/B 2F.   |
|                  |                                   | HPCSS                            | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | A                |                                    |  | ×  | ×   | Unavailable due to water damage to power supply caused by<br>tsunami.<br>No damage to pumps.<br>After repairing motor, temporarily placed on Unit 3 T/B 2F.  |
|                  | Reactor injection                 | RCIC                             | R/B Reactor Wing<br>B2F<br>(O.P.0000)                | A                |                                    |  |  | ×   | Started up after the tsunami, but was out of service due to drop in<br>reactor pressure on March 12, 2011.   |
|                  | njection                          | MUWC<br>(alternate<br>injection) | T/B<br>B1F<br>(O.P.2400)                             | В                |                                    |  |  |   | Operated on March 12, 2011, standby on March 14, 2011.   |
|                  | SFP c                             | FPC                              | R/B Reactor Wing<br>4F<br>(O.P.33000)                | В                |                                    | ×  | ×  |   | Unavailable due to trip caused by the earthquake and inoperability of<br>RCW due to tsunami, started injection with FPMUW pump and pool<br>circulation with FPC pump on March 14, 2011. After restoration of<br>RCW, started up (B) on July 17, 2011, (A) is under restoration.              |
|                  | SFP cooling                       | RHR                              | R/B Reactor Wing<br>B2F<br>(O.P.0000)                | A                |                                    |  | ×  |   | Unavailable due to inoperability of RHRS, RHRC, EECW due to<br>tsunami.<br>After restoration of RHRS, RHRC, EECW, started up on March 16,<br>2011 (FPC auxiliary cooling mode)   |
| Confining inside | Reactor containment<br>facilities | R/B<br>Reactor Wing              |  | A                | (Functioning)                      | (Functioning)  | (Functioning)                                  | (Functioning)   | After reactor automatic scram, SGTS started up, negative pressure<br>was maintained in R/B Reactor Wing, no signs of damage were<br>found.   |
| y inside         | ntainment<br>lies                 | PCV                              |  | As               | (Functioning)                      | (Functioning)  | (Functioning)                                  | (Functioning)   | No signs in PCV pressure indicated damage.   |

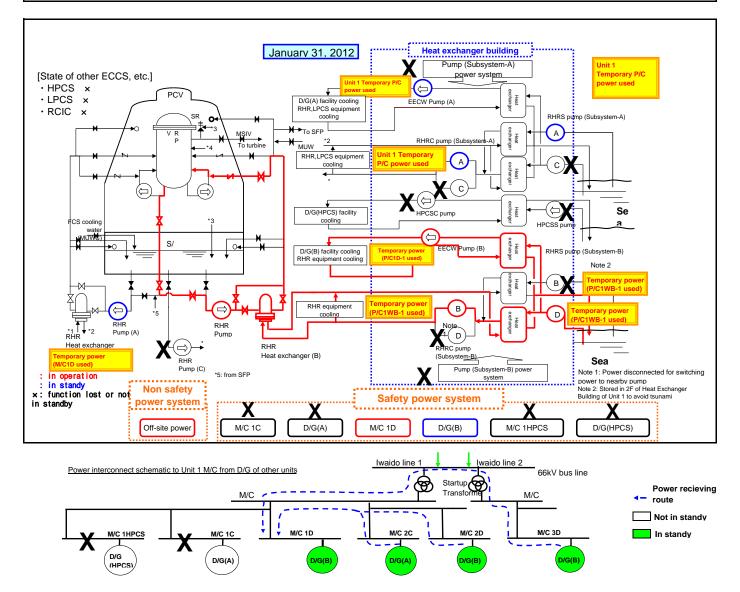
(Key) : In Operation : Standby X: Loss of function or excluded from standby



# Fukushima Daini Unit 1 System schematic







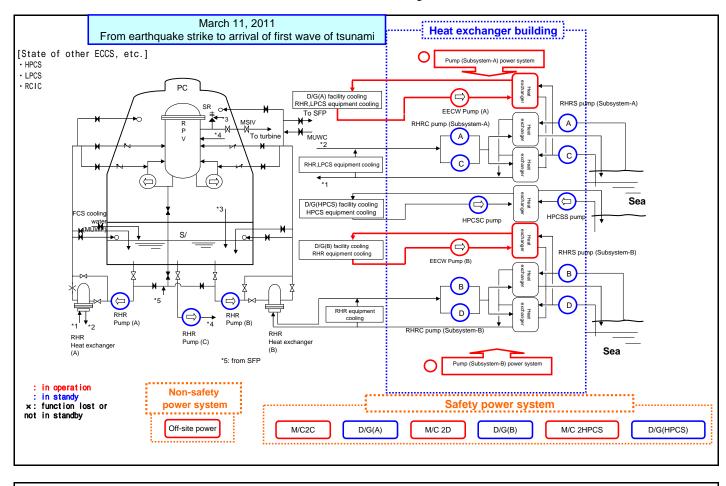
# Fukushima Daini Unit 1 System schematic

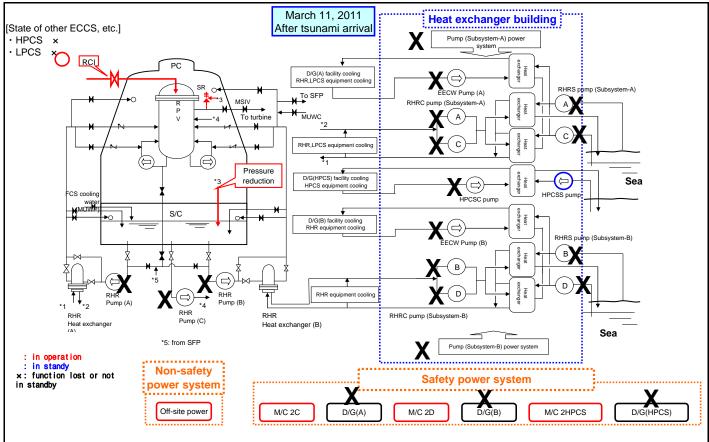
### Fukushima Daini Unit 2 Emergency Core Cooling Systems (including components) List

|                                |                        |                                  | Location<br>(units: mm)                               | Seismic<br>class | At time of reactor automatic scram | From reactor<br>automatic scram up<br>to first tsunami | From tsunami<br>arrival up to cold | Status from cold<br>shutdown to<br>present | Comments   |
|--------------------------------|------------------------|----------------------------------|---|------------------|------------------------------------|--|------------------------------------|--|--|
|                                |                        |                                  | R/B Reactor Wing                                      |                  |                                    | wave   | shutdown                           | (March 1, 2012)                            | Unavailable due to inoperability of RHRS, RHRC, EECW due to tsunami.   |
|                                |                        | RHR(A)                           | B2F<br>(O.P.0000)                                     | A                |                                    |  | ×                                  |  | No damage to pumps.<br>After restoration of RHRS, RHRC, EECW, started up on August<br>6, 2011.   |
|                                | -                      | LPCS                             | R/B Reactor Wing<br>B2F<br>(O.P.0000)                 | A                |                                    |  | ×                                  |  | Unavailable due to inoperability of RHRS, RHRC, EECW due to<br>tsunami.<br>No damage to pumps.<br>After restoration of RHRS, RHRC, EECW, started up on August<br>9, 2011.  |
|                                |                        | RHRC(A)                          | Seawater heat<br>exchanger bldg.<br>2F<br>(O.P.11200) | A                |                                    |  | ×                                  |  | Unavailable due to water damage to power supply caused by<br>tsunami.<br>No damage to pumps.<br>Laid temporary cables and fed power, started up on August 6,<br>2011.  |
|                                | -                      | RHRC(C)                          | Seawater heat<br>exchanger bldg.<br>2F<br>(O.P.11200) | A                |                                    |  | ×                                  | ×  | Unavailable due to water damage to power supply caused by<br>tsunami.<br>No damage to pumps.<br>Laid temporary cables and fed power, started up on August 6,<br>2011.  |
|                                | -                      | RHRS(A)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200)  | A                |                                    |  | ×                                  |  | Power supply and motors unavailable due to water damage by<br>tsunami.<br>No damage to pumps.<br>After repairing motor, laid temporary cables to supply power.<br>Started up on August 6, 2011.  |
|                                | -                      | RHRS(C)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200)  | A                |                                    |  | ×                                  | ×  | Power supply and motors unavailable due to water damage by<br>tsunami.<br>No damage to pumps.<br>After repairing motor, temporarily placed on Unit 2 seawater heat<br>exchanger bidg. 2F.  |
|                                | -                      | EECW(A)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200)  | А                |                                    |  | ×                                  |  | Power supply and motors unavailable due to water damage by<br>tsunami.<br>No damage to pumps.<br>After repairing motor, liait temporary cables to supply power.<br>Started up on August 3, 2011.   |
|                                | _                      | RHR(B)                           | R/B Reactor Wing<br>B2F<br>(O.P.0000)                 | A                |                                    |  | ×                                  |  | Unavailable due to inoperability of RHRS, RHRC, EECW due to<br>tsunami.<br>No damage to pumps.<br>After restoration of RHRS, RHRC, EECW, started up on March<br>14, 2011.  |
|                                | ECCS & c               | RHR(C)                           | R/B Reactor Wing<br>B2F<br>(O.P.0000)                 | A                |                                    |  | ×                                  |  | Unavailable due to inoperability of RHRS, RHRC, EECW due to<br>tsunami.<br>No damage to pumps.<br>After restoration of RHRS, RHRC, EECW, on standby on March<br>14, 2011.  |
| Co                             | others                 | RHRC(B)                          | Seawater heat<br>exchanger bldg.<br>2F<br>(O.P.11200) | A                |                                    |  | ×                                  | ×  | Unavailable due to water damage to power supply caused by<br>tsunami<br>No damage to pumps<br>Laid temporary cables and fed power, started up on March 14,<br>2011.<br>Covered and placed on Unit 2 seawater heat exchanger bldg. 2F       |
| Cooling down                   | -                      | RHRC(D)                          | Seawater heat<br>exchanger bldg.<br>2F<br>(O.P.11200) | A                |                                    |  | ×                                  |  | Unavailable due to water damage to power supply caused by tsunami.<br>+K19No damage to pumps.<br>Laid temporary cables and fed power, started up on July 8.  |
|                                |                        | RHRS(B)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200)  | A                |                                    |  | ×                                  | ×  | Unavailable due to water damage to power supply caused by<br>tsunami.<br>No damage to pumps.<br>Laid temporary cables and fed power, started up on March 14,<br>2011.<br>Motor has been repaired. Stored at factory (temporarily placed in |
|                                | -                      |                                  |   |                  |                                    |  |                                    |  | spare parts warehouse on February 1, 2012).  |
|                                |                        | RHRS(D)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200)  | A                |                                    |  | ×                                  |  | Power supply and motors unavailable due to water damage by<br>tsunami.<br>No damage to pumps.<br>After repairing motor, laid temporary cables to supply power.<br>Started up on October 12, 2011.  |
|                                |                        | EECW(B)                          | Seawater heat<br>exchanger bldg.<br>2F<br>(O.P.11200) | A                |                                    |  | ×                                  |  | Unavailable due to water damage to power supply caused by<br>tsunami.<br>No damage to pumps.<br>Laid temporary cables and fed power, started up on March 14,<br>2011.  |
|                                |                        | HPCS                             | R/B Reactor Wing<br>B2F<br>(O.P.0000)                 | A                |                                    |  | ×                                  | ×  | Unavailable due to inoperability of HPCSC due to tsunami.<br>No damage to pumps.<br>August 30, 2011: unavailable due to inoperability of HPCSS.  |
|                                |                        | HPCSC                            | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200)  | A                |                                    |  | ×                                  | ×  | Unavailable due to water damage to motor caused by tsunami.<br>No damage to pumps.<br>After replacing motor with spare, started up on April 2, 2011.<br>August 30, 2011: unavailable due to inoperability of HPCSS.                        |
|                                |                        | HPCSS                            | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200)  | A                |                                    |  |                                    | ×  | August 30, 2011: motor failure.  |
|                                | Reactor injection      | RCIC                             | R/B Reactor Wing<br>B2F<br>(O.P.0000)                 | A                |                                    |  |                                    | ×  | Started up after tsunami, out of service on March 12, 2011 due to<br>drop in reactor pressure.   |
|                                | njection               | MUWC<br>(alternate<br>injection) | T/B<br>B1F<br>(O.P.2400)                              | В                |                                    |  |                                    |  | Operated on March 12, 2011, standby on March 14.   |
|                                | SFP o                  | FPC                              | R/B Reactor Wing<br>4F<br>(O.P.31800)                 | В                |                                    | ×  | ×                                  |  | Unavailable due to trip by earthquake and inoperability of RCW<br>due to tsunami.<br>After restoration of RCW, startup (A) on July 18, 2011, startup of<br>(B) on July 19, 2011.   |
|                                | cooling                | RHR                              | R/B Reactor Wing<br>B2F<br>(O.P.0000)                 | A                |                                    |  | ×                                  |  | Unavailable due to inoperability of RHRS, RHRC, EECW due to<br>tsunami.<br>After restoration of RHRS, RHRC, EECW, started up on March<br>16, 2011 (FPC auxiliary cooling mode).  |
| facilities<br>Confining inside | Reactor<br>containment | R/B<br>Reactor Wing              |   | A                | (Functioning)                      | (Functioning)  | (Functioning)                      | (Functioning)                              | After reactor automatic shutdown, SGTS started up, negative<br>pressure was maintained in R/B Reactor Wing, no signs of<br>damage were found.  |
| inside                         | tor<br>ment            | PCV                              |   | As               | (Functioning)                      | (Functioning)  | (Functioning)                      | (Functioning)                              | No signs in PCV pressure indicated damage.   |

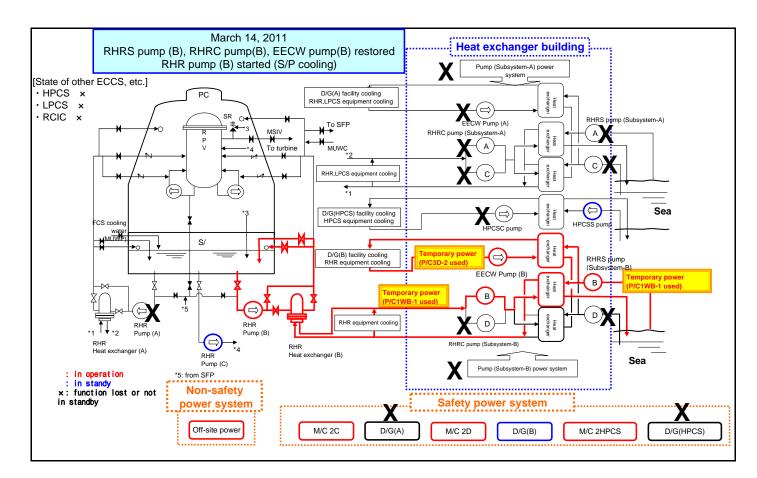
(Key) : In Operation : Standby X: Loss of function or excluded from standby

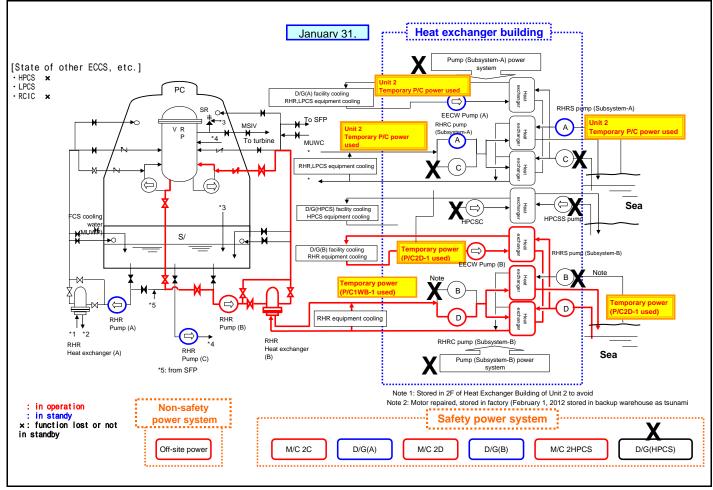
### Fukushima Daini Unit 2 System schematic





# Fukushima Daini Unit 2 System schematic

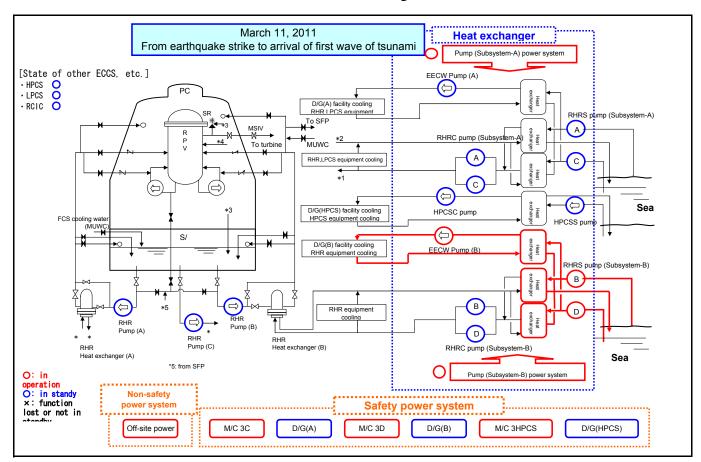




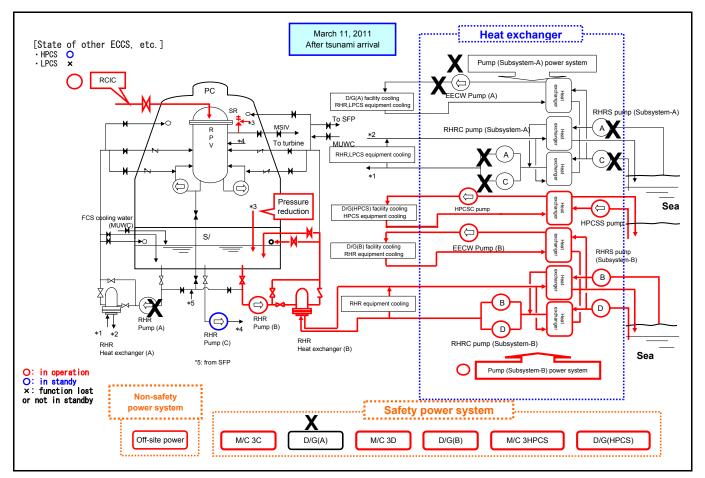
# Fukushima Daini Unit 3 Emergency Core Cooling Systems (including components) List (Pre- & post-earthquake, post-tsunami)

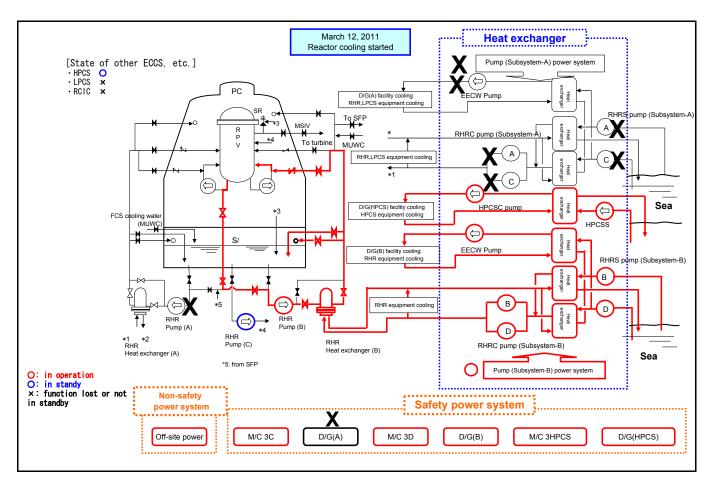
|                  |                           |                                  | Location<br>(units: mm)                              | Seismic<br>class | At time of reactor automatic scram | From reactor<br>automatic scram up<br>to first tsunami | From tsunami<br>arrival up to cold<br>shutdown | Status from cold<br>shutdown to<br>present | Comments  |
|------------------|---------------------------|----------------------------------|--|------------------|------------------------------------|--|--|--|---|
|                  |                           | RHR(A)                           | R/B Reactor Wing<br>B2F                              | A                |                                    | wave   | ×  | (March 1, 2012)                            | Unavailable due to inoperability of RHRS, RHRC, EECW due to<br>tsunami.<br>No damage to pumps.  |
|                  | -                         |                                  | (O.P.0000)   |                  |                                    |  | ^  |  | After restoration of RHRS, RHRC, EECW, started up on August<br>30, 2011.<br>Unavailable due to inoperability of RHRS, RHRC, EECW due to   |
|                  |                           | LPCS                             | R/B Reactor Wing<br>B2F<br>(O.P.0000)                | A                |                                    |  | ×  |  | tsunami.<br>No damage to pumps.<br>After restoration of RHRS, RHRC, EECW, started up on<br>September 1, 2011.   |
|                  |                           | RHRC(A)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | A                |                                    |  | ×  |  | Power supply and motors unavailable due to water damage by<br>tsunami.<br>No damage to pumps.<br>After repairing motor, laid temporary cables to supply power.<br>Started up on August 26, 2011.  |
|                  |                           | RHRC(C)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | A                |                                    |  | ×  |  | Power supply and motors unavailable due to water damage by<br>tsunami.<br>No damage to pumps.<br>After repairing motor, laid temporary cables to supply power.<br>Started up on September 9, 2011.  |
|                  |                           | RHRS(A)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | A                |                                    |  | ×  |  | Power supply and motors unavailable due to water damage by<br>tsunami.<br>No damage to pumps.<br>After repairing motor, laid temporary cables to supply power.<br>Started up on August 30, 2011.  |
|                  |                           | RHRS(C)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | А                |                                    |  | ×  |  | Unavailable due to water damage to power supply caused by<br>tsunami.<br>No damage to pumps.<br>After repairing motor, laid temporary cables to supply power.<br>Started up on September 14, 2011.  |
|                  |                           | EECW(A)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | A                |                                    |  | ×  |  | Power supply and motors unavailable due to water damage by<br>tsunami.<br>No damage to pumps.<br>After repairing motor, laid temporary cables to supply power.<br>Started up on August 23, 2011.  |
|                  | ECCS 8                    | RHR(B)                           | R/B Reactor Wing<br>B2F<br>(O.P.0000)                | А                |                                    |  |  |  | Started up on March 11, 2011 (S/C cooling mode).<br>Switched to shutdown cooling mode March 12, 2011.   |
|                  | & others                  | RHR(C)                           | R/B Reactor Wing<br>B2F<br>(O.P.0000)                | А                |                                    |  |  |  |   |
| Coo              |                           | RHRC(B)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | А                |                                    |  |  |  | Started up on March 11, 2011.   |
| Cooling down     |                           | RHRC(D)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | A                |                                    |  |  | ×  | Started up on March 11, 2011.<br>Temporarily placed on Unit 3 T/B 2F.   |
|                  |                           | RHRS(B)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | A                |                                    |  |  |  | Started up on March 11, 2011.   |
|                  |                           | RHRS(D)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | А                |                                    |  |  | ×  | Started up on March 11, 2011.<br>Temporarily placed on Unit 3 seawater heat exchanger bldg. 2F.   |
|                  |                           | EECW(B)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | А                |                                    |  |  |  | Started up on March 11, 2011.   |
|                  | ·                         | HPCS                             | R/B Reactor Wing<br>B2F<br>(O.P.0000)                | А                |                                    |  |  |  |   |
|                  | -                         | HPCSC                            | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | A                |                                    |  |  |  |   |
|                  |                           | HPCSS                            | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200) | A                |                                    |  |  |  |   |
|                  | Rea                       | RCIC                             | R/B Reactor Wing<br>B2F<br>(O.P.0000)                | A                |                                    |  |  | ×  | Started up after tsunami, went out-of-service on March 11, 2011 due to drop in reactor pressure.  |
| 0101             | Reactor                   | MUWC<br>(alternate<br>injection) | T/B<br>B2F<br>(O.P2000)                              | В                |                                    |  |  |  | Operated on March 11, 2011, standby on March 12, 2011.  |
|                  | SFP cooling               | FPC                              | R/B Reactor Wing<br>4F<br>(O.P.31800)                | В                |                                    | ×  | ×  |  | Unavailable due to trip by earthquake and inoperability of RCW<br>due to tsunami.<br>Started up on March 15, 2011 (Cooling water for FPC heat<br>exchanger was RHRC).<br>After restoration of RCW, switched cooling water to RCW on<br>June 13, 2011. |
|                  | ing                       | RHR                              | R/B Reactor Wing<br>B2F<br>(O.P.0000)                | А                |                                    |  |  |  |   |
| Confining inside | containment<br>facilities | R/B<br>Reactor Wing              |  | A                | (Functioning)                      | (Functioning)  | (Functioning)                                  | (Functioning)                              | After reactor automatic shutdown, SGTS started up, negative<br>pressure was maintained in R/B Reactor Wing, no signs of<br>damage were found.   |
| g inside         | nment<br>ties             | PCV                              | $\square$  | As               | (Functioning)                      | (Functioning)  | (Functioning)                                  | (Functioning)                              | No signs in PCV pressure indicated damage.  |

(Key) : In Operation : Standby X: Loss of function or excluded from standby

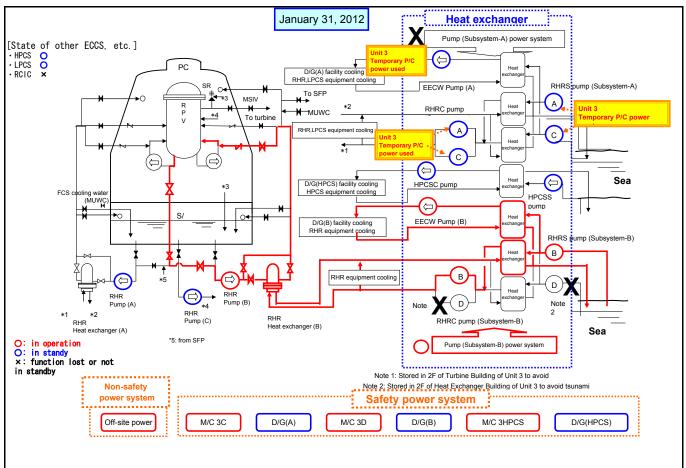


### Fukushima Daini Unit 3 System schematic



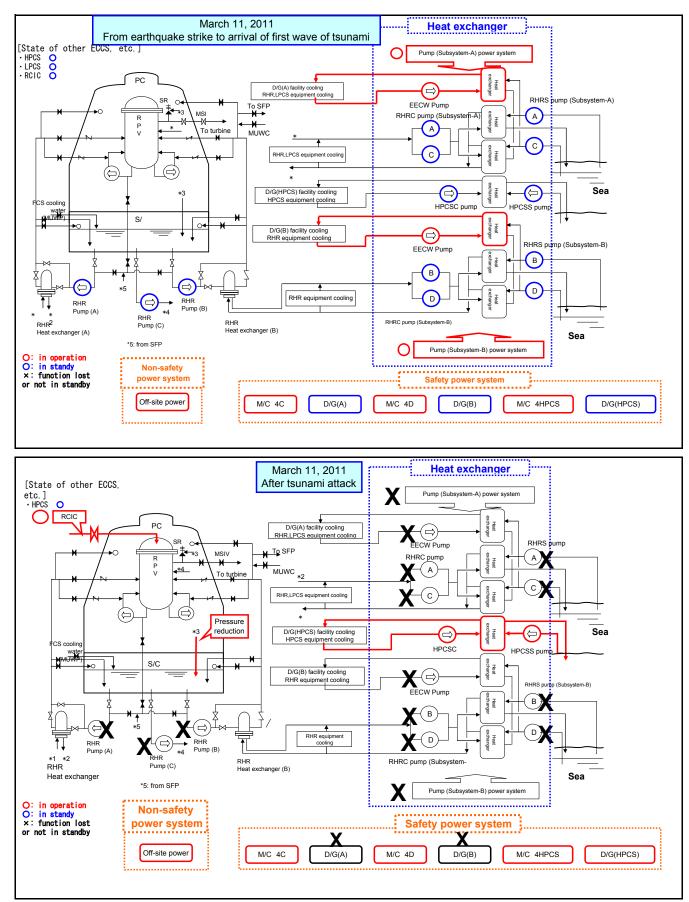


# Fukushima Daini Unit 3 System schematic



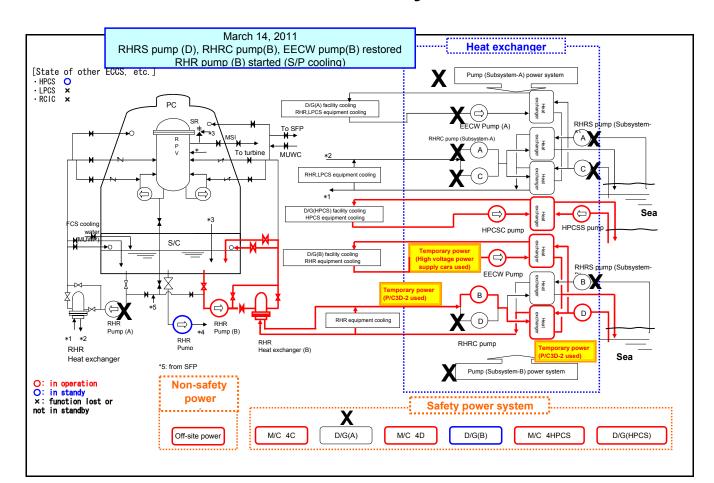
# Fukushima Daini Unit 4 Emergency Core Cooling Systems (including components) List (Pre- & post-earthquake, post-tsunami)

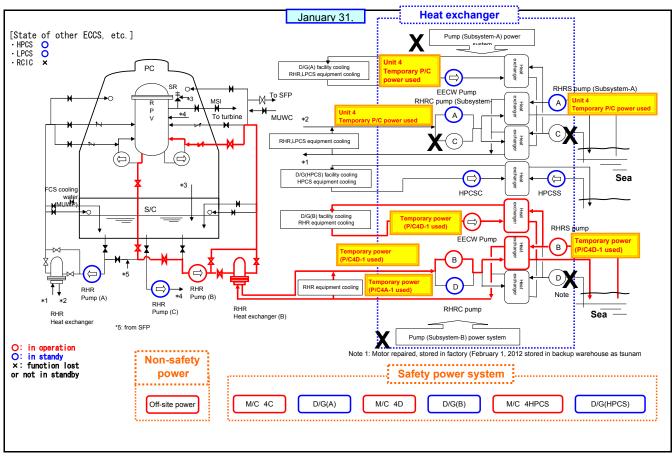
|                  |                                |                                  | Location  | Seismic | At time of reactor | From reactor<br>automatic scram | From tsunami                | Status from cold shutdown to | 0   |
|------------------|--------------------------------|----------------------------------|---|---------|--------------------|---------------------------------|-----------------------------|------------------------------|---|
|                  |                                |                                  | (units: mm)   | class   | automatic scram    | up to first tsunami wave        | arrival up to cold shutdown | present<br>(March 1, 2012)   | Comments  |
|                  |                                | RHR(A)                           | R/B Reactor Wing<br>B2F<br>(O.P.0000)                 | A       |                    |                                 | ×                           |                              | Unavailable due to inoperability of RHRS, RHRC, EECW due to<br>sunami.<br>No damage to pumps.<br>After restoration of RHRS, RHRC, EECW, started up on August<br>2, 2011.  |
|                  |                                | LPCS                             | R/B Reactor Wing<br>B2F<br>(O.P.0000)                 | А       |                    |                                 | ×                           |                              | Unavailable due to inoperability of RHRS, RHRC, EECW due to<br>tsunami.<br>No damage to pumps.<br>After restoration of RHRS, RHRC, EECW, started up on August   |
|                  |                                | RHRC(A)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200)  | A       |                    |                                 | ×                           |                              | 4, 2011.<br>Power supply and motors unavailable due to water damage by<br>tsunami.<br>No damage to pumps.<br>After repairing motor, laid temporary cables to supply power.  |
|                  |                                | RHRC(C)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200)  | A       |                    |                                 | ×                           | ×                            | Started up on July 25, 2011.<br>Power supply and motors unavailable due to water damage by<br>tsunami.<br>After repairing motor, temporarily placed on Unit 4 T/B 2F.   |
|                  |                                | RHRS(A)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200)  | А       |                    |                                 | ×                           |                              | Power supply and motors unavailable due to water damage by<br>tsunami.<br>No damage to pumps.<br>After repairing motor, all dtemporary cables to supply power.  |
|                  |                                | RHRS(C)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200)  | А       |                    |                                 | ×                           | ×                            | Started up on August 2, 2011.<br>Power supply and motors unavailable due to water damage by<br>tsunami.<br>After repairing motor, temporarily placed on Unit 4 seawater heat<br>exchanger building 2F.  |
|                  |                                | EECW(A)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200)  | A       |                    |                                 | ×                           |                              | Power supply and motors unavailable due to water damage by<br>tsunami.<br>No damage to pumps.<br>After repairing motor, laid temporary cables to supply power.<br>Started up on July 21, 2011.  |
|                  |                                | RHR(B)                           | R/B Reactor Wing<br>B2F<br>(O.P.0000)                 | A       |                    |                                 | ×                           |                              | Unavailable due to inoperability of RHRS, RHRC, EECW due to<br>tsunami.<br>No damage to pumps.<br>After restoration of RHRS, RHRC, EECW, started up on March<br>14, 2011.   |
|                  | ECCS                           | RHR(C)                           | R/B Reactor Wing<br>B2F<br>(O.P.0000)                 | А       |                    |                                 | ×                           |                              | Unavailable due to inoperability of RHRS, RHRC, EECW due to<br>tsunami.<br>No damage to pumps.<br>After restoration of RHRS, RHRC, EECW, on standby- on March<br>14, 2011.  |
|                  | SS & others                    | RHRC(B)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200)  | A       |                    |                                 | ×                           |                              | Power supply and motors unavailable due to water damage by<br>tsunami.<br>No damage to pumps.<br>Laid temporary cables and fed power, after replacing with spare<br>motor, started up on March 14, 2011.<br>After repairing motor, started up on July 7, 2011.                        |
| Cooling down     |                                | RHRC(D)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200)  | A       |                    |                                 | ×                           |                              | Power supply and motors unavailable due to water damage by<br>tsunami.<br>No damage to pumps.<br>Laid temporary cables and fed power, replaced with spare motor<br>and started up on June 29, 2011.<br>After repairing motor, started up on September 29, 2011.                       |
| down             |                                | RHRS(B)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200)  | A       |                    |                                 | ×                           |                              | Net repaining moun, same up on september 29, 2011.<br>Power supply and motors unavailable due to water damage by<br>tsunami.<br>No damage to pumps.<br>After repaining motor, laid temporary cables to feed power and<br>started up on September 21, 2011.                            |
|                  |                                | RHRS(D)                          | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200)  | A       |                    |                                 | ×                           | ×                            | Unavailable due to water damage to power supply caused by<br>tsunami.<br>No damage to pumps.<br>Laid temporary cables and fed power, started up on March 14,<br>2011.<br>After repairing motor, stored at factory (temporarily placed in spa<br>parts warehouse on February 1, 2012). |
|                  |                                | EECW(B)                          | Seawater heat<br>exchanger bldg.<br>2F<br>(O.P.11200) | A       |                    |                                 | ×                           |                              | Unavailable due to water damage to power supply caused by<br>tsunami.<br>No damage to pumps.<br>Feed power through high voltage power supply car and by laying<br>temporary cables, started up on March 14, 2011.<br>Feed power by laying temporary cables.                           |
|                  |                                | HPCS                             | R/B Reactor Wing<br>B2F<br>(O.P.0000)                 | A       |                    |                                 |                             |                              | Water injection into core as appropriate from March 12, 2011.<br>Standby on March 14, 2011.   |
|                  |                                | HPCSC                            | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200)  | A       |                    |                                 |                             |                              |   |
|                  |                                | HPCSS                            | Seawater heat<br>exchanger bldg.<br>1F<br>(O.P.4200)  | A       |                    |                                 |                             |                              |   |
|                  | Reactor                        | RCIC                             | R/B Reactor Wing<br>B2F<br>(O.P.0000)                 | A       |                    |                                 |                             | ×                            | Started up after tsunami, went out-of-service on March 12, 2011<br>due to drop in reactor pressure.   |
|                  | Reactor injection              | MUWC<br>(alternate<br>injection) | T/B<br>B2F<br>(O.P2000)                               | В       |                    |                                 |                             |                              | Started up on March 12, 2011, standby on March 12, 2011.  |
|                  | SFP cooling                    | FPC                              | R/B Reactor Wing<br>4F<br>(O.P.31800)                 | В       |                    | ×                               | ×                           |                              | Unavailable due to trip by earthquake and inoperability of RCW<br>due to tsunami.<br>Started up on March 15, 2011 (Cooling water for FPC heat<br>exchanger was RHRC). Standby on March 16, 2011. After<br>restoration of RCW, started up on June 5, 2011.                             |
|                  | ooling                         | RHR                              | R/B Reactor Wing<br>B2F<br>(O.P.0000)                 | A       |                    |                                 | ×                           |                              | Unavailable due to inoperability of RHRS, RHRC, EECW due to<br>tsunami.<br>After restoration of RHRS, RHRC, EECW, started up on March<br>16, 2011 (FPC auxiliary cooling mode), standby on June 5, 2011   |
| Cor              | Reactor co                     | R/B<br>Reactor Wing              |   | A       | (Functioning)      | (Functioning)                   | (Functioning)               | (Functioning)                | After reactor automatic shutdown, SGTS started up, negative<br>pressure was maintained in R/B Reactor Wing, no signs of<br>damage were found.   |
| Confining inside | Reactor containment facilities | PCV                              |   | As      | (Functioning)      | (Functioning)                   | (Functioning)               | (Functioning)                | No signs in PCV pressure indicated damage.  |



# Fukushima Daini Unit 4 System schematic

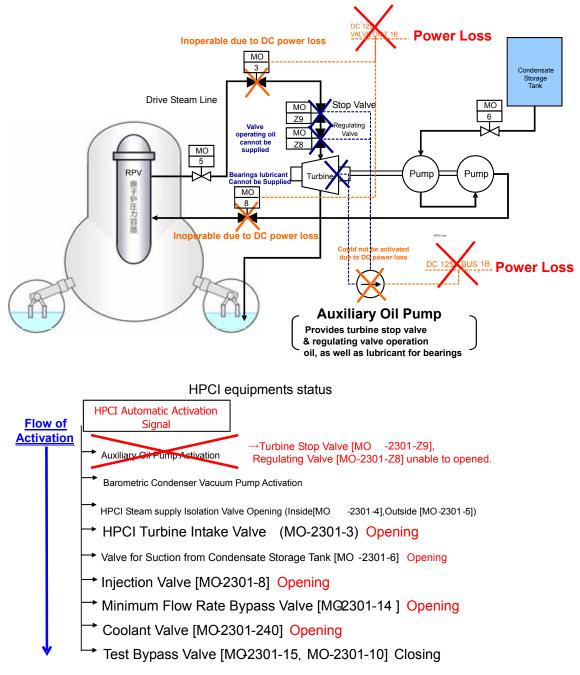
### Fukushima Daini Unit 4 System schematic





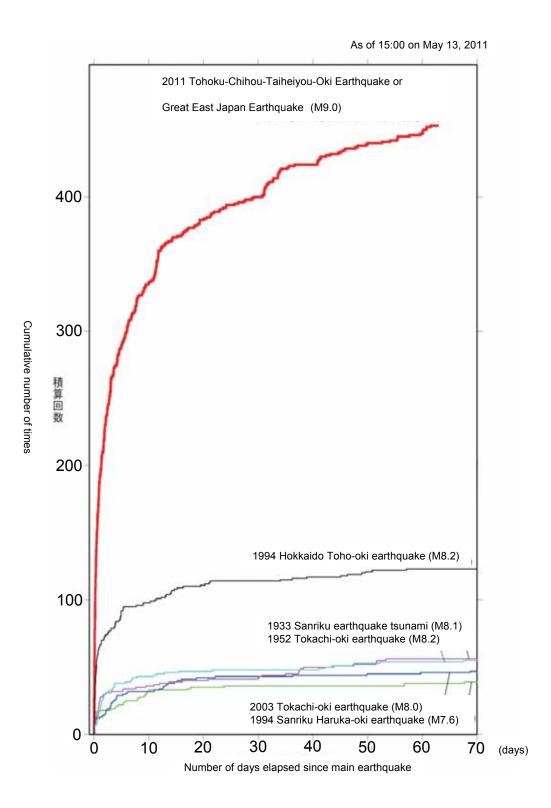
### Fukushima Daiichi Unit 1 HPCI

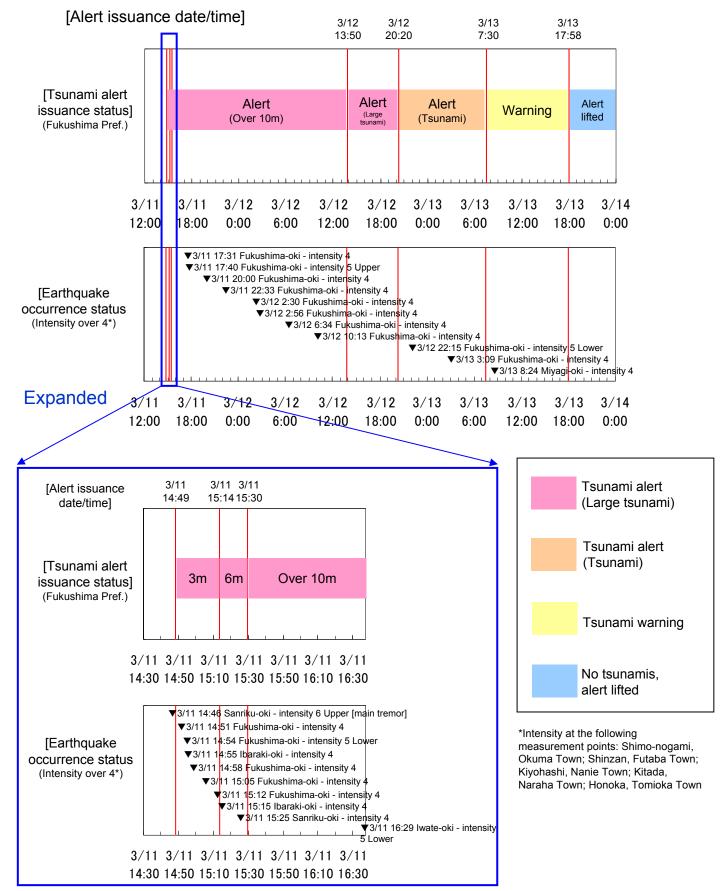
When the HPCI is activated, the auxiliary oil pump normally activates first. This supplies operating oil to the turbine stop valve and regulating valve, allowing HPCI turbine activation. However, the auxiliary oil pump could not be activated due to DC power loss, resulting in HPCI inoperability.



Flow of HPCI activation

Aftershock occurrence status (Comparison of number of aftershocks for major sea area earthquakes (over M5.0))





# Aftershock occurrence status (Tsunami alert issuance records (Fukushima Pref.))

Created based on 16th JMA meteorological work performance evaluation panel materials (May 31,2011)

### Aftershock occurrence status

### (Basic evaluation of continual tsunamis at Fukushima Daiichi Nuclear Power Station)

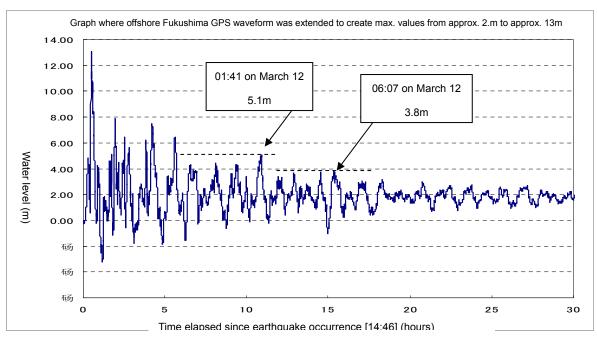
Due to the scale of this earthquake, the waveforms recorded by the offshore Fukushima GPS wave height gauge showed continued vibrations by tsunami for an extended period of time. Since the offshore Fukushima GPS wave height gauge is set within the deeper sections of the offshore area, the absolute value of its measured values are low. However, this is greatly magnified in the shallower coastal areas. A basic evaluation of continual tsunamis at Fukushima Daiichi NPS was performed based on offshore Fukushima GPS records.

### [Considerations]

- Max. tsunami height according to offshore Fukushima GPS records was approx. 2.6m (actual measured value)
- Max. tsunami height at Fukushima Daiichi NPS tidal station was approx. 13.1m (recreated calculation)

Basic evaluation used ratio between 2.6m and 13m (5 times the value) to understand trends



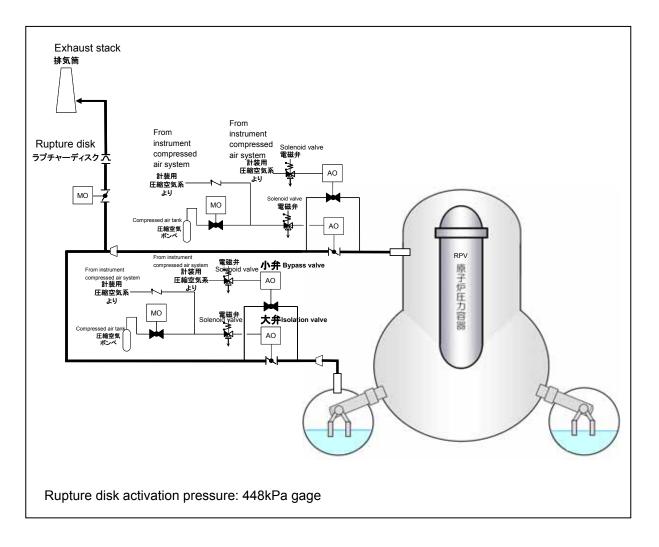


O Peaks exceeding 4m prior to "5.1m at 01:41 on March 12" can be seen via basic evaluation

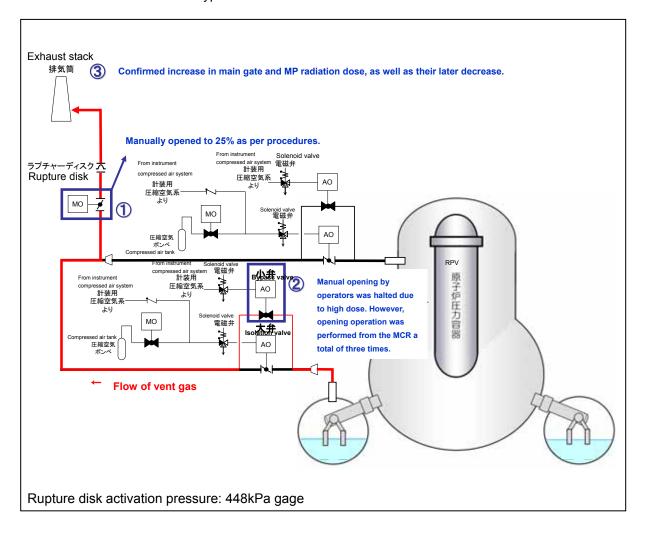
O Peaks approaching 4m can be seen prior to "3.8m at 06:07 on March 12"

Thus it can be seen that the risk of tsunami flooding for the seaside O.P.+4m area continued in the time period until the early hours of March 12, or the day after earthquake occurrence. Note that the "tsunami alert (large tsunami): waves over 10m" issued by the JMA for the Fukushima Pref. coastline remained in effect until 13:50 on March 12 (approx. 23 hours after earthquake occurrence), when it was switched to "tsunami alert (large tsunami)."

# Fukushima Daiichi Unit 1 reactor PCV venting



### Before the earthquake on March 11



### Bypass valve use at 10:40 on March 12

[PCV vent valve (MO valve) and S/C vent valve (AO valve) bypass valve opening]

① 09:15 on March 12

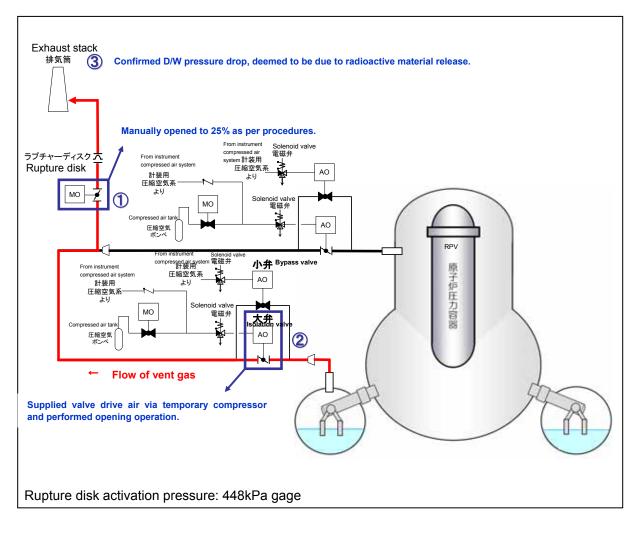
PCV vent valve (MO valve) was opened to 25% as per procedures.

② 10:17 (1st time), 10:23 (2nd time), 10:24 (3rd time) on March 12

From the MCR, the S/C vent valve (AO valve) bypass valve solenoid valve was excited using small generator as a power source, and opening operation was performed. Could not confirm whether opening actually took place.

③ 10:40 on March 12

Since radiation levels near the station main gate and nearby monitoring posts were rising, the station ERC considered it highly likely that radioactive materials had been released due to venting. However, since radiation levels dropped at 11:15, it was confirmed that venting was not sufficiently effective.



### Isolation valve use at 14:30 on March 12

[S/C vent valve (AO valve) isolation valve opening]

(1) 09:15 on March 12

PCV vent valve (MO valve) was opened to 25% as per procedures.

(2) Around 14:00 on March 12

Temporary compressor connected to IA system and pressurization performed in order to operate

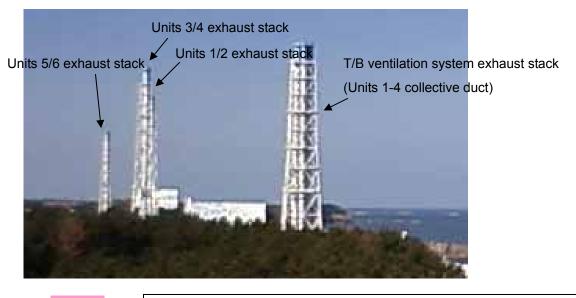
S/C vent valve (AO valve) isolation valve.

(3) 14:30 on March 12

Confirmed drop in D/W pressure, deemed to be caused by "release of radioactive materials" via venting.

(D/W pressure: 750kPa [abs]  $\rightarrow$  580kPa [abs] (14:50))

# Fukushima Daiichi Unit 1 reactor PCV venting exhaust according to photos from the Fukuichi Live Camera



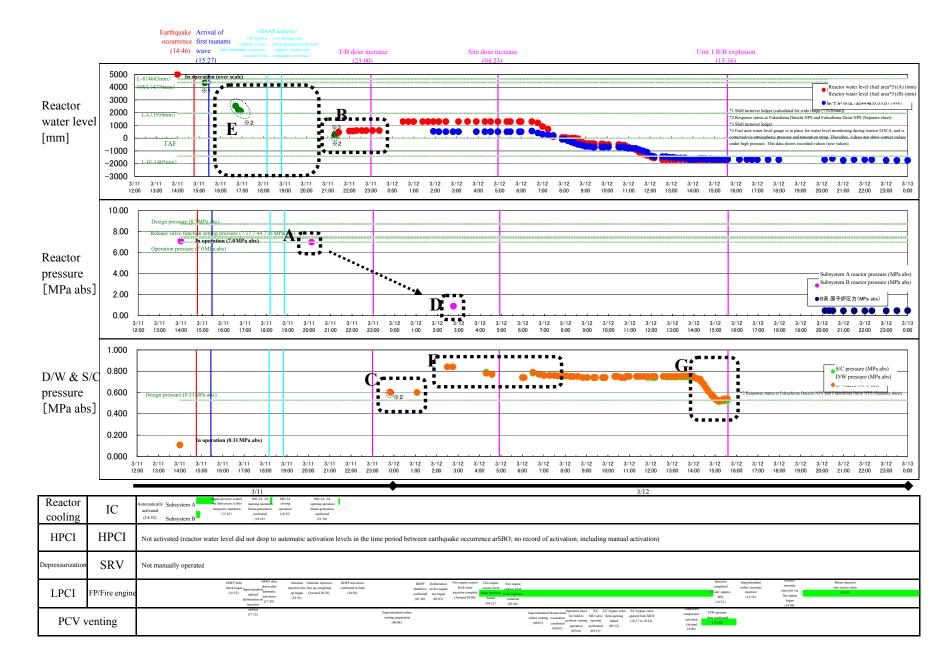
Taken at 14:00 on March 12

Around 14:00 – temporarily installed compressor connected for pressurization to allow S/C vent valve (AO valve) isolation valve operation 14:30 - D/W pressure drop confirmed

# Taken at 15:00 on March 12



Steam-like mass seen toward mountain side of Units 1/2 exhaust stack (Not seen in photos taken after 16:00)



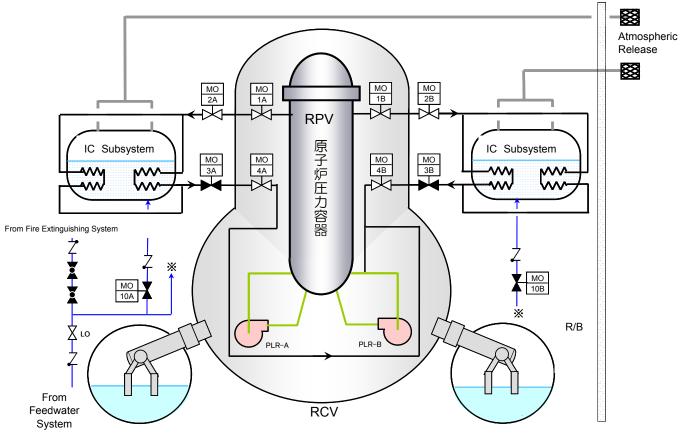
### About the Isolation Condenser System (IC)

There are four isolation valves per the Isolation Condenser system (IC), which are opened/closed to perform startup/shutdown operation and restrict radioactive material release / prevent release of reactor water via isolation when IC pipes rupture. Since these valves are driven by motors, loss of power means they can no longer operate due to loss of power for the drive motors as well. Although MO valve inoperability due to loss of power should be avoided, there are design considerations to ensure IC system startup (heat removal function) and isolation function reliability are not immediately affected in this case.

# 1. Securing reliability of startup/isolation function in IC design

### (1) IC system structure

The IC system structure is shown in the figure below. The IC directs reactor steam to the IC, where it is cooled via heat transfer with IC shell side water, before it returns to the reactor via the return pipe. Thus is the IC structured to be a closed loop. IC shell side water temperature rises via this heat transfer, and the resulting steam is released outside the building. Two isolation valves (MO valves) are installed (one inside the PCV and one outside the PCV) on the steam supply and return pipes. The valve inside the PCV is driven by AC, while the one outside is driven by DC. Power is provided via separate system for Subsystems A/B; which are powered by separate emergency power (AC) and DC power sources.



IC system structure

| IC (Subsystem A) power structure |                          |              | IC (Subsystem B) power structure |                          |              |  |
|----------------------------------|--------------------------|--------------|----------------------------------|--------------------------|--------------|--|
| Isolation<br>valve               | Installation<br>location | Power source | Isolation<br>valve               | Installation<br>location | Power source |  |
| Valve 1A                         | Inside                   | AC power     | Valve 1B                         | Incido                   | AC power     |  |
| valve IA                         | Inside                   | (MCC-1D)     | valve 1B                         | Inside                   | (MCC-1C)     |  |
| Mahaa 2A                         | Outside                  | DC power     | Value 2D                         | Quitaida                 | DC power     |  |
| Valve 2A                         |                          | (125V-1A)    | Valve 2B                         | Outside                  | (125V-1B)    |  |
| Value 24                         | Quitaida                 | DC power     | Value 2D                         | Outoido                  | DC power     |  |
| Valve 3A                         | Outside                  | (125V-1A)    | Valve 3B                         | Outside                  | (125V-1B)    |  |
| Value 44                         | Incido                   | AC power     | Value 4D                         | Incido                   | AC power     |  |
| Valve 4A                         | Inside                   | (MCC-1D)     | Valve 4B                         | Inside                   | (MCC-1C)     |  |

As stated earlier, there are four isolation valves per system. When in standby, the return pipe outside valve is closed, and the other three are all open.

In case of IC pipe rupturing, the isolation valves are designed to interlock and close when rupture is detected via IC flowrate abnormality.

The above-mentioned system concept is adopted by most nuclear power stations with IC both domestically and abroad. It is not one used solely by Fukushima Daiichi Unit 1. The design of this type of system allows operation function (heat removal) and isolation function to be maintained if one of the equipment malfunctions, as explained below.

# (2) Reliability in startup from standby

The IC is not an equipment set as part of ECCS. However, in order to function as a backup facility, it possesses high reliability in startup from standby. Policy 9 of the "Regulatory Guide for Reviewing Safety Design of Light Water Nuclear Power Reactor Facilities (hereinafter referred to as "Policy")," requires "design ensuring system safety function even if a single component of system malfunctions in addition to off-site power loss" for equipment possessing vital safety functions.

Specifically, at Fukushima Daiichi Unit 1:

- IC has design considerations in place for situations where a single component of the system experiences fail, alongside prepared emergency power (emergency DG) or batteries usable during SBO (DC power).
- Since IC MO valves open/close via electric motors, valves cannot be operated if drive equipment experiences failure or drive power is lost. Therefore, isolation valves outside the PCV (valves 3A, 3B) remain open on standby and the minimum number of isolation valves per system which must be operated during standup (one per system, valves 3A & 3B) are used when IC is in standby.
- Isolation valves which perform opening/closing during operation (valves 3A, 3B) use highly reliable DC power as drive power. The DC power used is from a separate system (Subsystems A/B) to ensure reliability.

The above allows IC operation when AC power is lost. Even in the event of failure for a single system (e.g. DC power), one of the two IC systems will remain operable. IC possesses high cooling function, meaning

only one of the systems need to be activated to secure decay heat removal function.

### (3) Isolation function reliability in standby/operational states

Since the IC is a system which penetrates the reactor PCV for circulation between the inside of the reactor and the IC body, two isolation valves are placed (one inside the PCV and one outside the PCV) on any pipe which penetrates the PCV in order to prevent reactor coolant leakage outside the PCV if IC pipes rupture. Policy 30 requires that "reactor PCV isolation valves connected to major piping be designed to automatically and definitely close for accidents requiring isolation function."

Specifically, at Fukushima Daiichi Unit 1:

- In case IC pipes rupture during standby, two isolation valves are placed (one inside the PCV and one outside the PCV) on any pipe which penetrates the PCV in order to prevent reactor water leakage outside the PCV. The drive power and rupture detection (control logic circuit) for these valves are supplied by emergency power or DC power.
- O All IC isolation valves are designed to automatically close via interlocking if IC pipe rupture is detected. To avoid loss of "automatic closing function" due to inability to perform rupture detection due to abnormalities (e.g. control logic circuit power source (DC power) shutdown), isolation via interlocking control is triggered when logic circuit power is lost.
- In cases where isolation is necessary, the power source system is structured to ensure successful isolation via closing of one of the valves (either inside or outside) on pipes penetrating the PCV. This ensures isolation, even if a single valve fails to operate due to malfunction of a single component (e.g. valve drive equipment, drive power). Specifically, the isolation valves on the inside and outside of the PCV are each driven by a separate power source (inside: AC power, outside: DC power). This design ensures isolation function will be maintained even if a single component (e.g. valve drive equipment, drive power) malfunctions.
- Even if isolation via interlocking is performed when DC power for one of the systems is lost, the IC for the other system can be activated, thus securing IC system RHR function.

The above ensures isolation function reliability in case of single component malfunction. It also ensures function will not be lost due to single component malfunction, from the standpoint of securing RHR function after interlocking.

### 2. Summary

- (1) The Fukushima Daiichi Unit 1 IC system is designed to ensure startup from standby, even in the case of a single component malfunctions. Its design also ensures isolation from standby/operational states, even in the case of a single component malfunctions.
- (2) Even if isolation interlocking occurs due to DC power loss, as long as it is a single component malfunction, one of the two IC systems can be started up to secure decay heat removal function.
- (3) The above proves that the Fukushima Daiichi Unit 1 IC facility possesses safety function from the standpoint of reliability, and fulfills the requirements for reliability requested in the Policy.
- (4) However, IC function was impaired in this accident, to the point where IC could not be operated. This was due to loss of both AC and DC power (each power source possess two systems), a severe accident which greatly exceeded design prerequisites.

Fukushima Daiichi Unit 1 Isolation Condenser System (IC) system structure

1. Drain pipe connection method

The drain pipes for Fukushima Daiichi Unit 1 Isolation Condenser System (IC) Subsystems A/B (condenser reactor return pipe) are both connected to the Subsystem B of PLR system, which then return to the reactor.

However, on the reactor establishing permit application, IC Subsystem A is connected to the PLR Subsystem A, while the IC Subsystem B is connected to the PLR Subsystem B.

To adjust for this difference (reason for design change), <u>relevant documents (reactor establishing permit</u> application, construction permit application) were inspected and relevant parties interviewed. However, the reason for changes to IC drain pipe connection method could not be confirmed.

The speculated reasons for design change are considered below.

(1) Placement of IC within buildings

Fig. 1 shows the IC placement listed on the Fukushima Daiichi Unit 1 reactor establishing permit application. Two IC are placed on the same side of the reactor at Fukushima Daiichi Unit 1.

Meanwhile, the PLR Subsystems A/B are set diagonally from each other on each side of the reactor. The IC drain pipe was most likely connected to the near side PLR system to reduce pipe pressure load and primary coolant pressure boundary.

(2) PLR system pipe leak potential reduction

Along with IC system pipes, reactor shutdown cooling system (hereinafter referred to as "SHC system") pipes are connected to PLR system pump suction pipes.

Since increasing the number of connections to PLR system pipes raises leak potential, SHC system pipes are thought to have been connected to the PLR A pump suction pipe, while IC Subsystems A/B are believed to have been joined before being connected to the PLR B pump suction pipe.

Success/failure of IC system startup is dependent upon isolation valve (active equipment) operation. Therefore, reliability is ensured by giving Subsystems A/B independent valve structure in case valves for one of the systems fail to operate.

Drain pipes (static equipment) have very low possibility of rupturing, but would lead to reactor LOCA due to reactor coolant leakage if rupture occurs. However, since the Emergency Core Cooling System would activate in this case, IC system functions are not expected.



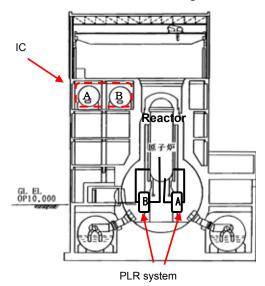


Fig. 1 Fukushima Daiichi Unit 1 IC placement (overview)

2. Reasons why changes to drain pipe connection method were not reflected

As of 1991, in accordance with documents issued by the Agency for Natural Resources and Energy (formerly of the MITT) (hereinafter referred to as the Agency), an investigation was conducted on areas where actual facility conditions were not reflected in the reactor establishing permit application body reference fig. and attached documents 8 due to changes (in specific designs) after the submission of reactor establishing permit application. However, changes in drain pipe connection methods were overlooked at this time, and also during reactor installation change approval application in 1993 (the first for Fukushima Daiichi). Thus did they remain unaltered to this day.

It should be noted that the Agency sees no problem legally with differences between the contents of establishing permit application attached document and actual facility conditions.

The details are covered below in chronological order.

### (1) October 1991

The Agency requested a written explanation regarding the specific areas of difference between document (reactor establishing permit application body and reference fig., attached documents 8) contents and actual facility conditions upon comparison of the two.

### (2) Around October to December 1991

Differences between the contents of <u>reactor establishing permit application body/reference fig.</u>, attached <u>documents 8</u> and construction permit application contents for all TEPCO stations were compared, and areas differing from actual conditions (hereinafter referred to as "Differences") were extracted.

Differences were also extracted for Fukushima Daiichi Unit 1 IC during this time. The contents are shown in Tables 1 & 2.

Table 1: Fukushima Daiichi Unit 1 IC specification differences (Reactor establishing permit application

body)

|                         | Body text (As of 1991)           | Actual facility (construction permit) | Remarks            |
|-------------------------|----------------------------------|---------------------------------------|--------------------|
| Effective tank capacity | Approx. 100 m <sup>3</sup> /tank | 106 m <sup>3</sup>                    | Due to progress of |
|                         |                                  |                                       | specific design    |

 Table 2: Fukushima Daiichi Unit 1 IC specification differences

|                            | Attached documents 8         | Actual facility              | Remarks            |
|----------------------------|------------------------------|------------------------------|--------------------|
|                            | (As of 1991)                 | (construction permit)        |                    |
| Steam flowrate             | 100.7 T/h                    | 100.6 T/hr                   | Due to progress of |
| Steam temp.                | 285 °C                       | 285.6°C                      | specific design    |
| Condensate outlet pressure | 70.2kg/cm <sup>2</sup> g     | 70.3kg/cm <sup>2</sup> g     |                    |
| Condensate outlet temp.    | 285 °C                       | 285.6°C                      |                    |
| Condenser shell max.       | 1.1 kg/cm <sup>2</sup> g     | 1.125 kg/cm <sup>2</sup> g   |                    |
| pressure                   |                              |                              |                    |
| Max. steam generation rate | 68,040 kg/hr                 | 67,880 kg/H                  |                    |
| Heat transfer capacity     | 36.3×10 <sup>6</sup> kcal/hr | 36.19×10 <sup>6</sup> kcal/H |                    |
| Effective tank capacity    | 105 m <sup>3</sup>           | 106 m <sup>3</sup>           |                    |

# (Reactor establishing permit application attached documents 8)

However, the method of connecting drain pipe to PLR was overlooked since they were written on figures.

### (3) December 1991

The Agency requested the reflection of actual facility conditions at the time of application for future reactor installation change permits, regardless of relation to application contents. This was made from the standpoint of PA (Public Acceptance) regarding differences between contents of <u>reactor establishing</u> <u>permit application body reference fig. / attached documents 8</u> and actual facility conditions, which posed no problem from a strictly legal standpoint.

The stance on values is that those listed in the construction permit should be rounded up to match reactor establishing permit application values (effective figures).

### (4) April 1993

Reactor installation change applications were submitted for Fukushima Daiichi from December 1991 onward, a first for that station. There are four reasons for the changes:

A. Installation of spent fuel dry storage facility for Units 4 through 6.

B. Installation of common spent fuel pool for Units 1 through 6.

C. Installation of common spent fuel transport container storage area for Units 1 through 6.

D. Making Units 1/2, Units 3/4, and Units 5/6 common DG into dedicated ones for Units 1, 3, and 5 respectively, alongside addition of individual DG for Units 2, 4, and 6.

The application was in accordance with the considerations listed in (3) above, reflecting actual facility conditions in the contents of reactor establishing permit application body reference fig. / attached

<u>documents 8</u>, regardless of their relation to items A through D above. This lead to over 100 changes in texts for Unit 1.

Changes shown in Table 3 were made to texts for IC in the attached documents 8 in accordance with the extracted contents shown in Table 2.

|                               | <before change=""><br/>Attached document 8 text<br/>(As of 1991)</before> | Actual facility<br>(construction permit) | <after change=""><br/>Attached document 8 text<br/>(As of 1993)</after> |  |  |
|-------------------------------|---|--|---|--|--|
| Steam flowrate                | 100.7 T/h   | 100.6 T/hr                               | 100.6 t/h   |  |  |
| Steam temp.                   | 285 °C  | 285.6°C                                  | 286°C   |  |  |
| Condensate outlet pressure    | 70.2kg/cm <sup>2</sup> g  | 70.3kg/cm <sup>2</sup> g                 | 70.3kg/cm <sup>2</sup> g  |  |  |
| Condensate outlet temp.       | 285 °C  | 285.6°C                                  | 286°C   |  |  |
| Condenser shell max. pressure | 1.1 kg/cm <sup>2</sup> g  | 1.125 kg/cm <sup>2</sup> g               | 1.1 kg/cm <sup>2</sup> g  |  |  |
| Max. steam generation rate    | 68,040 kg/hr  | 67,880 kg/H                              | 67,880 kg/h   |  |  |
| Heat transfer capacity        | 36.3×10 <sup>6</sup> kcal/hr  | 36.19×10 <sup>6</sup> kcal/H             | 36.2×10 <sup>6</sup> kcal/h   |  |  |
| Effective tank capacity       | 105 m <sup>3</sup>  | 106 m <sup>3</sup>                       | 106 m <sup>3</sup>  |  |  |

# Table 3: Fukushima Daiichi Unit 1 IC specification text changes(Reactor establishing permit application attached documents 8)

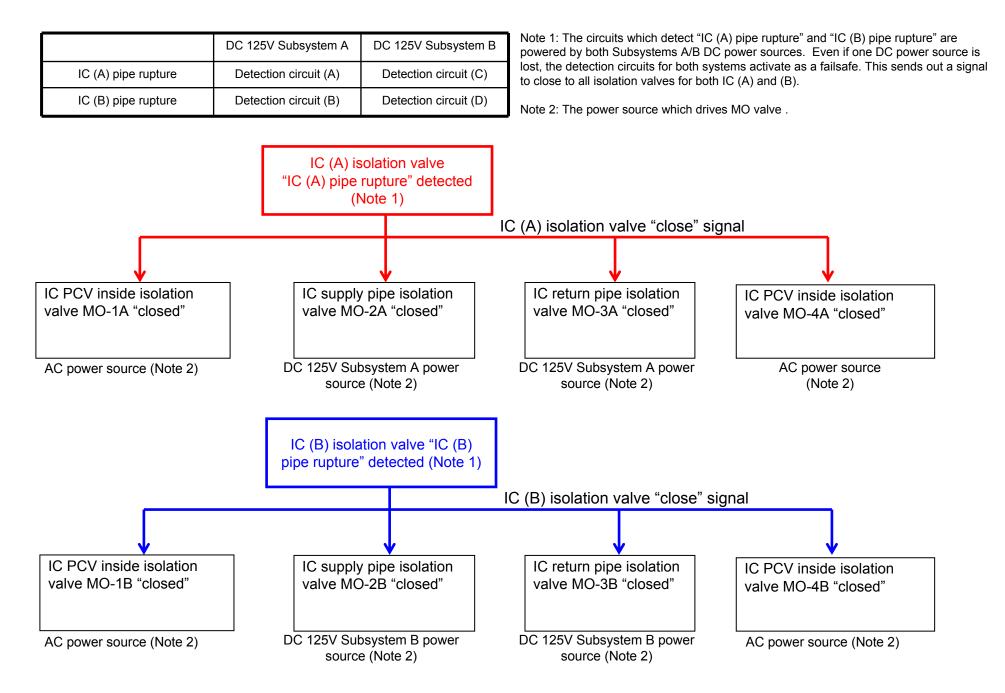
As stated in (3), values (effective figures) have not been changed. Therefore, "steam temp.," "condensate outlet temp.," "condenser shell max. pressure" and "heat transfer capacity" were rounded up (resulting in " condenser shell max. pressure" showing the same value after changes).

Differences in drain pipe PLR connection method were overlooked in 1991 and remained unchanged.

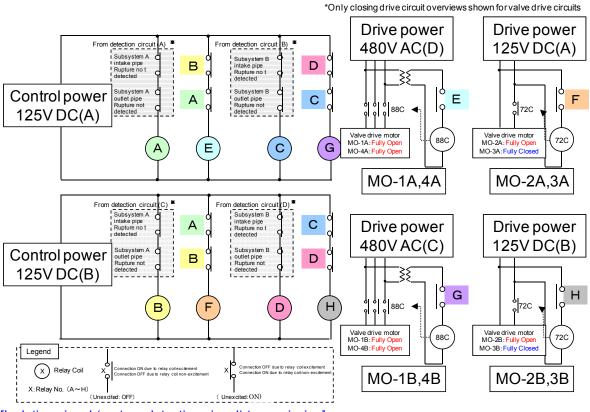
### (5) 1994 onward

Due to the reactor installation change application (approved in March 1994) listed in (4), reflection of actual facility conditions was considered complete. From then on, IC reactor installation change applications and construction permit applications/submissions were not performed, and reactor establishing permit application attached document text was not reviewed.

# Isolation Condenser (IC) MO valve Interlock Block Diagram



Attachment 8-7 (1)



# Isolation Condenser (IC) Isolation Signal Circuit Diagram (Standby Status)

### [Isolation signal (rupture detection signal) transmission]

(1) "Subsystem A intake pipe rupture detection signal" or "Subsystem A outlet pipe rupture detection signal" reception causes Subsystem A/B relay coils to become unexcited.

(2) A/B relay coil non-excitement causes A/B connections to turn OFF and E/F relay coils to become unexcited.

(3) E/F relay coil non-excitement causes E/F connections to turn ON and MO-1A/2A/3A/4A close drive relay coils to become excited. In turn, this causes close drive connection circuits to close and power to flow to the valve drive motor, causing valve closing.

(4) The applicable valve will close if even one rupture detection signal is received.

\*Same as Subsystem B.

### [Control power loss]

(1) 125V DC (A) control power loss causes A/E/C/G relay coils to become unexcited.

(2) A/C relay coil non-excitement causes A/C connections to turn OFF and F/H relay coils to become unexcited.

(3) E/F/G/H relay coil non-excitement causes E/F/G/H connections to turn ON and MO-1A/2A/3A/4A/1B/2B/3B/4B close drive relay coils to become excited. In turn, this causes close drive connection circuits to close and power to flow to the valve drive motor, causing valve closing.

\*Same as when control power is lost for 125V DC (B).

### [Opening operation during isolation signal reception]

By setting the operation switch to the "F-OPEN" position, the isolation signal can be bypassed and opening operation becomes possible.

# Fukushima Daiichi Unit 1 IC valve status history

|   |                                    |              |   | Fukus                              | hima Daiicl   |              | C valve s   | status n           | istory    |    |                                     |  |                          |
|---|------------------------------------|--------------|---|------------------------------------|---|--------------|---|--------------------|-----------|----|-------------------------------------|--|--------------------------|
| Subev   | stom A                             |              |   |                                    | March   | 11           |   | [                  | [         |    | A                                   | pril 01                                | October 18               |
| Subsystem APCV inside valve<br>(AC power)PCV outside<br>valve<br>(DC power) |                                    | in operation | 14:52<br>Post-<br>earthquake<br>automatic<br>activation | 15:03~<br>IC startup /<br>shutdown | 15:35<br>Tsunami  | •••••        | 18:18 18:25 21:30<br>(DC transformer restoration) |                    |           | b  | raluation<br>ased on<br>rey results | Evaluation<br>based on<br>survey resul |                          |
| MO-1301-1A  | _                                  | 0            | 0   | 0                                  | ∘⇒  | ?            |   |                    |           |    | ?                                   |  |                          |
| _   | MO-1301-2A                         | 0            | 0   | 0                                  | ∘ ⇒   | ×            | ×→O   | 0                  | 0         |    |                                     | 0                                      | 0                        |
| _   | MO-1301-3A                         | ×            | ×→O   |                                    | × <b>⇒</b>  | ×            | ×→O   | ⊖→×                | ×→O       |    |                                     | 0                                      | 0                        |
| MO-1301-4A  | _                                  | 0            | 0   | 0                                  | ∘⇒  | ?            |   |                    |           |    |                                     | ?                                      |                          |
| IC status   |                                    | Standby      | Operation<br>start                                      | Reactor<br>pressure<br>control     | All valve close signal transmission (due to isolation interlocking) | No operation | Operation   | Shutdown operation | Operation |    |                                     | lve circuit<br>vey results             | Field survey results     |
|   |                                    |              |   |                                    | March   | 11           |   |                    |           |    | A                                   | pril 01                                | October 18               |
| IC Subs   | ystem B                            |              | 14:52   | 15:03                              | 15:35   |              |   |                    |           | F۱ | aluation                            |  |                          |
| PCV inside valve<br>(AC power)  | PCV outside<br>valve<br>(DC power) | in operation | Post-<br>earthquake<br>automatic<br>activation          | IC startup /<br>shutdown           | Tsunami   |              |   |                    |           |    | b                                   | ased on<br>ey results                  | based on<br>survey resul |
| MO-1301-1B  | _                                  | 0            | 0   | 0                                  | $\circ \Rightarrow$   | ?            |   |                    |           |    |                                     | ?                                      |                          |
|   | MO-1301-2B                         | 0            | 0   | 0                                  | $\circ \Rightarrow$   | ×            |   |                    |           |    |                                     | ×                                      | ×                        |
|   |                                    |              |   |                                    |   |              |   |                    |           |    |                                     |  |                          |
| _   | MO-1301-3B                         | ×            | ×→O   | O→×                                | × ⇒   | ×            |   |                    | _         |    |                                     | ×                                      | ×                        |
| <br>MO-1301—4B  | MO-1301—3B<br>—                    | ×            | ×→O<br>O  | O→×<br>O                           | × ⇒<br>∘ ⇒  | ×<br>?       |   |                    |           |    |                                     | ×<br>?                                 | ×                        |

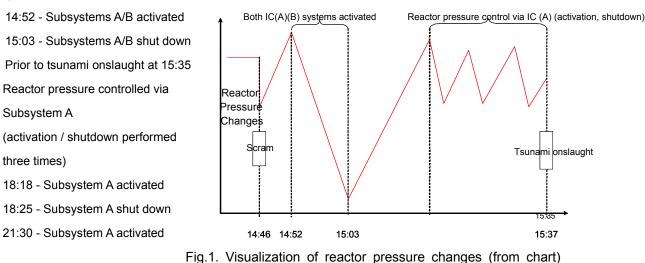
Isolation Condenser (IC) shell side water level decrease amount investigation results

#### (1) IC shell side water level amount of decrease

IC shell side water level was 65% for Subsystem A and 85% for Subsystem B (normal water level: 80%) during field surveys performed on October 18. Although the accuracy of instrument displays could not be confirmed, the amount of decrease in Subsystem A would be 15% (approx. 21 tons) if IC shell side water level gauge is assumed to be correct. Since the Subsystem B shell side water level display value exceeded normal water level despite IC shell side not being supplied with water, measuring equipment may have had an error in measurement.

#### (2) IC performance records

IC performance is shown below:



### (3) Assumptions regarding decay heat and shell side water level decrease amount

Since the IC operates for removing decay heat generated within the reactor, the IC operation status was evaluated by comparing decay heat generated in the reactor during IC operation with the residual heat needed to raise IC coolant temperature and potential heat needed to evaporate coolant.

Since Subsystem B only operated for a short time after automatic activation (14:52 to 15:03), evaluation results show Subsystem B coolant (approx. 160 tons per each subsystem) could not have reached 100°C. According to IC coolant temperature records (Fig. 2), those for Subsystem B stopped rising at approx. 70°C. These facts lead to the conclusion that Subsystem B coolant evapolation was minimal<sup>\*1</sup>.

As with Subsystem B, Subsystem A temporarily shut down after automatic activation. Reactor pressure was controlled solely via Subsystem A from then on (activated/shut down three times). Evaluation results show that coolant temperature had reached approx. 100°C by the time of tsunami onslaught. According to IC coolant temperature records (Fig. 2), those for Subsystem A plateaued once around 70°C, but rose to 100°C by the time of tsunami onslaught due to later operations. These generally match evaluation results.

As shown above, Subsystem A coolant temperature is believed to have risen to 100°C by the time of tsunami onslaught. Since the IC was isolated due to tsunami impact, Subsystem A coolant decrease is believed to have been mainly caused by operations which began at 18:18 and 21:30. This proves that the Subsystem A PCV inside isolation valve was open, though the extent is uncertain<sup>\*2</sup>. The specifics of post-tsunami Subsystem A status (e.g. how long and at what levels functions were maintained, how long it remained in operation) are unclear due to the reasons listed in (1) through (3) below.

(1) PCV inside isolation valve openness still unclear after valve openness survey performed on April 1

(2) Hydrogen gas (non-condensed) generated due to fuel temperature increase accumulating in IC heat transfer pipe leading to IC heat removal function decrease

(3) Since reactor water level and pressure are unclear, it is uncertain how much steam was generated within the reactor (reactor pressure drop leads to IC function decrease)

Since 65% of the coolant within Subsystem A remained, it is believed Subsystem A valves were open,

although actual IC heat removal function decreased and IC remained inoperable for a long time after

tsunami due to reasons given in (2) and (3) above.

\*1: If it is assumed Subsystem B coolant was not expended, a 5% increase drift in shell side water level gauge display value (instrument measurement error causing difference between display value and actual values) would be generated. If the same is assumed for Subsystem A shell side water level gauge, then the true Subsystem A shell side water level value would be approx. 60%. If this is the case, then Subsystem A coolant decrease amount would be approx. 30 tons.

\*2: Since Subsystem AIC reactor return water temperature was confirmed to be approx. 140°C as of March 24, the PCV inside isolation valve would not be fully closed, but partially open. Subsystem B valve openness surveys confirmed both PCV outside isolation valves were closed. This supports the theory that temperature was approx. 40°C as of March 24 (see Table 1, Fig. 2)

| No | Measurement area                     | 12:00 on March 11 | 12:00 on March 24     |  |
|----|--------------------------------------|-------------------|-----------------------|--|
| 12 | ISOLATION CONDENSER"A"SHELL          | 23.0°C            | 566.4°C <sup>*3</sup> |  |
| 12 | IC coolant temperature (Subsystem A) | 23.0 C            | 500.4 C               |  |
|    | ISOLATION CONDENSER"A"OUTLET         |                   |                       |  |
| 13 | IC reactor return water temperature  | 25.6°C            | 135.1°C               |  |
|    | (Subsystem A)                        |                   |                       |  |
|    | ISOLATION CONDENSER"A"OUTLET         |                   |                       |  |
| 14 | IC reactor return water temperature  | nperature 25.7°C  |                       |  |
|    | (Subsystem A)                        |                   |                       |  |
| 15 | ISOLATION CONDENSER"B"SHELL          | 23.6°C            | 36.2°C                |  |
| 10 | IC coolant temperature (Subsystem B) | 23.0 C            |                       |  |
|    | ISOLATION CONDENSER"A"OUTLET         |                   |                       |  |
| 16 | IC reactor return water temperature  | 26.0°C            | 38.7°C                |  |
|    | (Subsystem B)                        |                   |                       |  |
|    | ISOLATION CONDENSER"A"OUTLET         |                   |                       |  |
| 17 | IC reactor return water temperature  | 26.9°C            | 38.3°C                |  |
|    | (Subsystem B)                        |                   |                       |  |

Table 1: IC area temperature (Fig. 2 chart printed record read values)

\*3: Still recorded as 574.5 degrees as of October 27. Since atmospherically released IC coolant temperature could not have greatly exceeded 100°C, this is thought to be caused by measurement equipment failure.

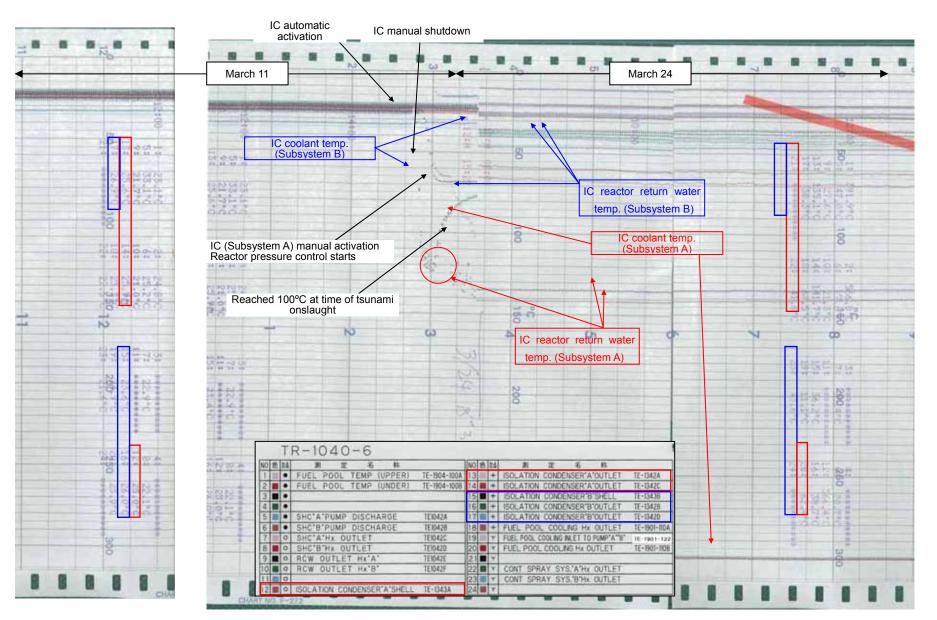


Fig. 2 Temperature near IC

Awareness / assumptions regarding Fukushima Daiichi IC operation status post-tsunami

1. Awareness at station and head office ERC (reason why it was still considered operational)

After reports were received that IC was active post-earthquake, the fact that IC had shut down escaped notice because the station ERC did not receive reports that IC had shut down, received reports that IC steam generation had been confirmed, and received reports that reactor water level was above TAF, etc. Also, since the head office ERC received reports of IC being active while busy with an urgent need to provide information to the government and equivalent external organizations, under initial confusion while attempting to understand earthquake damage status, etc., and under the situations where events specified in the Nuclear Emergency Act occurred, they did not become aware that it had shut down. The background factors that led to IC shutdown escaping notice are outlined below.

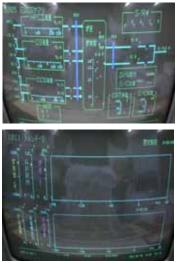
(1) Loss of ability to gain station information visually

The ERC in the seismic isolated building usually displays data from the Safety Parameter Display System (hereinafter referred to as "SPDS") on large forward monitors, as well as on small monitors located at each round table and team area. This allows up-to-the-minute understanding and monitoring of station status.

However, since the SPDS became unusable during this accident, data on station status could not be collected or gained visually, and the only method remaining was of information via the MCR hotline by word of mouth



Layout of SPDS screens at station ERC



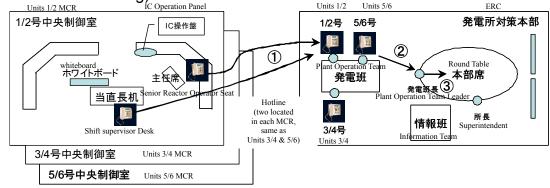
### SPDS screen display (example)

(Allows station parameter and equipment operation status to be gained visually. This screen does not display data since transmission had been halted.) (2) Transfer of information by word of mouth via hotline

There are two hotlines in each MCR, which were connected to the station ERC plant operation team booth. Information transferred from the MCR:

- ① Station ERC plant operation team was contacted via hotline
- ② Information was transferred by word of mouth from the plant operation team to the team leader
- ③ Plant operation team leader reported this information throughout the ERC

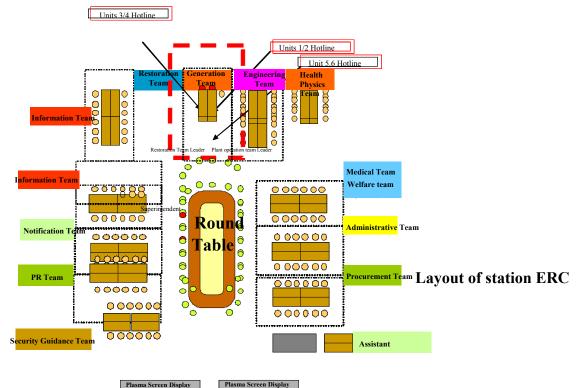
Information was shared for the first time with the station ERC via this process (head office ERC used teleconferencing).



Flow of information between the MCR and station ERC (example)

In a situation greatly deviating from normal accident response prerequisites, the plant operation team collected/organized data reported from each MCR via the hotline in order to understand station status. They would then deliberate accident restoration measures and details of MCR support. The same went for other function teams.

These were the conditions under which the station ERC collated various data (e.g., station data for Units 1 through 6 reported from 12 teams (including the plant operation team and restoration team), inquiries from head office and external organizations) before giving accident response orders and reporting to the head office via teleconferencing.



(3) Flow of IC operation status information

Plant status could not be confirmed visually and communication by word of mouth via the hotline was the only method of information transfer. The flow of IC information under these conditions (MCR  $\rightarrow$  station ERC plant operation team  $\rightarrow$  station ERC) was organized, and the following features were confirmed as a result.

- a. Specific MCR operation details (e.g., valve open/close status) were communicated as-is from the MCR to the station ERC plant operation team.
- b. The station ERC plant operation team reported this information to the station ERC as results of operation.
- c. Information denying earlier reports showing the possibility of IC operation (e.g., reactor water levels) may not have been correctly communicated or given awareness.

| Event                            | MCR  |                          | Plant operation team   |   | ERC  |
|----------------------------------|--|--------------------------|--|---|--|
| Automatic activation             | [15:16] Reactor water<br>level / pressure<br>controlled via IC   |                          |  |   | [15:21] IC activated   |
| Steam<br>generation<br>confirmed |  |                          | [16:44] some type of<br>gas heading out<br>towards left side   |   |  |
| Valves 2A,<br>3A open            | [18:18] IC MO-2A, 3A<br>open (steam generation<br>confirmed)   | Notified<br>as-is<br>(a) | [18:20] Unit 1 MO-2A,<br>3A considered fully<br>open<br>[18:24] Unit 1 IC (A)<br>operation confirmed                                 | Operation<br>results<br>reported<br>(b) | [18:21] IC line<br>assembly<br>completed, injection<br>started<br>[18:25] IC operation<br>confirmed                    |
| 3A closed                        | [18:25] IC MO-3A closed  |                          |  | ×(C)                                    |  |
| DDFP<br>activated                | [20:50] DDFP activated   |                          | [21:17] FP base valve<br>opened → Unit1 fire<br>extinguishing pump<br>activated to fill IC<br>w/water                                |   |  |
| Water<br>level<br>confirmed      | (restoration team<br>communicated<br>information via hotline)  |                          |  |   | [21:19] Unit 1 water<br>level confirmed at<br>TAF +20cm. Line<br>assembled to allow<br>supply water to IC<br>via DDFP. |
| 3A open                          | [21:30] IC MO-3A open<br>(steam generation<br>confirmed)   | Notified<br>as-is<br>(a) | [21:30] IC MO-3A<br>opened, RPV<br>depressurization<br>started<br>[21:34] IC<br>compressed water<br>confirmed to be<br>spouting out! | <b>→</b> ×(C)                           | [21:35] Unit 1 water<br>level TAF +45cm.<br>Supply water to IC<br>via DDFP underway.                                   |
| (based on a                      | level was above TAF, the assumpt<br>ssumption that IC was operating<br>tion method from MCR was supply | , assumed tl             | confirmed to be<br>spouting out!<br>IC operation may have continu<br>hat DDFP activated as alterna                                   |   |  |

# (4) Summary

The actions below had to be carried out under conditions far exceeding normal accident response prerequisites.

- ✓ Limited communication tools; information transfer/sharing by word of mouth via hotline being the only method for communication between station ERC and MCR
- ✓ Station ERC deliberation on response and sharing of plant status not only for Unit 1, but Units 2 through 6 as well
- ✓ Station/head office ERC having to handle inquiries from external organizations in addition to understanding station information and report of station data via teleconferencing

It is speculated that under these circumstances, since IC operation information was reported as being the results of IC operation, etc., this led to gradual differences in awareness on IC operation status between the MCR and station/head office ERC, resulting in the station/head office ERC being continued to believe that the IC was in operation.

# 2. Awareness at MCR

Operators have verified that they no longer knew what the IC operation status was in the post-tsunami MCR. This is considered fact for this report. The results of operator surveys conducted via group deliberation on this topic are outlined below.

# (1) Awareness held by operators

- Instrument and equipment status display lights turned off one after another in the post-tsunami MCR. Lights also turned off, and the MCR was lit only by emergency lighting. It was then that the IC isolation valve status display light turned off as well. Many operators, including the Shift Supervisor, have stated that they no longer knew what the IC operation status was at this time.
- ② The Shift Supervisor has stated that they requested that the station ERC check whether steam was being generated, since IC operation status could not be confirmed from the MCR. They have also stated that they heard from the station ERC that steam was being generated, although they do not remember the exact time. It was the low amount of steam generated that made them doubt whether the IC was operating.
- ③ The station ERC plant operation team had confirmed at 16:44 on March 11 that steam was being generated. It could not be confirmed whether this was due to the request from the Shift Supervisor stated in (2), but the plant operation team member who confirmed that steam was being generated stated that the amount was low. This corroborates the statement from the Shift Supervisor, and gives weight to the

possibility that the check was performed due to a request by the Shift Supervisor.

- ④ The operators who headed into the field for IC field check stated that they went to check IC shell side water level as a part of measures to understand field status. However, none of the operators stated the purpose for their excursion as being the manual opening of the isolation valve under the assumption that the IC had shut down.
- (5) It can be assumed that the actions outlined in (2) and (4) were performed without an awareness of IC operation status.
- ⑥ An opinion differing from those above given by an operator performing IC isolation valve opening/closing prior to tsunami onslaught, stated that "power was lost while the isolation valve (3A) was closed" and that he "told other operators about this." However, none of the other operators stated that they remembered this fact. As stated below, the operator who gave this statement later changed their statement on the position of the isolation valve (3A) operation switch. This change is likely due to various publicly released survey results. It is for these reasons that this statement was not considered a fact for the purposes of this report.
- ⑦ The Shift Supervisor stated that they assumed that the IC could have shut down when issuing the order for field response, since they did not know the operation status of the IC at that time. He also stated that, even if they received reports that the isolation valve (3A) was closed at that time, they would have ordered the same type of field checks at the same time due to the reasons given below.
  - The basement floors of the T/B were flooded by tsunami, the S/B 1F was also flooded, aftershocks continued, a large tsunami alert was in effect, and waves of differing heights continued to strike the seaside area (including ones tall enough to cover seaside area). Field safety could not be checked under these conditions, and since necessary equipment had not been prepared, operators could not have immediately gone out into the field.
  - However, since plant status could not be understood from the MCR while its  $\geq$ monitoring instruments and various status display lamps were turned off, the Shift Supervisor began preparations for field checks for various reasons (e.g., understanding indoor damage conditions and entry routes for future restoration, understanding status of tsunami water damage to power source equipment, checking usability of equipment). The framework for field checks would use teams of two operators, comprised of personnel with in-depth knowledge/experience on field status (e.g., Shift Supervisor, Deputy Shift Supervisor). The reason younger operators were not used was due to the field status being unknown at the time. Destinations were made clear and field checking times limited so help could be sent from the MCR in a worst case scenario.

(Reference) According to reactor pressure charts for that time period, reactor pressure

records stopped while reactor pressure continued rising. It can be assumed that the IC isolation valve (3A) was closed at the time.

(2) Position of return pipe isolation valve (MO-3A) operation switches

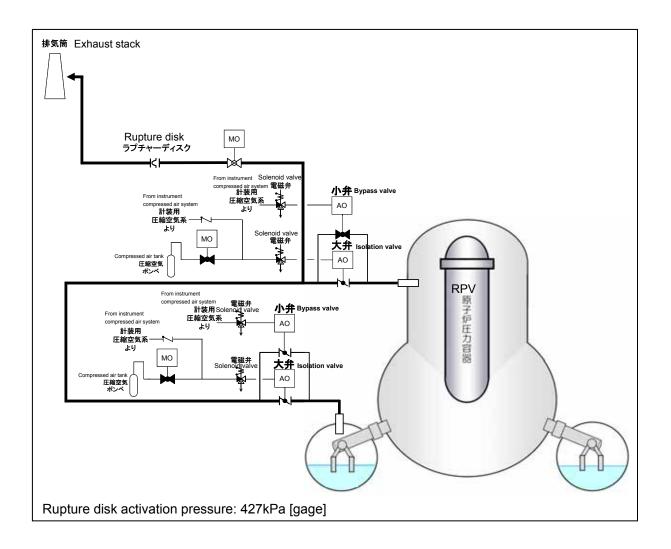
Operation switch position checks are one method of confirming isolation valve (3A) status after tsunami onslaught, but this did not take place. Results of the operator survey regarding this item are shown below.

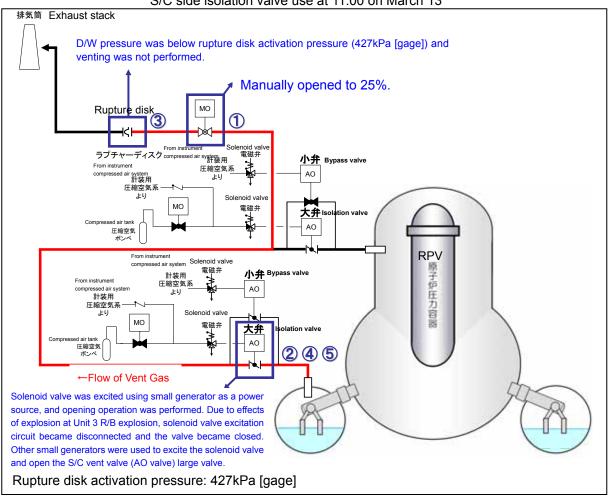
- ① An operator performing opening operation at that time stated that the valve was closed when they checked them prior to work at 18:18. However, they stated they did not remember the position during later surveys. The operator who was next to the one giving the statement at the time stated they put the valve into automated position after closing, but also stated they did not remember later on.
- ② In an unlit MCR after tsunami onslaught, the Shift Supervisor began checking operation panels starting with the ECCS. They made the decision that the situation fell under Article 15 of the Nuclear Emergency Act, but have stated that they did not notice whether the isolation valve operation switch was in the off position during that time.
- ③ The isolation valve (2A, 3A) "closed" display lamp was found to be flashing. Several operators deliberated future response and began opening operation at 18:18. Since the isolation valve (2A) operation switch, which would normally be in the automated position, was in the closed position, it was believed an isolation signal had been received. Therefore, diagrams were investigated by several operators, including the Shift Supervisor. Since several operators, including the Shift Supervisor, had been deliberating the issue, at least one of them should have noticed whether the isolation valve (3A) operation switch was in the "closed" position at the time. However, they have stated that they do not clearly remember the position of the operation switch at the time.

End

# Fukushima Daiichi Unit 2 reactor PCV venting

### Before earthquake occurrence on March 11





S/C side isolation valve use at 11:00 on March 13

[PCV vent valve (MO valve) and S/C vent valve (AO valve) isolation valve opening]

(1) 08:10 on March 13

PCV vent valve (MO valve) was opened to 25% as per procedures.

(2) 11:00 on March 13

Solenoid valve was excited using small generator as a power source, and S/C vent valve (AO valve) isolation valve opening operation was performed. Venting line assembly was completed (excluding rupture disk).

(3) Afterwards

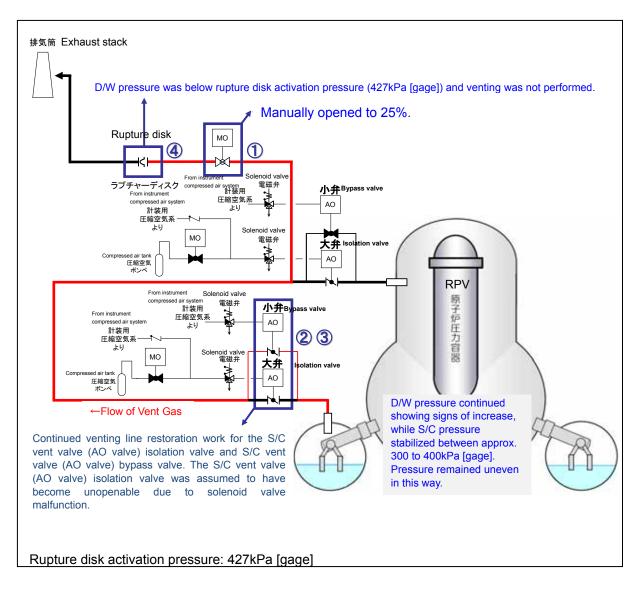
D/W pressure was below rupture disk activation pressure (427kPa [gage]) and venting was not performed. Vent valve was kept open and D/W pressure monitoring continued.

# (4) 12:50 on March 14

Due to effects of explosion at Unit 3 R/B explosion, S/C vent valve (AO valve) isolation valve solenoid valve excitation circuit became disconnected and the valve became closed.

(5) Around 16:00 on March 14

The small generator shut down due to excessive voltage, so other small generators were used to excite the solenoid valve and open the S/C vent valve (AO valve) isolation valve.



### S/C side bypass valve use around 21:00 on March 14

[S/C vent valve (AO valve) bypass valve opening]

(1) 08:10 on March 13

PCV vent valve (MO valve) was opened to 25% as per procedures.

(2) 18:35 on March 14

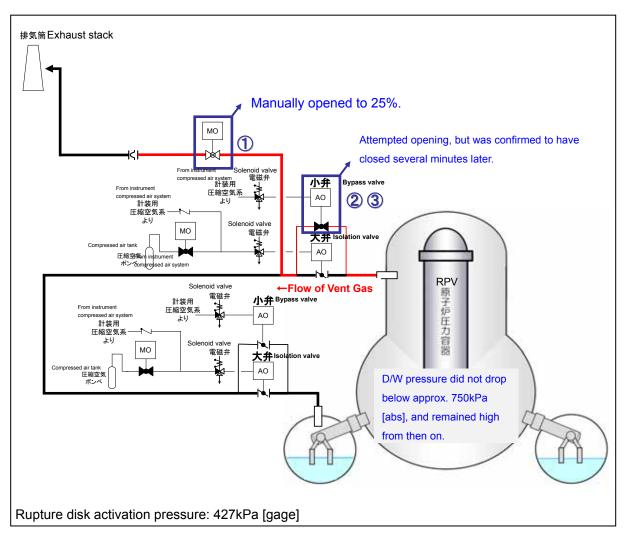
Continued venting line restoration work for the S/C vent valve (AO valve) isolation valve and S/C vent valve (AO valve) bypass valve. The S/C vent valve (AO valve) isolation valve was assumed to have become unopenable due to solenoid valve malfunction (grounding).

(3) Around 21:00 on March 14

The S/C vent valve (AO valve) bypass valve opened slightly due to solenoid valve excitation, and thus venting line assembly was complete (excluding rupture disk).

(4) Afterwards

D/W pressure was below rupture disk activation pressure (427kPa [gage]) and venting was not performed. Vent valve was kept open and D/W pressure monitoring continued.



# D/W side bypass valve use at 00:01 on March 15

[D/W vent valve bypass valve opening (only D/W pressure begins to rise)]

(1) 08:10 on March 13

PCV vent valve (MO valve) was opened to 25% as per procedures.

(2) 23:35 on March 14

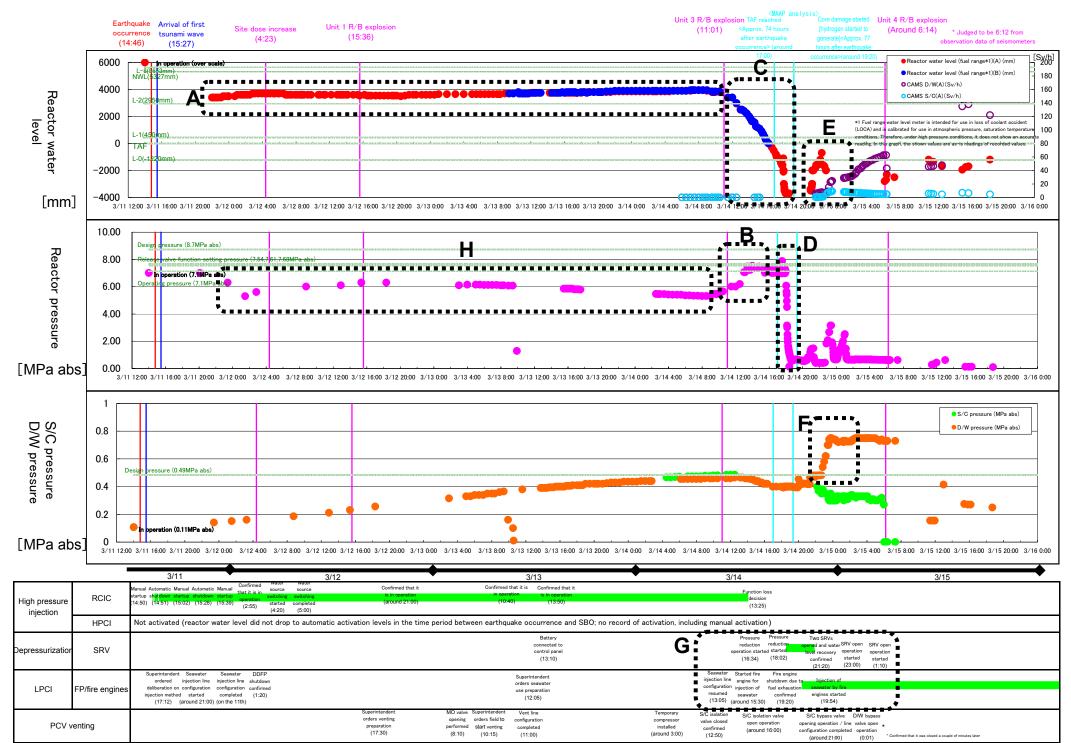
Confirmed that S/C vent valve (AO valve) bypass valve was not open. Increase in D/W pressure led to the determination of a policy where venting would be performed by opening the D/W vent valve (AO valve) bypass valve.

(3) 00:01 on March 15

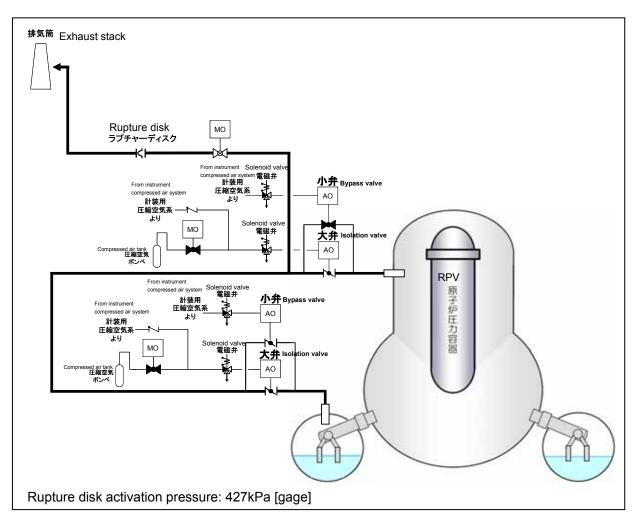
D/W vent valve (AO valve) bypass valve solenoid valve was excited in an attempt to open bypass valve, but it was confirmed to have shut several minutes later.

### Fukushima Daiichi Unit 2 Plant Parameters Transient

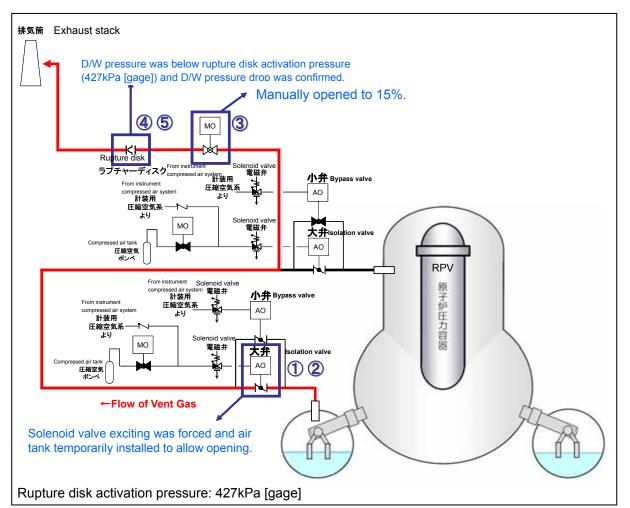
Reference 8-12



# Fukushima Daiichi Unit 3 reactor PCV venting



Before earthquake occurrence on March 11



PCV venting line assembly at 08:41 on March 13

[Venting line assembly completion]

(1) 04:52 on March 13

Small generators were used to force excitation of the solenoid valve to allow S/C vent valve (AO valve) isolation valve opening. However, the openness display remained "closed" and S/C vent valve (AO valve) isolation valve drive air tank pressure display was at 0.

(2) 05:23 on March 13

S/C vent valve (AO valve) isolation valve restoration work began. D/W oxygen concentration gauge correction tank was exchanged with the AO valve drive air tank, and it was confirmed sound.

(3) 08:35 on March 13

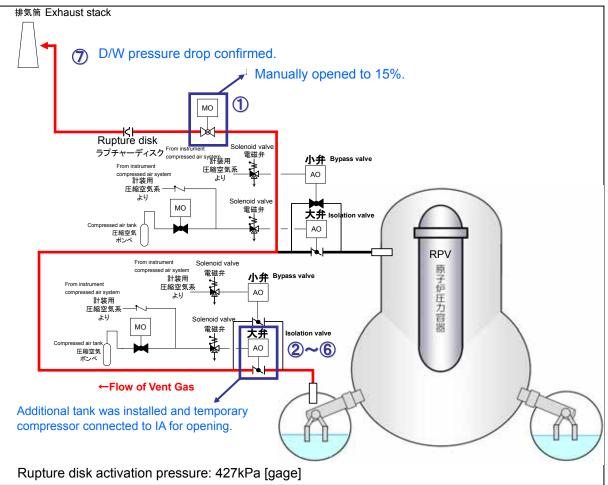
Vent valve (MO valve) was manually opened to 15%.

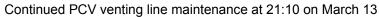
(4) 08:41 on March 13

Venting line assembly completion was reported to the Station ERC, and all that remained was to wait for rupture disk rupturing.

(5) 09:24 on March 13

After D/W pressure rose to 637kPa [abs] (09:10), it was confirmed to have dropped to 540kPa [abs] (09:24). It was reported to the Station ERC around 09:20 that venting had been performed.





[Continued venting line maintenance]

(1) 08:35 on March 13

Vent valve (MO valve) manually opened to 15%.

(2) 09:28 on March 13

Temporary signs of D/W pressure increase confirmed. S/C vent valve (AO valve) isolation valve drive air tank status was checked. Leakage from its connections was confirmed, and repair performed.

(3) 11:17 on March 13

Since S/C vent valve (AO valve) isolation valve closed due to tank pressure loss, opening operation was begun. D/W oxygen concentration gauge correction tank was exchanged with drive tank.

(4) 12:30 on March 13

S/C vent valve (AO valve) isolation valve was confirmed to be opened. D/W pressure drop began later (480kPa [abs] (12:40)  $\rightarrow$  300kPa [abs] (13:00)).

(5) 15:05 on March 13

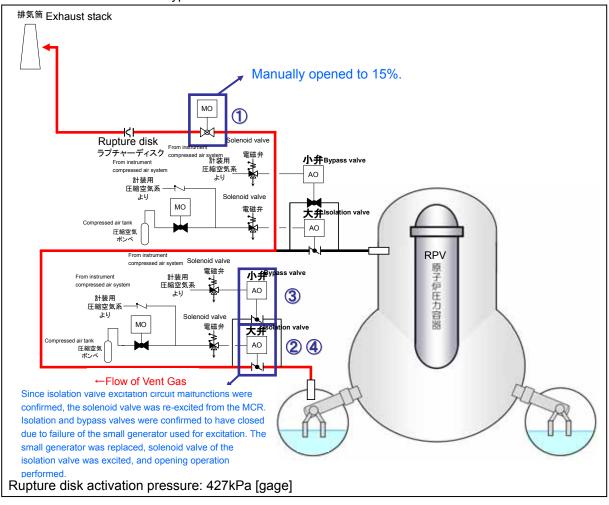
Since D/W pressure began rising again (230kPa [abs] (14:30)  $\rightarrow$  260kPa [abs] (15:00)), a temporary compressor was installed.

(6) Around 19:00 on March 13

Temporary compressor was connected to the IA line and activated.

(7) 21:10 on March 13

It was assumed that S/C vent valve (AO valve) isolation valve opened due to D/W pressure drop.



S/C side bypass and isolation valve use at 05:20 on March 14

[Additional venting line assembly]

(1) 08:35 on March 13

Vent valve (MO valve) was manually opened to 15%.

(2) 03:40 on March 14

Since S/C vent valve (AO valve) isolation valve excitation circuit malfunctions were confirmed, the solenoid valve was re-excited from the MCR.

(3) 05:20 on March 14

Solenoid valve excitation began to open the S/C vent valve (AO valve) bypass valve. This was later completed at 06:10.

(4) 16:00 on March 15

It was confirmed that the S/C vent valve (AO valve) isolation and bypass valve solenoid valves had closed due to failure of the small generator being used to excite them. The small generator was later replaced at 16:05, the S/C vent valve (AO valve) isolation valve solenoid valve was excited, and opening operation performed.

[Later PCV venting]

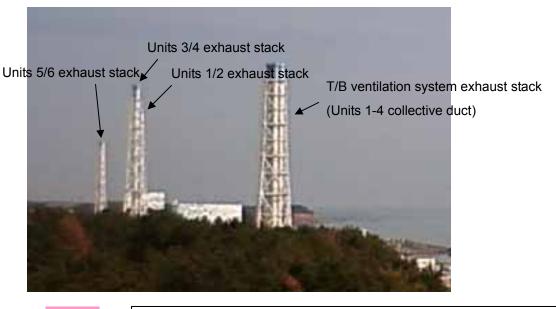
Keeping the S/C vent valve (AO valve) isolation and bypass valves open proved difficult due to problems with keeping the solenoid valves excited for the drive air pressure and air supply lines excited. Thus, opening operation was performed several times.

[S/C vent valve (AO valve) isolation valve]

| March 17    | 21:00     | Confirmed closed $\rightarrow$ Same day | Around 21:30 | Opening operation |
|-------------|-----------|---|--------------|-------------------|
| March 18    | 05:30     | Confirmed closed $\rightarrow$ Same day | Around 05:30 | Opening operation |
| March 19    | 11:30     | Confirmed closed $\rightarrow$ March 20 | Around 11:25 | Opening operation |
| April 8 Aro | und 18:30 | Confirmed closed                        |              |                   |

[S/C vent valve (AO valve) bypass valve]March 1601:55Opening operationApril 8Around 18:30Confirmed closed

### Fukushima Daiichi Unit 3 reactor Primary Containment Vessel (PCV) venting exhaust according to photos from the Fukuichi Live Camera



■Taken at 09:00 on March 13

8:41 – PCV venting line assembly complete (excluding rupture disk)9:24 – D/W pressure drop confirmed

Taken at 10:00 on March 13



Faint steam-like mass seen toward sea side of Units 3/4 exhaust stack (Not seen in photos taken at 11:00 or 12:00)



11:17 – S/C vent valve (large valve) confirmed to be closed via tank pressure release. Drive air tank replaced, opening operation performed.

12:30 - S/C vent valve (AO valve) large valve confirmed to be open

### Taken at 13:00 on March 13



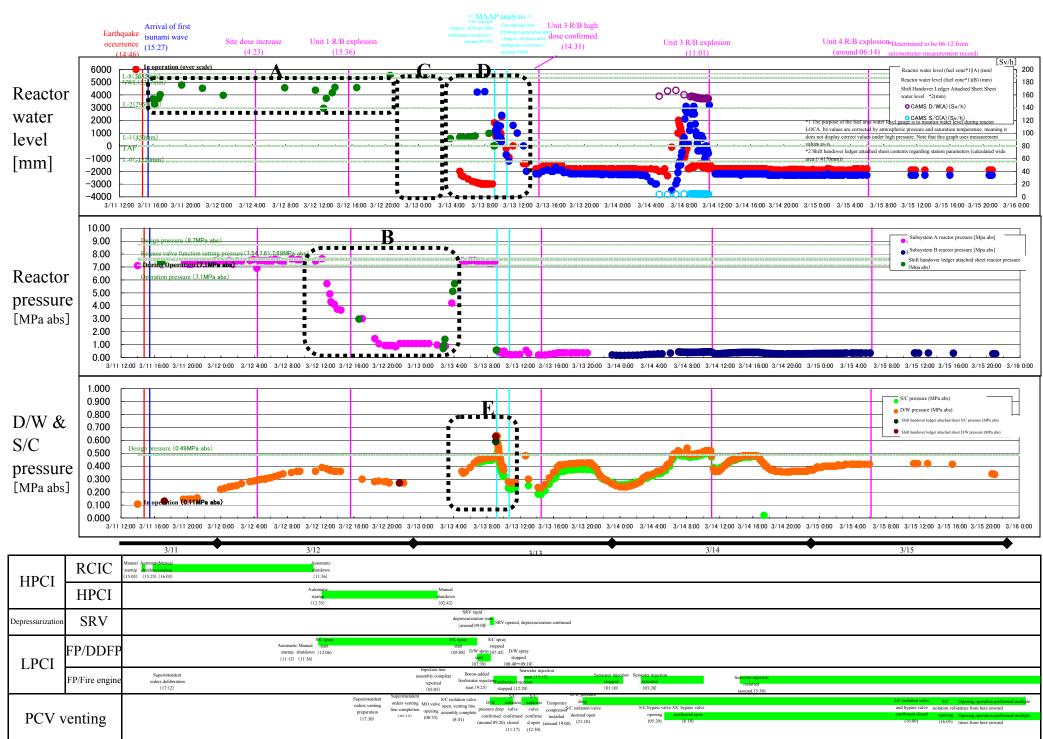
Faint steam-like mass seen toward sea side of Units 3/4 exhaust stack



Taken at 14:00 on March 13

Faint steam-like mass seen toward mountain side of Units 3/4 exhaust stack (Not seen in photos taken after 15:00)

#### Fukushimda Daiichi Unit 3 Plant Parameters Transient



#### Fukushima Daiichi Unit 3 reactor pressure movements

The details of Fukushima Daiichi Unit 3 reactor pressure movements following automatic activation of HPCI are outlined in this document (including estimations). Charts are shown in the latter half of this document, and the numbered items on those charts are explained below.

- ① The Unit 3 HPCI automatically activated at 12:35 on March 12 due to low reactor water level (L-2) caused by reactor water level drop from RCIC shutdown.
- ② Since the HPCI possesses pumps driven by steam turbine, reactor pressure dropped due to reactor steam consumption by the turbine. Reactor injection via HPCI would continue, and reactor pressure stabilized at 1MPa from 19:00 onward.
- ③ Reactor pressure, which had previously stabilized at 1MPa, began showing signs of decrease around 02:00 on March 13. The HPCI was manually shut down at 02:42. Reactor pressure had dropped to 0.58MPa. The HPCI was designed to automatically shut down at 0.69MPa to protect the facility, but interlocking of shutdown did not occur. The reasons for this are unclear.
- ④ Opening of the main steam release SRV was attempted at 02:45 on March 13, but this failed. Reactor pressure at this time was 0.8MPa, and the MCR control panel SRV display light was on. Opening was attempted again at 02:55 (reactor pressure: 1.3MPa), but failed again. The reason it did not open is unclear (possible theorized reasons include: D/W pressure being high while pressure of nitrogen gas needed for opening was relatively low; low pressure difference between reactor pressure and D/W pressure not allowing valve body to move; solenoid valve not being able to open due to power needed for this being used for HPCI oil pump, which would later shut down).
- ⑤ Opening of the main steam release SRV was attempted again at 03:38 on March 13, but this failed. The reason it did not open is unclear (possibly due to relative difference between nitrogen gas and D/W pressures, as stated in (4), or due to lack of power). Minor changes in the reactor pressure chart (reactor pressure decrease) were seen, although this may not be the effects of the above. Prior to this, the Flow Indicatior/Controller display light for the HPCI connected to DC power Subsystem B turned off at 03:35. Attempts were made to activate the RCIC vacuum pump connected to DC power Subsystem A at 03:37, but these failed. Afterwards, the HPCI oil pump and

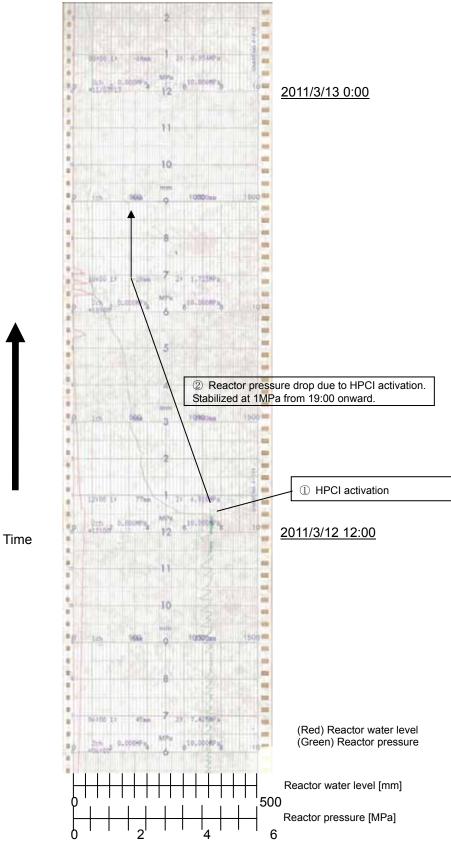
condensate pump were shut down in the field (03:39 and 04:06 respectively).

⑥ After reactor pressure reached approx. 7.4MPa, it is assumed the main steam release SRV automatically opened/closed to control reactor pressure. These movements can be confirmed on the reactor pressure chart. This movement continued until just before 06:00. From that point onward, reactor pressure did not show fluctuations associated with main steam release SRV opening/closing, and remained mostly level. The reason for values plateauing are unclear (possible theorized reasons include: fluctuations stopping due to inability to open/close valve equipment upon nitrogen provision halting after power ran out; some form of reactor leakage, such as leakage from the main steam release SRV sheet path).

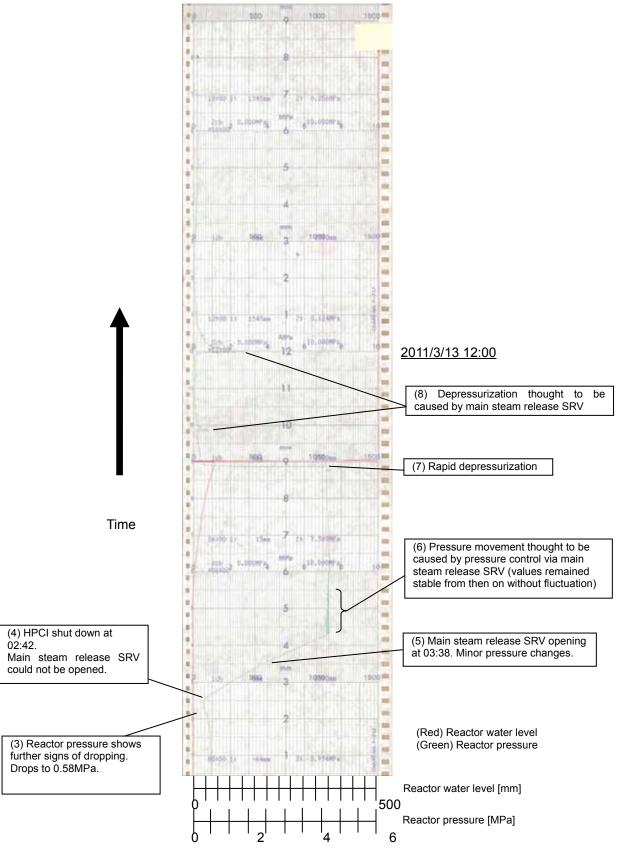
At this time, a temporarily installed battery was being connected to the solenoid valve from the

MCR in order to supply the main steam release SRV with nitrogen gas.

- ⑦ Reactor pressure swiftly dropped around 09:08. Since connection of a battery to the main steam release SRV nitrogen gas supply solenoid valve was completed and opening operation commenced at 09:50, this was not caused by main steam release SRV operation after battery connection. It is believed the main steam release SRV operated for some unknown reason, and this caused depressurization. On the main steam release SRV control panel, both open/close status display lights were on (which means partially open) at the time of depressurization. Although this could be due to leakage from RPV, the possibility is though low due to the reasons stated in (8).
- (8) After reactor depressurization, reactor pressure rose and quickly dropped again twice (just before 10:00 and around 12:00). If RPV leakage continued or main steam release SRV remained open, this type of reactor pressure increase would not have been seen. As stated earlier, connection to the battery had been completed by the times given here, meaning reactor pressure maintenance via main steam release SRV was possible. It is believed that main steam release SRV operation was what caused the depressurizations at those times.

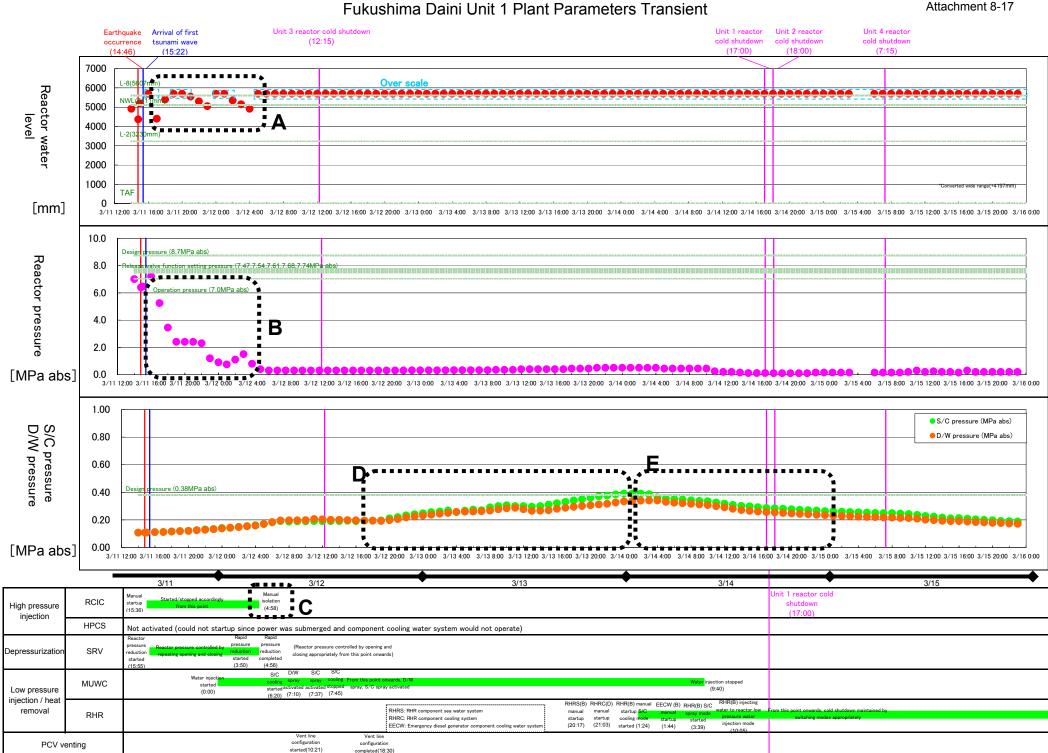


Unit 3 reactor water level / pressure (1/2)

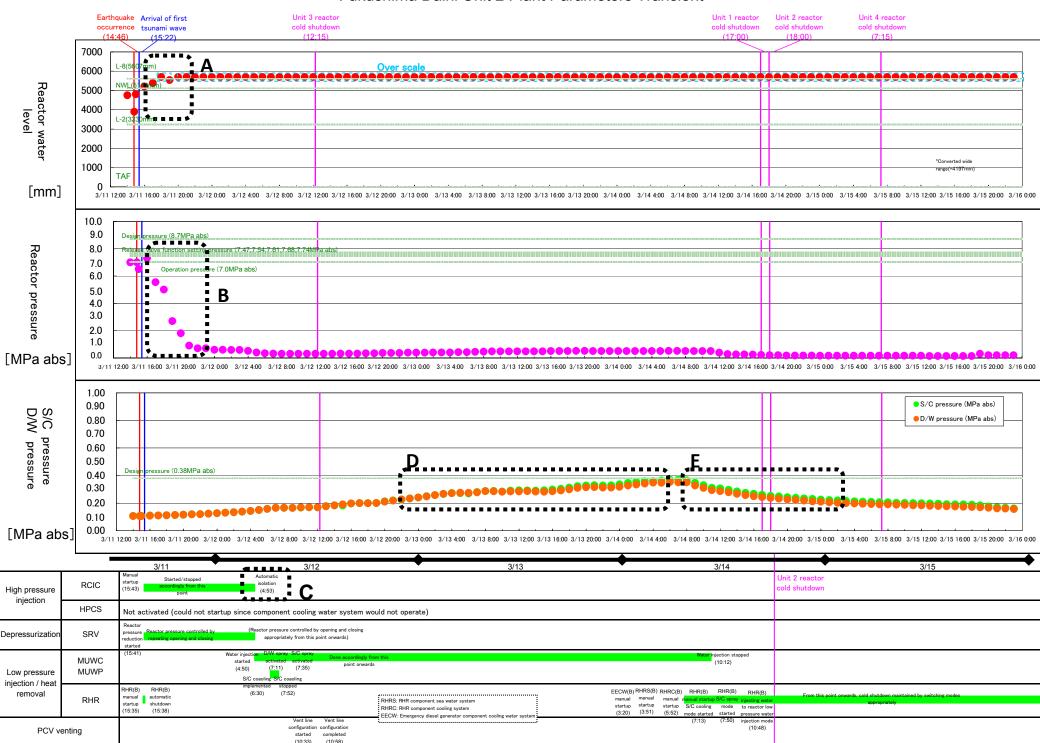


Unit 3 reactor water level / pressure (2/2)

#### Fukushima Daini Unit 1 Plant Parameters Transient

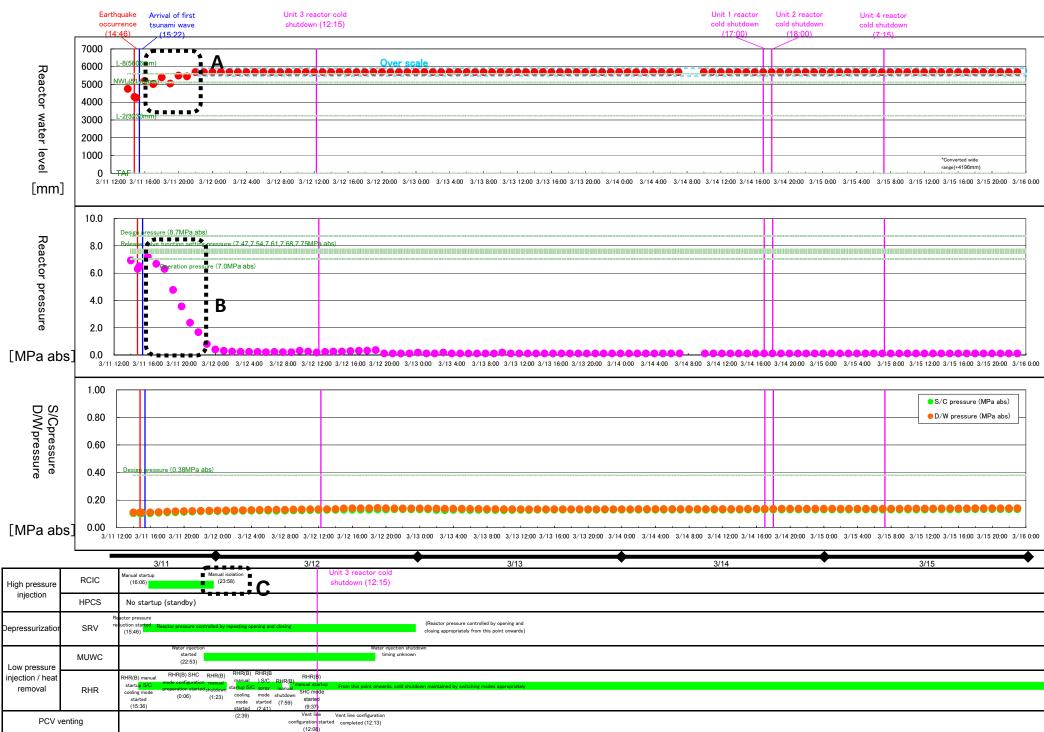


#### Fukushima Daini Unit 2 Plant Parameters Transient



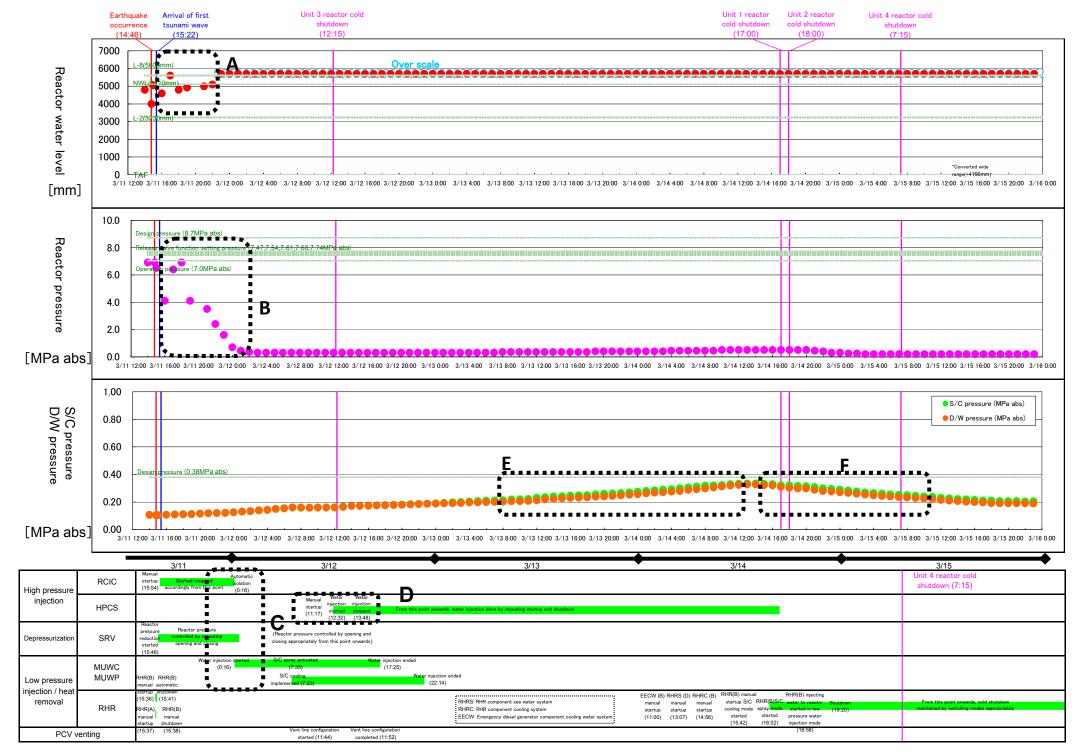
#### Fukushima Daini Unit 3 Plant Parameters Transient

Reference 8-19



#### Fukushima Daini Unit 4 Plant Parameters Transient





#### Fukushima Daiichi Nuclear Power Station (NPS) Spent Fuel Pool (SFP) Water Level Evaluation Method

1. Foreword

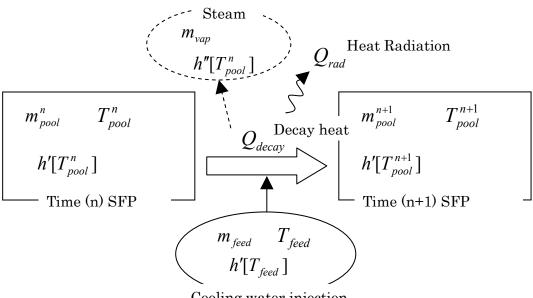
The tsunami caused a loss of normal cooling function to the Unit 1-6 R/B SFP and common pools resulting in a situation where the decay heat from the fuel stored in the SFP could not be removed. If the fuel decay heat cannot be removed, the temperature of the SFP water will rise and SFP water will begin to evaporate. Evaporation of SFP water will cause the SFP water volume to decrease and if the water level decreases remarkably the fuel will become exposed. However, due to the explosions entry to the R/B for Units 1-4 was impossible which made it difficult to ascertain the temperature and level of water in the SFP, so in order to clarify the condition of the SFP, and in particular if the fuel became exposed, an evaluation based on the fuel decay heat and SFP cooling water injection for Units 1-4 is being implemented. The details of evaluation methods for evaluating SFP water level, etc. using this evaluation are discussed in the next chapters.

- 2. SFP water level
  - (1) Evaluation conditions

The following conditions were used for evaluation

① Evaluation model

A summary diagram of the model used for evaluation is shown below. As time progresses from T<sup>n</sup> to T<sup>n+1</sup> SFP water is lost due to evaporation from the decay heat of spent fuel in the SFP. Meanwhile, SFP water level rises by the amount of cooling water being injected during this time. Furthermore, some of the decay heat energy is consumed through heat radiation. When various information related to water level changes is evaluated from the perspective of mass conservation (hereinafter referred to as, "mass balance") and energy conservation (hereinafter referred to as, "energy balance") it becomes possible to estimate pool water levels that change from moment to moment.



Cooling water injection

The following formulas are obtained for water mass balance and energy balance from the diagram above.

(Mass balance formula)

$$m_{pool}^{n+1} = m_{pool}^n + m_{feed} - m_{vap}$$
 • • • Formula (1)

(Energy balance formula)

 $m_{pool}^{n+1}h'[T_{pool}^{n+1}] = m_{pool}^{n}h'[T_{pool}^{n}] + m_{feed}h'[T_{feed}] - m_{vap}h''[T_{pool}^{n}] + (Q_{decay} - Q_{rad}) \quad \cdot \quad \cdot \quad \text{Formula}$ 

 $m_{nool}^{n}$ : Mass of water in SFP at Time (n)  $m_{fred}$ : Mass of water injected into the SFP from Time (n) to (n+1) (0 if cooling water was not injected) : Mass of water that evaporated from Time (n) to (n+1) m<sub>vap</sub> (0 if no water evaporated)  $T_{nool}^{n}$ : SFP water temperature at Time (n) : Temperature of cooling water injected into SFP  $T_{feed}$ h'[T] : Temperature T saturated water enthalpy h''[T] : Temperature T saturated steam enthalpy : Fuel decay heat generated inside SFP during Time (n) to (n+1)  $Q_{decav}$ : Heat radiation from SFP generated during Time (n) to (n+1)  $Q_{rad}$ 

Formula 3 below is obtained from formula 1 and formula 2.

$$m_{pool}^{n}(h'[T_{pool}^{n+1}] - h'[T_{pool}^{n}]) + m_{feed}(h'[T_{pool}^{n+1}] - h'[T_{feed}]) + m_{vap}(h''[T_{pool}^{n}] - h'[T_{pool}^{n}]) = Q_{decay} - Q_{rad}$$
••• Formula (3)

During the time period from immediately after the accident until prior to the beginning of evaporation and prior to cooling water injection, until the evaporation commencement temperature is reached energy is used only to increase the temperature of the SFP water, so formula (3) becomes formula (4). This formula is used to obtain SFP water temperature  $T_{pool}^{n+1}$ .

 $m_{Pool}^{n}(h'[T_{Pool}^{n+1}] - h'[T_{Pool}^{n}]) = Q_{decay} - Q_{rad}$  · · · formula ④

After evaporation begins SFP water temperature remains constant and energy is used only to increase the temperature of injected cooling water to the evaporation point temperature and for steam. Under these conditions formula ③ becomes formula ⑤. This formula is used to obtain boiling volume  $m_{vap}$ . Furthermore,  $m_{pool}^{n+1}$  is obtained from formula ① to obtain the volume variation of SFP water volume (or water level).

$$m_{Feed}(h'[T_{Pool}^{n+1}] - h'[T_{Feed}]) + m_{Vap}(h''[T_{Pool}^{n}] - h'[T_{Pool}^{n}]) = Q_{decay} - Q_{rad} \quad \cdot \quad \cdot \quad \text{Formula} \quad (5)$$

2 Decay heat  $(Q_{decay})$ 

The decay heat of fuel inside the SFP is obtained by calculating the decay heat for each assembly and summing them up for all the stored fuel. Table 1 shows the fuel stored in the SFP and Table 2 shows evaluation values for decay heat at representative times.

Genral-purpose calculation code "ORIGEN", which have been used to

evaluate SFP cooling performance for licensing procedures, was used to calculate decay heat. ORIGEN Version 2.2 with a cross-sectional area library compatible with high burn-up BWR fuels (BWRUE) was used. Values for degree of burn-up and cooling period are used for each fuel assembly.

Output history was fixed using average specific output over the burn-up period. These conditions were used for the licensing procedures because of its resulting conservative estimates of spent fuel decay heat by overestimating the amount of fission products and actinide generated at the end of burn-up by assuming that output at the end of burn-up is higher than in reality where output decreases as a result of a decrease in reactivity. The level of conservativeness was set at 10% of the decay heat for all the SFP stored fuel and a decay heat of 0.9 times of those shown in Table 2 was used during this evaluation. However, all the core fuel that had been burned during the cycle prior to Unit 4 outage was being stored in the SFP, which was under outage on the March 11, so there are fuel with high average specific output and fuel with low average specific output so the conservativeness of homogeneous specific output is offset. Since spent fuel being burned during previous cycle contributes approximately 80% of the entire decay heat, the constant specific output conservativeness of the Unit 4 SFP decay heat is approximately 2% (= 10% x (100-80)%), and a decay heat 0.98 times of those shown in Table 2 was used in this evaluation.

③ SFP water volume  $(m_{pool}^n)$ 

Table 3 shows each SFP water volume. The amount of water when the SFP is filled was set as the designed SFP capacity value, and the volume of the fuel and fuel storage racks, etc. inside the SFP were not considered since they do not impact the assessment of the fuel water level after the commencement of boiling, which is important for this evaluation.

The water volumes in Table 3 are SFP water volume prior to the accident, but it is evaluated conservatively assuming that water level immediately after the accident was reduced by 50cm due to sloshing caused by the earthquake. Furthermore, this evaluation was performed under the assumption that water level in SFPs of plants for which the reactor buildings were damaged by the explosions (Unit 1, Unit 3, Unit 4) dropped by 1m when the buildings were damaged.

When the accident occurred the reactor well next to the SFP of Unit 4, which was undergoing outage work, was completely filled with water. The SFP and well are separated by a pool gate that is designed to seal SFP by being pushed in the direction of the well by the water pressure of the water in the SPF, but if the SAFP water volume decreases the lack of SFP water pressure hinders the gate from functioning like a seal and water from the well will flow into the SFP. The Unit 4 SFP evaluation considers this fact and concludes that if SFP water volume decreases through evaporation when both the SFP and well are completely full then water will flow from the well into the SFP thereby causing the SFP and well to maintain the same water level. Also, if both the SFP and well experience decreases in water level and cooling water is then injected into the SFP only the SFP water level will rise (water will not flow from the SFP into the well). Furthermore, since the steam separator storage pools next to the reactor well were full at the time of the accident, the water volume of the steam separator storage pool is considered to be the same as the reactor well.

(4) Amount of cooling water injected into the SFP ( $m_{fred}$ )

Since SFP water cooling function for Units 1-4 remained unrecovered, cooling water was injected into the SFP from the outside. Tables 4(1) to 4(4) show the cooling water injection records for each unit. The date of cooling water injection, cooling water injection volume, injection measure and cooling water injection rate are shown in the Tables. When there were great discrepancies between the cooling water injection volumes in a Table the largest value was used for evaluation purposes.

Cooling water injection rate refers to the ratio between the actual volume of injected water into the SFP (unmeasured) and the volume of discharged water targeted for SFP (values in Table 4), and considers water that missed the SFP when injected through the upper structure of the reactor building and water leaking from broken pipes, etc. Since the amount of water that was actually injected into the SFP has not been measured it is difficult to calculate cooling water injection rate, however this value was determined based on injection method conditions and water level measurement records. In detail, a value of 0.1 has been determined for cooling water injection using the Fuel Pool Cooling and Clean-up Water System (FPC); and a value of 0.95 for cooling water injection via a concrete pump truck when cooling water injection was assisted by a monitoring camera and 0.7 for all other times. Furthermore, both seawater and fresh water were used for cooling water injection but this evaluation does not differentiate between the two.

(5) SFP water and cooling water injection water temperature  $(T_{nool}^n, T_{freed})$ 

SFP water and cooling water injection water temperature has been set as shown in Table 5. Cooling water injection water temperature has been set at 10°C regardless of injection measure, and initial SFP water temperature has been set at 30°C for all SFPs.

Water temperature during evaporation has been set based on records. As will be mentioned later, the highest value for the Unit 2 SFP water temperature is 70°C while Unit 4 remains steady at 90°C and no temperature increases that exceed this value were seen. It is assumed that this is because the temperature of water in contact with fuel and the temperature of water in contact with the atmosphere balanced and equalized at this temperature. It is assumed that the temperatures of Unit 2 and Unit 4 differ because there was more decay heat from the fuel in the Unit 4 SFP. Since the decay heats of the fuel in the other SFPs are close to Unit 2 values, the same values as Unit 2 were employed.

6 Heat radiation ( $Q_{rad}$ )

The types of heat radiation considered were heat radiated from the surface of the SFP into the atmosphere, and heat radiated from the walls and floor of the SFP. Four wall surfaces were considered for the Unit 4 SFP that does not have radiation heat from the well side wall and three wall surfaces excluding the well side for the other SFPs. The heat transfer coefficients were set based on documentation with the heat transfer coefficient for heat radiated into the atmosphere being set as  $11.6W/m^2 \cdot K$ , and the heat conductivity rate of the SFP walls set at  $1.5W/m^2 \cdot K$  with the external air temperature of  $10^{\circ}C$ . Table 6 shows the heat radiation evaluation results for representative temperatures.

| Table 1 SFP Fuel Storage Con | ditions |
|------------------------------|---------|
|------------------------------|---------|

|        | Number of fuel assemblies stored          |                  |  |
|--------|---|------------------|--|
|        | (numbers in parenthesis indicate new fuel | Storage capacity |  |
|        | assemblies)                               |                  |  |
| Unit 1 | 202 (100)                                 | 000              |  |
| SFP    | 292 (100)                                 | 900              |  |
| Unit 2 | 597 (29)                                  | 1240             |  |
| SFP    | 587 (28)                                  | 1240             |  |
| Unit 3 | 514 (52)                                  | 1220             |  |
| SFP    | 514 (52)                                  | 1220             |  |
| Unit 4 | 1231 (204)                                | 1590             |  |
| SFP    | 1331 (204)                                | 1090             |  |

### Table 2 SFP Decay Heat

|            | Decay Heat (MW)                                       |        |  |  |
|------------|---|--------|--|--|
|            | When the accident occurred 3 months after the accider |        |  |  |
|            | (3/11)  | (6/11) |  |  |
| Unit 1 SFP | 0.18  | 0.16   |  |  |
| Unit 2 SFP | 0.62  | 0.52   |  |  |
| Unit 3 SFP | 0.54  | 0.46   |  |  |
| Unit 4 SFP | 2.26  | 1.58   |  |  |

### Table 3 SFP Water Volume

|            | Water Volume (m <sup>3</sup> ) |  |
|------------|--------------------------------|--|
| Unit 1 SFP | 990                            |  |
| Unit 2 SFP | 1390                           |  |
| Unit 3 SFP | 1390                           |  |
| Unit 4 SFP | 1390*                          |  |

\* : Water volume is 2,790m<sup>3</sup> when the volumes of the reactor well and steam separator storage pool are added.

Table 4 (1) Unit 1 SFP cooling water injection record

| Date of cooling<br>water injection | Cooling water<br>injection volume<br>(t) | Injection measure   | Cooling water injection rate |
|------------------------------------|--|---------------------|------------------------------|
| 3/31                               | 90                                       | Concrete pump truck | 0.7                          |
| 5/20                               | 60                                       | Concrete pump truck | 0.7                          |

| 5/22 | 90 Concrete pump truck |     | 0.7 |
|------|------------------------|-----|-----|
| 5/29 | 168                    | FPC | 1   |
| 6/5  | 15                     | FPC | 1   |

Table 4 (2) Unit 2 SFP cooling water injection record

| Date of cooling | Cooling water        |                   | Cooling water  |
|-----------------|----------------------|-------------------|----------------|
| water injection | injection volume (t) | Injection measure | injection rate |
| 3/20            | 40                   | FPC               | 1              |
| 3/22            | 18                   | FPC               | 1              |
| 3/25            | 30                   | FPC               | 1              |
| 3/29            | 15-30                | FPC               | 1              |
| 3/30            | Under 20             | FPC               | 1              |
| 4/1             | 70                   | FPC               | 1              |
| 4/4             | 70                   | FPC               | 1              |
| 4/7             | 36                   | FPC               | 1              |
| 4/10            | 60                   | FPC               | 1              |
| 4/13            | 60                   | FPC               | 1              |
| 4/16            | 45                   | FPC               | 1              |
| 4/19            | 47                   | FPC               | 1              |
| 4/22            | 50                   | FPC               | 1              |
| 4/25            | 38                   | FPC               | 1              |
| 4/28            | 43                   | FPC               | 1              |
| 5/2             | 55                   | FPC               | 1              |
| 5/6             | 58                   | FPC               | 1              |
| 5/10            | 56                   | FPC               | 1              |
| 5/14            | 56                   | FPC               | 1              |
| 5/18            | 53                   | FPC               | 1              |
| 5/22            | 56                   | FPC               | 1              |
| 5/26            | 53                   | FPC               | 1              |
| 5/30            | 53                   | FPC               | 1              |

| Table 4 (5) Offic 5 SFP cooling water injection record |                      |                     |                |  |  |
|--|----------------------|---------------------|----------------|--|--|
| Date of cooling  | Cooling water        | Injection measure   | Cooling water  |  |  |
| water injection  | injection volume (t) |                     | injection rate |  |  |
| 3/17   | 30                   | Helicopter          | 0.1            |  |  |
| 3/17   | 44                   | Water cannon truck  | 0.1            |  |  |
| 3/17   | 30                   | Water cannon truck  | 0.1            |  |  |
| 3/18   | 40                   | Water cannon truck  | 0.1            |  |  |
| 3/18   | 2                    | Water cannon truck  | 0.1            |  |  |
| 3/19   | 60                   | Water cannon truck  | 0.1            |  |  |
| 3/19   | 2430                 | Water cannon truck  | 0.1            |  |  |
| 3/20   | 1137                 | Water cannon truck  | 0.1            |  |  |
| 3/22   | 150                  | Water cannon truck  | 0.1            |  |  |
| 3/23   | 35                   | FPC                 | 0              |  |  |
| 3/24   | 120                  | FPC                 | 0              |  |  |
| 3/25   | 450                  | Water cannon truck  | 0.1            |  |  |
| 3/27   | 100                  | Concrete pump truck | 0.95           |  |  |
| 3/29   | 100                  | Concrete pump truck | 0.95           |  |  |
| 3/31   | 105                  | Concrete pump truck | 0.95           |  |  |
| 4/2  | 75                   | Concrete pump truck | 0.95           |  |  |
| 4/4  | 70                   | Concrete pump truck | 0.95           |  |  |
| 4/7  | 70                   | Concrete pump truck | 0.95           |  |  |
| 4/8  | 75                   | Concrete pump truck | 0.95           |  |  |
| 4/10   | 80                   | Concrete pump truck | 0.95           |  |  |
| 4/12   | 35                   | Concrete pump truck | 0.95           |  |  |
| 4/14   | 25                   | Concrete pump truck | 0.95           |  |  |
| 4/18   | 30                   | Concrete pump truck | 0.95           |  |  |
| 4/22   | 50                   | Concrete pump truck | 0.95           |  |  |
| 4/26   | 47.5                 | FPC                 | 1              |  |  |
| 5/8  | 60                   | FPC                 | 1              |  |  |
| 5/9  | 80                   | FPC                 | 1              |  |  |
| 5/16   | 106                  | FPC                 | 1              |  |  |
| •  |                      | •                   |                |  |  |

Table 4 (3) Unit 3 SFP cooling water injection record

|                 | ( )                  | P cooling water injection record |                |
|-----------------|----------------------|----------------------------------|----------------|
| Date of cooling | Cooling water        | Injection measure                | Cooling water  |
| water injection | injection volume (t) | -                                | injection rate |
| 3/20            | 80                   | Water cannon truck               | 0.1            |
| 3/20            | 80                   | Water cannon truck               | 0.1            |
| 3/21            | 92.2                 | Water cannon truck               | 0.1            |
| 3/22            | 150                  | Concrete pump truck              | 0.7            |
| 3/23            | 125                  | Concrete pump truck              | 0.7            |
| 3/24            | 150                  | Concrete pump truck              | 0.7            |
| 3/25            | 150                  | Concrete pump truck              | 0.7            |
| 3/27            | 125                  | Concrete pump truck              | 0.7            |
| 3/30            | 140                  | Concrete pump truck              | 0.7            |
| 4/1             | 180                  | Concrete pump truck              | 0.7            |
| 4/3             | 180                  | Concrete pump truck              | 0.7            |
| 4/5             | 20                   | Concrete pump truck              | 0.7            |
| 4/7             | 38                   | Concrete pump truck              | 0.7            |
| 4/9             | 90                   | Concrete pump truck              | 0.7            |
| 4/13            | 195                  | Concrete pump truck              | 0.7            |
| 4/15            | 140                  | Concrete pump truck              | 0.7            |
| 4/17            | 140                  | Concrete pump truck              | 0.7            |
| 4/19            | 40                   | Concrete pump truck              | 0.7            |
| 4/20            | 100                  | Concrete pump truck              | 0.7            |
| 4/21            | 140                  | Concrete pump truck              | 0.7            |
| 4/22            | 200                  | Concrete pump truck              | 0.95           |
| 4/23            | 140                  | Concrete pump truck              | 0.95           |
| 4/24            | 165                  | Concrete pump truck              | 0.95           |
| 4/25            | 210                  | Concrete pump truck              | 0.95           |
| 4/26            | 130                  | Concrete pump truck              | 0.95           |
| 4/27            | 85                   | Concrete pump truck              | 0.95           |
| 5/5             | 270                  | Concrete pump truck              | 0.95           |
| 5/6             | 180                  | Concrete pump truck              | 0.95           |
| 5/7             | 120                  | Concrete pump truck              | 0.95           |
| 5/9             | 100                  | Concrete pump truck              | 0.95           |
| 5/11            | 120                  | Concrete pump truck              | 0.95           |
| 5/13            | 100                  | Concrete pump truck              | 0.95           |
| 5/15            | 140                  | Concrete pump truck              | 0.95           |

Table 4 (4) Unit 4 SFP cooling water injection record

| Cooling water injection 10°C |   |      |  |  |
|------------------------------|---|------|--|--|
| SFP water                    | Initial value (prior to accident)             | 30°C |  |  |
|                              | During evaporation (other than Unit 4<br>SFP) | 70°C |  |  |
|                              | During evaporation (Unit 4 SFP)               | 90°C |  |  |

### Table 5 SFP water and cooling water injection water temperature

## Table 6 Heat radiation evaluation results for representative SFP temperatures

|                                 | Unit 1 | Unit 2 | Unit 3 | Unit 4 |
|---------------------------------|--------|--------|--------|--------|
| SFP water temperature (°C)      | 70     | 70     | 70     | 90     |
| Amount of radiated heat<br>(MW) | 0.08   | 0.11   | 0.11   | 0.16   |

Results of Fukushima Daiichi Unit 1 Spent Fuel Pool (SFP) Status Investigation

#### 1. SFP Status

As of March 11, 2011, 292 assemblies of spent fuel and 100 assemblies of new fuel were stored in the SFP of the Fukushima Daiichi Nuclear Power Station Unit 1. Decay heat has been evaluated to be 0.18MW as of March 11, and 0.16MW as of June 1. Chart 1 shows the number of fuel assemblies that were stored in the Unit 1 SFP.

When the tsunami caused by the Tohoku-Chihou-Taiheiyo-Oki Earthquake that occurred at 14:46 on March 11 struck, all AC power sources were lost and functions for cooling and filling the SFP with water were lost. At 15:36 on March 12, the R/B was damaged by a hydrogen explosion and the ceiling fell on top of the SFP. However, the ceiling did not fall all the way to the operating floor and got caught up on the ceiling crane, etc. thereby coming to a halt in the space above the operating floor.

On March 31, when concrete pump trucks were used to spray water (fresh water) on the building for the first time, steam was seen emanating from the top of the R/B. Furthermore, although the cause-and-effect relationship is unclear in this instance, D/W pressure also dropped.

On April 1, when the upper structure of the R/B was observed using a camera mounted on the concrete pump truck from the positional relationship it was estimated that a part of the ceiling had fallen onto the operating floor. However, it had fallen near the border between the SFP and the floor and the exact location where it came to rest could not be ascertained.

On May 14, attempts are made to spray water using a concrete pump truck however these attempts were abandoned due to strong winds. The status of the upper structure of the R/B and the operating floor was confirmed.

On May 20, water was sprayed using a concrete pump truck, however scattered debris from the fallen ceiling hindered operations and cooling water could not be injected directly into the SFP. Consequently, it was impossible to confirm whether or not SFP water was replenished. On May 22 water was sprayed using a concrete pump truck while observing the operation with the camera. However, clear proof of whether or not cooling water injection was being achieved could still not be obtained. It is unclear whether or not cooling water injection implemented with concrete pump trucks up until this point were effective or not.

On May 28, a cooling water injection test using FPC pipes that use fresh water as a water source was implemented, and when actual cooling water injection was implemented the following day, an increase in the skimmer surge tank level was confirmed so it was confirmed that the SFP tank was full with water.

On June 5, cooling water injection via FPC piping was implemented again. Skimmer surge tank levels increased at the point when cooling water injection equivalent to the amount of water that it is estimated had evaporated since May 29 concluded.

Since it became possible to predict changes in SFP water volume cooling water injection was implemented approximately once a month until alternative cooling systems could be introduced in order to maintain SFP water level by replenishing the amount of water that had evaporated. Chart 2 shows the Unit 1 SFP cooling water injection records.

Furthermore, at 11:22 on August 10 SFP cooling began using alternative cooling systems (refer to Figure 1). The water temperature when cooling commenced was approximately 47°C (temperature at the inlet to the alternative cooling system) and reached equilibrium on around August 27 with water temperature stabilizing at approximately 30°C.

| 7x7                             | 68  |
|---------------------------------|-----|
| 8x8                             | 6   |
| STEP2                           | 218 |
| Spent fuel total                | 292 |
| New fuel (STEP3-B)              | 100 |
| Total number of fuel assemblies | 392 |

Chart 1 Number of fuel assemblies stored in the Unit 1 SFP

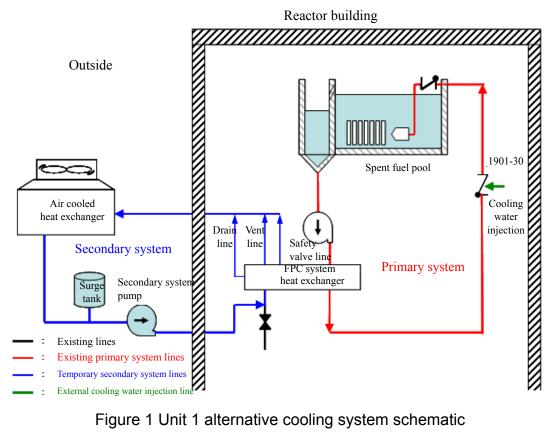


Figure 1 Unit 1 alternative cooling system schematic

|  |  |                                  | As of 9:00 8/12  |  |
|--|--|----------------------------------|--|--|
|  |  | Total amount of<br>cooling water | Approx. 588 (t)  |  |
| Date/Time  | Measure                                  | Туре                             | Cooling water volume (t)   |  |
| 3/31<br>13:03-16:04  | TEPCO concrete pump truck<br>(62m class) | Fresh water                      | 90   |  |
| 4/2<br>17:16-17:19   | TEPCO concrete pump truck<br>(62m class) | Fresh water                      | (water spraying position confirmed)  |  |
| 5/14<br>15:07-15:18<br>(water sprayed)                     | TEPCO concrete pump truck<br>(62m class) | Fresh water                      | —<br>(water spraying postponed due to<br>strong winds)   |  |
| 5/20<br>15:06-16:15<br>(water sprayed)                     | TEPCO concrete pump truck<br>(62m class) | Fresh water                      | 60<br>(Approx. 90t were to be sprayed<br>but the operation was postponed<br>due to strong winds) |  |
| 5/22<br>15:33-17:09<br>(water sprayed)                     | TEPCO concrete pump truck<br>(62m class) | Fresh water                      | 90   |  |
| 5/28<br>16:47-17:00<br>(water sprayed)                     | FPC                                      | Fresh water                      | 5<br>(leak test)   |  |
| 5/29<br>11:10-15:35  | FPC                                      | Fresh water                      | 168  |  |
| 6/5<br>10:16-10:48   | FPC                                      | Fresh water                      | 15   |  |
| 7/5<br>15:10-17:30   | FPC                                      | Fresh water                      | 75   |  |
| 8/5<br>15:20-17:51   | FPC                                      | Fresh water                      | 75   |  |
| 8/10<br>8:59-9:19  | FPC                                      | Fresh water                      | 10   |  |
| 8/10<br>10:06<br>(Alternative cooling<br>system activated) | SFP circulation cooling device           | Fresh water                      | -  |  |

## Chart 2 Unit 1 SFP cooling water injection record As of 9:00 8/12

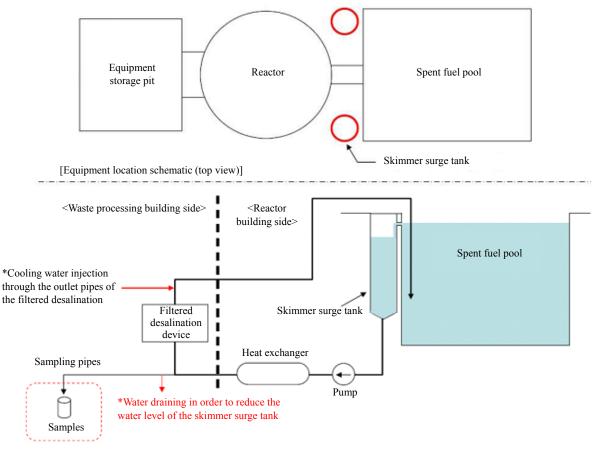
#### 2. Items verified through the investigation

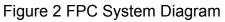
(1) Unit 1 skimmer surge tank water sampling

On June 22, 2011 and August 19, 2011 samples of water that flowed from the SFP into the skimmer surge tank at Unit 1 were taken and the sampled water was analyzed for radioactive material nuclear species (analysis dates: June 22, August 19). Figure 2 is a system diagram of the FPC system including the skimmer surge tank, and Chart 3 shows the analysis results.

An evaluation based on the analysis results is as follows.

- Unit 1 was stopped on March 25, 2010 for periodic inspection, and since the even fuel removed from reactor with the shortest cooling period would take at least approximately one year to cool, it is unlikely that I-131, which is a nuclear species with a short half-life (approximately 8 days), could have been discharged from the fuel stored in the SFP and it is more likely that it originated from the reactor.
- In regard to the discharge path of greater radioactivity originating from the reactor, it is highly possible that radioactive nuclides originating from the reactor adhered to condensed steam water, dust, and scattered debris within the reactor building and melted into SFP water.





|                                   |                     | Concentration (Bq/cm <sup>3</sup> ) |                           |  |   |
|-----------------------------------|---------------------|-------------------------------------|---------------------------|--|---|
| Detected<br>nuclear Ha<br>species | Half-life           | Sampled on 6/22                     | Sampled on<br>8/19        | (reference) Unit 1<br>SFP water (2/11) | (reference) Unit 1 T/B<br>subfloor puddles (3/26) |
| Cs-134                            | Approx. 2 years     | 12,000                              | 18,000                    | Below detection<br>limits              | 1.2×10 <sup>5</sup>                               |
| Cs-137                            | Approx. 30<br>years | 14,000                              | 23,000                    | 0.078                                  | 1.3×10⁵   |
| I-131                             | Approx. 8 days      | 68                                  | Below<br>detection limits | Below detection limits                 | 1.5×10⁵   |

### (2) Unit 1 SFP water level evaluation

Figure 3 shows the results of the Unit 1 SFP evaluation. Results of the valuation estimate that water levels decreased by March 13 as a result of explosions and sloshing caused by the earthquake. Thereafter water level

was maintained until the water temperature reached the evaporation commencement temperature of 70°C after which water level is estimated to have dropped as a result of evaporation. Water level was replenished as a result of the cooling water injection of March 31 and the cooling water injection via a PC piping at the end of May and the SFP was confirmed to be full of water due to an increase in skimmer surge tank levels on May 29 and June 5 [Figure 4]. 413 tons of cooling water had to be injected to fill the SFP and since it is difficult to imagine that all of this water found its way into the SFP, it is assumed that the amount of water lost from the time of the accident until the SFP was confirmed to be full is lower than this amount. Abnormal water level the SFP holds approximately 1,000t, and since the depth of the SF P is approximately 3 times that of the active length of the fuel, it is assumed that the water level of the Unit 1 SFP was maintained and that the fuel did not become exposed. Furthermore, in comparison with the SFP of other units, since the Unit 1 SFP has a smaller decay heat even though cooling water was not injected for over a month the decrease in water level was minimal and it has been evaluated that as of the end of June the water level was approximately 6 m above the fuel racks.



Figure 3 Unit 1 SFP evaluation results

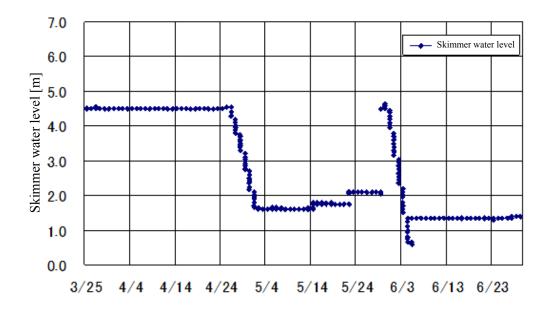


Figure 4 Unit 1 skimmer surge tank level

Results of Fukushima Daiichi Unit 2 Spent Fuel Pool (SFP) Status Investigation

### 1. SFP Status

As of March 11, 2011, 587 assemblies of spent fuel and 28 assemblies of new fuel were stored in the SFP of the Fukushima Daiichi Nuclear Power Station Unit 2. Decay heat has been evaluated to be 0.62MW as of March 11, and 0.52MW as of June 11. Chart 1 shows the number of fuel assemblies that were stored in the Unit 2 SFP.

When the tsunami caused by the Tohoku-Chihou-Taiheiyo-Oki Earthquake that occurred at 14:46 on March 11 struck all AC power sources were lost and functions for cooling and filling the SFP with water were lost. At 15:36 on March 12, the Unit 1 R/B was damaged by a hydrogen explosion and it is hypothesized that this explosion blew out the blowout panels of the Unit 2 R/B. It is unclear when it began but white mist was seen being discharged from the blowout panels.

On March 20 existing FPC pipes that use seawater as a water source were used for cooling water injection. On March 22 when cooling water injection was implemented again the skimmer surge tank level rose thereby confirming that the SFP had been filled completely. Since fresh water sources were able to be used after March 29 the amount of seawater injected as cooling water was 88t.

On April 16, samples of skimmer tank water (water that overflowed from the SFP) were taken.

On April 10, hydrazine was injected during cooling water injection using existing FPC pipes in order to prevent corrosion and thereafter 1,082t of cooling water was injected at fixed intervals until alternate cooling systems were put in service.

On May 31 at 17:21, SFP cooling via alternate cooling systems (refer to Figure 1) began, however on June 1 cooling water was injected due to a drop in skimmer surge tank level. The water temperature when cooling commenced was 70°C (SFP thermometer indicator) and reached equilibrium on around June 5 with water temperature stabilizing at approximately 30°C. Chart 2 shows the cooling water injection record for the Unit 2 SFP.

| 7x7                             | 3   |
|---------------------------------|-----|
| STEP2                           | 248 |
| STEP3-B                         | 336 |
| Spent fuel total                | 587 |
| New fuel (STEP3-B)              | 28  |
| Total number of fuel assemblies | 615 |

Chart 1 Number of fuel assemblies stored in the Unit 2 SFP

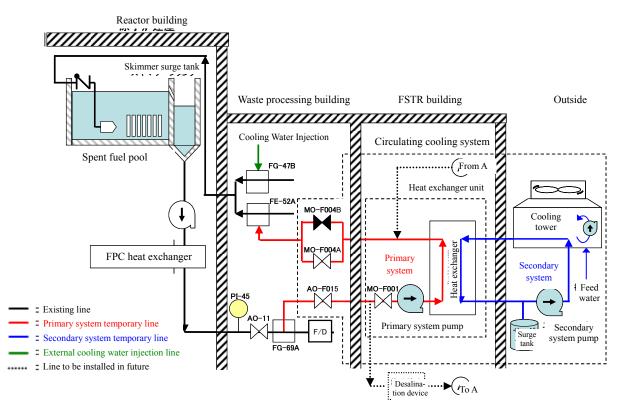


Figure 1 Alternate cooling system diagram

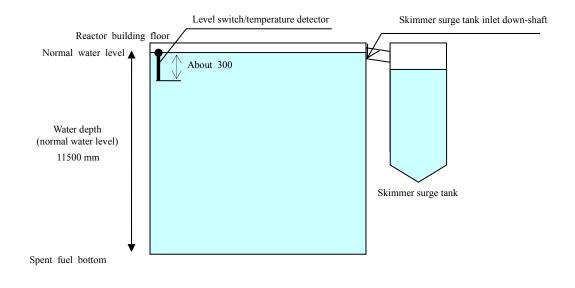


Figure 2 SFP schematic

|                     |         |                         | As of 9:00 8/12                    |  |
|---------------------|---------|-------------------------|------------------------------------|--|
|                     |         | Cooling water injection | (Maximum)                          |  |
|                     |         | volume total            | Approx. 1,122(t)                   |  |
| Date/Time           | Measure | Туре                    | Cooling water injection volume (t) |  |
| 3/20<br>15:05-17:20 | FPC     | Seawater                | 40                                 |  |
| 3/22<br>16:07-17:01 | FPC     | Seawater                | 18                                 |  |
| 3/25<br>10:30-12:19 | FPC     | Seawater                | 30                                 |  |
| 3/29<br>16:30-18:25 | FPC     | Fresh water             | 15-30                              |  |
| 3/30<br>19:05-23:50 | FPC     | Fresh water             | Below 20                           |  |
| 4/1<br>14:56-17:05  | FPC     | Fresh water             | 70                                 |  |
| 4/4<br>11:05-13:37  | FPC     | Fresh water             | 70                                 |  |
| 4/7<br>13:29-14:34  | FPC     | Fresh water             | 36                                 |  |
| 4/10<br>10:37-12:38 | FPC     | Fresh water             | 60                                 |  |
| 4/13<br>13:15-14:55 | FPC     | Fresh water             | 60                                 |  |
| 4/16<br>10:13-11:54 | FPC     | Fresh water             | 45                                 |  |
| 4/19<br>16:08-17:28 | FPC     | Fresh water             | 47                                 |  |
| 4/22<br>15:55-17:40 | FPC     | Fresh water             | 50                                 |  |
| 4/25<br>10:12-11:18 | FPC     | Fresh water             | 38                                 |  |
| 4/28<br>10:15-11:28 | FPC     | Fresh water             | 43                                 |  |
| 5/2<br>10:05-11:40  | FPC     | Fresh water             | 55                                 |  |
| 5/6<br>9:36-11:16   | FPC     | Fresh water             | 58                                 |  |
| 5/10<br>13:09-14:45 | FPC     | Fresh water             | 56                                 |  |
| 5/14<br>13:00-14:37 | FPC     | Fresh water             | 56                                 |  |
| 5/18<br>13:10-14:40 | FPC     | Fresh water             | 53                                 |  |
| 5/22<br>13:02-14:40 | FPC     | Fresh water             | 56                                 |  |
| 5/26<br>10:06-11:36 | FPC     | Fresh water             | 53                                 |  |

# Chart 2 Unit 2 SFP cooling water injection record

| 5/30<br>12:06-13:52   | FPC                                  | Fresh water | 53 |
|---|--------------------------------------|-------------|----|
| 5/31<br>10:47-11:04 (Primary<br>system flooded)<br>11:40-11:50 (leak test)<br>17:21-Alternate cooling<br>system activated (put into<br>service after testing) | SFP<br>circulation cooling<br>device | Fresh water |    |
| 6/1<br>6:06-6:53<br>(Due to decrease in<br>skimmer surge tank water<br>level)   | FPC                                  | Fresh water | 25 |

- 2. Items verified through the investigation
  - (1) Unit 2 skimmer surge tank water sampling

At Unit 2, water that leaked into the skimmer surge tank from the SFB was sampled on April 16, 2011 and August 19, 2011, and analyzed for radioactive material nuclear species (analysis date: April 17, August 19). Chart 3 shows the analysis results.

|                                |                  | Concentration (Bg/cm <sup>3</sup> ) |                               |   |  |
|--------------------------------|------------------|-------------------------------------|-------------------------------|---|--|
| Detected<br>nuclear<br>species | Half-life        | Sampled<br>4/16                     | Sampled<br>8/19               | (Reference) Unit<br>2 SFP water<br>(2/10) | (Reference) Unit 2<br>turbine building<br>basement puddles<br>(3/27) |
| Cs-134                         | Approx. 2 years  | 160,000                             | 110,000                       | Below detectable<br>limits                | 3.1×10 <sup>6</sup>  |
| Cs-137                         | Approx. 30 years | 150,000                             | 110,000                       | 0.28                                      | 3.0×10 <sup>6</sup>  |
| I-131                          | Approx. 8 days   | 4,100                               | Below<br>detectable<br>limits | Below detectable<br>limits                | 1.3×10 <sup>7</sup>  |

Chart 3 Unit 2 skimmer surge tank water analysis results

The following is an evaluation based on these analysis results.

- Unit 2 was stopped on September 16, 2010 for periodic inspection, and since even fuel removed from reactor with the shortest cooling period would take at least approximately seven months to cool, it is unlikely that I-131, which is a nuclear species with a short half-life (approximately 8 days), could have been discharged from the fuel stored in the SFP and it

Attachment 9-3 (6/8)

is more likely that it originated from the reactor.

- In regard to the discharge path of greater radioactivity originating from the reactor, it is highly possible that radioactive nuclides that leaked from the Unit 2 PCV adhered to condensed steam water and dust within the reactor building (R/B) and melted into SFP water. Since the Unit 2 R/B was not damaged, it is hypothesized that there was no impact from radioactivity that came flying from the Unit 1 and Unit 3 reactors and it is highly possible that the radioactivity originated from the Unit 2 reactor.

### (2) Unit 2 SFP water level evaluation

Figure 3 shows the Unit 2 SFP evaluation results along with actual measurements.

Evaluation results assume that water level decreased as a result of sloshing caused by the earthquake and that the further decrease thereafter was the result of evaporation, however water level gradually recovered with each cooling water injection. The jagged line shows how water level decrease due to evaporation was balanced with cooling water injection and in the end water level was restored to near full.

Furthermore, when cooling water in injection was implemented on March 22 using existing FPC pipes that use seawater as a source, skimmer surge tank levels increased so it was confirmed that the SFP was full [Figure 4]. A total of 58t of cooling water was injected before the SFP became full so assuming that this was the amount of water lost after the accident, compared with the approximate 1,400t of SFP water at normal water levels, this amount is considerably small.

Based on this information, it is assumed that the water level of the Unit 2 SFP was maintained and that the fuel was not exposed.

Since the Unit 2 reactor building was not heavily damaged, it was possible to inject cooling water using the existing FPC and cooling water injection was implemented periodically using the aforementioned line. The Unit 2 SFP water level was confirmed by implying the principle that when the SFP is full it overflows and water flows into the skimmer surge tank causing the skimmer surge tank water level gauge to rise. In other words, an increase in skimmer surge tank water levels indicates that the SFP is full of water. Figure 3 shows the water level measurements indicating this. It is clear from Figure 3 that

Attachment 9-3 (7/8)

water level evaluation values closely match measurements. It is presumed that the reason why the evaluation values are lower than measurement values (full of water) from the middle of March until the end of March is because the impact of sloshing was initially overestimated. Furthermore, at Unit 2 the existing SFP water thermometer is operational and periodically used to take measurements which are shown in the figure. Immediately after cooling water injection the water temperature rises to near 70°C and then decreases to approximately 50°C 1 to 2 days later, a pattern which is repeated thereafter. This is because the thermometer was exposed as SFP water level he creased, so the temperature indicated is not the temperature of the water but rather of the surrounding atmosphere.

After the alternate cooling system was put in service at 17:21 on May 31, cooling of the SFP water continued and water temperature became approximately 30°C (34°C as of 14:00 on July 7).

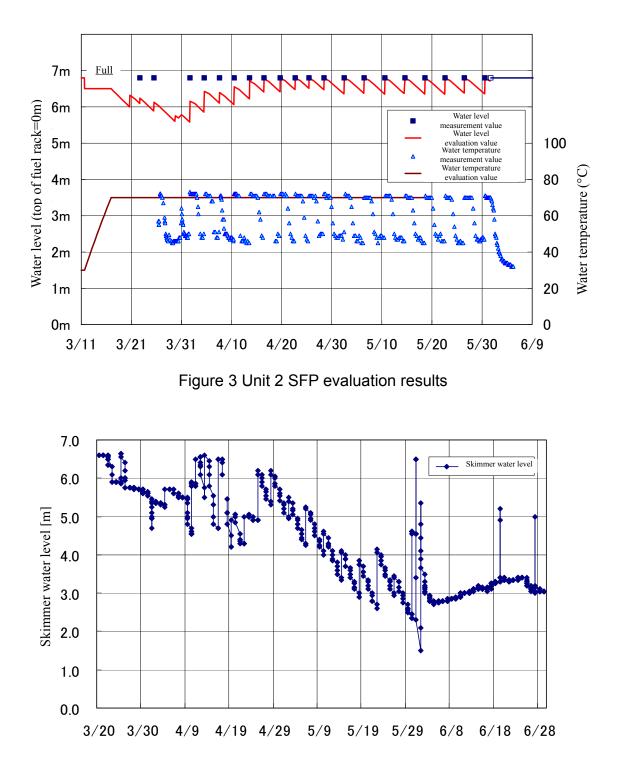


Figure 4 Unit 2 skimmer surge tank levels

Results of Fukushima Daiichi Unit 3 Spent Fuel Pool (SFP) Status Investigation

#### 1. SFP Status

As of March 11, 2011, 514 assemblies of spent fuel and 52 assemblies of new fuel were stored in the SFP of the Fukushima Daiichi Nuclear Power Station Unit 3. Decay heat has been evaluated to be 0.54MW as of March 11, and 0.46MW as of June 11. Chart 1 shows the number of fuel assemblies that were stored in the Unit 3 SFP.

When the tsunami caused by the Tohoku-Chihou-Taiheiyo-Oki Earthquake that occurred at 14:46 on March 11 struck all AC power sources were lost and functions for cooling and filling the SFP with water were lost. At 11:01 on March 14 a hydrogen explosion occurred which damaged the outside wall of the entire upper structure of the reactor building (R/B) operating floor thereby scattering a large amount of debris on the SFP. As a result of the extensive damage a large amount of steam was discharged from the operating floor which was completely exposed.

At around 9:48 on March 17, sea water was sprayed on the upper structure of the R/B using a helicopter. After the water was sprayed, steam was seen emanating from the building. At 19:05 on March 17 water trucks were used to begin to spray water on the SFP. Thereafter until March 25 water trucks and squirt trucks were used to spray water on the SFP. (See water being used for the most part).

On March 23 and March 24, existing FPC piping was used to inject cooling water (seawater), however since the pump discharge pressure was higher than anticipated and there was the possibility of a clogging of the system hardly any cooling water was injected.

On March 27 the first spraying of water using a concrete pump truck was implemented. After implementation, an increase in the amount of steam being generated from the upper structure of the R/B was observed. Thereafter until April 22, concrete pump trunks were used to spray approximately 815t of water on the structure.

On March 29 the concrete pump truck water source was switched to a freshwater water source and the spraying of water was implemented. On April 12 it became possible to inject cooling water on the structure while confirming increases in water level using a camera image when the existing concrete pump truck was replaced with another one installed with a camera

thereby enabling confirmation for the first time that the Unit 3 SFP was full of water. Since the SFP was confirmed to be full of water after injecting only approximately half of the cooling water planned, it was confirmed that the initial steam amount estimates were conservative and that the amount of cooling water that was injected exceeded what was necessary. It is assumed that the surplus cooling water that was injected up until this point had overflowed. Although the cause-and-effect relationship is unclear, after cooling water injection that is estimated to have generated overflow, the temperature of the reactor bellow seal repeatedly increased and decreased for a short time.

On April 22 cooling water injection tests without a strainer were implemented using the existing FPC piping. After approximately 10t of cooling water was injected over 20 minutes, the SFP water level rose by approximately 9 cm, so it was determined that cooling water injection was possible by this means. On April 26 cooling water injection using existing FPC piping was implemented in full force until June 29 over which time approximately 824.5t of cooling water was injected using the existing FPC piping.

On May 8 SFP water was sampled and videoed.

On May 9, hydrazine started to be injected along with cooling water via existing FPC piping in order to prevent corrosion. Since sampling results indicated alkalinity of the SFP water as a result of the elution of alkaline metals (Ca, etc.) from fallen scattered debris, a boric acid solution was injected during cooling water injection via existing FPC pipes on June 26 and June 27 in order to neutralize the alkalinity. As a result, water quality improved from a strong alkalinity level of pH 11.2 (measured on May 8) prior to cooling water injection to a weak alkalinity level of pH 9.0 (measured on July 7) after cooling water injection.

On June 30, SFP cooling using an alternate cooling system (referred to Figure 1) commenced. The water temperature when cooling commenced was 62C (alternative cooling system inlet temperature) and reached equilibrium on around July 7 with water temperature stabilizing at approximately 30C.

On July 7 SFP water that had overflowed into the skimmer surge tank was sampled from PC sampling pipes. Chart 2 shows the cooling water injection records for the Unit 3 SFP.

| 8x8                | 42  |
|--------------------|-----|
| STEP2              | 148 |
| STEP3-A            | 324 |
| Total spent fuel   | 514 |
| New fuel (STEP3-A) | 52  |
| Total fuel         | 566 |

Chart 1 Number of fuel assemblies stored in the Unit 3 SFP

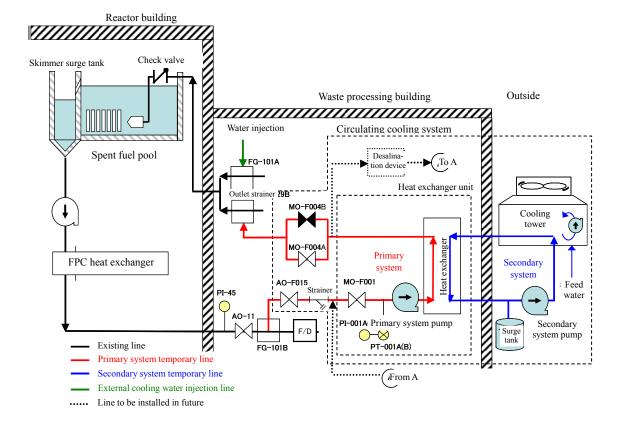


Figure 1 Alternate cooling system diagram

# Attachment 9-4 (4/10)

# Chart 2 Unit 2 SFP cooling water injection record

| Ond   | In 2 Unit 2 SFP cooling water  |  | As of 9:00 8/12                    |
|---|--|--|------------------------------------|
|   |  | Cooling water<br>injection volume<br>total | (Maximum)<br>Approx. 6,167.5(t)    |
| Date/Time   | Measure  | Туре                                       | Cooling water injection volume (t) |
| 3/17<br>9:48-10:01  | Self-DefenseForce(SDF)<br>helicopter   | Seawater                                   | 30                                 |
| 3/17<br>19:05-19:13   | Response unit high pressure<br>water truck                                   | Seawater                                   | 44                                 |
| 3/17<br>19:35-<br>19:45-<br>19:53-<br>20:00-<br>20:07-20:09 | SDF high pressure water truck  | Fresh water                                | 30                                 |
| 3/18<br>Around 14:00-14:38                                  | SDF high pressure water truck  | Fresh water                                | 40                                 |
| 3/18<br>14:42-14:45   | US military high pressure water truck  | Fresh water                                | 2                                  |
| 3/19<br>0:30-1:10   | Tokyo Fire Dept. squirt truck  | Seawater                                   | 60                                 |
| 3/19 14:10 - 3/20 3:40                                      | Tokyo Fire Dept. squirt truck  | Seawater                                   | 2,430                              |
| 3/20 around 21:36<br>-3/21 3:58                             | Tokyo Fire Dept. squirt truck  | Seawater                                   | 1,137                              |
| 3/22<br>15:10-15:59   | Tokyo Fire Dept. squirt truck<br>(Tokyo Fire Dept./Osaka City<br>Fire Dept.) | Seawater                                   | 150                                |
| 3/23<br>11:03-13:20   | FPC  | Seawater                                   | 35                                 |
| 3/24<br>Around 5:35-around 16:05                            | FPC  | Seawater                                   | 120                                |
| 3/25<br>13:28-16:00   | Tokyo Fire Dept. squirt truck<br>(Kawasaki City Fire Dept.)                  | Seawater                                   | 450                                |
| 3/27<br>12:34-14:36   | TEPCO concrete pump truck (52m class)  | Seawater                                   | 100                                |
| 3/29<br>14:17-18:18   | TEPCO concrete pump truck (52m class)  | Fresh water                                | 100                                |
| 3/31<br>16:30-19:33   | TEPCO concrete pump truck<br>(52m class)                                     | Fresh water                                | 105                                |
| 4/2<br>9:52-12:54   | TEPCO concrete pump truck<br>(52m class)                                     | Fresh water                                | 75                                 |
| 4/4<br>17:03-19:19  | TEPCO concrete pump truck<br>(52m class)                                     | Fresh water                                | 70                                 |
| 4/7<br>6:53-8:53  | TEPCO concrete pump truck<br>(52m class)                                     | Fresh water                                | 70                                 |
| 4/8<br>17:06-20:00  | TEPCO concrete pump truck<br>(52m class)                                     | Fresh water                                | 75                                 |
| 4/10<br>17:15-19:15   | TEPCO concrete pump truck<br>(52m class)                                     | Fresh water                                | 80                                 |

| 4/12                              | TEPCO concrete pump truck      | Fresh water            | 35                        |
|-----------------------------------|--------------------------------|------------------------|---------------------------|
| 16:26-17:16                       | (62m class)                    |                        | 00                        |
| 4/14                              | TEPCO concrete pump truck      | Fresh water            | 25                        |
| 15:56-16:32                       | (62m class)                    |                        | 20                        |
| 4/18                              | TEPCO concrete pump truck      | Fresh water            | 30                        |
| 14:17-15:02                       | (62m class)                    |                        |                           |
| 4/22                              | TEPCO concrete pump truck      | Fresh water            | 50                        |
| 14:19-15:40                       | (62m class)                    |                        |                           |
| 4/26                              | TEPCO concrete pump truck      | Fresh water            | Water level               |
| 12:00-12:02                       | (62m class)                    |                        | (confirmation)            |
| 4/26                              | FPC                            | Fresh water            | 47.5                      |
| 12:25-14:02                       |                                |                        |                           |
| 5/8                               |                                |                        |                           |
| 11:38(water level gauge)          |                                |                        | (water level gauge,       |
| 12:10-14:10                       | FPC                            | Fresh water            | sampling)                 |
| (cooling water injection)         |                                |                        | 60                        |
| 14:10-14:50                       |                                |                        | 00                        |
| (water level gauge, sampling)     |                                |                        |                           |
| 5/9                               |                                |                        |                           |
| 12:14-15:00                       |                                |                        | (water level gauge)       |
| (cooling water injection)         | FPC                            | Fresh water            | (water level gauge)<br>80 |
| (water gauge around time of       |                                |                        | 88                        |
| cooling water injection)          |                                |                        |                           |
| 5/16                              | FRO                            | Freeb water            | 106                       |
| 15:00-18:32                       | FPC                            | Fresh water            | 106                       |
| 5/24                              | FRO                            | Freeb water            | 100                       |
| 10:15-13:35                       | FPC                            | Fresh water            | 100                       |
| 5/28                              | FPC                            | Fresh water            | 50                        |
| 13:28-15:08                       | FPC                            | Fresh water            | 50                        |
| 6/1                               | FRO                            | Freeb water            | 40                        |
| 14:34-15:54                       | FPC                            | Fresh water            | 40                        |
| 6/5                               | FPC                            | Fresh water            | 60                        |
| 13:08-15:14                       | FPC                            | Fresh water            | 60                        |
| 6/9                               | FPC                            | Fresh water            | 55                        |
| 13:42-15:31                       | FPC                            | Fresh water            | 55                        |
| 6/13                              | FDC                            | Erech weter            | 40                        |
| 10:09-11:48                       | FPC                            | Fresh water            | 42                        |
| 6/17                              | 500                            | Encels weter           | 40                        |
| 10:19-11:57                       | FPC                            | Fresh water            | 49                        |
| 6/26                              | 500                            | Fresh water            | 45                        |
| 9:56-11:23                        | FPC                            | (including boric acid) | 45                        |
| 6/27                              |                                | Fresh water            |                           |
| 15:00-17:18                       | FPC                            | (including boric acid) | 60                        |
| 6/29                              |                                |                        |                           |
| 14:45-15:53                       | FPC                            | Fresh water            | 30                        |
| 6/30                              |                                |                        |                           |
| 9:45-10:43                        |                                |                        |                           |
| (water filling and leakage check) |                                |                        |                           |
| 18:33                             |                                |                        |                           |
| (operation check)                 | SFP circulation cooling device | Fresh water            | -                         |
| (operation check)<br>19:47        |                                |                        |                           |
| (alternate cooling system         |                                |                        |                           |
|                                   |                                |                        |                           |
| activated)                        |                                |                        |                           |

- 2. Items verified through the investigation
  - (1) Unit 3 SFP water sampling

On May 8, 2011 a concrete pump truck was used to sample water from the Unit 3 SFP, and on July 7, 2003 and August 19, 2003 SFP water that had overflowed into the skimmer surge tank was sampled from FPC system sampling pipes. The sampled SFP water was analyzed for radioactive material nuclear species (analysis date: May 9, July 7, August 19). Chart 3 shows the analysis results.

| D. I. J. J.                    |                     | Unit 3 SFP water |                               |                               |                               | (Reference)  |
|--------------------------------|---------------------|------------------|-------------------------------|-------------------------------|-------------------------------|--|
| Detected<br>nuclear<br>species | Half-life           | Sampled 5/8      | Sampled 7/7                   | Sampled<br>8/19               | (Reference)<br>sampled 3/2    | Unit 3 turbine<br>building<br>basement<br>puddles (4/22) |
| Cs-<br>134                     | Approx. 2<br>years  | 140,000          | 94,000                        | 74,000                        | Below<br>detectable<br>limits | 1,500,000  |
| Cs-<br>136                     | Approx. 13<br>days  | 1,600            | Below<br>detectable<br>limits | Below<br>detectable<br>limits | Below<br>detectable<br>limits | 44,000   |
| Cs-<br>137                     | Approx. 30<br>years | 150,000          | 110,000                       | 87,000                        | Below<br>detectable<br>limits | 1,600,000  |
| l-<br>131                      | Approx. 8<br>days   | 11,000           | Below<br>detectable<br>limits | Below<br>detectable<br>limits | Below<br>detectable<br>limits | 660,000  |

#### Chart 3 Unit 3 SFP water analysis results

The following is an evaluation based on these analysis results.

- Unit 3 was stopped on June 19, 2010 for periodic inspection, and since even fuel removed from reactor with the shortest cooling period would take at least more than ten months to cool, it is unlikely that Cs-136 and I-131, which are nuclear species with a short half-lives, could have been discharged from the fuel stored in the SFP and it is more likely that it originated from the reactor. -The results of the analysis of the water accumulated in the basement of the Unit 3 T/B taken with the fact that the ratio for each nuclear species is approximately the same indicates a high possibility that the reactor was the origin of the radioactivity.

- In regard to the discharge path of radioactivity originating from the reactor, it is highly possible that radioactive nuclides originating from the reactor adhered to condensed steam water, dust, and scattered debris within the reactor building and melted into SFP water.

-According to the analysis results of SFP waters sampled on May 8 and July 7, whereas there is an abundance of Cs-134 and 137 isotopes, the concentration differs by approximately 30%. However, it is not clear whether or not this concentration discrepancy in significant since sampling was only performed a few times and sampling methods differ.

(2) Unit 3 SFP water evaluation

Figure 2 shows the Unit 3 SFP evaluation results along with actual measurements.

Evaluation results assume that the water level decreased by approximately 2m by March 14 as a result of the explosions and sloshing caused by the earthquake, however water level recovered after March 17 as a result of concentrated water spraying, and water level has been maintained near full thereafter through periodic cooling water injections (cooling water could not be injected from the end of April until the beginning of May due to pump truck failure). Furthermore, since it is assumed that the actual amount of water initially sprayed on the SFP using a water truck, injected into the SFP using concrete pump trucks, and injected using FPC piping differ, yield rates have been set for each method.

Water level measurements made after the middle of April are based on video from a camera installed on the pump truck; however measurements closely match evaluation values. SFP water level repeatedly decreases due to evaporation and increases due to cooling water injections and it is assumed that water level is being maintained at near full.

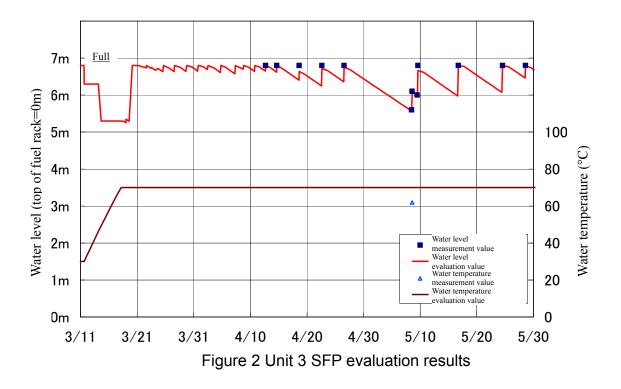
Furthermore, since the amount of cooling water that had been injected by the time that the SFP was confirmed to be full on April 12 (approximately 35t) is smaller than the amount of cooling water predicted to be needed in consideration of replenishing water that was lost through leaks, etc.) for approximately 80t (results as of April 10), it is assumed that water level decreased only as a result of decay heat.

Furthermore, since it is estimated from cooling water injection results following confirmation that the SFP was full that the amount of steam generated daily was around approximately 10-20t, the amount of water lost through evaporation up until the time when the SFP was confirmed to be full is around 320-640t. Even if cooling water was not injected into the SFP until it was full, since the SFP holds approximately 1,400t of water and the depth of the SFP is approximately three times the active length of the fuel, water level was calculated to be more than half. Furthermore, even if it is assumed that water level was reduced by the building explosions and sloshing, it was still more than 2m above the level at which the fuel would have been exposed. Therefore, it is hypothesized that Unit 3 SFP water level was maintained and that the fuel was not exposed.

Only one water temperature measurement of 60°C was taken, however since this measurement was made using water sampled from the surface of the SFP it is assumed that this is lower than the average SFP water temperature. Water temperature used for evaluation purposes was set at 70°C from the SFP records for Unit 2 which has approximately the same decay heat.

After the Unit 3 reactor building explosion, much whiter vapor was seen emanating from the upper structure of the R/B as compared to other units. Since the amount of steam generated from the decay heat from fuel within the SFP is not larger than that of other units it is hypothesized that this vapor is not steam from the SFP but rather the result of steam produced by sprayed water that did not enter the Unit 3 SFP and found its way somehow to the PCV head side.

After the alternate cooling system was put in service at 19:47 on June 30, cooling of the SFP water continued and water temperature became approximately 30°C (30.8°C (heat exchanger inlet temperature) as of 11:00 on July 7).



(3) Conditions under SFP water

On May 8, sampling of the SFP water was recorded on video. An image that was taken is shown in Figure 3. The condition of the fuel stored in the SFP could not be confirmed due to the large amount of debris present in the water.

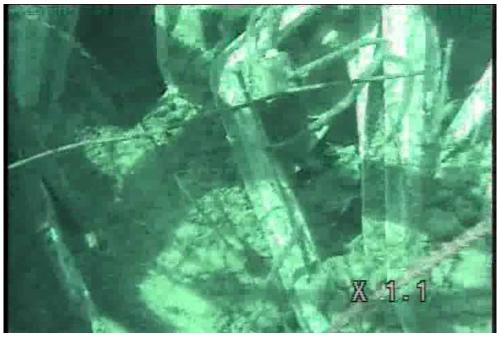


Figure 3 Conditions under the Unit 3 SFP water

(4) Methods of measuring SFP water level and water temperature The temperature of the water was measured when sampling the Unit 3 SFP water. As shown in Figure 4, the water was measured by using a concrete pump truck to lower a thermocouple attached to a cable from the upper structure of the building until it reached the water. Since this temperature is the temperature of the surface of the SFP water it is highly likely that higher temperatures would be found at greater depths.

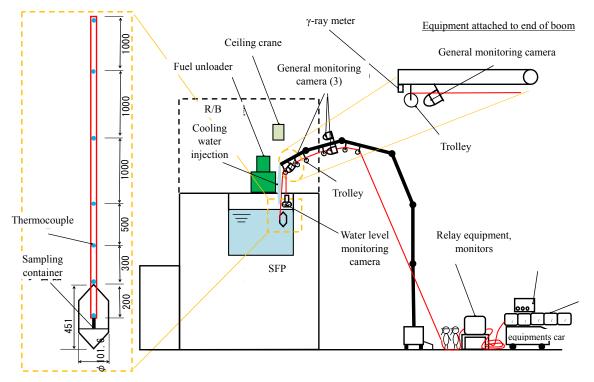


Figure 4 Method for measuring SFP water level and water temperature using a concrete pump truck

Results of Fukushima Daiichi Unit 4 Spent Fuel Pool (SFP) Status Investigation

### 1. SFP Status

As of March 11, 2011, 1,331 assemblies of spent fuel and 204 assemblies of new fuel were stored in the SFP of the Fukushima Daiichi Nuclear Power Station Unit 4. Decay heat has been evaluated to be 2.26MW as of March 11, and 1.58MW as of June 11. Chart 1 shows the number of fuel assemblies that were stored in the Unit 4 SFP.

When the tsunami caused by the Tohoku-Chihou-Taiheiyo-Oki Earthquake that occurred at 14:46 on March 11 struck all AC power sources were lost and functions for cooling and filling the SFP with water were lost. At a little past 6:00 on March 15, a hydrogen explosion for which the cause is unclear occurred damaging the upper structure of the operating floor.

On March 16, when checking radiation levels in preparation for spraying water on Unit 3 by helicopter, the helicopter came close to the operating floor of Unit 4. At this time the water level of the Unit 4 SFP was observed visually and it was confirmed that the fuel was not exposed.

On March 20, the Self-Defense Force (SDF) began spraying fresh water using a pump truck. From then until March 21<sup>st</sup> approximately 250t of water was sprayed from the ground.

On March 22, a concrete pump truck was used to spray seawater. From then until June 14 approximately 5,700t of water was sprayed.

On March 25, existing FPC piping was used to inject cooling water, however it has been deemed that very little cooling water was injected due to excessive piping resistance.

On March 25, water was sprayed using a concrete pump truck. Since skimmers surge tank levels rose it was assumed that the SFP had become full with water. Thereafter until April 12 skimmers surge tank levels were observed to rise multiple times by a couple of centimeters each time, which was an extremely small amount compared with the level increases of Unit 2 (several tens of centimeters) for which full tank capacity was able to be confirmed through skimmers surge tank levels at the time.

On April 12, a concrete pump truck was used to measure water levels and sample water from the SFP. At this time the measured water level was TAF+2.1m which made it apparent that increases in skimmers surge tank levels that have been observed up until that point were not the result of

overflow from a full SFP. The most likely explanation for skimmer surge tank level increases is not cooling water injection into the SFP but rather water dripping onto the floor of the operating floor and flowing into the skimmer surge tank via drains thereby increasing water level.

On April 22, a concrete pump truck was used once again to confirm water levels. The water level had further decreased to TAF+1.7m most likely because only the minimum required spraying of water was implemented after the 12 and it is estimated that all water that was sprayed did not find its way into the SFP. Since SFP water level was within predicted ranges if the yield rate of sprayed water and evaporation from decay heat is considered, water levels were measured and water was sprayed using a concrete pump truck in order to completely full the SFP and on April 27, skimmer surge tank levels rose considerably (4,300 $\rightarrow$  6,050mm) thereby confirming that the SFP was full. It has been suggested that the Unit 4 SFP was leaking, but the relationship between cooling water injection and water level thereafter is within the range of decrease caused by the amount of evaporation predicted from decay heat and it is estimated that there was no large leak of water from the SFP.

On April 27, water levels on the well site of the reactor were able to be measured for the first time since the accident. The water level was TAF+1.8m and it is difficult to imagine that a large amount of water was lost through evaporation since there are no heat sources and because the tank was full prior to the earthquake. Furthermore, it is estimated that water flowed via the pool gate into the SFP side in accordance with SFP water level decreases and assumed that the water levels of the SFP and the well are approximately the same (approx. TAF+1.8m).

On April 29, confirmation of a lack of a large amount of drain water in the SFP drain system within the building was proof that there was not a large leak of water from the SFP.

On April 28 and May 7, SFP water was sampled, water levels were measured, and video was taken. Video images confirm that scattered debris had fallen inside the SFP, that spent fuel was still stored within fuel racks, and that the pool gate was sound.

On May 21, when water was sprayed using a concrete pump truck hydrazine was added to prevent corrosion.

On June 16, cooling water injection using existing SFP cooling equipment

was implemented. Thereafter until July 31, 280t of cooling water was injected using existing SFP cooling water injection equipment.

On June 19, cooling water was injected through CRD pipes to the reactor well and device storage pit (DS pit) in an attempt to suppress radiation levels of core internals stored in the DS pit.

Measures for cooling water injection have been secured for the Unit 4 SFP as well as the reactor well and DS pit, skimmer surge tank levels indicate that the SFP is full and water level is being maintained in a stable manner. Chart 2 shows the cooling water injection results for the Unit 4 SFP.

At 12:44 on July 31, cooling of the SFP water using the alternate cooling system (refer to Figure 1) began. The water temperature when cooling commenced was approximately 75°C and reached equilibrium on around August 3 with water temperature stabilizing at approximately 40°C.

| 7x7RD              | 1     |
|--------------------|-------|
| 8x8                | 4     |
| 8x8BJ              | 30    |
| STEP2              | 560   |
| STEP3-B            | 736   |
| Total spent fuel   | 1,331 |
| New fuel (STEP3-B) | 204   |
| Total fuel         | 1,535 |

Chart 1 Number of fuel assemblies stored in the Unit 4 SFP

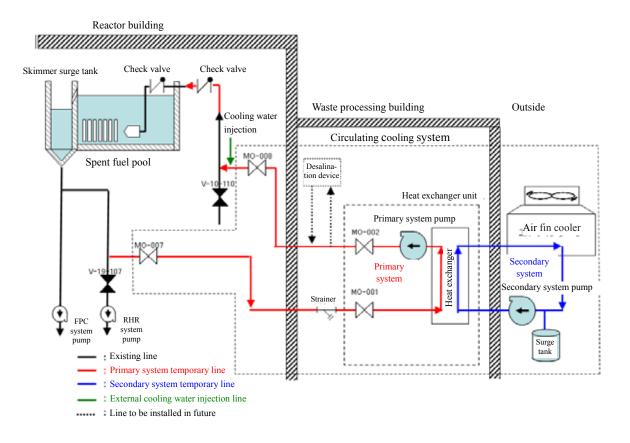


Figure 1 Alternate cooling system diagram

# Chart 2 Unit 4 SFP cooling water injection record

|                            |  |  | As of 9:00 8/12                       |
|----------------------------|--|--|---------------------------------------|
|                            |  | Cooling water<br>injection volume<br>total | (Maximum) Approx.<br>6,242(t)         |
| Date/Time                  | Measure                                  | Туре                                       | Cooling water<br>injection volume (t) |
| 3/20<br>8:21-9:40          | SDF high pressure water truck            | Fresh water                                | 80                                    |
| 3/20<br>Around 18:30-19:46 | SDF high pressure water truck            | Fresh water                                | 80                                    |
| 3/21<br>6:37-8:41          | SDF high pressure water truck            | Fresh water                                | 90                                    |
| 3/21<br>8:38-8:41          | US military high pressure water truck    | Fresh water                                | 2.2                                   |
| 3/22<br>17:17-20:32        | TEPCO concrete pump truck (58m class)    | Seawater                                   | 150                                   |
| 3/23<br>10:00-13:02        | TEPCO concrete pump truck<br>(58m class) | Seawater                                   | 125                                   |
| 3/24<br>14:36-17:30        | TEPCO concrete pump truck<br>(58m class) | Seawater                                   | 150                                   |
| 3/25<br>6:05-10:20         | FPC                                      | Seawater                                   | 21                                    |
| 3/25<br>19:05-22:07        | TEPCO concrete pump truck<br>(58m class) | Seawater                                   | 150                                   |
| 3/27<br>16:55-19:25        | TEPCO concrete pump truck<br>(58m class) | Seawater                                   | 125                                   |
| 3/30<br>14:04-18:33        | TEPCO concrete pump truck<br>(58m class) | Fresh water                                | 140                                   |
| 4/1<br>8:28-14:14          | TEPCO concrete pump truck<br>(58m class) | Fresh water                                | 180                                   |
| 4/3<br>17:14-22:16         | TEPCO concrete pump truck<br>(58m class) | Fresh water                                | 180                                   |
| 4/5<br>17:35-18:22         | TEPCO concrete pump truck<br>(62m class) | Fresh water                                | 20                                    |
| 4/7<br>18:23-19:40         | TEPCO concrete pump truck<br>(62m class) | Fresh water                                | 38                                    |
| 4/9<br>17:07-19:24         | TEPCO concrete pump truck<br>(62m class) | Fresh water                                | 90                                    |
| 4/13<br>0:30-6:57          | TEPCO concrete pump truck<br>(62m class) | Fresh water                                | 195                                   |
| 4/15<br>14:30-18:29        | TEPCO concrete pump truck<br>(62m class) | Fresh water                                | 140                                   |
| 4/17<br>17:39-21:22        | TEPCO concrete pump truck<br>(62m class) | Fresh water                                | 140                                   |
| 4/19<br>10:17-11:35        | TEPCO concrete pump truck<br>(62m class) | Fresh water                                | 40                                    |
| 4/20<br>17:08-20:31        | TEPCO concrete pump truck<br>(62m class) | Fresh water                                | 100                                   |
| 4/21<br>17:14-21:20        | TEPCO concrete pump truck<br>(62m class) | Fresh water                                | 140                                   |

| 4/22<br>17:52-23:53  | TEPCO concrete pump truck                | Fresh water | 200   |
|--|--|-------------|---|
| 4/23   | (62m class)<br>TEPCO concrete pump truck | Fresh water | 140   |
| 12:30-16:44  | (62m class)                              | Fresh water | 140   |
| 4/24   | TEPCO concrete pump truck                | Fresh water | 165   |
| 12:25-17:07  | (62m class)                              |             |   |
| 4/25 18:15<br>~4/26 0:26   | TEPCO concrete pump truck<br>(62m class) | Fresh water | 210   |
| 4/26<br>16:50-20:35  | TEPCO concrete pump truck<br>(62m class) | Fresh water | 130   |
| 4/27   | TEPCO concrete pump truck                | Fresh water | 85  |
| 12:18-15:15  | (62m class)                              |             |   |
| 4/28   | TEPCO concrete pump truck                | Fresh water | (water level  |
| 11:43-11:54  | (62m class)                              |             | measurement)  |
| 4/28<br>11:55-12:07  | TEPCO concrete pump truck<br>(62m class) | Fresh water | (sampling)  |
| 4/29   |  |             |   |
| 10:29 (water level measurement)<br>10:35 (temperature<br>measurement)                                | TEPCO concrete pump truck<br>(62m class) | Fresh water | (water level and<br>temperature<br>measurements)                      |
| 4/30<br>10:14-10:28 (water level and<br>temperature measurements)                                    | TEPCO concrete pump truck<br>(62m class) | Fresh water | (water level and<br>temperature<br>measurements)                      |
| 5/1<br>10:32-10:38(water level and<br>temperature measurements)                                      | TEPCO concrete pump truck<br>(62m class) | Fresh water | (water level and<br>temperature<br>measurements)                      |
| 5/2<br>10:10-10:20(water level and<br>temperature measurements)                                      | TEPCO concrete pump truck<br>(62m class) | Fresh water | (water level and<br>temperature<br>measurements)                      |
| 5/3<br>10:15-10:23(water level and<br>temperature measurements)                                      | TEPCO concrete pump truck<br>(62m class) | Fresh water | (water level and<br>temperature<br>measurements)                      |
| 5/4<br>10:25-10:35 (water level and<br>temperature measurements)                                     | TEPCO concrete pump truck<br>(62m class) | Fresh water | (water level and<br>temperature<br>measurements)                      |
| 5/5<br>11:55-12:05 (water level and<br>temperature measurements)<br>12:19-20:46 (water sprayed)      | TEPCO concrete pump truck<br>(62m class) | Fresh water | (water level and<br>temperature<br>measurements)<br>270               |
| 5/6<br>12:16 (water level and<br>temperature measurements)<br>12:38-17:51 (water sprayed)            | TEPCO concrete pump truck<br>(62m class) | Fresh water | (water level and<br>temperature<br>measurements)<br>180               |
| 5/7<br>11:00 (water level measurement,<br>underwater video, sampling)<br>14:05-17:30 (water sprayed) | TEPCO concrete pump truck<br>(62m class) | Fresh water | (water level<br>measurement,<br>underwater video,<br>sampling)<br>120 |
| 5/9<br>16:05-19:05 (water sprayed)   | TEPCO concrete pump truck (62m class)    | Fresh water | 100   |
| 5/11<br>16:07-19:38 (water sprayed)  | TEPCO concrete pump truck<br>(62m class) | Fresh water | 120   |

# Attachment 9-5 (7/16)

| 5/13                                | TEPCO concrete pump truck                | Fresh water  | 100            |
|-------------------------------------|--|--------------|----------------|
| 16:04-19:04 (water sprayed)         | (62m class)                              |              |                |
| 5/15                                | TEPCO concrete pump truck                | Fresh water  | 140            |
| 16:25-20:25 (water sprayed)<br>5/17 | (62m class)                              |              |                |
|                                     | TEPCO concrete pump truck<br>(62m class) | Fresh water  | 120            |
| 16:14-20:06 (water sprayed)         |  |              |                |
| 5/19<br>10:20 10:20 (water enroyed) | TEPCO concrete pump truck                | Fresh water  | 100            |
| 16:30-19:30 (water sprayed)         | (62m class)                              |              |                |
| 5/21                                | TEPCO concrete pump truck                | Fresh water  | 130            |
| 16:00-19:56 (water sprayed)         | (62m class)                              |              |                |
| 5/23                                | TEPCO concrete pump truck                | Fresh water  | 100            |
| 16:00-19:09 (water sprayed)<br>5/25 | (62m class)<br>TEPCO concrete pump truck |              |                |
| 5/25<br>16:36-20:04 (water sprayed) | (62m class)                              | Fresh water  | 121            |
| 5/27                                | TEPCO concrete pump truck                |              |                |
| 17:05-20:00 (water sprayed)         | (62m class)                              | Fresh water  | 100            |
| 5/28                                | TEPCO concrete pump truck                |              |                |
| 17:56-19:45 (water sprayed)         | (62m class)                              | Fresh water  | 60             |
| 6/3                                 | TEPCO concrete pump truck                |              |                |
| 14:35-21:15 (water sprayed)         | (58m class)                              | Fresh water  | 210            |
| 6/4                                 | TEPCO concrete pump truck                |              |                |
| 14:23-19:45 (water sprayed)         | (58m class)                              | Fresh water  | 180            |
| 6/6                                 | TEPCO concrete pump truck                |              |                |
| 15:56-18:35 (water sprayed)         | (58m class)                              | Fresh water  | 90             |
| 6/8                                 | TEPCO concrete pump truck                |              |                |
| 16:12-19:41 (water sprayed)         | (58m class)                              | Fresh water  | 120            |
| 6/13                                | TEPCO concrete pump truck                | - · ·        | 450            |
| 16:36-21:00 (water sprayed)         | (58m class)                              | Fresh water  | 150            |
| 6/14                                | TEPCO concrete pump truck                | Erech water  | 450            |
| 16:10-20:52 (water sprayed)         | (58m class)                              | Fresh water  | 150            |
| 6/16                                | Temporary water spraying                 | Fresh water  | 75             |
| 13:14-15:44 (water sprayed)         | equipment                                | Fresh water  | 75             |
| 6/18                                | Temporary water spraying                 | Fresh water  | 99             |
| 16:05-19:23 (water sprayed)         | equipment                                | Fresh water  | 99             |
| 6/22                                | Temporary water spraying                 | Fresh water  | 56             |
| 14:31-16:38 (water sprayed)         | equipment                                | T TESH Water | 50             |
| 6/29                                | Temporary water spraying                 | Fresh water  | 7 (leak check) |
| 11:47-12:01(water sprayed)          | equipment                                |              | r (leak check) |
| 6/30                                | Temporary water spraying                 | Fresh water  | 13             |
| 11:30-11:55 (water sprayed)         | equipment                                |              | 10             |
| 7/31                                | Temporary water spraying                 | Fresh water  | 25             |
| 8:47-9:38 (water sprayed)           | equipment                                |              | 20             |
| 7/31                                |  |              |                |
| 10:08                               | SFP circulation cooling device           | Fresh water  | _              |
| (alternate cooling system           |  |              |                |
| activated)                          |  |              |                |

# 2. Items verified through the investigation

(1) Unit 4 SFP water sampling

Unit 4 SFP water was sampled using a concrete pump truck on April 12<sup>th</sup>, April 28 and May 7, 2011. Furthermore, SFP water that had overflowed

into the skimmer surge tank was sampled from FPC piping on August 20, 2011. The sampled SFP water was analyzed for radioactive material nuclear species (analysis date: April 13, April 29, May 8, August 20). Chart 3 shows the analysis results.

|         |                     | Concentration (Bq/cm <sup>3</sup> ) |                 |                 |                                |                               |  |
|---------|---------------------|-------------------------------------|-----------------|-----------------|--------------------------------|-------------------------------|--|
| Nuclear |                     |                                     |                 | Unit 4 SFP wate | <u>r</u>                       |                               | (Reference) Unit 3                             |
| species | Half-life           | Sampled<br>4/12                     | Sampled<br>4/28 | Sampled<br>5/7  | Sampled<br>8/20                | (reference)<br>sampled<br>3/4 | turbine building<br>basement puddles<br>(3/24) |
|         |                     |                                     |                 |                 |                                | Below                         |  |
| Cs-134  | Approx. 2<br>years  | 88                                  | 49              | 56              | 44                             | detectable<br>limits          | 31   |
| Cs-137  | Approx. 30<br>years | 93                                  | 55              | 67              | 61                             | 0.13                          | 32   |
| I-131   | Approx. 8 days      | 220                                 | 27              | 16              | Below<br>detectabl<br>e limits | Below<br>detectable<br>limits | 360  |

Chart 3 Unit 4 SFP water analysis results

The following is an evaluation based on these analysis results.

-All three samples indicate higher concentrations of radioactive materials than those taken prior to the accident (March 4), but the absolute value is not large. Therefore, it is estimated that most of the fuel inside the SFP is intact and that a systematic extensive amount of damage has not occurred.

However, since the Unit 4 R/B was damaged the possibility that some fuel was damaged by debris falling into the SFP cannot be denied.

- Unit 4 was stopped on November 30, 2010 for periodic inspection, and since even fuel removed from reactor with the shortest cooling period would take at least more than four months to cool, it is unlikely that I-131 (half-life: 8 days) could have been discharged from the fuel stored in the SFP and it is more likely that it originated from the Unit 1-3 reactors.

- In regard to the discharge path of radioactivity originating from the reactor, it is highly possible that radioactive materials discharged during PCV venting and radioactivity contained in seawater sprayed on the unit had an impact.

-Evaluation values that take into consideration nuclear species decay and changes in water volume are approximately the same as measurements, as shown in Chart 4, so the relationship between the three measurement results is adequate.

|        | Sampled 4/28 |                        | Samp   | oled 5/7    |
|--------|--------------|------------------------|--------|-------------|
|        | Evaluation   | Evaluation Measurement |        | Measurement |
|        | value*       | value                  | value* | value       |
| Cs-134 | 54           | 49                     | 56     | 56          |
| Cs-137 | 58           | 55                     | 61     | 67          |
| I-131  | 35           | 27                     | 17     | 16          |

Chart 4 Unit 4 SFP water analysis results

\*: Evaluation value refers to values that consider dilution due to differences in decay and SFP volume based on sampling data from April 12.

#### (2) Unit 4 SFP water level evaluation

Figure 2 shows the Unit 4 SFP evaluation results along with actual measurements.

Evaluation results assume that the water level decreased as a result of the explosions and sloshing caused by the earthquake, and decreased thereafter due to evaporation. Attempts were made to restore water level through cooing water injection after March 20 however around April 20 the speed of evaporation exceeded the amount of cooling water being injected and the water level fell to +1.5m above the fuel racks. After the pool was complete filled with water through concentrated efforts to inject cooling water between April 22 and April 27 cooling water injection was suspended until May 5 when water level started to decrease again. Thereafter the pool was once again filled to capacity through concentrated cooling water injection. This process of water level decrease followed by cooling water injection has been repeated ever since to maintain water level at near full capacity. Furthermore, since it is assumed that the actual amounts of water initially sprayed on the SFP using a water truck, injected into the SFP using concrete pump trucks, and injected using FPC piping differ, yield rates have been set for each method.

Water level measurements taken frequently since the middle of April by

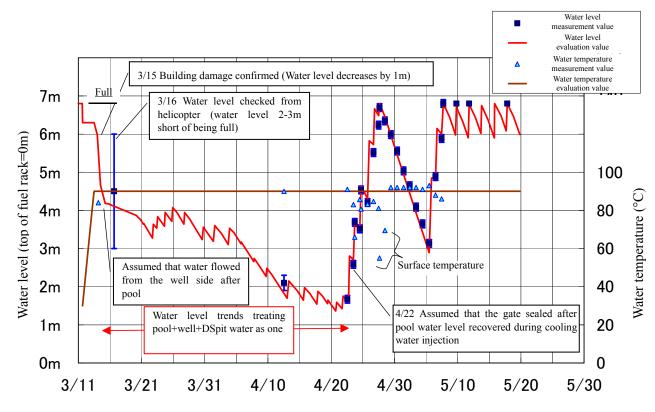
lowering a thermocouple from a concrete pump truck, closely match evaluation values.

In the water level evaluation, prior to April 22 when the overall SFP water level shows decreasing tendencies the water in the SFP and that in the reactor well are taken as one, while thereafter following the implementation of concentrated cooling water injection the SFP water is independent of the reactor well. It was estimated at the beginning of May that the reactor well water level was stable at approximately 2m above the top of the fuel racks, which closely matches evaluation values.

As with Unit 2, the Unit 4 SFP was confirmed to be full by checking fluctuations in the water gauge of the skimmer surge tank following cooling water injection. However, examination of water level measurement records has revealed that the SFP was mistaken for being full from the mid-March through mid-April. This mistake was made because during cooling water injection some of the cooling water found its way to the skimmer surge tank side and as a result caused fluctuations in the water gauge even though the SFP was not completely filled with water thereby causing personnel to mistakenly think that the SFP was full of water. Compared with the large fluctuations that became apparent after the end of April these initial level fluctuations were sluggish and small. This is why water level continues to decrease and does not recover from the middle of March through the middle of April even though cooling water was being injected.

Water temperature was measured by lowering a thermocouple from a concrete pump truck at which time water level was also measured. Many of the measurement results were around 90°C, which is high compared to the measurement result of 70°C for Unit 2, however this is because the decay heat of the fuel in the Unit 4 SFP is high which causes the quasi-stationary temperature to be on the high side. There are a few measurements in Figure 2 that are below 70°C but this is assumed to be because water was sampled from the surface of the SFP.

## Attachment 9-5 (11/16)



\*Water level evaluation considers that water flowed from the well/DSPit side to the pool side since the reactor well side was completely full of water at the time the earthquake occurred.

Figure 2 Unit 4 SFP evaluation results

Since actual water level measurements almost match evaluation values is assumed that there are no leaks that will affect maintaining SFP water level.

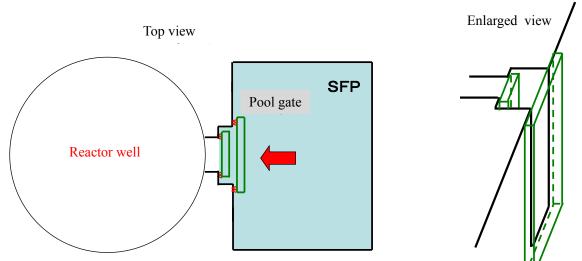
Water level measurements taken using a concrete pump truck thereafter closely match evaluation values and on April 28, 2003 an underwater camera was used to confirm that the majority of the fuel and fuel racks in the SFP are sound.

These facts have led the to the conclusion that from the time the earthquake occurred until the present there has been no damage that will affect maintaining SFP water level, water level is being maintained through cooling water injection and that the fuel was not exposed.

(3) Pool gate structure

As shown in Figure 3, the pool gate is constructed to seal off the junction between the SFP and the reactor well from the SFP side and

watertightness is maintained by the water pressure of the SFP. During operation a great amount of water pressure acts on the pool gate since there is no water in the reactor well. However, Unit 4 was undergoing periodic inspection so water was stored in the reactor well side which means that after FPC cooling function was lost the water on the SFP side began to evaporate thereby causing the water level on the reactor well side to be higher than the water level on the SFP side. As shown in Figure 4 and Figure 5, in this case water pressure was acting on the pool gate from the opposite side than normal which caused the pool gate to fail to remain watertight due to its construction and for water to flow from the reactor well side until water levels on both sides were equal. The water level behavior evaluation shown in (2) indicates a gradual decrease in SFP water level because it assumes the effect of this migrating water. However, SFP water level recovered after the cooling water injection of April 22, and it is estimated that as a result reactor well side water level fell below the water level on the SFP side thereby enabling the pool gate to regain watertightness. The results of an evaluation performed based on this hypothesis closely match measurement results.



Seal maintained by water pressure acting on the gate from the SFP side

Figure 3 Pool gate structure

### Attachment 9-5 (13/16)

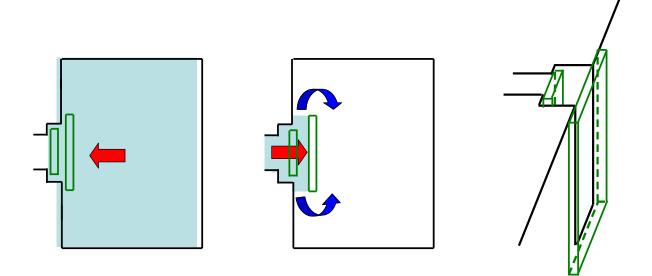


Figure 4 Mechanism of water flowing from the pool gate (1)

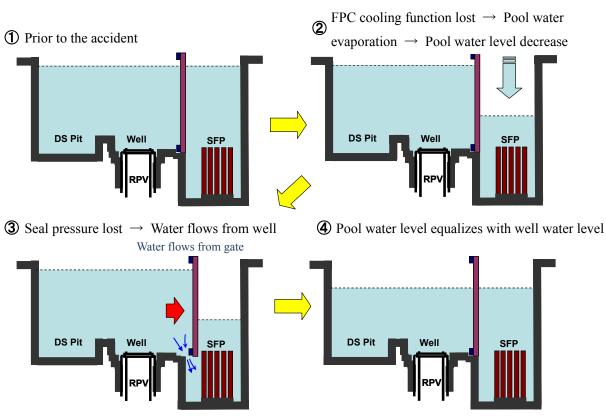


Figure 5 Mechanism of water flowing from the pool gate (2)

(4) Conditions under SFP water

On May 7 sampling of the SFP water was recorded on video. Images that were taken are shown in Figure 6, Figure 7, Figure 8 and Figure 9. Large and small sized debris have fallen into the SFP, but the fuel stored in the SFP is still in the racks and it was confirmed that there is not a lot of fuel damage.



Figure 6 Conditions within Unit 4 SFP (1)





Figure 7 Conditions within Unit 4 SFP (2)

Figure 8 Conditions within Unit 4 SFP (3)



Figure 9 Conditions within Unit 4 SFP (4)

(5) Methods of measuring SFP water level and water temperature The temperature of the water was measured when sampling the Unit 4 SFP water. As shown in Figure 10, the water was measured by marking the water level over fixed intervals and by using a concrete pump truck to lower a thermocouple attached to a cable from the upper structure of the building. Water level was marked by measuring the length of the cable between a reference point, such as the fuel charger railing, etc., and the surface of the water. The cable was deemed to have reached the surface of the water when the thermocouple indicated a temperature change at which time the length of the lowered cable was measured. It is for this reason that measurement results are assumed to contain an approximate 10cm degree of error. The temperature changes recorded during water level measurement are the temperatures for the surface of the SFB water. Therefore, in some cases the thermocouple was lowered further into the water and the water temperature was measured at a depth which is deemed to indicate the average temperature of the SFP water.

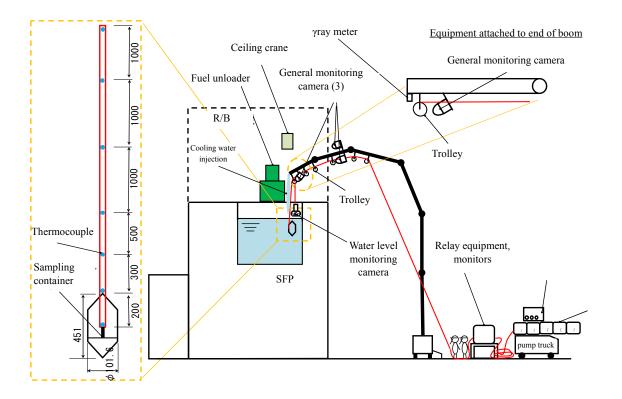


Figure 10 Method for measuring SFP water level and water temperature using a concrete pump truck

Results of Fukushima Daiichi Unit 5 Spent Fuel Pool (SFP) Status Investigation

1. SFP Status

As of March 11, 2011, 946 assemblies of spent fuel and 48 assemblies of new fuel were stored in the SFP of the Fukushima Daiichi Nuclear Power Station Unit 5. Decay heat has been evaluated to be 1.01MW as of March 11, and 0.76MW as of June 11. Chart 1 shows the number of fuel assemblies that were stored in the Unit 5 SFP.

When the tsunami caused by the Tohoku-Chihou-Taiheiyo-Oki Earthquake that occurred at 14:46 on March 11 struck, all AC power sources were lost and SW pump function was lost, thereby causing a loss of SFP cooling and filling function.

SFP water temperature continued to rise and at 5:00 on March 19<sup>th</sup> the RHR pump was manually started and SFP cooling commenced in emergency heat load mode, thereby stopping the rise in water temperature at a maximum of 68.8°C. After cooling commenced it was possible to maintain cooling in a stable manner. Since the RHR is also used to cool fuel in the reactor the system was switched back and forth between the two. When it was switched SFP water temperature rose and remained between approximately 30 to 50°C.

Furthermore, it became possible to maintain cooling in an even more stable manner after June 25 when cooling using the FPC became possible, and SFP water temperature stabilized at around 30°C.

| 8x8                | 27  |
|--------------------|-----|
| STEP2              | 487 |
| STEP3-B            | 432 |
| Total spent fuel   | 946 |
| New fuel (STEP3-B) | 48  |
| Total fuel         | 994 |

Chart 1 Number of fuel assemblies stored in the Unit 5 SFP

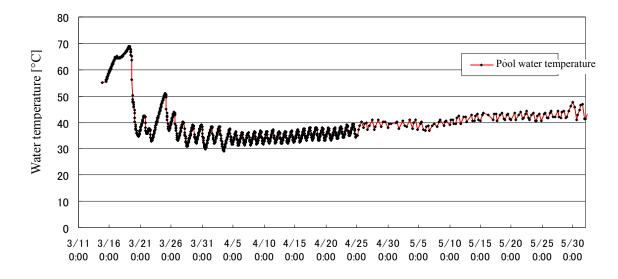


Figure 1 Unit 5 SFP water temperature trend

Results of Fukushima Daiichi Unit 6 Spent Fuel Pool (SFP) Status Investigation

1. SFP Status

As of March 11, 2011, 876 assemblies of spent fuel and 64 assemblies of new fuel were stored in the SFP of the Fukushima Daiichi Nuclear Power Station Unit 6. Decay heat has been evaluated to be 0.87MW as of March 11, and 0.73MW as of June 11. Chart 1 shows the number of fuel assemblies that were stored in the Unit 6 SFP.

When the tsunami caused by the Tohoku-Chihou-Taiheiyo-Oki Earthquake that occurred at 14:46 on March 11 struck, all AC power sources were lost and SW pump function was lost (however, D/G 6B function was maintained), thereby causing a loss of SFP cooling function.

SFP water temperature continued to rise and at 22:14 on March 19 the RHR pump was manually started and SFP cooling commenced in emergency heat load mode thereby stopping the rise in water temperature at a maximum of 67.5°C. After cooling commenced it was possible to maintain cooling in a stable manner. Since the RHR is also used to cool fuel in the reactor, the system was switched back and forth between the two. When it was switched SFP water temperature rose and remained between approximately 20 to 40°C.

Thereafter increases in air temperature, etc. caused SFP water temperature to stabilize at between 30 to 50°C.

| 8x8                | 144 |
|--------------------|-----|
| STEP2              | 316 |
| STEP3-B            | 416 |
| Total spent fuel   | 876 |
| New fuel (STEP3-B) | 64  |
| Total fuel         | 940 |

Chart 1 Number of fuel assemblies stored in the Unit 6 SFP

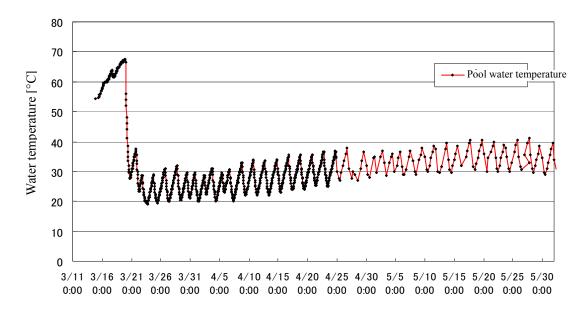


Figure 1 Unit 6 SFP water temperature trend

Results of Fukushima Daiichi Common Pool Status Investigation

### 1. Common Pool Status

As of March 11, 2011, 6,375 assemblies of spent fuel were stored in the Fukushima Daiichi Nuclear Power Station common pool. Decay heat has been evaluated to be 1.13MW as of March 11, and 1.12MW as of June 11. Chart 1 shows the number of fuel assemblies that are stored in the common pool.

When the tsunami caused by the Tohoku-Chihou-Taiheiyo-Oki Earthquake that occurred at 14:46 on March 11 struck, all AC power sources were lost thereby causing a loss of common pool cooling and filling function.

On March 18 the common pool was inspected and water level was confirmed to be maintained.

Thereafter common pool water temperature continued to rise. In conjunction with the restoration of off-site power, power was supplied to the common pool via temporary power facilities and at 18:00 on March 24 temporary cooling equipment was put in service so the rise in water temperature reached a maximum of 73°C and it became possible to maintain cooling in a stable manner. (Refer to Figure 1)

Thereafter water temperature stabilized at between 30 to 40°C.

| 8x8              | 5,153 |
|------------------|-------|
| STEP2            | 1,222 |
| Spent fuel total | 6,375 |

| Chart 1 | Number of fuel assemblies stored in the common pool |
|---------|---|
|---------|---|

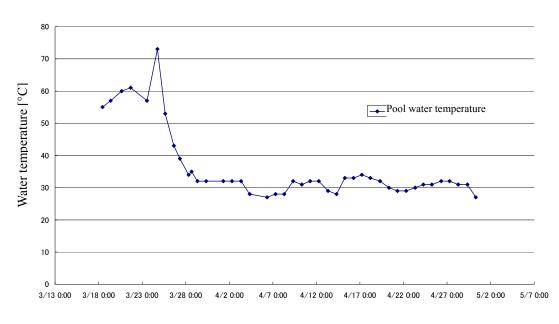


Figure 1 Common pool water temperature trend

- 2. Items verified through the investigation
  - (1) Common pool water sampling

On May 13, 2011 common pool water was sampled by scooping it up from the operating floor using a ladle. The sampled common pool water was analyzed for radioactive material nuclear species (analysis date: May 14). Chart 2 shows the analysis results.

|                 |                  | Concentration (Bq/cm <sup>3</sup> ) |                          |
|-----------------|------------------|-------------------------------------|--------------------------|
| Nuclear species | Half-life        | Common pool water                   |                          |
|                 |                  | Sampled 5/13                        | (reference) sampled 2/10 |
| Cs-134          | Approx. 2 years  | 0.17                                | Below detectable limits  |
| Cs-137          | Approx. 30 years | 1.2                                 | Below detectable limits  |
| I-131           | Approx. 8 days   | Below detectable limits             | Below detectable limits  |

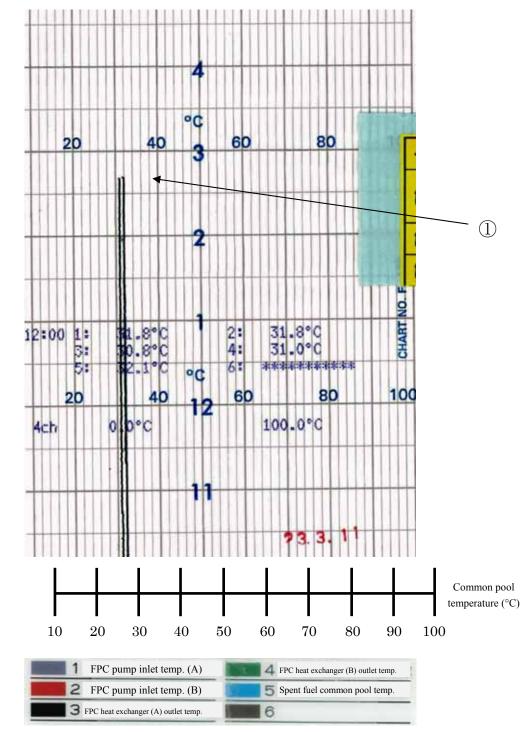
Chart 2 Common pool water analysis results

The following is an evaluation based on these analysis results.

• The absolute value of the radioactivity detected from the common pool water sampled on May 13 is low and common pool water level has been maintained since the accident, so it is estimated that the possibility of damage to fuel assemblies in the common pool is low.

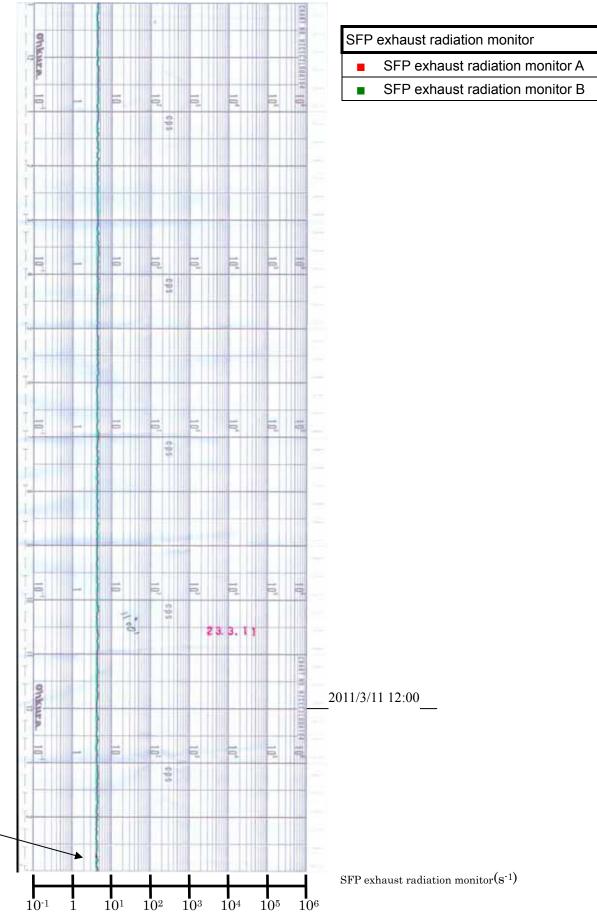
## Common pool data chart

[Common pool temperature]

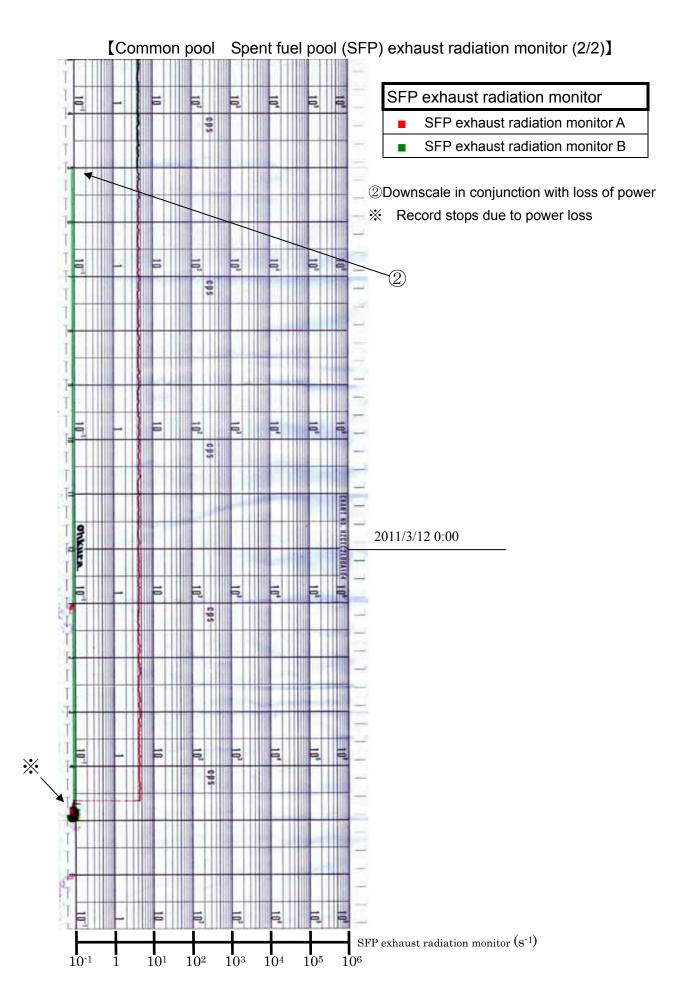


 <sup>14:46</sup> Earthquake occurs (Record stops due to power loss)



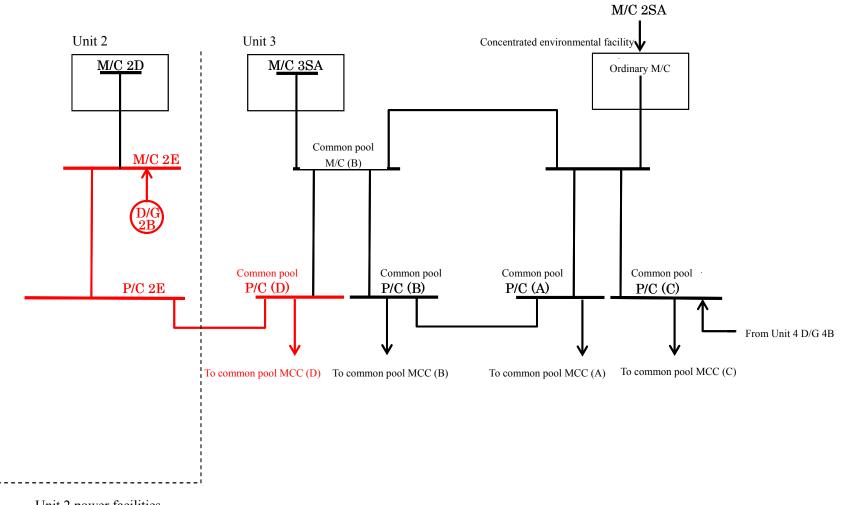


(1)



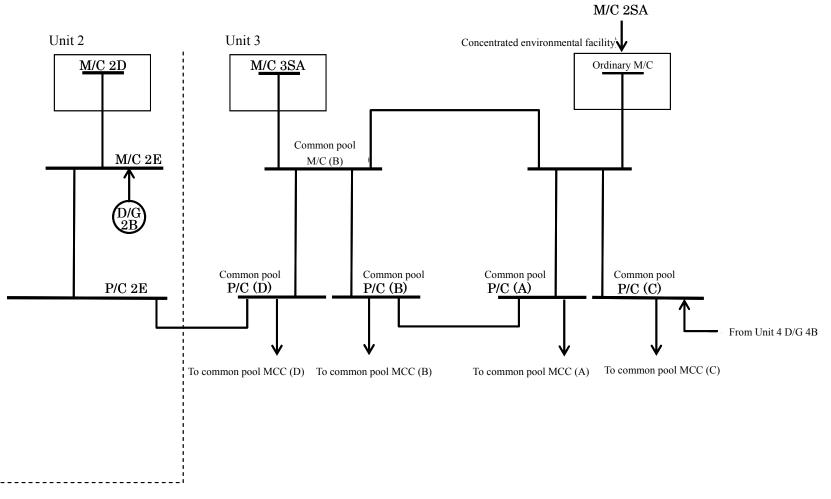
Common Pool Electrical Power Distribution System Diagram (Post-earthquake)

(Black letters: Loss of power and inability to switch electrical power distribution system, Red letters: Power flow from D/G)



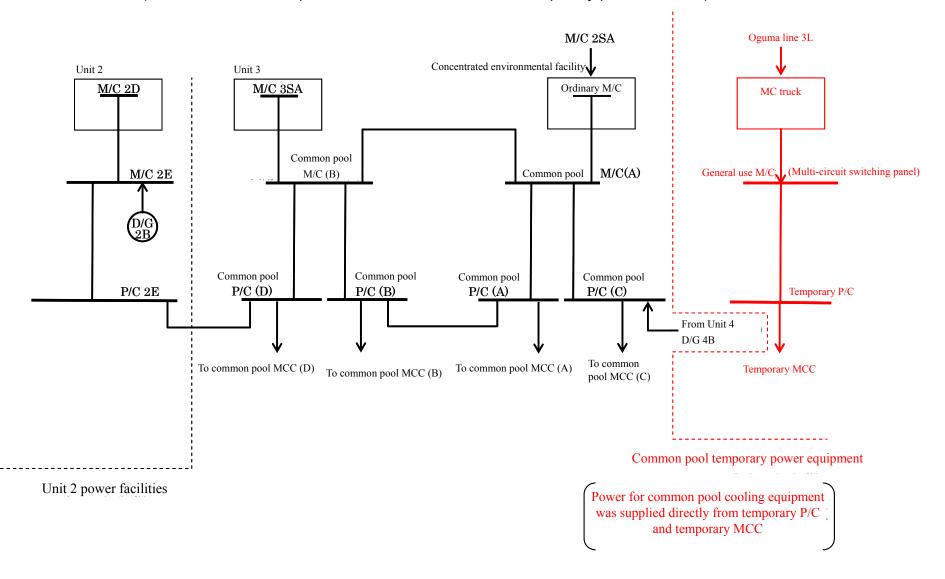
Unit 2 power facilities

## Common Pool Electrical Power Distribution System Diagram (Post-tsunami onslaught) (Black letters: D/G also stops resulting in total power loss)



Unit 2 power facilities

Common Pool Electrical Power Distribution System Diagram (after installation of temporary power facilities) (Black letters: Loss of power, Red letters: Power from temporary power sources)



#### Common pool temporary cooling equipment

On March 23, 2011, the common pool was filled with water from the suppression pool water surge tank (A) using a fire engine via a temporary tank.

On March 24 debris scattered by the hydrogen explosion at the Unit 3 R/B was removed and the existing are fan cooler (AFC) A1 was started up. The spent fuel pool clean up water system (FPC) subsystem-A is used for circulation on the common poolside, and the spent fuel pool auxiliary unit cooling system pump (FPC) subsystem-A is used on the AFC side for circulation via the FPC heat exchanger (equipment uses temporary power sources) (Figure 1).

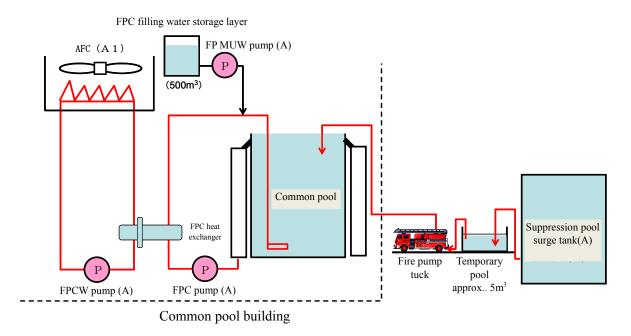


Figure 1 Common pool cooling water injection and cooling

Fukushima Daiichi Nuclear Power Station (NPS) Dry Storage Cask Storage Building Status Investigation Results

#### 1. Dry storage cask storage building status

The dry storage cask storage building is located between Units 1 to 4 and Units 5/6. (Refer to Figure 1) Dry storage refers to placing the spent fuel into dry storage casks, as shown in Figure 2, and storing them in a cask storage warehouse. Dry storage casks are designed to be cooled through natural convection. This method of storage started to be used at the Fukushima Daiichi NPS in August 1995.

As of March 11, 2011, a total of 408 spent fuel assemblies were stored in five large dry storage casks (each cask containing 52 fuel assemblies), and four medium-sized dry storage casks (each cask containing 37 fuel assemblies).

The impact of the tsunami caused by the Tohoku-Chihou-Taiheiyo-Oki Earthquake that occurred at 14:46 on March 11 caused a total loss of AC power. The dry storage cask storage building was inundated with a large amount of sea water, sand, and scattered debris.

The dry storage cask storage building was inspected multiple times after March 17. The building had been flooded with water up to the dry storage cask storage area floor, and the louvers and doors were damaged. However, the flow of air needed to cool the casks through natural convection was not hindered and it was confirmed that there were no problems with cooling.

Even though scattered debris pushed into the building by the tsunami has adhered to the dry storage casks, the casks themselves are bolted to the foundation and did not move from their original position, and visual inspections have revealed no problem with soundness.

Furthermore, compared with background radiation levels, the radiation levels within the dry storage casks storage building (~several tens of  $\mu$ Sv/h) are not abnormal. Dry storage casks have excellent airtight performance because they are constructed to maintain airtightness with a primary and secondary lid. It is assumed that this airtight performance is being maintained. However, since direct confirmation through leak tests has yet to be obtained the dry storage casks will be carried out from the dry storage cask storage building and airtight performance will be verified directly. Figure 3 is a picture of the conditions inside the dry storage cask storage building.

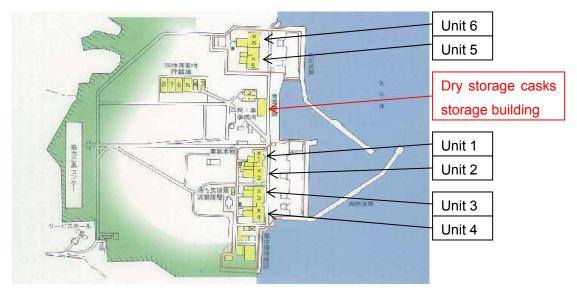


Figure 1 Dry storage casks storage building location

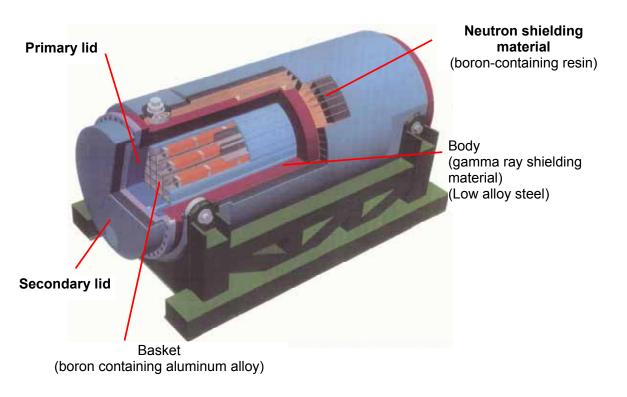


Figure 2 Dry storage cask structure

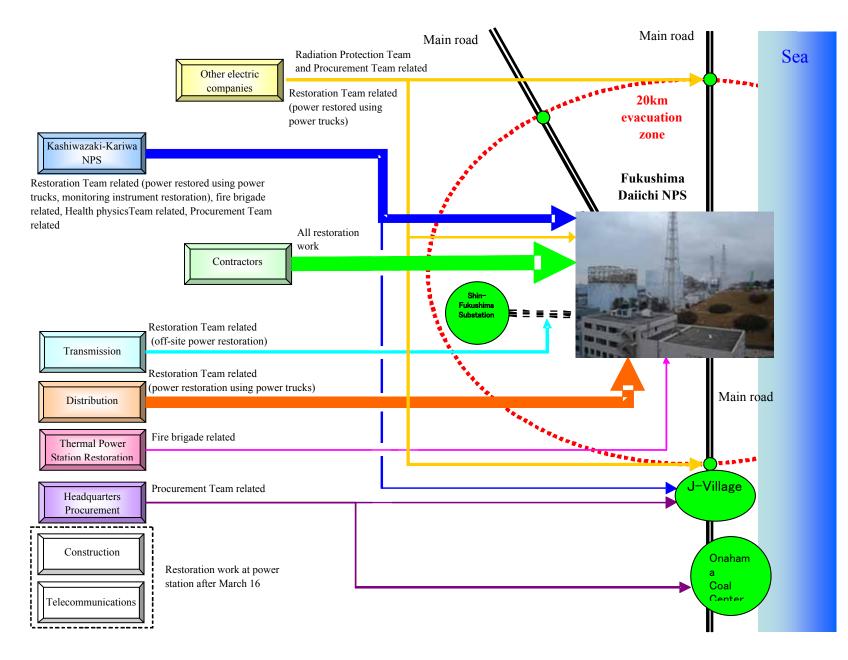


Figure 3 Conditions inside the dry storage cask storage building

| Support Provider         |   |   | March 11th  | March 12th                  | March 13th | March 14th | March 15th     |          |                |
|--------------------------|---|---|---|-----------------------------|------------|------------|----------------|----------|----------------|
|                          |   |   | Pa  | Health Physics              | 0          | 25         | 25             | 42       | 42             |
|                          |   |   |   | Restoration                 | 0          | 1          | 11             | 36       | 34             |
|                          |   |   | Employee  | Fire Brigade                | 0          | 0          | 0              | 0        | 0              |
|                          |   |   |   | Transportation              | 0          | 7          | 3              | 5        | 8              |
|                          |   |   | Subt  | otal                        | 0          | 33         | 39             | 83       | 84             |
|                          | Kashiwazaki-Kariwa  |   | Contractors   | Health Physics              | 0          | 0          | 0              | 0        | 0              |
|                          |   |   |   | Restoration                 | 0          | 0          | 0              | 0        | 0              |
|                          |   |   |   | Fire Brigade                | 0          | 6          | 6              | 6        | 6              |
|                          |   |   |   | Transportation              | 0          | 17         | 17             | 2        | 0              |
|                          |   |   | Subtotal  |                             | 0          | 23         | 23             | 8        | 6              |
|                          |   |   | Employees and<br>contractors                          | Health Physics              | 0          | 25         | 25             | 42       | 42             |
|                          |   |   |   | Restoration<br>Fire Brigade | 0          | 1 6        | <u>11</u><br>6 | <u> </u> | <u>34</u><br>6 |
|                          |   |   |   | Transportation              | 0          | 24         | 20             | 7        | 8              |
|                          |   |   |   |                             | 0          | 56         | 62             | 91       | 90             |
|                          |   |   | Total   |                             | -          |            |                |          |                |
|                          |   | Distribution<br>team                            | Employees   |                             | 142        | 215        | 265            | 261      | 152            |
|                          |   |   | Contractors   |                             | 35         | 64         | 98             | 115      | 40             |
|                          |   |   | Other electric companies                              |                             | 58         | 0          | 0              | 0        | 0              |
| р                        |   |   | Subtotal  |                             | 235        | 279        | 363            | 376      | 192            |
| late                     |   | Transmission<br>team                            | Employees   |                             | 10         | 9          | 0              | 0        | 15             |
| ) re                     |   |   | Contractors   |                             | 0          | 43         | 0              | 27       | 31             |
| CC                       | Other<br>offices  |   | Subtotal  |                             | 10         | 52         | 0              | 27       | 46             |
| TEPCO related            |   | Thermal<br>Power Station<br>Restoration<br>team | Employees   |                             | 0          | 0          | 0              | 0        | 0              |
|                          |   |   | Contractors   |                             | 0          | 4          | 0              | 15       | 25             |
|                          |   |   | Subtotal  |                             | 0          | 4          | 0              | 15       | 25             |
|                          |   | Headquarters<br>Procurement<br>Team             | Employees   |                             | 0          | 0          | 0              | 2        | 2              |
|                          |   |   | Contractors   |                             | 11         | 63         | 32             | 29       | 45             |
|                          |   |   | Subtotal  |                             | 11         | 63         | 32             | 31       | 47             |
|                          |   | Total   | Employees   |                             | 152        | 224        | 265            | 263      | 169            |
|                          |   |   | Contractors   |                             | 46         | 174        | 130            | 186      | 141            |
|                          |   |   | Other electric companies                              |                             | 58         | 0          | 0              | 0        | 0              |
|                          |   |   | Employees + contractors + other<br>electric companies |                             | 256        | 398        | 395            | 449      | 310            |
|                          | <u> </u>  |   |   |                             |            |            |                |          |                |
|                          | Sum Total   |   | Employ  | yees                        | 152        | 257        | 304            | 346      | 253            |
|                          |   |   | Contra  |                             | 46         | 197        | 153            | 194      | 147            |
|                          |   |   | Other electric companies                              |                             | 58         | 0          | 0              | 0        | 0              |
|                          |   |   | Employees + contractors +                             |                             | 256        | 454        | 457            | 540      | 400            |
|                          | other electric companies  |   |   |                             |            | 157        | 570            | 100      |                |
| tric                     | Surveys, decontamination, Logistics, etc.   |   |   |                             |            |            |                |          |                |
| Other electric companies | (Dispatched in accordance with Cooperative<br>Agreement Between Nuclear Operating Companies<br>during times of Nuclear Emergency) |   |   | 0 0                         | 0          | 0 41       | 116            | 120      |                |
| Othe                     |   |   |   |                             |            |            |                |          |                |
|                          | au  | carries of tractour Emergency,                  |   |                             |            |            |                |          |                |
|                          | Field of service of Restoration   |   |   | 245                         | 332        | 374        | 439            | 272      |                |
|                          |   |   | Fire br   |                             | 0          | 10         | 6              | 21       | 31             |
| 1                        | nersonnel He  |   |   | Physics                     | 0          | 25         | 66             | 158      | 162            |
|                          | perso   |   | Procurement   |                             | 11         | 87         | 52             | 38       | 55             |

Support personnel dispatched to Fukushima Daiichi NPS between March 11 and March 15

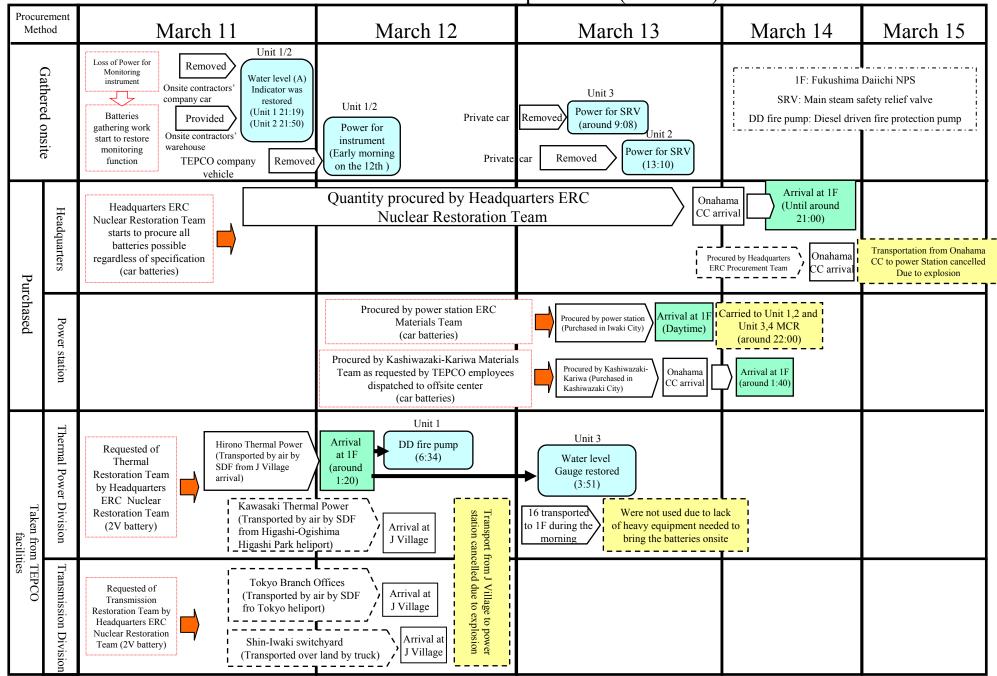
# Summary of support personnel dispatched to Fukushima Daiichi NPS during initial response



| Quanting                        | Date of Procurement                       | Cresifications                        | Mainht (ka) | Overstitu |   |
|---------------------------------|---|---------------------------------------|-------------|-----------|---|
| Supplier                        | (Date of arrival at Fukushima<br>Daiichi) | Specifications                        | Weight (kg) | Quantity  | Transportation Means                        |
| Onsite contractors'             | March 11                                  | 12V                                   | Approx. 40  | 2         | Procured by power                           |
| company bus                     | After dusk                                | (For car battery)                     |             |           | station restoration team                    |
| Onsite contractors'             | March 11                                  | 6V                                    | Approx. 20  | 4         | Procured by power                           |
| warehouse                       | After dusk                                | (For                                  |             |           | station restoration                         |
|                                 |   | communications<br>and control)        |             |           | team  |
| TEPCO company                   | March 11 around 23:00                     | 12V                                   | Approx. 20  | 3         | Removed by power                            |
| vehicle                         |   | (For car battery)                     |             |           | station restoration team                    |
| TEPCO employee's                | March 13 between around                   | 12V                                   | Approx. 20  | 20        | Removed by car                              |
| Private car                     | 7:00 to around 10:00                      | (For car battery)                     |             |           | owners                                      |
| Headquarters                    | March 14 around 0:00*                     | 12V                                   | 17~41.5     | 1000      | Transported by land                         |
| Restoration Team                | (Arrival at Onahama coal                  | (For car battery)                     |             |           | as arranged by                              |
| (Plant manufacturer)            | center)                                   |                                       |             |           | manufacturer                                |
|                                 |   |                                       |             |           | (to Onahama coal<br>center)                 |
| Fukushima Daiichi               | March 17 around 2:00                      | 12V                                   | 17~41.5     | Approx.   | Transported by land                         |
| Restoration Team                | (Arrival at Onahama coal                  | (For car battery)                     |             | 1000      | as arranged by                              |
| (Plant manufacturer)            | center)                                   |                                       |             |           | manufacturer                                |
|                                 |   |                                       |             |           | (to Onahama coal                            |
|                                 |   |                                       |             |           | center)                                     |
| Headquarters                    | March 14                                  | 12V                                   | Approx. 20  | 20        | Transported by land                         |
| Procurement Team                | (Arrival at Onahama coal                  | (For car battery)                     |             |           | as arranged by                              |
|                                 | center)                                   |                                       |             |           | Headquarters<br>Procurement Team            |
| Fukushima Daiichi               | March 13                                  | 12V                                   | Approx. 10  | 8         | Transported by land                         |
| Procurement Team                | During the day                            | (For car battery)                     |             |           | by power station                            |
|                                 | 0, 1                                      | , , , , , , , , , , , , , , , , , , , |             |           | Procurement team                            |
| Kashiwazaki-Kariwa              | March 14                                  | 12V                                   | Approx. 10  | 20        | Transported by land                         |
| Procurement Team                | Around 1:40                               | (For car battery)                     |             |           | by supporters from                          |
| _                               |   |                                       |             |           | Kashiwazaki-Kariwa                          |
| Hirono Thermal                  | March 12                                  | 2V                                    | 12.5        | 50        | Transported by air by                       |
| Power Station                   | Around 1:20                               | (From Existing                        |             |           | Self-Defense Force                          |
|                                 |   | station equipment)                    |             |           |   |
| Kawasaki Thermal                | March 12                                  | 2V                                    | 143         | 100       | Transported by air by                       |
| Power Station                   | Between around 9:00~11:00                 | (From Existing                        |             |           | Self-Defense Force                          |
| Taluxa Dranah Officia           | (Arrival at J Village)                    | station equipment)                    | 40.00       | 400       | (To J-Village)                              |
| Tokyo Branch Office             | March 12<br>During the day                | 2V<br>(From Existing                  | 12~33       | 132       | Transported by air by                       |
|                                 | During the day<br>(Arrival at J Village)  | Office equipment)                     |             |           | Self-Defense Force                          |
|                                 |   | Unice equipment)                      |             |           | (To J-Village)                              |
| Shin-lwaki Switching            |   |                                       | 21          | 50        | Transported by air by                       |
| Shin-Iwaki Switching<br>Station | March 12<br>Afternoon                     | 2V<br>(From Existing                  | 21          | 52        | Transported by air by<br>Self-Defense Force |

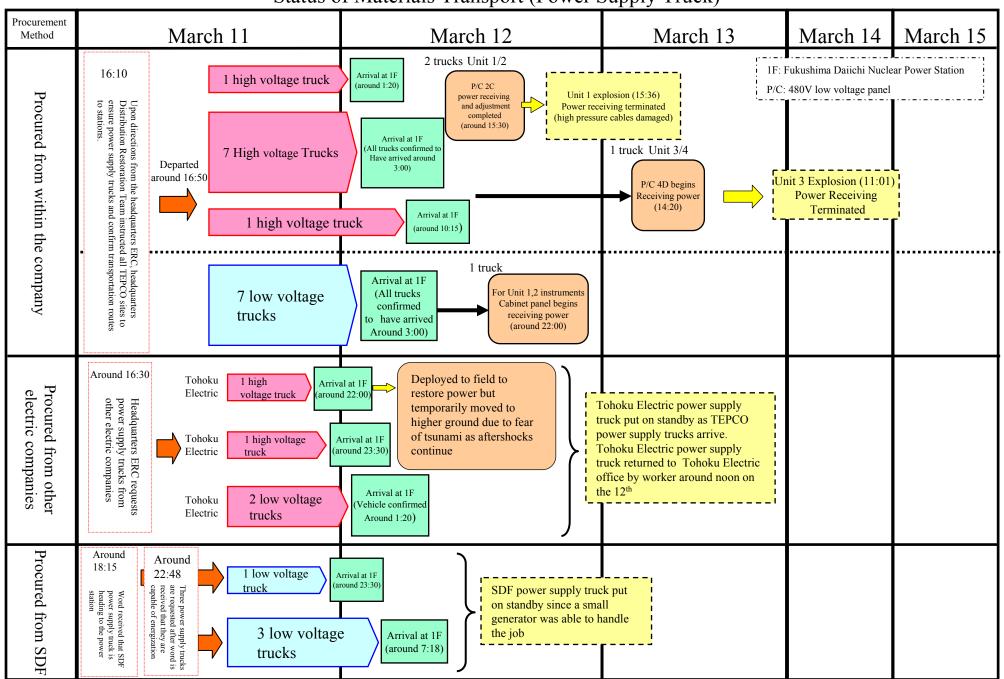
### List of Battery Procurement

\*1 TEPCO employees transported the batteries by land after arrival at the Onahama coal center after which they arrived at the power station after March 14<sup>th</sup>.



Status of Materials Transportation (Batteries)

Attachment 10-2 (2



Status of Materials Transport (Power Supply Truck)

Attachment 10-3

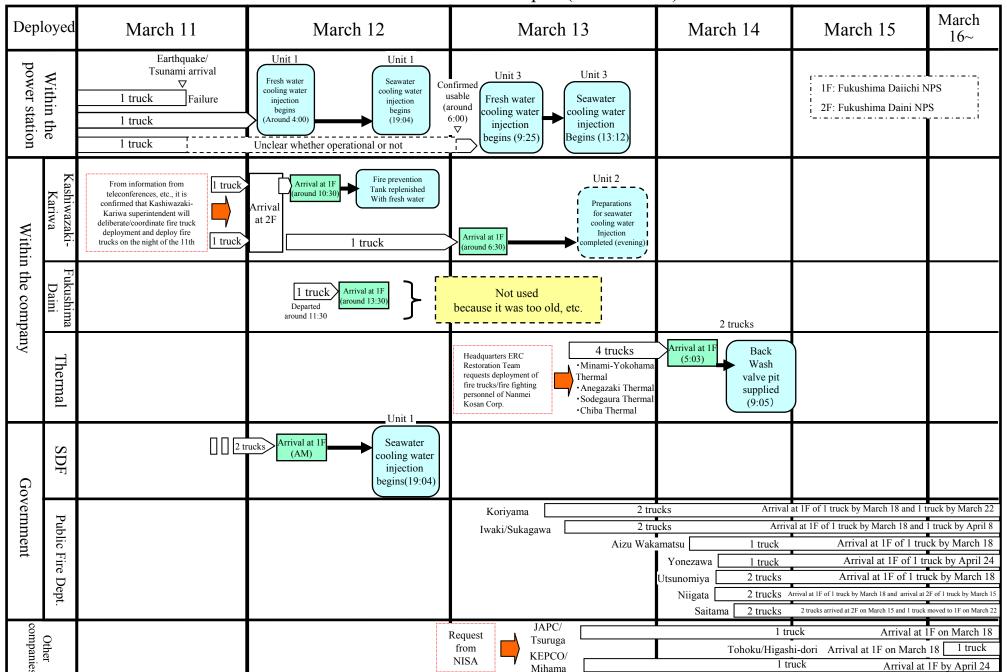
| Procured from:   | Date of Procurement<br>(Date of arrival at Fukushima<br>Daiichi) | Quantity          | Transportation Means   |  |
|--|--|-------------------|--|--|
| Self-Defense Force (SDF)   | March 12 AM  | 2                 | SDF (assumed)  |  |
| Kashiwazaki-Kariwa NPS   | March 12 around 10:30  | 1                 | Japan Nuclear<br>Securitysystem Corp. at<br>Kashiwazaki-Kariwa   |  |
| Fukushima Daini NPS  | March 12 around 13:30  | 1                 | Nanmei Kosan Corp. at<br>Fukushima Daini   |  |
| Kashiwazaki-Kariwa NPS   | March 13 around 6:30   | 1                 | Nanmei Kosan Corp. at<br>Kashiwazaki-Kariwa/Fukushi<br>ma Daini  |  |
| Thermal power stations<br>(Chiba,<br>Minami-Yokohama,<br>Sodegaura, Anezaki) | March 14 at 5:03   | 4                 | Nanmei Kosan Corp. at<br>Thermal power station   |  |
| Japan Atomic Power<br>Company Tsuruga NPS                                    | March 18 before noon   | 1                 | Japan Atomic Power<br>employees and contractors  |  |
| Tohoku Electric<br>Higashi-dori NPS  | March 18 around noon   | 1                 | Contractors (Tohoku Electric<br>transportation contractor)<br>and headquarter employees                                |  |
| Kansai Electric<br>Mihama NPS  | Arrived by April 24  | 1 <sup>*1</sup>   | Kansai Electric employees and contractors  |  |
| Iwaki Fire Dept.<br>Uchigo Fire Station                                      | Arrived by March 18  | 1 <sup>*1</sup>   | Inawashiro power station<br>employee from fire station to<br>offsite center  |  |
| Koriyama Fire Dept.<br>Tamura Fire Station                                   | Arrived by March 18  | 1*1               | Inawashiro power station<br>employee from fire station to<br>offsite center  |  |
| Koriyama Fire Dept.<br>Koriyama Fire Station                                 | Arrived by March 22  | 1*1               | Contractor from fire station to offsite center   |  |
| Niigata Fire Dept.<br>Nishi Fire Station                                     | Arrived by March 18 (1)  | 2 <sup>*1*2</sup> | TEPCO Kashiwazaki-Kariwa<br>NPS employee from Local<br>office of Nishi fire Station to J<br>Village                    |  |
| Saitama Fire Dept.<br>Chuo Fire Station                                      | Arrived on March 22 (1)  | 2*2               | TEPCO Logistics Corp. from<br>fire station to J Village<br>(accompanied by TEPCO<br>Saitama branch office<br>employee) |  |
| Utsunomiya Fire Dept.<br>Chuo Fire Station                                   | Arrived by March 18  | 2 <sup>*1</sup>   | TEPCO Tochigi branch office<br>employee from fire station to<br>J Village  |  |

### Fire Truck Procurement Status

| Aizu Wakamatsu Fire<br>Dept.<br>Aizu Wakamatsu Fire<br>Station       | Arrived by March 18 | 1 <sup>*1</sup> | TEPCO Inawashiro power<br>station employee from fire<br>station to J Village            |
|--|---------------------|-----------------|---|
| Sukagawa Fire Dept.<br>Ishikawa Fire Dept.<br>Furudono Station House | Arrived by April 8  | 1 <sup>*1</sup> | Contractor from fire Dept. to offsite center  |
| Yonezawa Fire Dept.  | Arrived by April 24 | 1 <sup>*1</sup> | TEPCO Inawashiro power<br>station employee from fire<br>dept. to Onahama coal<br>center |

\*1: It is assumed that transportation from the offsite center, J Village and Onahama coal center to the Fukushima Daiichi power station was carried out by TEPCO employees or contractors.

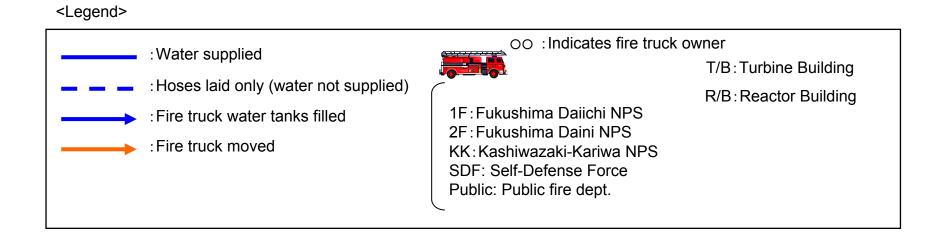
\*2: One fire truck from the Niigata Fire Dept. and two trucks from the Saitama Fire Dept. were transported by March 15 by Nanmei Kosan Corp. at Fukushima Daini and brought from J Village to Fukushima Daini.

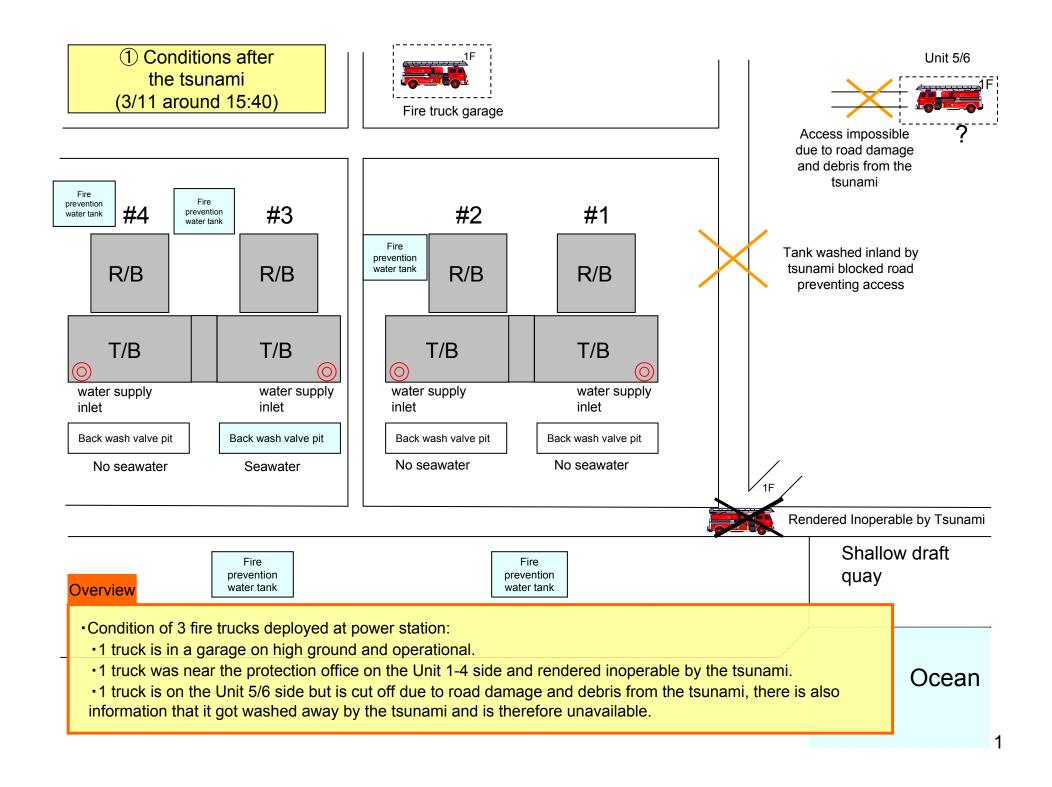


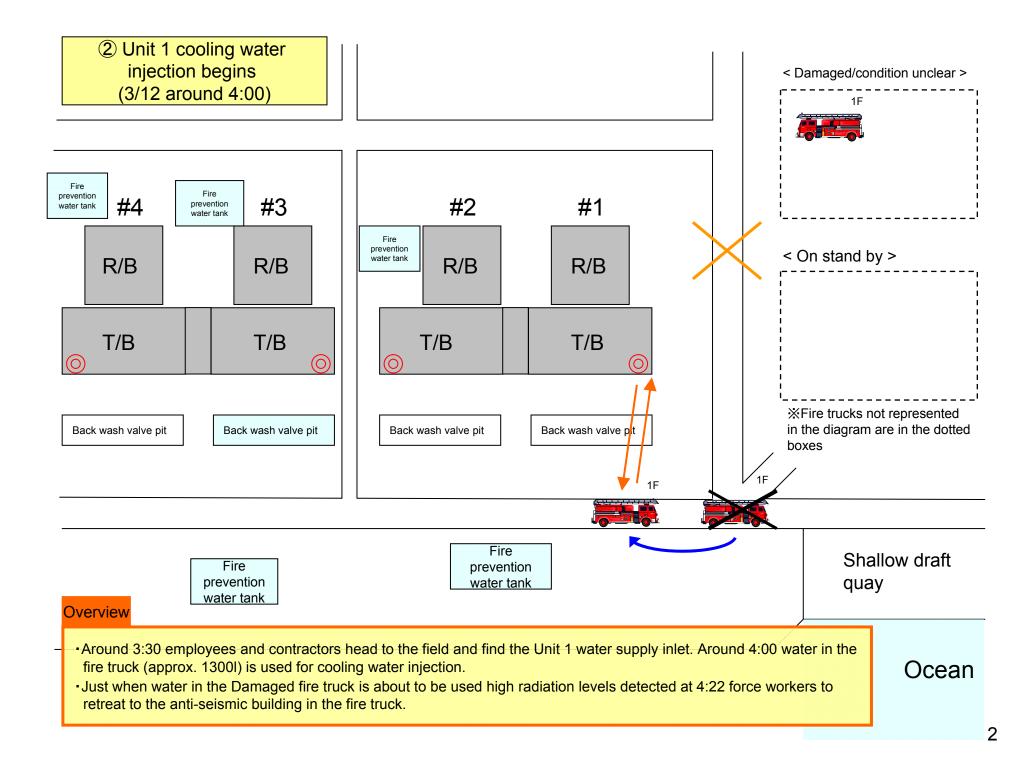
Status of Materials Transport(Fire Trucks)

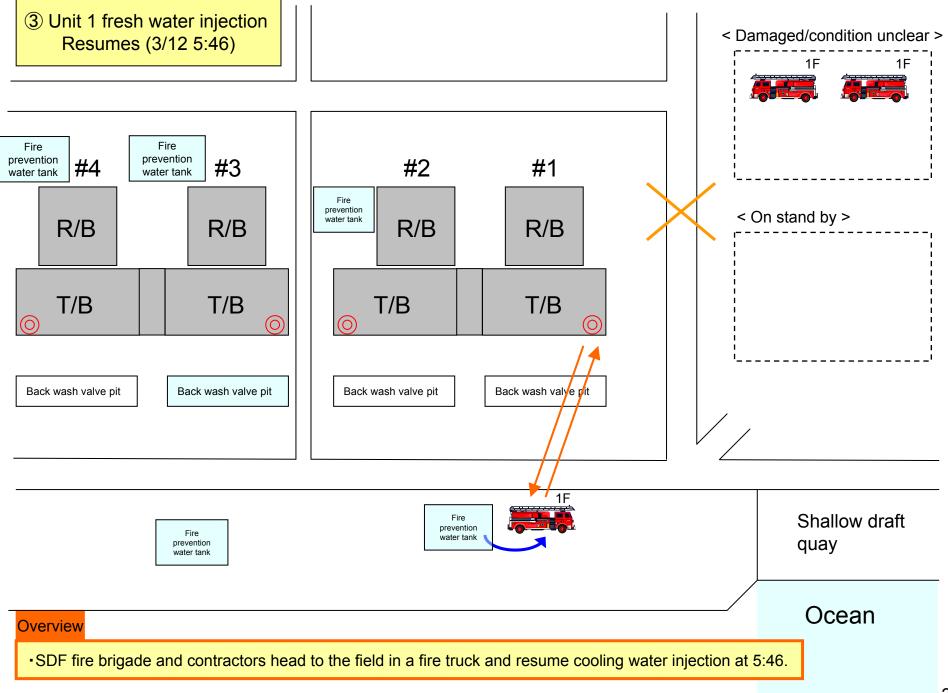
Attachment 10-4 (3)

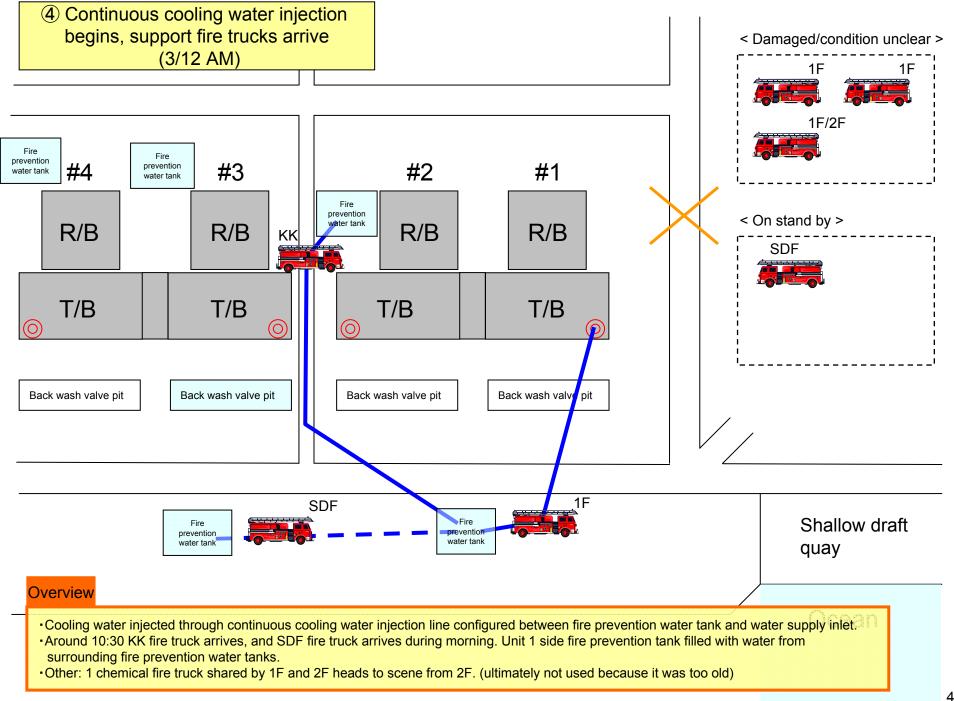
# Diagram of Reactor Cooling Water Injection using Fire Trucks

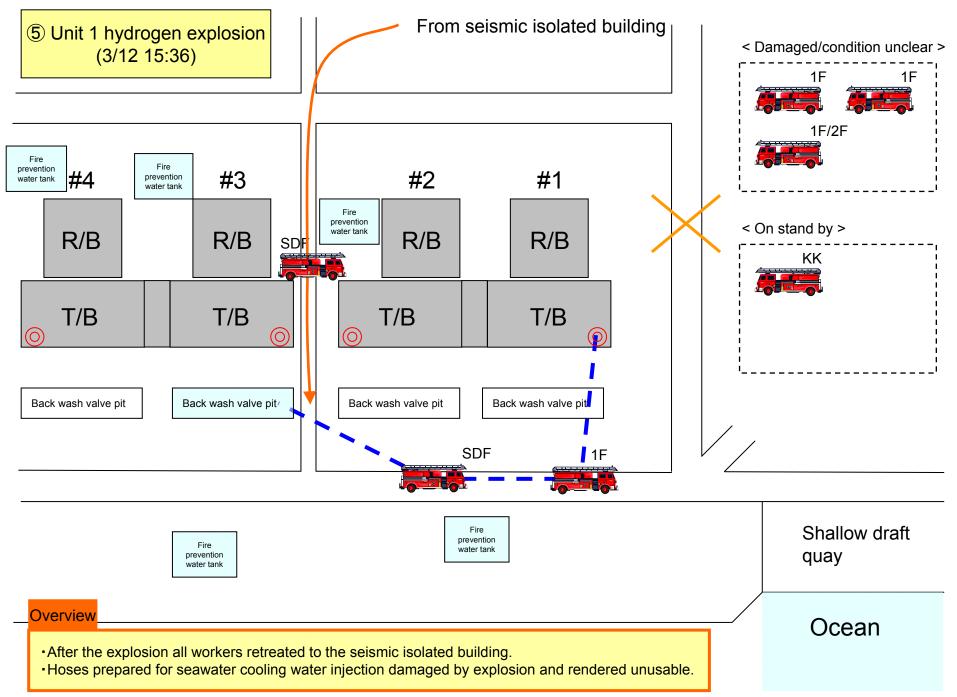


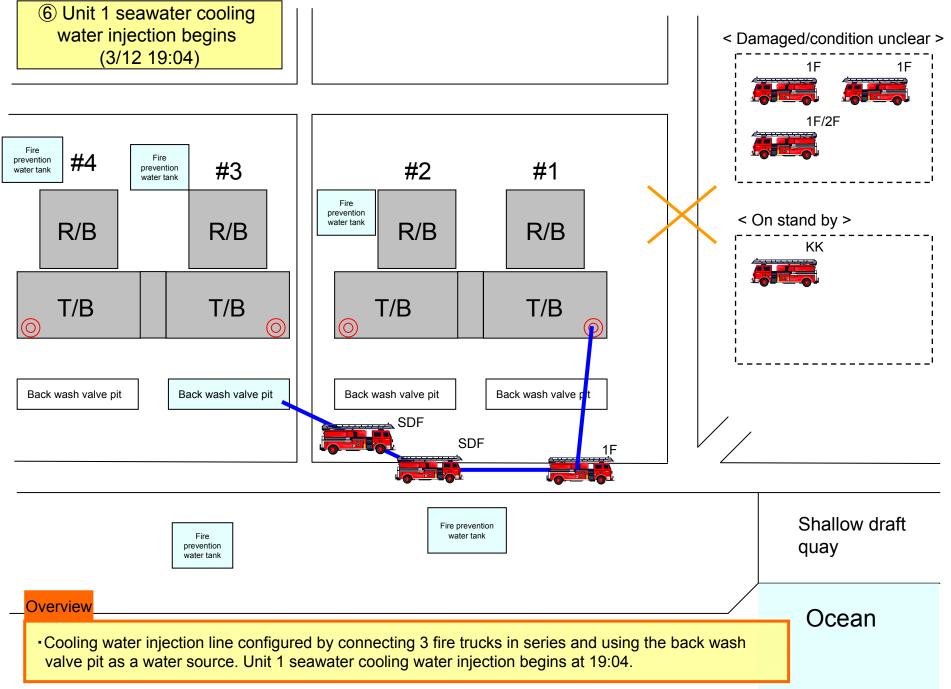


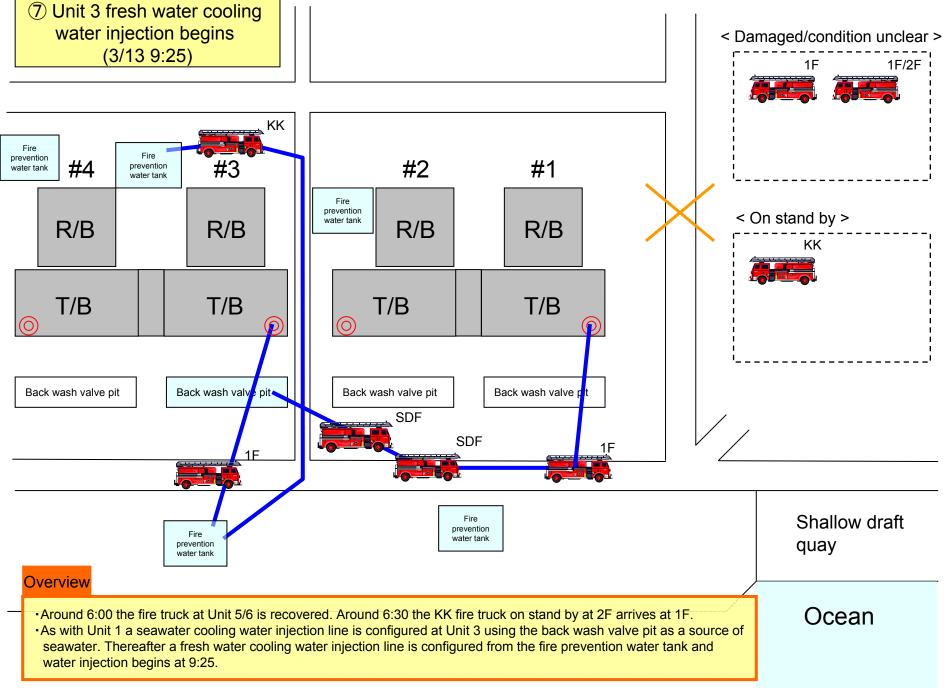


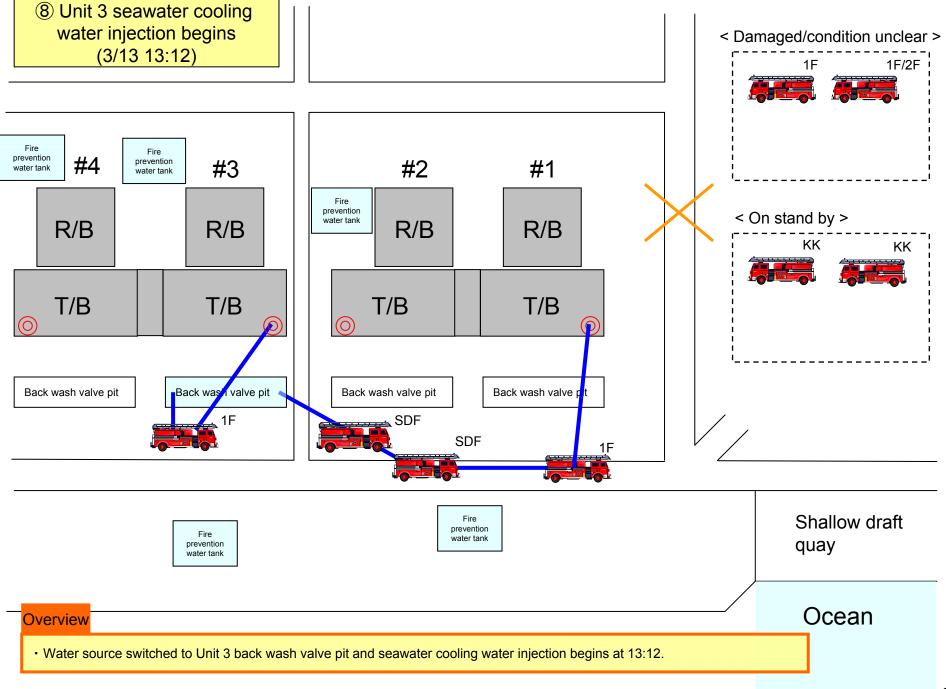


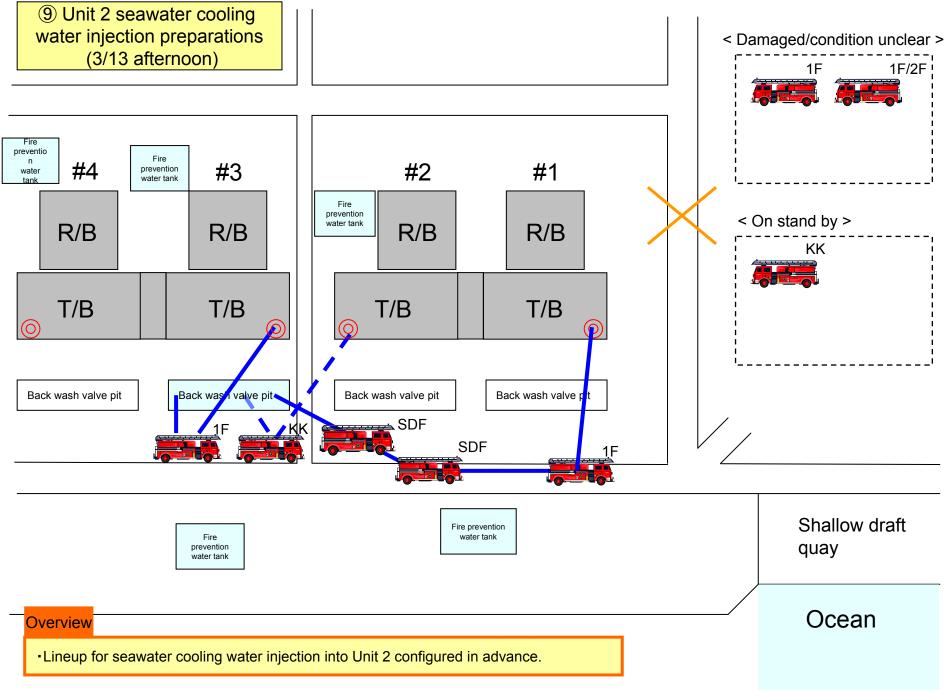


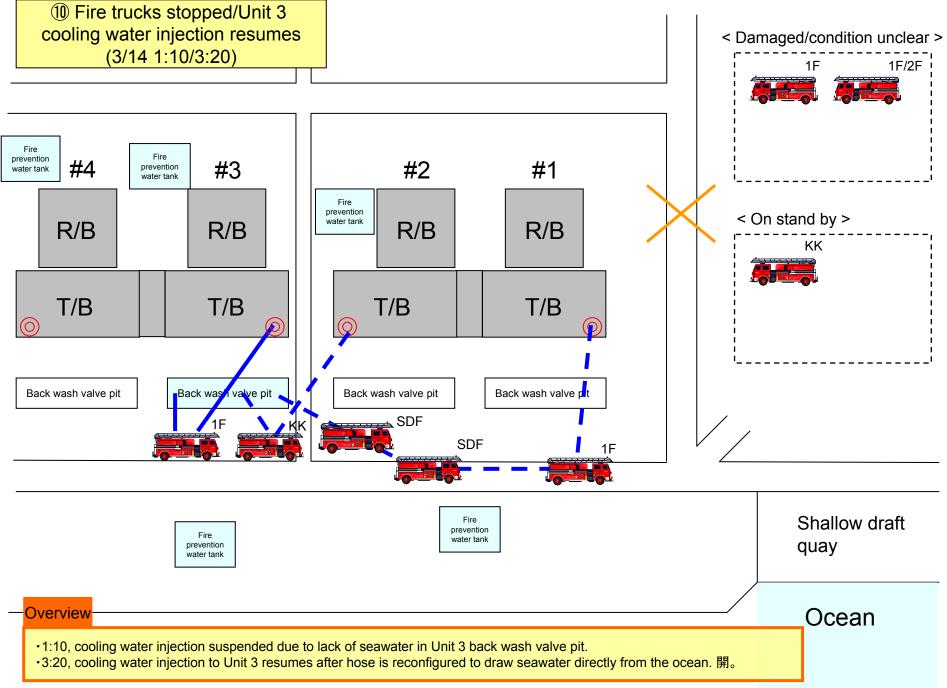


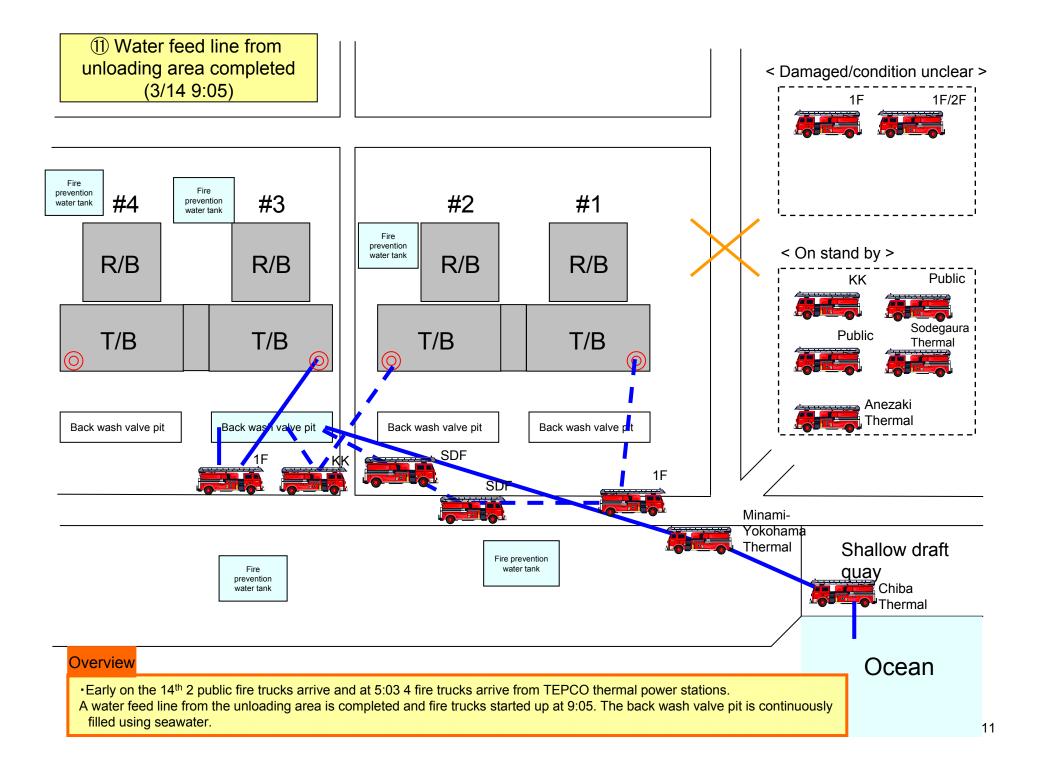


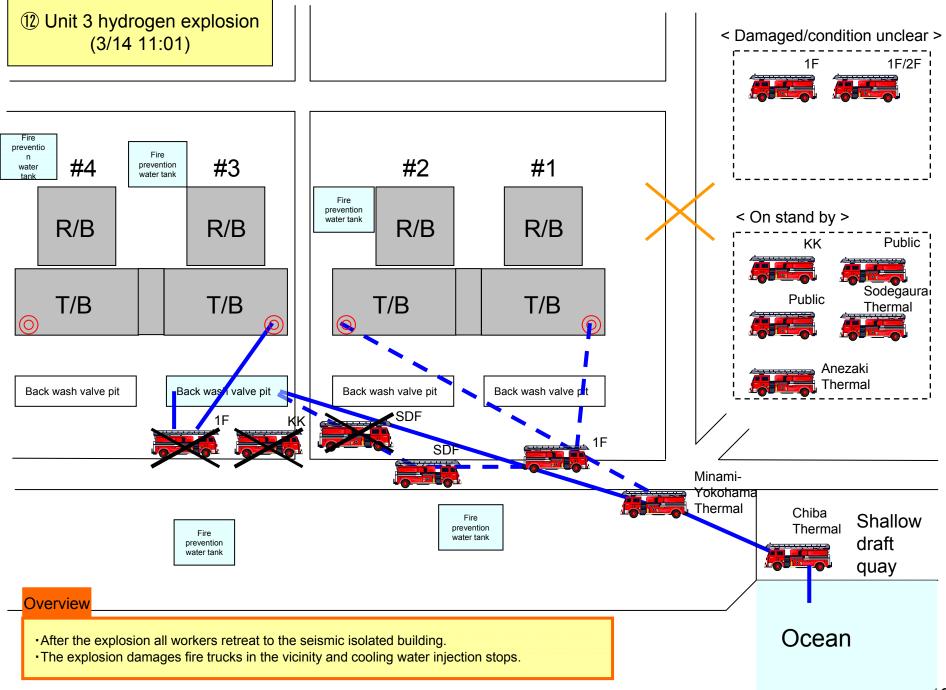


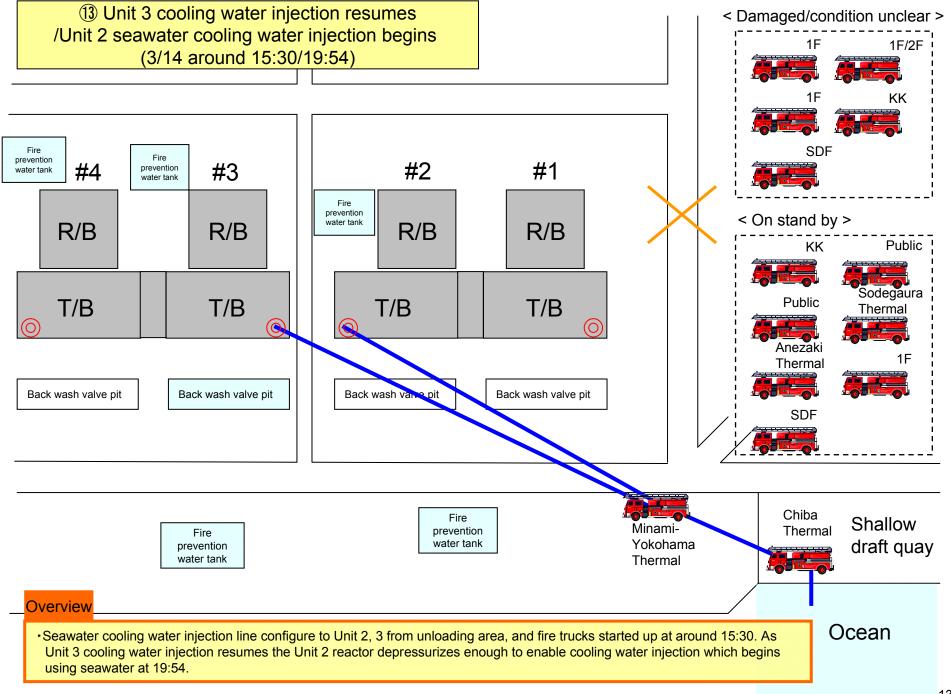


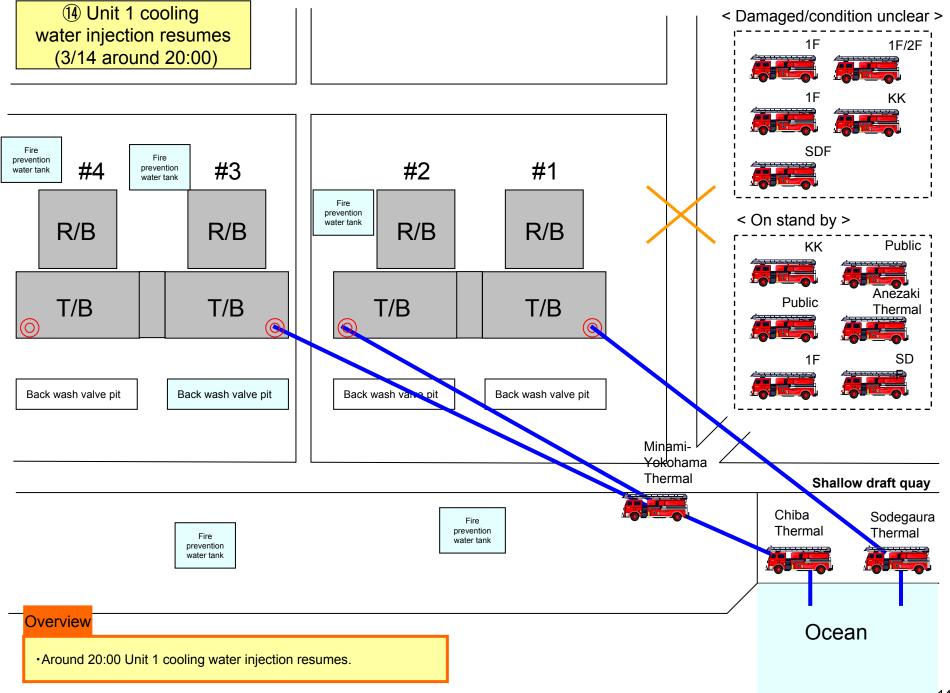


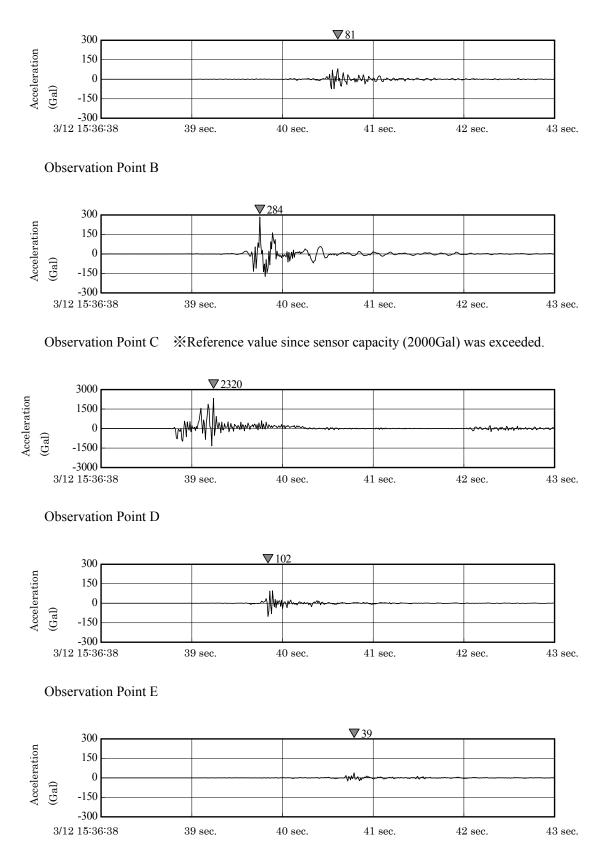




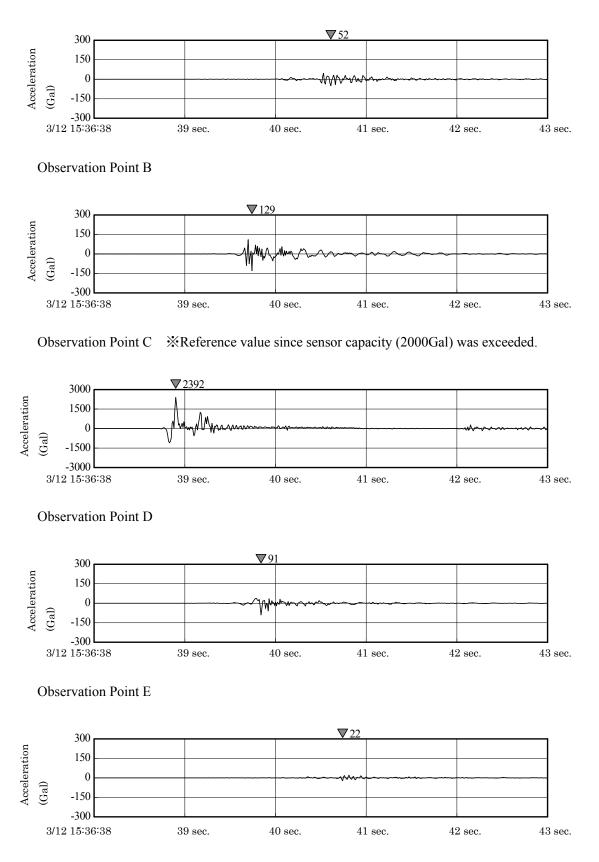




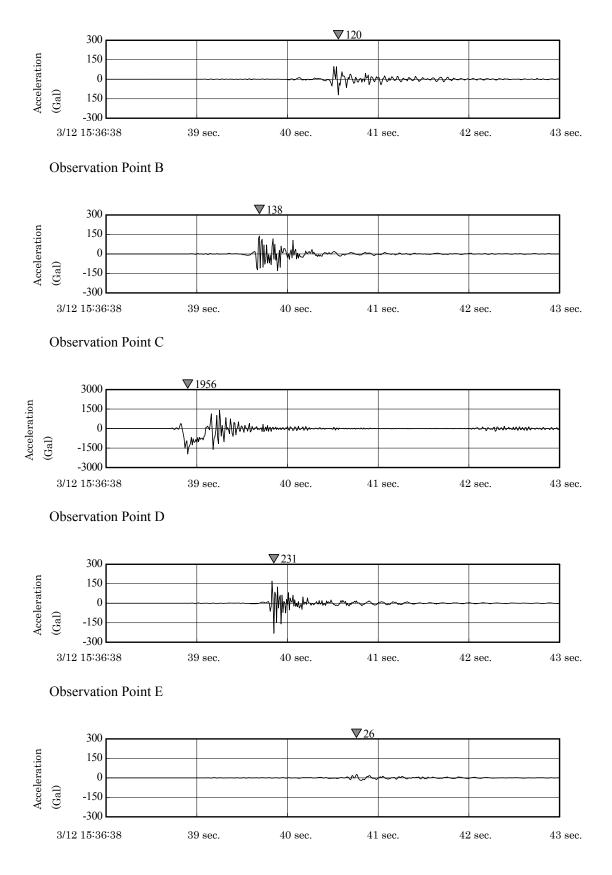




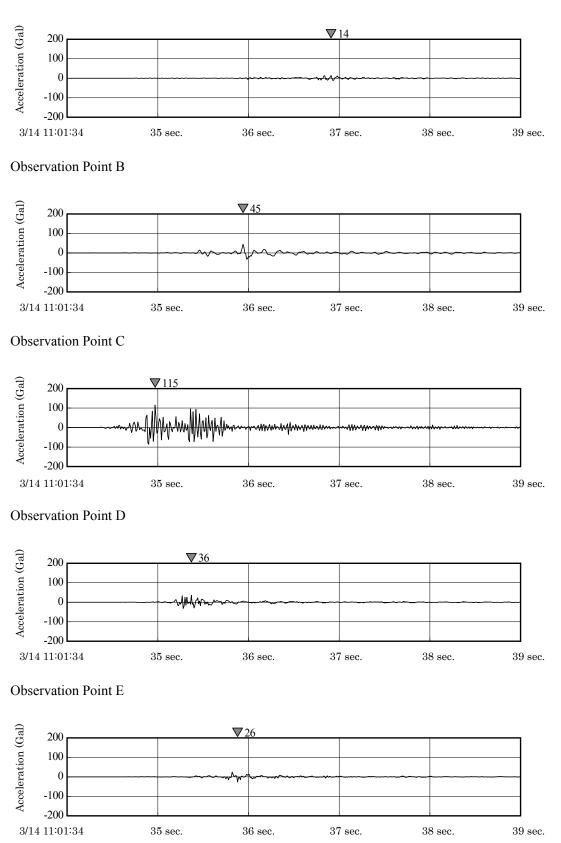


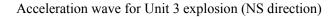


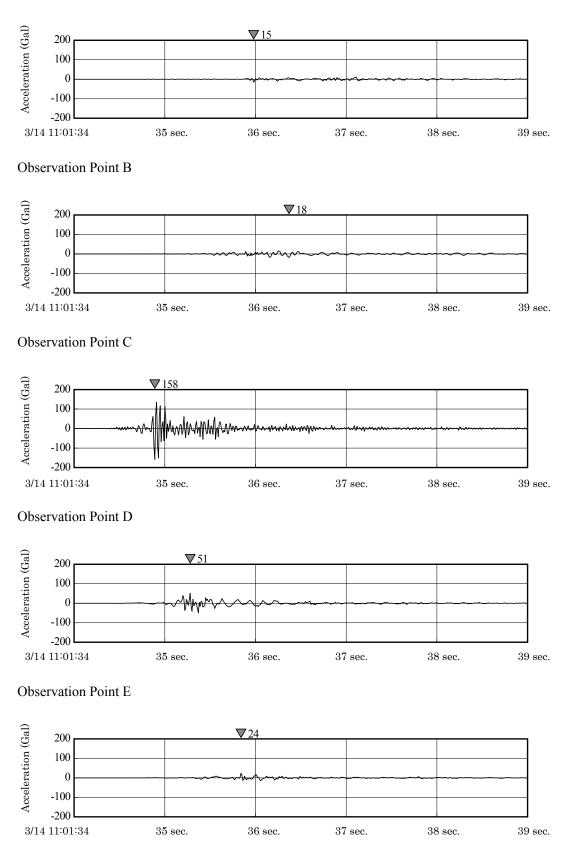
Acceleration wave for Unit 1 explosion (EW direction)

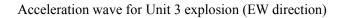


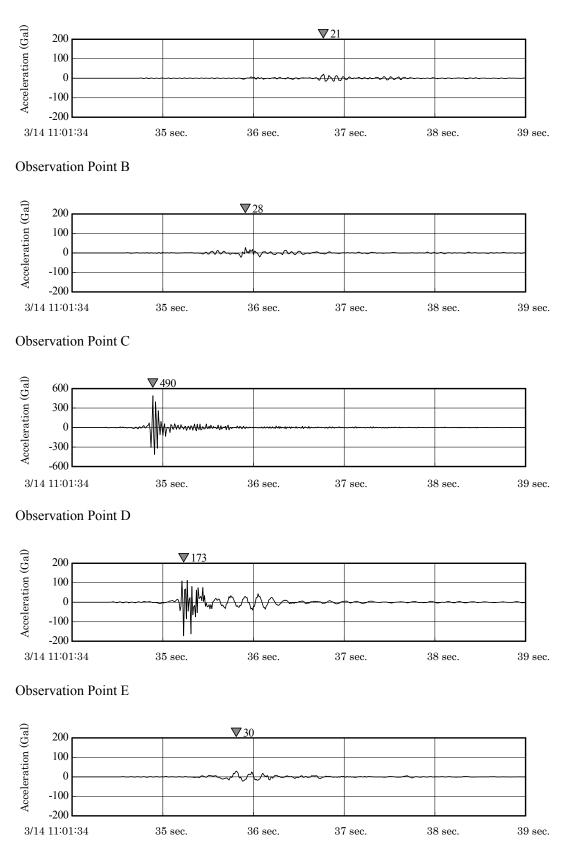
Acceleration wave for Unit 1 explosion (UD direction)



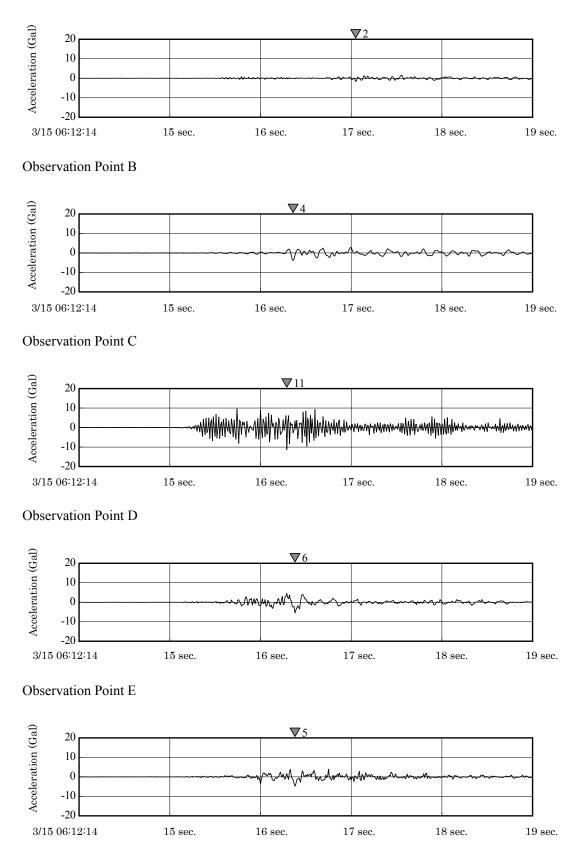




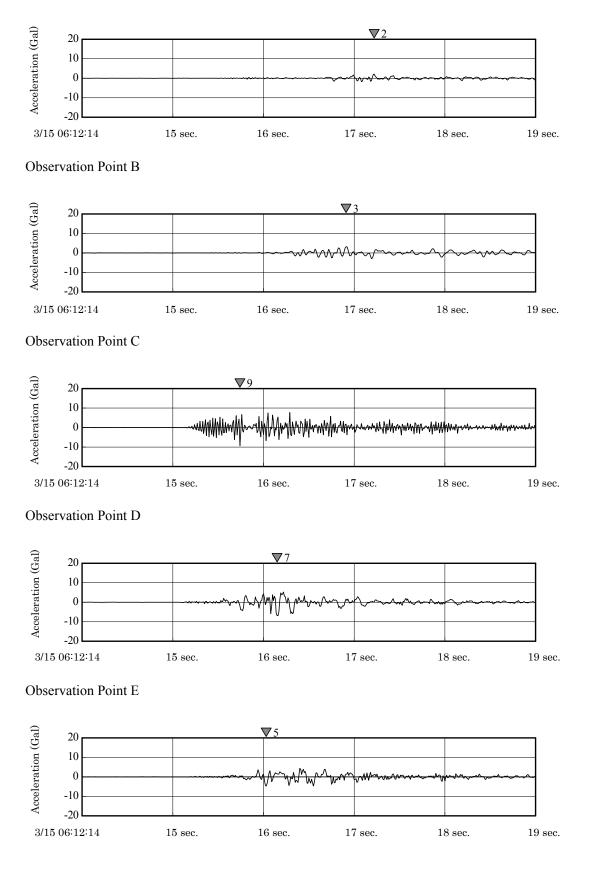




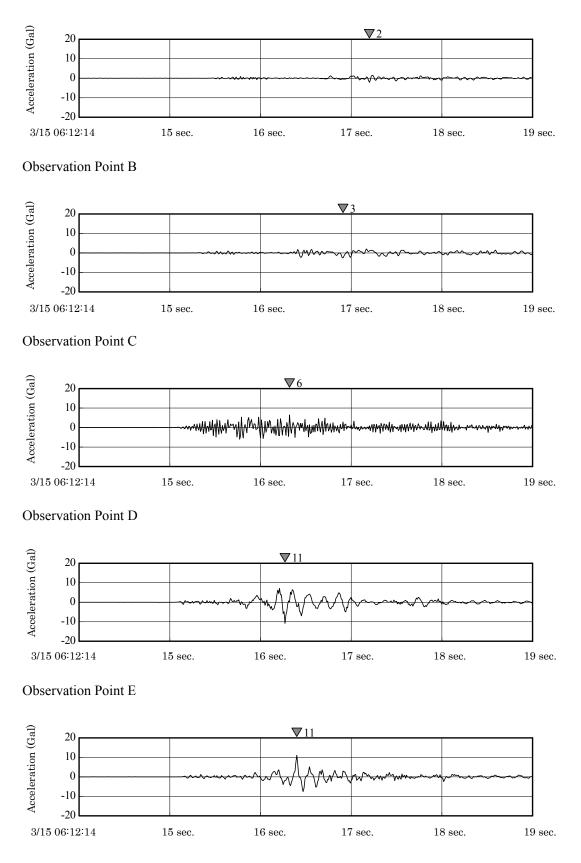
Acceleration wave for Unit 3 explosion (UD direction)



Acceleration wave at time when it is estimated that the Unit 4 explosion occurred (NS direction)



Acceleration wave at time when it is estimated that the Unit 4 explosion occurred (EW direction)



Acceleration wave at time when it is estimated that the Unit 4 explosion occurred (UD direction)

# Percentage of Fukushima Daiichi Unit 3 Venting Flow that Entered the Unit 4 Reactor Building (R/B)

## 1. Objective

It is estimated that it is possible that the hydrogen explosion that occurred at the Unit 4 reactor building was caused by vent gases that contained hydrogen flowing into the Unit 4 side during venting of the Unit 3 PCV since the Unit 4 standby gas treatment system (SGTS) exhaust pipes merge with the Unit 3 SGTS exhaust pipes right before the main stack. Therefore in regards to the percentage of Unit 3 vent flow that flowed in Unit 4 a general evaluation of the volume of hydrogen flow into Unit 4 from Unit 3 with considering the pressure losses in the pipes was performed.

## 2. Evaluation conditions and model

The evaluation model assumes that the pressure at the main stack outlet and within the Unit 4 reactor building is the same (equal to atmospheric pressure) and the relationship between the pressure losses on the main stack side and on the Unit 4 side are given using the following equation from corresponding pipe length and pipe diameter. Table 1 shows the primary evaluation conditions.

$$\frac{\Delta P_1}{\Delta P_2} = \frac{\frac{1}{2}\lambda \frac{L_1}{D_1}v_1^2}{\frac{1}{2}\lambda \frac{L_2}{D_2}v_2^2} \approx \frac{\frac{L_1}{D_1}\left(\frac{Q_1}{A_1}\right)^2}{\frac{L_2}{D_2}\left(\frac{Q_2}{A_2}\right)^2} = 1$$

In this equation,

 $v_1$ : Flow speed within main stack (m/s)

v<sub>2</sub> : SGTS piping internal flow speed (m/s)

 $Q_1$ : Main stack side flow volume (m<sup>3</sup>)

 $Q_2$ : Unit 4 side flow volume (m<sup>3</sup>)

 $\Delta P_1$ : Main stack pressure loss (Pa)

 $\Delta P_2$ : Unit 4 Heating, Ventilating and Air Conditioning System, Standby gas treatment system (SGTS) pressure loss (Pa)

L<sub>1</sub> : Main stack side corresponding pipe length (m)

L<sub>2</sub> : Unit 4 side corresponding pipe length (m)

D<sub>1</sub> : Main stack side pipe diameter (mm)

D<sub>2</sub> : Unit 4 side pipe diameter (mm)

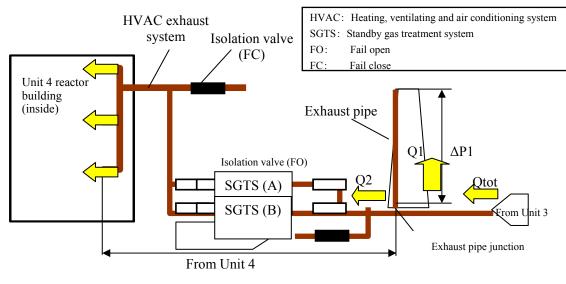
 $A_1$ : Main stack piping internal cross-sectional area (mm<sup>2</sup>)

 $A_2$ : SGTS piping cross-sectional area (mm<sup>2</sup>)

 $\boldsymbol{\lambda}$  : Pipe friction coefficient

3. Evaluation results

It has been evaluated that approximately 40% of the volume that flowed into the main stack from the venting of Unit 3 flowed into the Unit 4 side.



 $\Delta P2$ 

Figure 1 Evaluation model

| Table | 1 | Evaluation | conditions   |
|-------|---|------------|--------------|
| 14010 |   | L'uluuloll | contantionio |

| Item  | Value |
|---|-------|
| D <sup>1</sup> : Main stack side pipe diameter (mm)                 | 381.0 |
| $L^1$ : Main stack side corresponding pipe length (m) <sup>*1</sup> | 144   |
| D <sup>2</sup> : Unit 4 side pipe diameter (mm)                     | 333.4 |
| $L^2$ : Unit 4 side corresponding pipe length (m) <sup>*2</sup>     | 481   |

\*1: Main stack side pipe length (m) = [Main stack height] + [Pipe length from junction to main stack tip] + [Corresponding length of elbow joint]

[Main stack height]: 120m

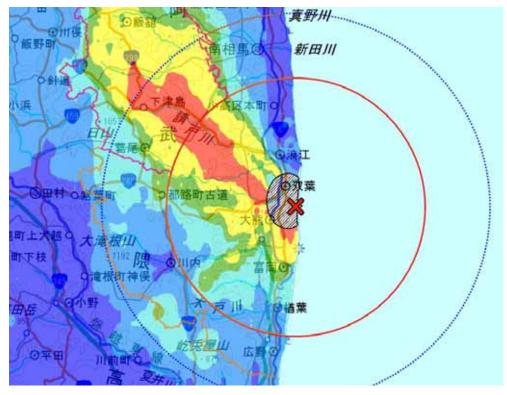
[Pipe length from junction to main stack tip]: 12m

[Corresponding length of elbow joint]: 12m

\*2: Unit 4 side corresponding pipe length(m) = [SGTS pipe length] + [Corresponding length of valves, elbow joints, branching T's]

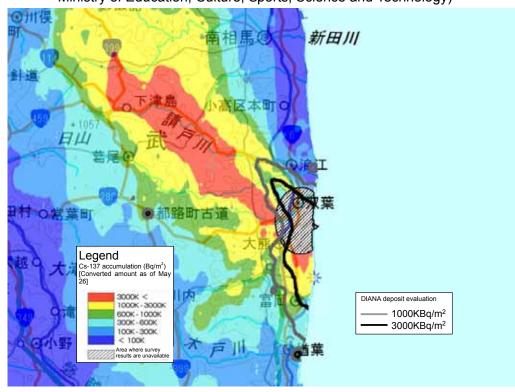
[SGTS pipe length]: 164m

[Corresponding length of valves, elbow joints, branching T's]: 317m

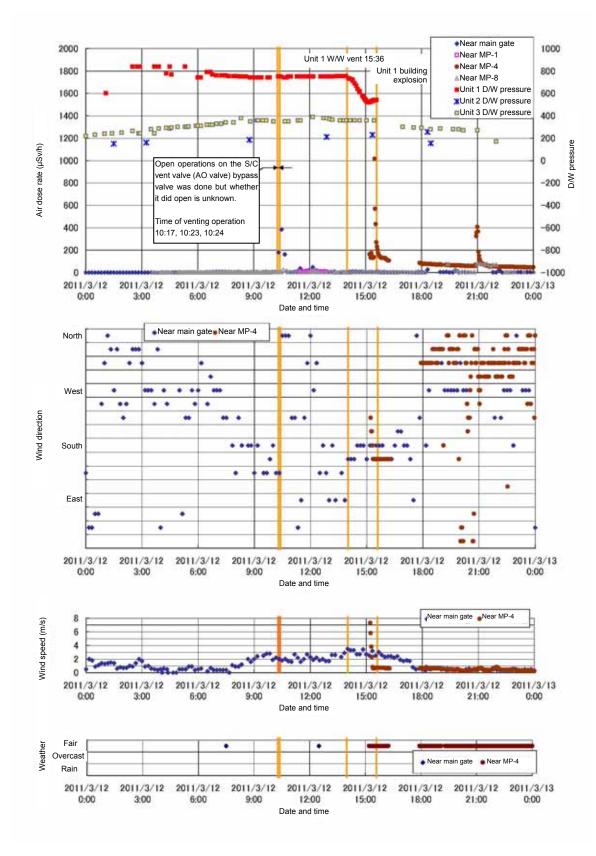


Soil sampling data

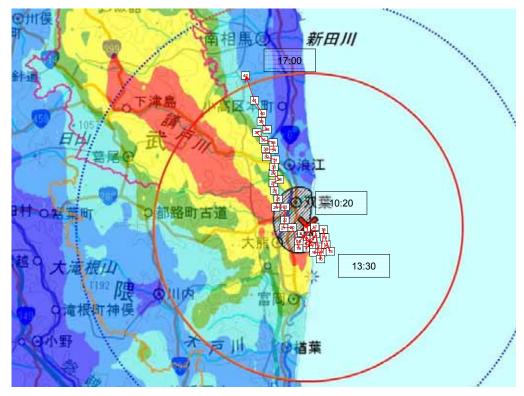
(Source: Radiation Dose Map HP <u>http://ramap.jaea.go.jp/map/</u> Ministry of Education, Culture, Sports, Science and Technology)



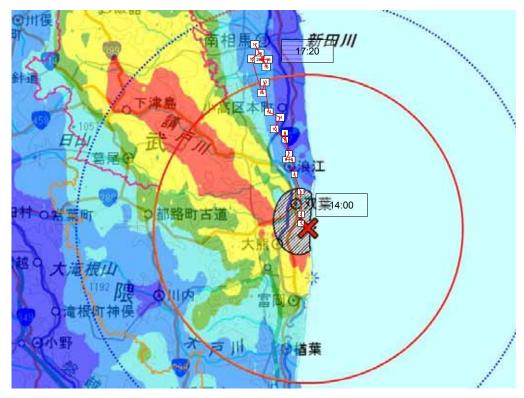
Comparison of DIANA evaluation results and MEXT survey results (Cs137 deposit status)



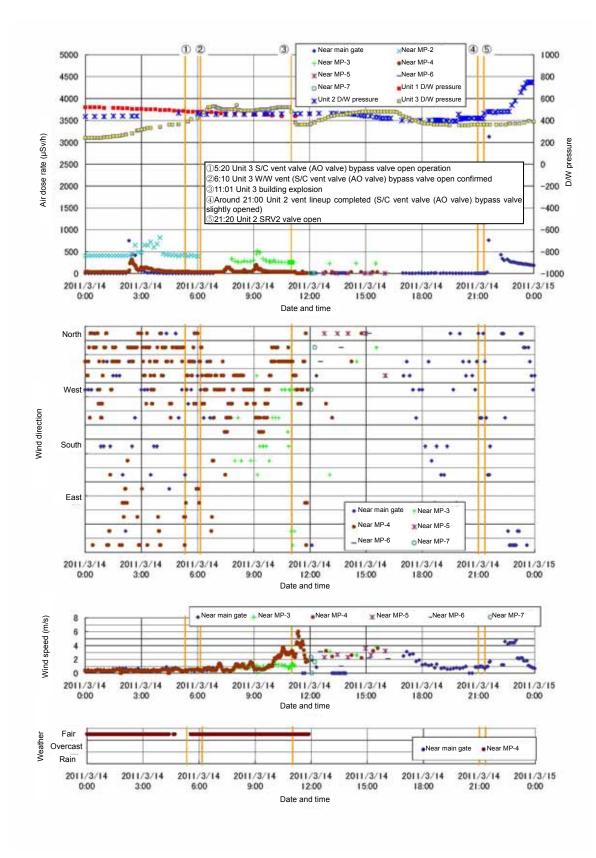
Monitoring data and trend data of wind direction (March 12)



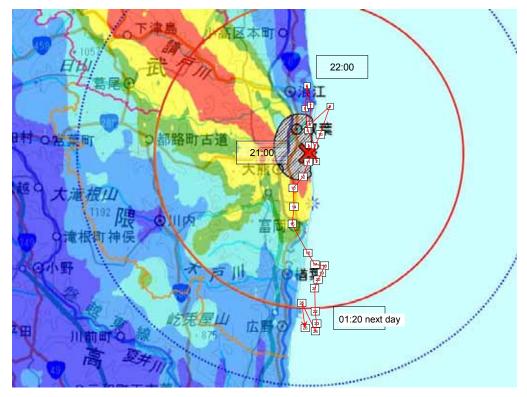
Trace of the "Steam Cloud" released when Unit 1 was vented at 10:00 on March 12



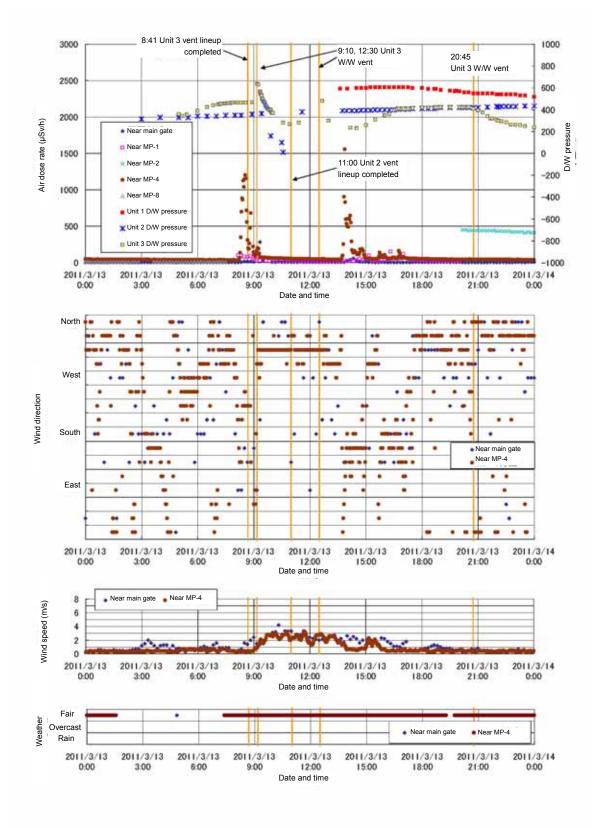
Trace of the "Steam Cloud" released when Unit 1 was vented at 14:00 on March 12



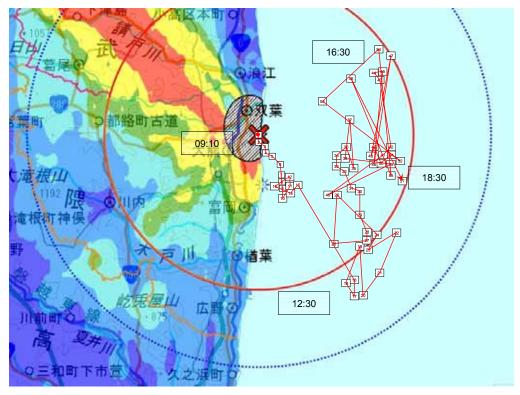
Monitoring data and trend data of wind direction (March 14)



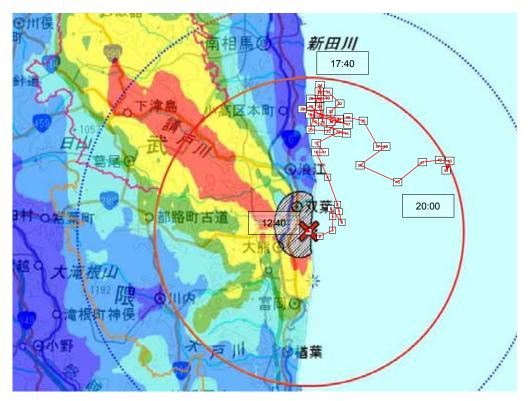
Trace of the "Steam Cloud" released when Unit 2 was vented at 21:00 on March 14



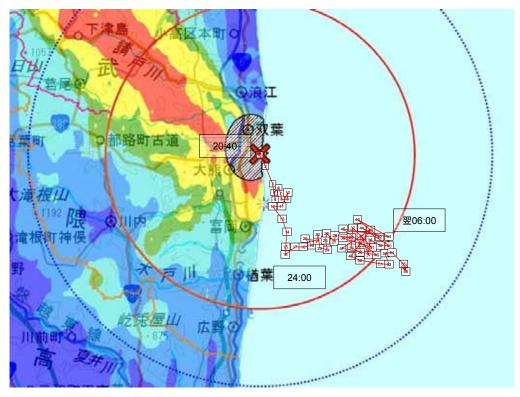
Monitoring data and trend data of wind direction (March 13)



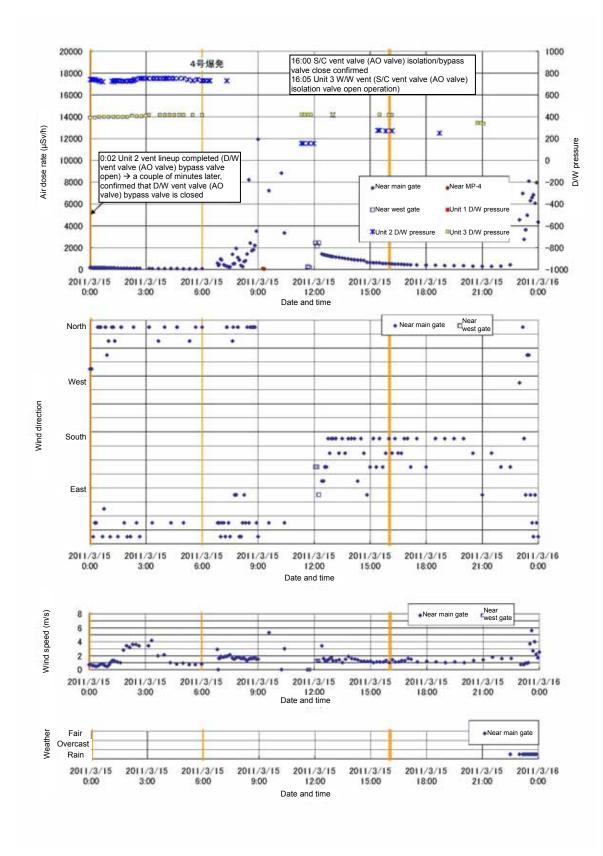
Trace of the "Steam Cloud" released when Unit 3 was vented at around 9:00 on March 13



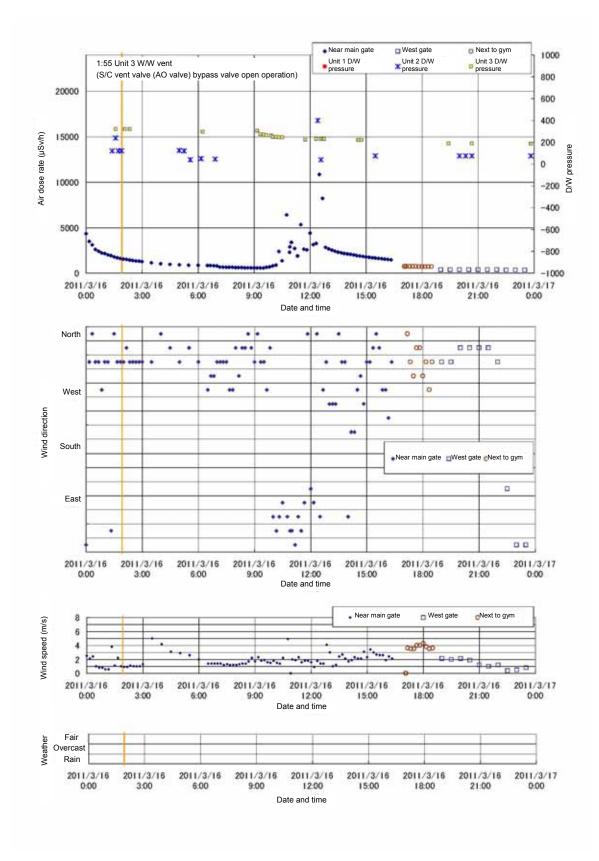
Trace of the "Steam Cloud" released when Unit 3 was vented at around 12:00 on March 13



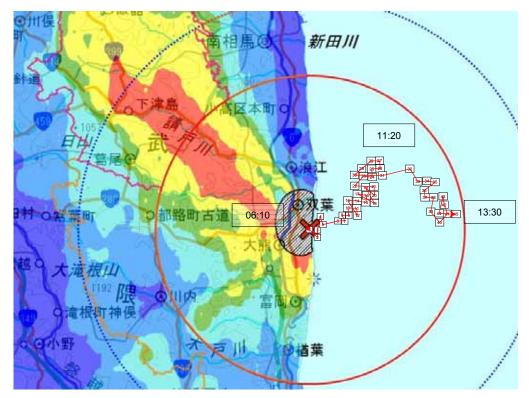
Trace of the "Steam Cloud" released when Unit 3 was vented at around 20:00 on March 13



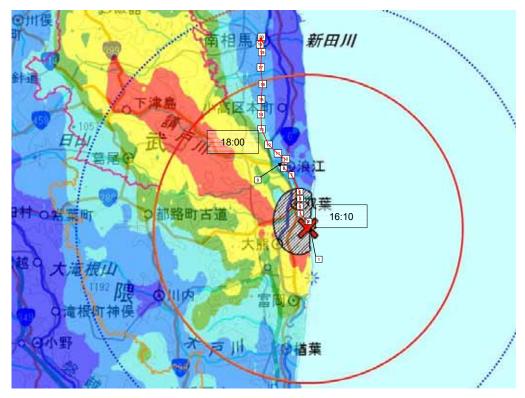
Monitoring data and trend data of wind direction (March 15)



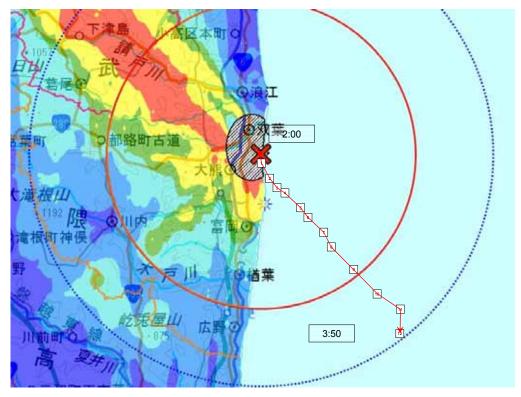
Monitoring data and trend data of wind direction (March 16)



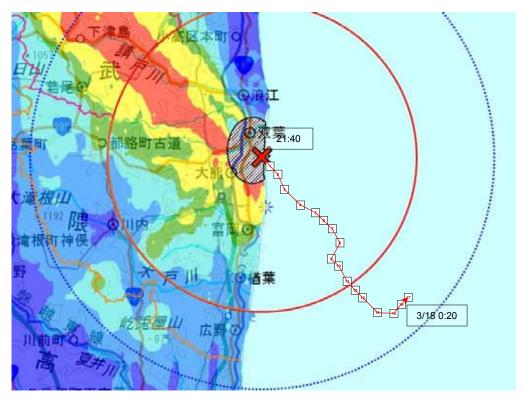
Trace of the "Steam Cloud" released when Unit 3 was vented at around 6:00 on March 14



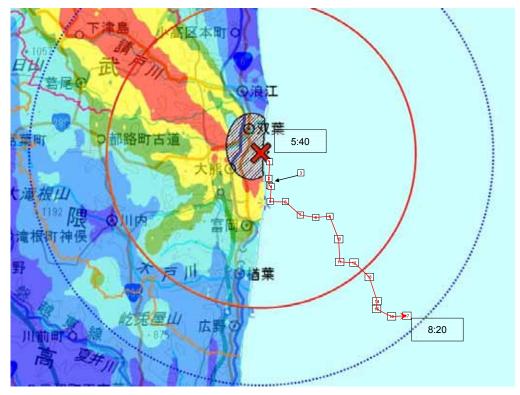
Trace of the "Steam Cloud" released when Unit 3 was vented at around 16:00 on March 15



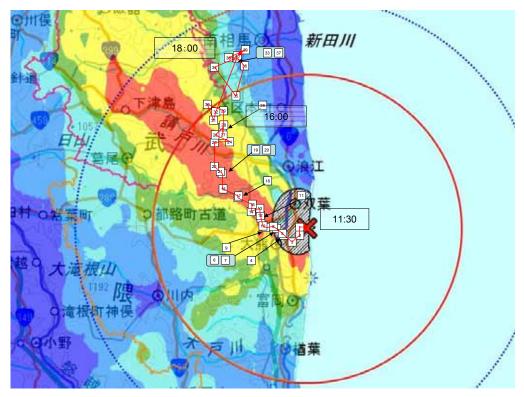
Trace of the "Steam Cloud" released when Unit 3 was vented at around 2:00 on March 16



Trace of the "Steam Cloud" released when Unit 3 was vented at around 21:00 on March 17



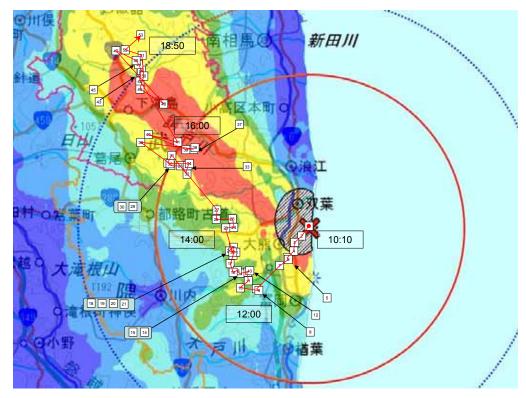
Trace of the "Steam Cloud" released when Unit 3 was vented at around 5:00 on March 18



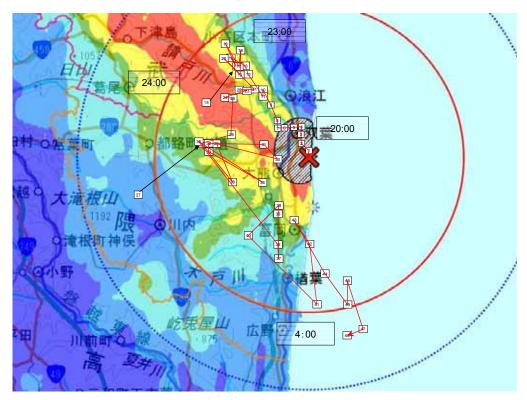
Trace of the "Steam Cloud" released when Unit 3 was vented at around 11:00 on March 20



Fukuichi Live Camera footage (Around 10:00, March 15)



Trace of the "Steam Cloud" released when Unit 2 was vented at around 10:00 on March 15



Trace of the "Steam Cloud" released when Unit 2 was vented at around 20:00 on March 15



Status of rain clouds in Fukushima Prefecture at around 23:00, March 15 (Source: National Institute of Informatics HP

http://agora.ex.nii.ac.jp/earthquake/201103-eastjapan/weather/data/radar-20110311/)

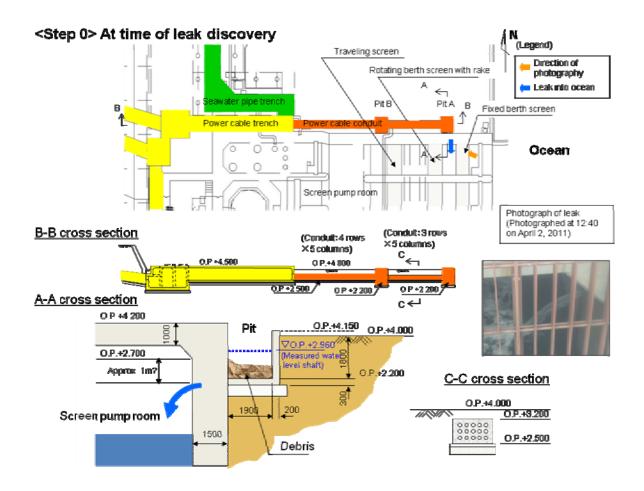


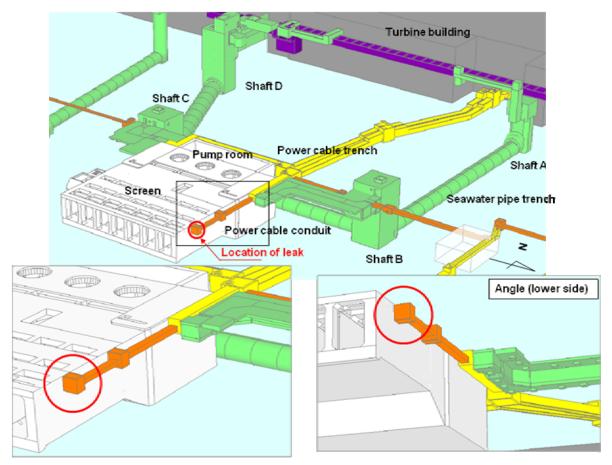
Status of rain clouds in Fukushima Prefecture at around 23:30, March 15 (Source: National Institute of Informatics HP

http://agora.ex.nii.ac.jp/earthquake/201103-eastjapan/weather/data/radar-20110311/)

Leak from area near Fukushima Daiichi Unit 2 Intake Screen

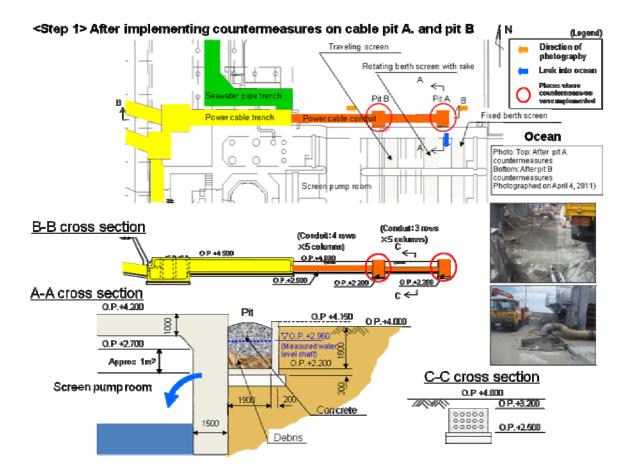
At around 9:30 on April 2, a TEPCO employee discovered that water with radiation levels that exceed 1000mSv/h had accumulated inside the trench pit where power cables are housed near the Unit 2 intake, that there were cracks in the concrete portions of the pit walls, and that the aforementioned water inside the pit was leaking into the ocean. (Step 0)



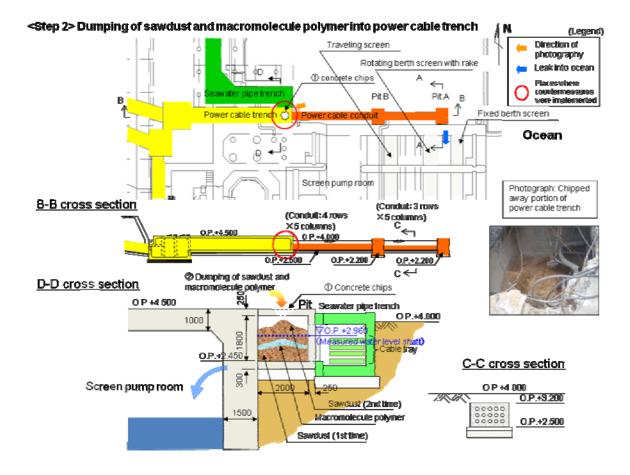


After the leak was discovered, methods for stopping the leak were immediately deliberated and the following action was taken.

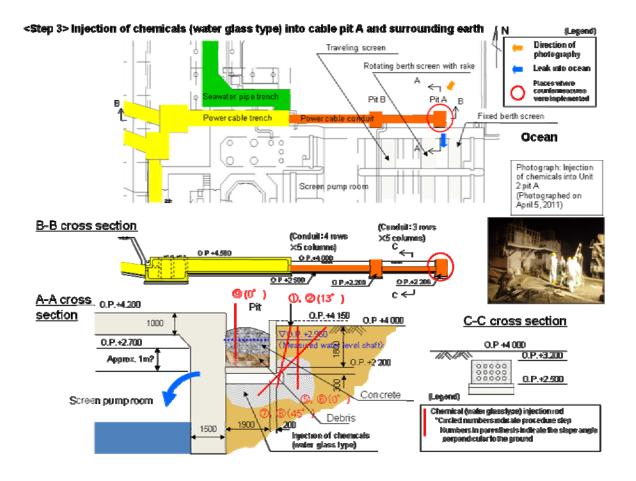
At 14:52 on April 2, a concrete mixer truck arrived at the nuclear power station, and at 16:25, concrete started to be poured into the pit on the upstream (mountain) side (until around 16:50). At 19:02 concrete started to be poured into the pits on the downstream (ocean) side (~19:13), and the pouring of concrete was terminated when the pit was filled with concrete almost to the top, however the pouring of concrete did not stop the leak. (Step 1)



At 12:07 on April 3, the trench duct top plate started to be destroyed in order to stop the leak by filling the pit with sawdust and a macromolecule polymer. The top plate was completely dismantled at 12:22, and at 13:47 five barrels of sawdust were poured into the pit. Thereafter, 80 bags of polymer and five barrels of sawdust were thrown into the pit. At 14:05 10 bundles (3 kg per bundle) of newspaper were added, and at 14:30 more sawdust was thrown in. Workers then retreated after alarmed pocket dosimeters (APD) started to sound. The sawdust was dry so at 17;42, in order to mix it with water, a mixer truck was brought to the pit opening in order to inject water which concluded at 17:52 with no effect. (Step 2)



- At 7:08 on April 4, 13 kg of tracer started to be dumped into shaft (B). This concluded at 7:11 but no change was seen.
- On April 5, machinery to inject a coagulant (water glass) was set up in the morning and injection began at 14:00. (Step 3)



- At 14:18 two holes were drilled (bored) in the pit (No. 1, 2) and tracer was injected at 14:23 (through hole No. 1) and the leak was checked (the same was done through hole No. 2 at 14:34).
- At 15:07 coagulant was injected (No. 1, 2) and at 16:00, 1500 liters of coagulant was injected.
- At 20:02 another hole was bored (No. 5) (No. 6 was bored at 20:16), and tracer was injected at 20:42 (No. 5) (tracer was injected into No. 6 at 21:50).
- At around 22:00 coagulant was injected (No. 5, No. 6) and at 22:45 coagulant injection into No. 5, 6 was terminated.
- At 0:38 on April 6, holes No. 7, 8 were deepened and at 1:53 coagulant was injected into No. 7 and No. 8. At 5:17 No. 9 was bored (directly above the pit) and coagulant was ejected (-5:30).
  - At around 5:38 it was confirmed that the leak into the ocean had been stopped.

A radionuclide analysis of the leaking water and the contaminated water within the pit revealed that the concentration of radioactive materials was approximately the same, so it is estimated that the water that leaked was the contaminated water from within the pit. Furthermore, since the pit and the Unit 2 trench are structurally connected the water that leaked most likely leak into the ocean from the Unit 2 turbine building (T/B) via the Unit 2 trench.

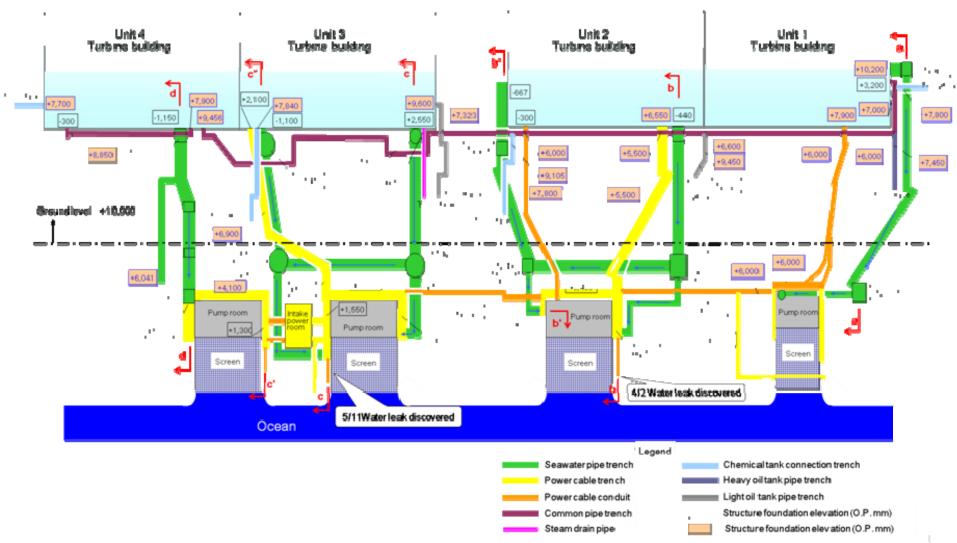
An investigation into why the leak of highly contaminated water from the Unit 2 T/B into the ocean could not be contained revealed the following.

- The exposure of contract workers on March 24 prompted an investigation into the risks associated with contaminated water leaking from the turbine building. The turbine building and seawater piping trench are connected at a relatively low elevation via piping penetrations and it was confirmed that contaminated water from within the turbine building was flowing into the trench.
- It became clear that even though the aforementioned trench is not directly connected to the ocean, since the opening to the shaft is at O.P. +4,000mm if the water level in the trench exceeds the elevation of the inlet contaminated water may leak to the outside.
- In order to stop the leakage of contaminated water to the outside the water levels in the turbine building and the trench shaft were monitored starting on March 28, and water in the condensate storage tank (CST) was transferred to the suppression pool water storage tank in order to secure a place to transfer contaminated water that had accumulated in the turbine building. (March 29 to April 1).
- Sub-drain water also started to be monitored from March 30 since it was possible that contaminated water would leak directly into the ground from the turbine building.
- The aforementioned power cable pipe pit next to the Unit 2 screen is located on the land side of the screen room concrete wall and since there are no penetration seals connected to the ocean side, the possibility of a leak was not considered.
- On April 1 the installation location of a camera to monitor the water level of the sea water pipe trench shaft opening was confirmed. By coincidence this location registered high radiation levels so the Health physics team was notified. On the same day an investigation by the safety unit revealed that radiation levels were low so it was assumed that there were no problems,

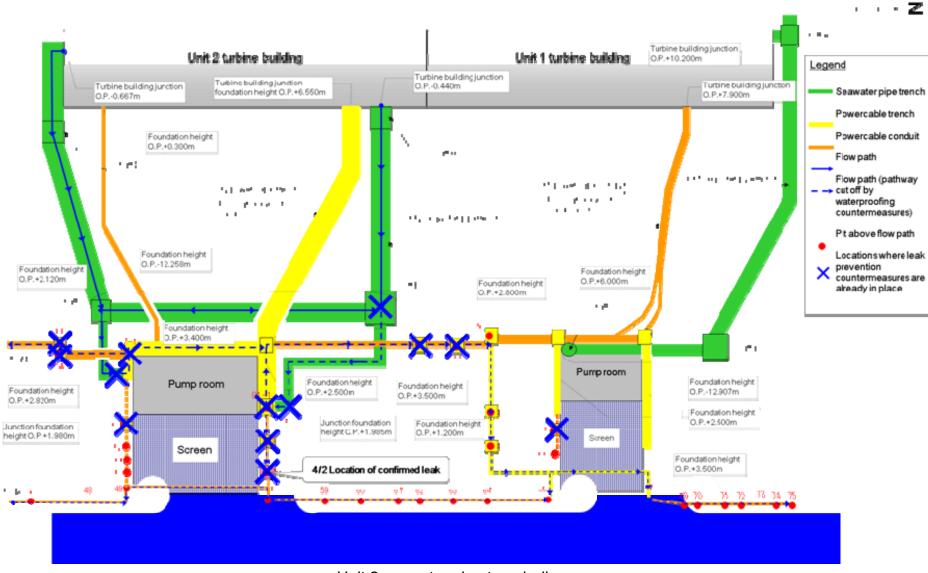
however on the next day (April 2) when the Health physics team surveyed near the Unit 2 screen it confirmed that water with the radiation level that exceeds 1000mSv/h had accumulated in the pit in which power cables are housed, and that water was leaking into the ocean through the concrete around the screen.

Periodic air dose monitoring was not being implemented since work was not being done in the vicinity of the screen prior to this.

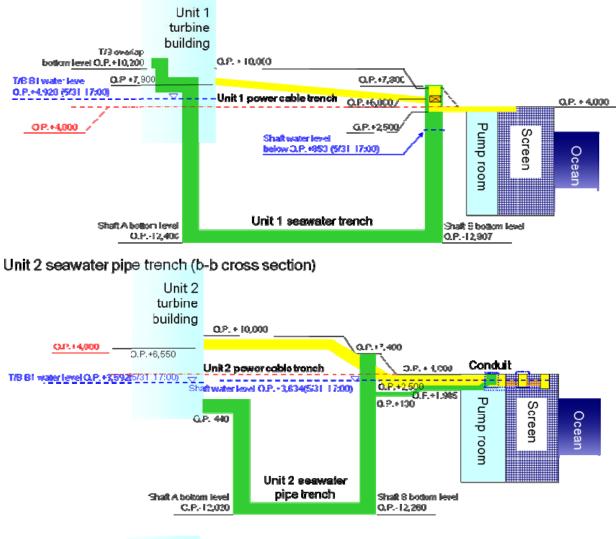
a (a 6 1



(More than O.P. 4000 mm)

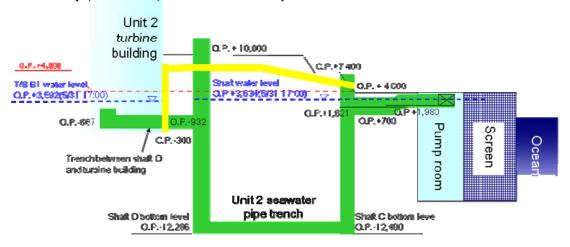


Unit 2 seawater pipe trench diagram



### Unit 1 seawater pipe trench (a-a cross section)

Unit 2 seawater pipe trench (b'-b' cross section)



Unit 2 seawater p pe trench cross-section

Ocean discharge of low contaminated water from the Fukushima Daiichi Nuclear Power Station (NPS)

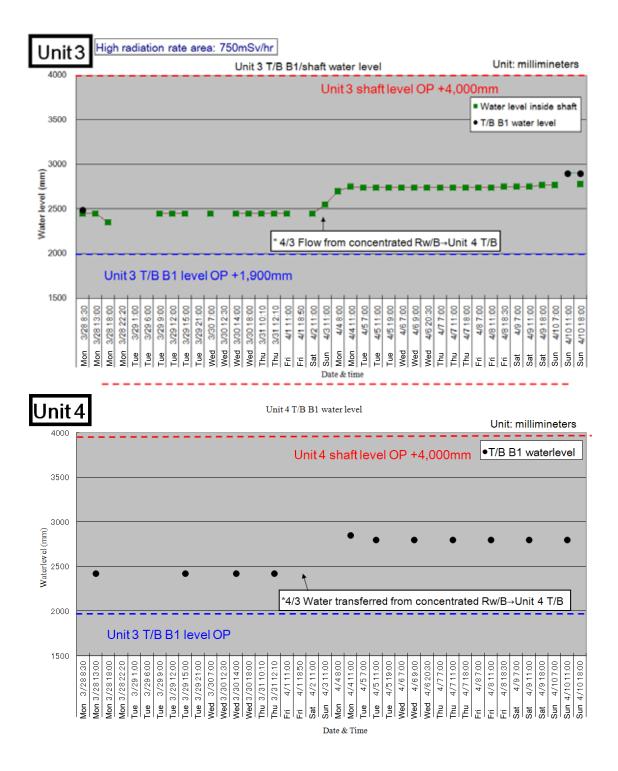
The ocean discharge of low contaminated water implemented on April 4, 2011 was done so for the following reasons amidst the following circumstances based on Clause 64.1 of the Reactor Regulation Act as an emergency response to danger after it was deemed by operators that there was "a danger of disaster".

- 1. Conditions leading up to April 4
  - (1) Concentrated Radwaste Building
    - The exposure of contract workers on March 24, 2011 led to the realization that highly contaminated water accumulated in the Unit 1-3 turbine buildings (T/B) could greatly hinder recovery efforts.
    - On March 25, senior management of Fukushima Daiichi NPS and headquarters, who felt a sense of impending crisis, quickly formed a team to deliberate this issue in a unified manner (Turbine Building Wastewater Collection and Decontamination Team) since the processing of highly contaminated water would greatly hinder ongoing recovery efforts.
    - From March 27, a special project plenary meeting comprised of other countermeasure teams (RHR substitute/recovery team, team in charge of reducing the release of rejected materials into the atmosphere, safety evaluation team) government officials (Prime Minister's office and NISA) and manufacturers was held every day.
    - Since the level of highly contaminated water in the Unit 1-3 T/B was less than 1 m from the opening of the trench shaft, there was no telling when or from where water would leak into the ocean so it was imperative that a deliberation of where to transfer the water to be conducted immediately. The best candidate was the concentrated rad waste building which is a facility with a storage capacity of several tens of thousands of cubic meters.
    - Approximately 16,000m<sup>3</sup> of low contaminated water (sea water pushed in by the tsunami that had mixed with radioactive materials inside the building) had accumulated in the concentrated rad waste building that has a storage capacity of approximately 32,000m<sup>3</sup>. The transfer or discharge into the ocean of this low contaminated water

was inevitable in order to make enough space to transfer the more than 60,000m<sup>3</sup> of highly contaminated water that already existed in the Unit 1-3 T/B. At the time there were no other tanks or buildings on site that had a large enough storage capacity.

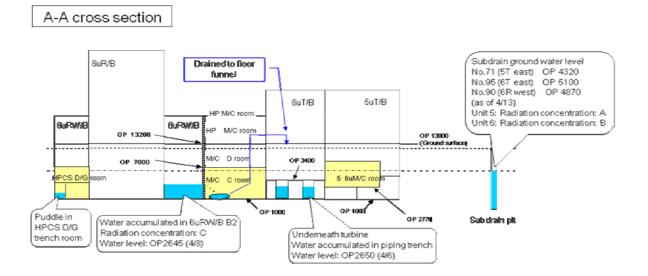
- As of the end of March, the fastest method by which a temporary storage tank could be installed at the site was the over-sea transportation proposal (proposal to assemble a storage tank at Onahama Port and transport the assembled storage tank by sea to the Fukushima Daiichi NPS after which it would be installed on the seawall side of Unit 1-4) which would require from late April to early May to complete. Furthermore, according to plans at that time the mega-float purchased from Shizuoka City (10,000 ton storage capacity) would only arrive at the Fukushima Daiichi NPS port in early May.
- On March 29, the Turbine Building Wastewater Collection and Decontamination Team proposed to the special project plenary meeting that the low contaminated water accumulated in the concentrated radioactive waste building should be discharged into the ocean and that the space should be used for storing highly contaminated water from the Unit 1-3 T/B. the government instructed that the origin of the contaminated water should be assessed and that a schedule for removing water from the building and transporting the wastewater should be presented.
- On March 31, the Turbine Building Wastewater Collection and Decontamination Team reported to the special project plenary meeting that the amount of radiation that the general public would be exposed to annually if low contamination water that exceeds discharge guidelines was to be discharged into the ocean was evaluated and that the estimated annual exposure would fall below annual exposure radiation limits (1mSv/year). Since there would be no impact on the environment or the human body it was once again proposed that the discharge to the ocean should be implemented as soon as preparations were made. Officials from the government remarked that in addition to technical and legal judgments, the political judgments of this action need also be considered so the issue needed to be carefully handled.

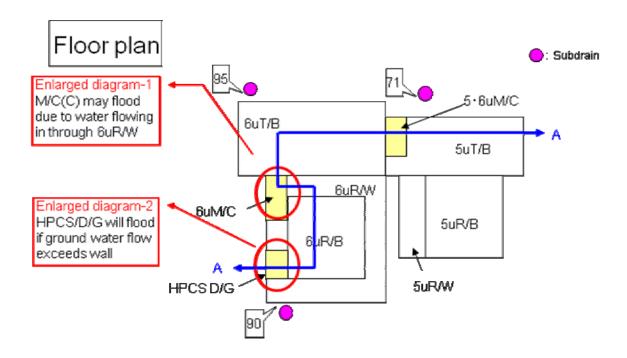
- At the special project plenary meeting on April 1, Assistant Secretary Hosono stated that the emergency water discharge to the sea containing low-level radioactive materials was not an option and that processing this water should be considered of the utmost importance along with deliberation of how this wastewater was to be processed over the long term, adding that this issue should be handled in a manner that does not make the people think that radioactive material has been carelessly scattered into the environment. Authorization to implement an ocean discharge was not obtained and meeting time elapsed.
- On April 2, it was discovered that some extremely highly contaminated water from Unit 2 had leaked directly into the water outlet from cracks in the pit. In order to stop the leak and minimize ocean contamination, many attempts were made to stop the leak, such as by injecting concrete and water glass. At the same time it was predicted that the pit might overflow or start leaking from a different place if the pit was adequately waterproofed, so it was determined that a alternative building or tank to which the water could be transferred was needed as soon as possible and that the concentrated radwaste building was the best option.
- From the same day (April 2), low contaminated water from the concentrated radwaste building was being transferred to the basement of the Unit 4 T/B, but this transfer was terminated on April 4 after water levels in the shaft began to rise as low contaminated water flowed into the Unit 3 T/B. Since there were no other places to which all the high contaminated water could be transferred to and all the risk of water leakage could not be predicted, the safest option was deemed to be the immediate low contaminated water discharge to the ocean.



Water level of accumulated water in Unit 3/4 Turbine Building

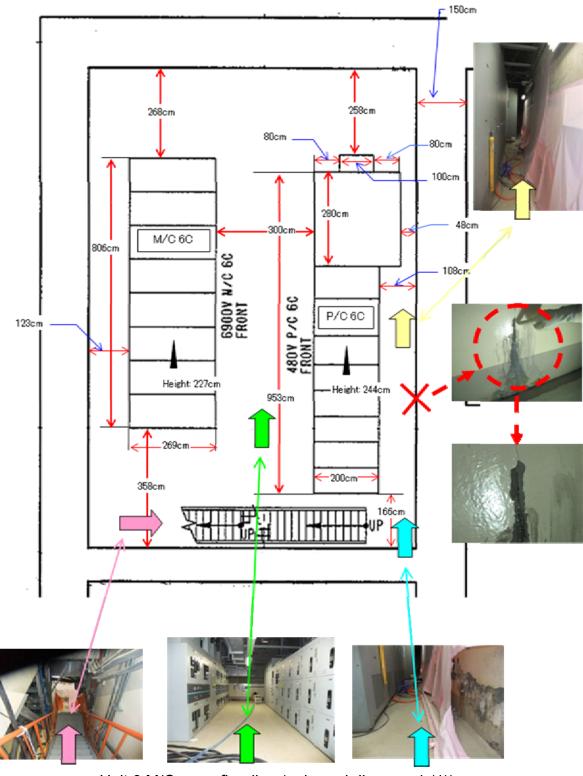
- (2) Unit 5, 6 subdrain
  - From the time the earthquake occurred it was considered that ground water leaked into the building through pipe penetration seals, etc., and accumulated thereby affecting electrical equipment and the building soon if water in the subdrain could not be drained.
  - Contaminated water from the Unit 6 radwaste building was seeping through the wall of the neighboring M/C (6C) room of Unit 6 (with power source cross-ties to the Unit 5 residual heat removal system (RHR)) and was bailed out by hand after March 19<sup>th</sup>. Even though some of the aforementioned contaminated water was transferred to the Unit 5 condenser between April 1 and April 2, the transfer was terminated when it became apparent that only a small amount could be transferred to the condenser. The leak to the M/C (6C) room continued along with the danger of loss of power.



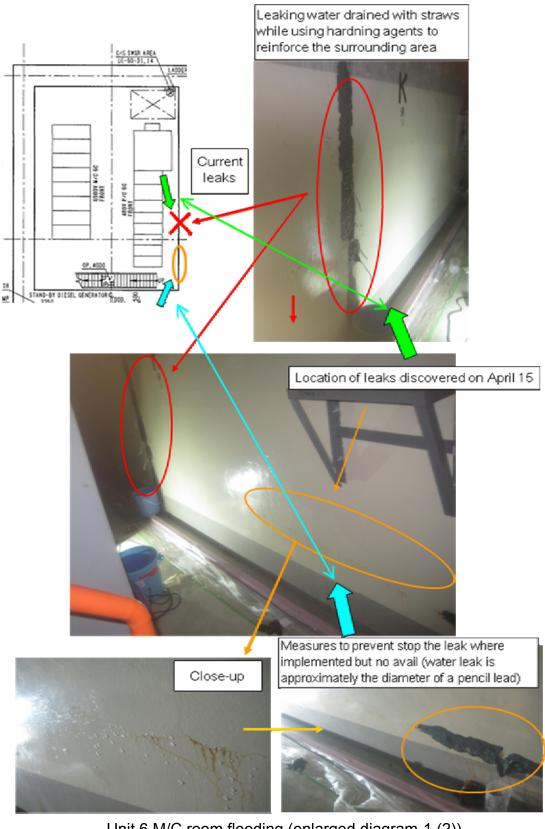


Unit: Bq/cm<sup>3</sup>

| Detected nuclear<br>species<br>(half-life) | Unit 5 subdrain<br>(Radiation<br>concentration A) | Unit 6 subdrain<br>(Radiation<br>concentration B) | Unit 6 R/W<br>(Radiation<br>concentration C) | Regulatory<br>Limit |
|--|---|---|--|---------------------|
| l-131<br>(Approx.8 days)                   | 1.6   | 20  | 4.9  | 0.04                |
| Cs-134<br>(Approx.2 years)                 | 0.25  | 4.7   | 0.06   | 0.06                |
| Cs-137<br>(Approx.30 years)                | 0.27  | 4.9   | 0.06   | 0.09                |
| Sampling data                              | March-12  | March-11  | March-11                                     |                     |

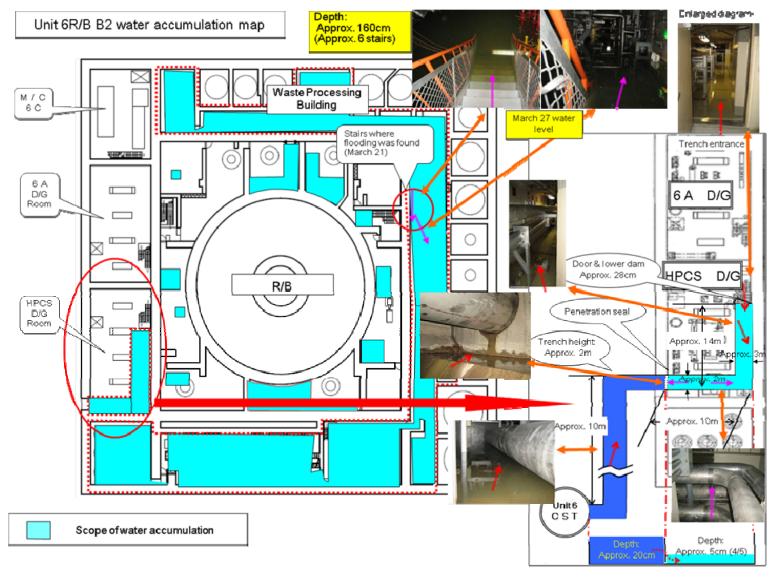


Unit 6 M/C room flooding (enlarged diagram-1 (1)) (March 26)



Unit 6 M/C room flooding (enlarged diagram-1 (2)) (April 15)

On April 3, a leak with approximately 1 centimeter in the diameter was discovered coming through the penetration seals of the trench which neighbors the Unit 6 high-pressure core spray (HPCS) diesel generator room. An initial assessment predicted that water would exceed the barrier at the entrance to the trench room (approximately 28cm) in approximately 5 days and it was feared that this would impact the diesel generator.



Unit 6 R/B B2 accumulated water map

- Much water leaks into rooms for safety related system in Unit 6 became noticed. There was more aftershocks since even though it was the beginning of April, and it was feared that more damage to walls (cracks) from aftershocks as well as heavy rains may quickly increase the volume of leakage thereby causing heat removal/cooling function loss and plunging Unit 5, which shares power source cross-ties for heat removal equipment with M/C (6C), into the same situation as Units 1-3.
- Therefore, in order to quickly reduce the risk associated with groundwater leaking into the Unit 6 building and remain on the safe side amidst an unpredictable future, it was necessary to reduce the water level of the sub-drain surrounding buildings which is the fundamental cause of the groundwater inflow to the buildings. Therefore existing pumps were used to discharge some drain water into the ocean.
- 2. After April 4

At 9:00 on April 4, 2011 the Fukushima Daiichi NPS superintendent reported the following to the Integrated Nuclear Accident Response Headquarters plenary meeting (attended by Minister Kaieda and Assistant Secretary Hosono).

- "Contaminated water has flowed from Unit 4 T/B into Unit 3 T/B and Unit 3 T/B shaft water levels are rising (15cm rise in 21 hours as of this morning). At this rate, highly contaminated water in Unit 3 may leak into the ocean so the transfer from the concentrated radwaste building to Unit 4 will be stopped."
- "The Unit 2 leaks and water processing are of the utmost importance as far as I, the site superintendent, is concerned."
- "Currently the subdrain has been stopped, but ground water may flow into the building through penetration seals. Water levels are rising regardless of the fact that cooling water is not being injected into the reactor which indicates that there is a high possibility that ground water is flowing into the building."
- "Ground water is flowing into the HPCSD/G and other important electrical equipment rooms and is a great impact on the soundness of Units 5 and 6 themselves (there's no time to build a tank outside)."
- > "It's hard to 'do our best' as ordered with our hands tied. If a decision is

not made the integrity of the facility itself, including Unit 5 and Unit 6, will be at risk, so I would like the issue of starting the subdrain system to be deliberated immediately."

In response to this, the integrated nuclear accident response headquarters, realizing that an important decision in regard to subdrain was needed to be made, immediately began debating the issue, including the transfer of seawater in the concentrated radwaste building, after the plenary meeting concluded.

Since low contaminated water that was being transferred to Unit 4 was leaking into the Unit 3 T/B and causing Unit 3 shaft water levels to rise the transfer of water was stopped at 9:22 on April 4 (approx. 6,000m<sup>3</sup> were transferred). This resulted in not having a place to which the low contaminated water in the concentrated radwaste building could be transferred.

At 9:40 following the end of the teleconference with the general headquarters, officials gathered at (former) Minister of Economy, Trade and Industry Kaieda's office to discuss the issue at which time the Minister requested that everything that could be done to help the power station be deliberated and implemented. Since TEPCO had already prepared a draft of an evaluation concerning the water discharge to the ocean (special project (March 31) explanation materials), documents were created based on this evaluation. At 9:55, work to revise the evaluation report draft began in the teleconference room on the sixth floor of headquarters. The details that were deliberated are as follows:

- To add the description of Unit 5/6 subdrain (subdrain drainage volume 1,500m<sup>3</sup>)
- Date for discharge from the concentrated rad waste building changed from the 10<sup>th</sup> to the 5<sup>th</sup>.

These details were explained to NISA as necessary.

AT 10:45 Assistant Secretary Hosono conveyed that the water discharge to the ocean including the subdrain water was to be implemented and explained the details of the evaluation report (by NISA and TEPCO), and that around 11:00 NISA gave an explanation to the Nuclear Safety Commission (NSC). At around 11:30 TEPCO headquarters conveyed to the Fukushima Daiichi

NPS that the report submission to the regulatory body for the action needed and that headquarters would handle it.

At 13:10 NISA received the report from TEPCO and the fundamental authorization was obtained from (former) Minister of Economy, Trade and Industry Kaieda in regard to the decision that an ocean discharge could not be avoided in response to the submission of the report. At this time Assistant Secretary Hosono who was in attendance said that he would obtain authorization from the Prime Minister's office.

Right before 15:00 the report was compiled and ultimately the following explanation was given to Minister Kaieda.

- In regard to the impact of discharging low concentrated contaminated water into the ocean, it was evaluated that if an adult were to eat fish and seaweed from the neighboring area everyday said individual would suffer an annual effective dose of approximately 0.6mSv (radiation levels limit for the general public: 1mSv/year)
- Assessment results show no significant impact on human health and since compared with a discharge of high concentrated radioactive waste, the radioactivity levels of low concentrated contaminated water to be discharged are considerably small; therefore from the standpoint of risk management discharge is a rational measure.

The Minister instructed TEPCO to minimize the impact on the ocean so it was decided that the water would be discharged directly from the south side of the water outlet (TEPCO headquarters contacted the Fukushima Daiichi NPS and told it to change the route).

At 15:00, TEPCO reported to the Nuclear and Industrial Safety Agency on how the ocean discharge was decided, the impact assessment, and how the discharge was to be carried out in accordance with Clause 67.1 of the Law for the Regulations of Nuclear Source Material, Nuclear Fuel Material, and Reactors. The Nuclear and Industrial Safety Agency asked the Nuclear Safety Commission for advice, shown below, which it received and conveyed the decision to TEPCO at 15:20.

- The concentration of radioactive materials in the discharged water and the volume of the discharge needs to be confirmed
- > Ocean conditions at the time of discharge need to be confirmed
- Ocean monitoring before and after the discharge needs to be implemented

A suitable impact assessment based on the above information needs to be performed

After receiving authorization from the Nuclear and Industrial Safety Agency in regard to the TEPCO report, TEPCO (Executive Vice President Muto acting as division director of the emergency response center in the headquarters) ultimately decided to proceed with the water discharge to the ocean.

At 16:00 on April 4 at the chief cabinet secretary's press conference, Chief Cabinet Secretary Edano announced that the water discharge to the ocean was to be implemented. Low concentrated contaminated water that had accumulated inside the radwaste building started to be discharged from the south side of the water outlet at 19:03 on April 4 and the discharge was completed at 17:40 on April 10. Thereafter, at 9:55 on the morning of April 11 it was determined that enough water had been drained from within the building so as not to hinder countermeasures (countermeasures to stop the leak) to be implemented inside the building when transferring high concentrated wastewater.

The discharge into the ocean of low concentrated groundwater that had accumulated in the Unit 5 and Unit 6 sub-drain pit commenced from the Unit 5, 6 outlet at 21:00 on April 4 and concluded at 18:52 on April 9.

As instructed by the Nuclear and Industrial Safety Agency, when discharging low concentrated contaminated water, etc., into the ocean, the ocean was monitored, measurement points and measurement implementation frequency were increased, and the impact from the dispersion of radioactive materials is investigated and confirmed upon which the results were publicly disclosed.

A comparison of the radioactive concentration at measurement points, including around the power station, taken one week prior to the discharge indicate no large fluctuation.

In conjunction with the conclusion of the discharge, extremely highly concentrated radioactive waste liquid inside the Unit 2 turbine building was transferred to the concentrated waste treatment facility after the stoppage countermeasures within the building had concluded on April 19 and is being stored in a stable manner.

Furthermore, groundwater that had accumulated in the Unit 5 and Unit 6 sub-drain pit was transferred to a temporary tank constructed outside beginning on May 1.

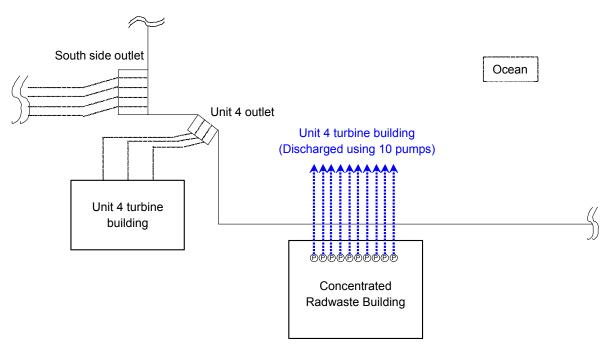


Diagram of the Low Contaminated Water Discharge to the Ocean

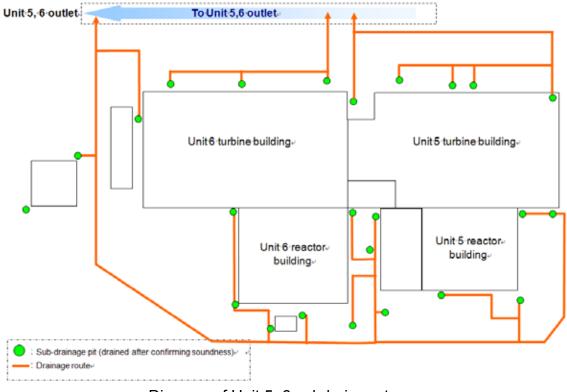


Diagram of Unit 5, 6 subdrain route

|                     |                 | r                |               | 1                    |  |  |
|---------------------|-----------------|------------------|---------------|----------------------|--|--|
| Date samples were   | 15:30 March 28, | 16:00 March      | 10:30 March   | 10:40 March 30,      |  |  |
| taken               | 2011            | 28, 2011         | 30, 2011      | 2011                 |  |  |
| Sampling Location   | Concentrated    | Concentrated     | Unit 5        | Unit 6 sub-drain pit |  |  |
|                     | radwaste        | radwaste         |               |                      |  |  |
|                     | building        | building         |               |                      |  |  |
|                     | accumulated     | accumulated      |               |                      |  |  |
|                     | water           | water            | sub-drain pit |                      |  |  |
|                     | (non-controlled | (controlled area |               |                      |  |  |
|                     | area side)      | side)            |               |                      |  |  |
| Detected nuclear    |                 |                  |               |                      |  |  |
| species (half-life) |                 |                  |               |                      |  |  |
| I-131               |                 | 8.7E-01          | 1.6E+00       | 2.0E+01              |  |  |
| (approx. 8 days)    | 6.3E+00         |                  |               |                      |  |  |
| Cs-134              |                 | 4.4E+00          | 2.5E-01       | 4.7E+00              |  |  |
| (approx 2 years)    | 2.7E+00         |                  |               |                      |  |  |
| Cs-137              | 2 85+00         | 4.4E+00          | 2.7E-01       | 4.9E+00              |  |  |
| (approx. 30 years)  | 2.8E+00         |                  |               |                      |  |  |
|                     |                 |                  |               |                      |  |  |

\*X.XE-X means X.X x  $10^{-x}$ .

\*Values for I-131, Cs-134 and Cs-137 are definitive values. Other nuclear species are being evaluated.

Results of radionuclide analysis of accumulated water and subdrain water

#### 3. Advance notification

The facts regarding the advanced provision of information related to the water discharge to local municipalities and fishery related officials have been compiled as follows.

- In regards to whether or not to implement the discharge NISA was consulted during the morning of April 4, a report based on the Reactor Regulation Act was submitted and authorization from NISA was obtained, so TEPCO made the final decision to implement the discharge and did so after notifying related agencies.
- O Prior to the discharge information was provided to the central government (NISA), Fukushima Prefectural government and the five towns surrounding the power station, the National Federation of Fishery Cooperatives and the Fukushima Fishery Cooperative.
- The notification about the water discharge to the ocean that was given is as follows:
- Prior to discharge:
  - At 18:43 on April 4<sup>-</sup> it was conveyed that a discharge will be implemented in accordance with Clause 64 of the Reactor Regulation Act as soon as preparations are made. A discharge from the concentrated rad waste building will be implemented around 19:00, and a discharge from the Unit 5, 6 subdrain will be implemented around 21:00.
- Following discharge:
  - ♦ At 19:31 on April 4, it was conveyed that the discharge from the concentrated rad waste building had begun.
  - At 21:15 on April 4, it was conveyed that the discharge of the Unit 5/6 subdrain had begun.
  - At 21:15 on April 5, it was conveyed that the discharge of the Unit 5/6 subdrain had begun.
  - At 20:20 on April 9, it was conveyed that the discharge of the Unit 5/6 subdrain had concluded.
  - ♦ At 18:17 on April 10, it was conveyed that the discharge from the concentrated rad waste building had concluded.
- O Parties Notified:
- Central government

- NISA, the Ministry of Education, Culture, Sports, Science and Technology, the Cabinet Secretary and the Cabinet were notified in accordance with the Nuclear Disaster Preparation Plan.
- Local municipalities
  - Fukushima Prefecture was notified as usual, but the four local towns (Oguma, Futaba, Tomioka, Naraha) had been evacuated so the power station notified the people of these towns after contact information was obtained.
- Fishery Cooperatives (National Federation of Fishery Cooperatives and the Fukushima Fishery Cooperative)
  - The fishery cooperatives need not be officially notified in accordance with the Nuclear Disaster Preparation Plan so they were notified by TEPCO headquarters.

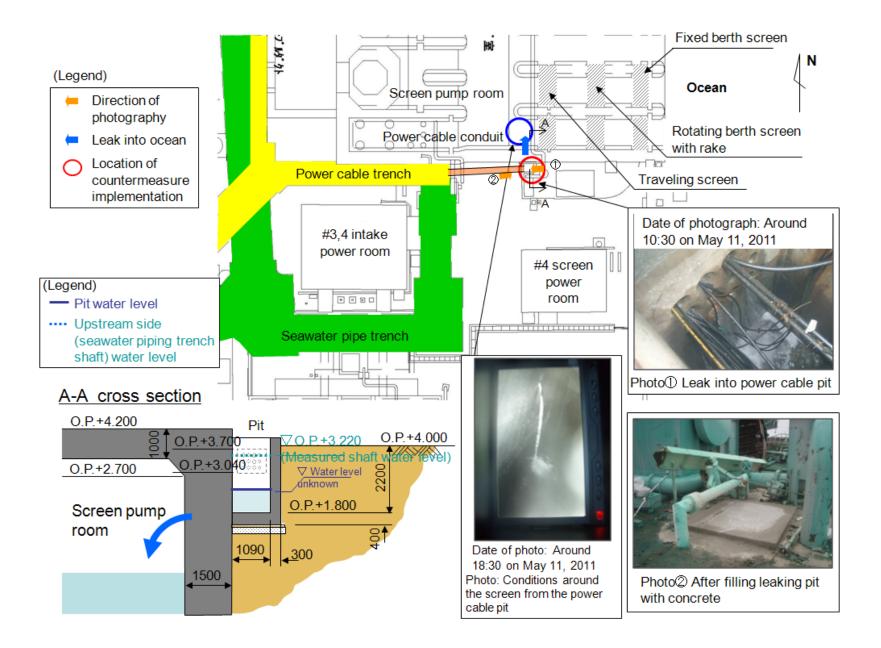
Leak from around the Fukushima Daiichi Unit 3 Intake Screen

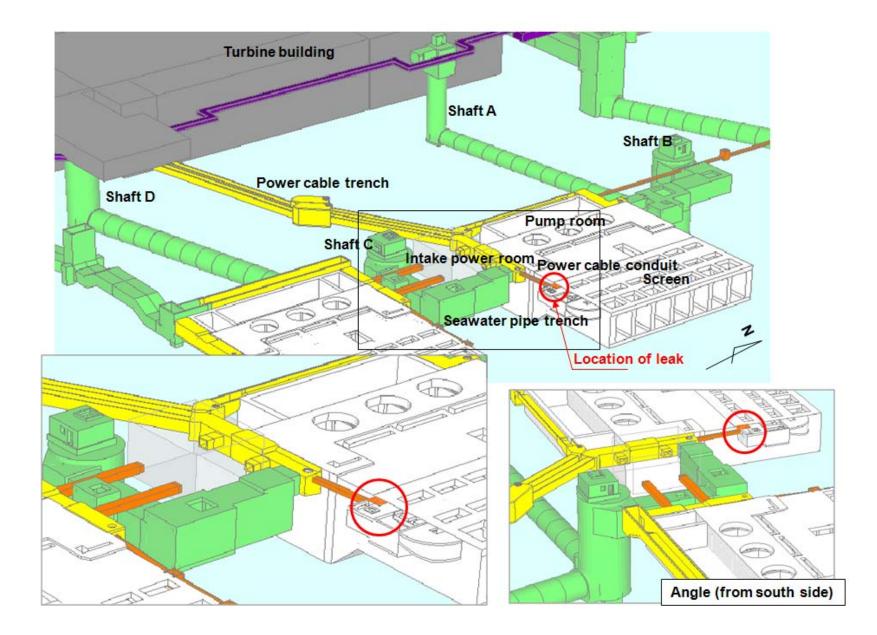
At around 10:30 on May 11, 2011 workers were engaged in work to close the shaft near the Unit 3 intake. They heard the sound of water flowing into the pit and opened the lid of the pit to ascertain the situation. However, they did not realize at the time that there was a leak into the screen area.

Thereafter when the area was checked again by opening the cover hatch and inserting a CCD camera inside the screen room, it was confirmed at around 16:05 on the same day that water was leaking from the pit into the screen area.

The leaking water contained high concentrations of radioactive materials. Therefore, it was assumed that drainage water from the Unit 3 turbine building side that had leaked into the power cable pit on the T/B ocean side through power cable pipes from the power cable trench interface via the seawater pipe trench had leaked from the penetration seals in the concrete wall between the power cable pit on the north side of the aforementioned pit and the screen pump room into the Unit 3 intake screen area.

After confirming that there was a leak from the aforementioned pit into the screen area power line pipe cables inside the pit were immediately shut off, waste cloth were stuffed into the leak and the pit was sealed with concrete. As a result it was confirmed using a CCD camera at 18:45 on May 11 that the leak had been stopped.





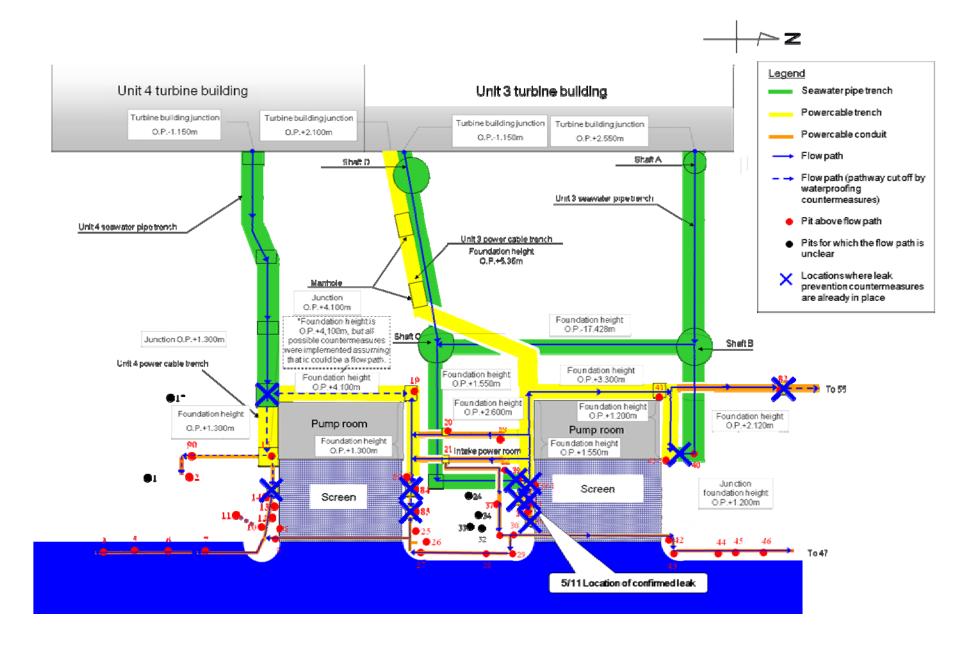
It was clear from the radionuclide analysis results of the leaking water and the contaminated water inside the pit that the radioactive material concentration was approximately the same, and therefore estimated that the leaking water was from the contaminated water in the pit. Furthermore, since it was known that the pit and the Unit 3 trench are connected structurally it was assumed that the leaking water had leaked into the ocean from the Unit 3 turbine building via the Unit 3 trench.

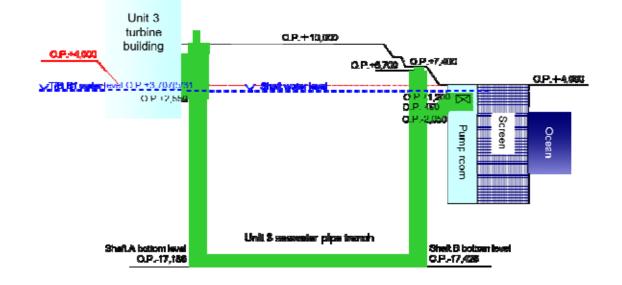
The results of an investigation have found that the leak into the ocean of highly contaminated water from the Unit 3 T/B was unable to be prevented for the following reasons.

- In consideration of the leak that occurred at Unit 2 on April 2 and with the knowledge that Unit 3 has the same structure as Unit 2 as confirmed through design and working drawings, with the exception of areas that could not be inspected due to rubble or floating wreckage from the tsunami, the front of the screen pump room, which was the location of the leak at Unit 2, was confirmed, but the leak from the pit was not discovered (April 20).
- The seawater pipe trench, which served as the path of leakage, has a shaft opening at OP+4,000mm so the water level inside the shaft was continually monitored.
- Since the possibility of a leak from the pit could not be denied, work to seal off the seawater pipe trench shaft was planned in order to cut off the path of leakage into the ocean, but high radiation levels around the shaft necessitated the use of shielding during the work process. Furthermore, it became clear that cutting off the leakage path at the shaft would be difficult due to wreckage on the stairs, etc.
- In order to prevent water from overflowing topside from the shaft, it was decided that the above-ground opening would be sealed shut and that the joint between the seawater pipe trench on the downstream side and the intake power cable trench would also be sealed off. To do this, rubble around the screen was being removed at which time the leak was discovered.
- The pit leak was discovered while removing rubble from around the screen in order to seal off the joint between the seawater pipe trench and the intake power cable trench. After rubble was removed the sound of leaking water could be heard, but whether or not there was

a leak into the screen could not be determined.

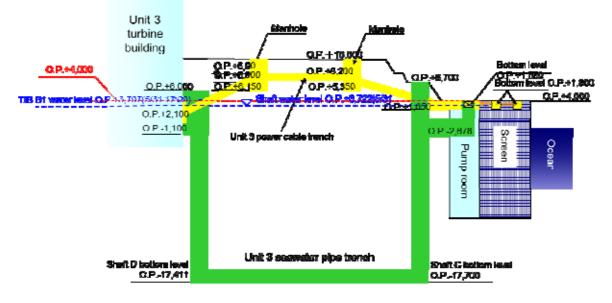
Unlike the leak at Unit 2 which was in a location that could be confirmed visually, the leak in the screen pump room was under the screen equipment and difficult to see. Therefore, at around 12:30 on May 11, when another field inspection was ordered it took time to discover the leak (by confirming the sound of leaking water) and it also took time to identify the leak into the screen pump room by inserting a CCD camera around 16:05 on the same day.



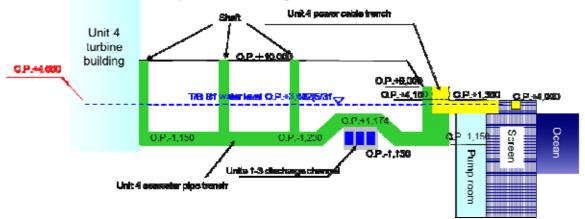


#### Unit 3 seawater pipe trench (c-c cross section)

Unit 3 seawater pipe trench (c'-c' cross section)







#### Ocean Impact

### 1. Discharge volume overview

During April 1 to 6, 2011, approx.  $520m^3$  of contaminated water containing approx. 4.7 x  $10^{15}Bq$  of radioactive material from Unit 2 leaked into the bay. The amount of low contaminated water that was discharged as an emergency measure during April 4 to 10 was approx.  $10,393m^3$  and this contained approx.  $1.5 \times 10^{11}Bq$  of radioactive material. And, between May 10 and May 11 approx.  $250m^3$  of contaminated water from Unit 3 containing approx.  $2.0 \times 10^{13}$  Bq of radioactive material leaked into the bay.

#### 2. Ocean monitoring results overview

The ocean around the Fukushima Daiichi NPS has been monitored by TEPCO since March 21 and seawater within an area with a radius of 30km has been monitored by the Ministry of Education, Culture, Sports, Science and Technology since March 23. Thereafter, in accordance with instructions from the Nuclear Safety Commission and NISA, TEPCO increased the number of monitoring locations 15km of the coast and off the coast to the south to a current total of 29 monitoring locations. According to monitoring results from around April 5 through around April 20 peak levels thought to be caused by the leakage of contaminated water from Unit 2 were recorded at points not just around the power station but also at 15 km offshore and within an area with a radius of 30 km. Thereafter the levels decreased in by the beginning of May many of the values recorded were below detection limits (approx. 10Bq/L).

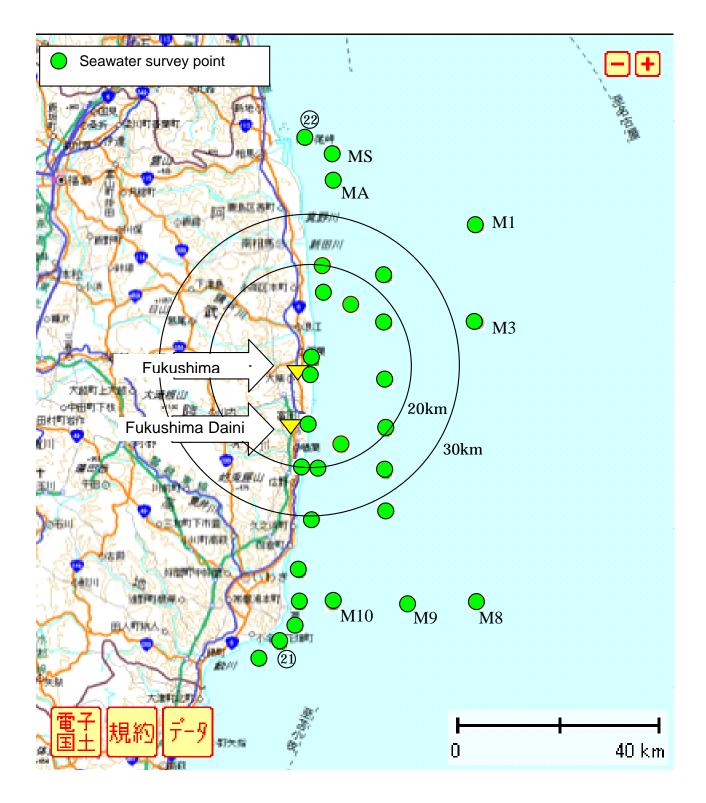
Furthermore, results of monitoring and 15 km off the coast on May 15 are for the most part below detection limits and at current time no impact from the leak from Unit 3 has been observed.

The details of these results are as follows.

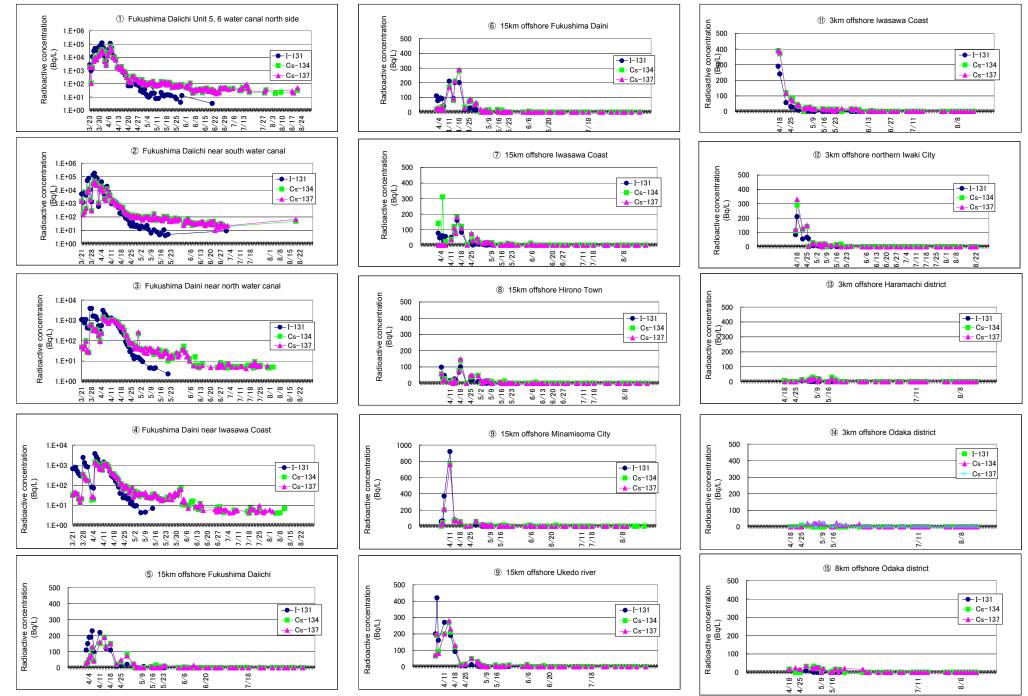
(1) Monitoring results from the Fukushima Daini NPS (10km to 15km off the southern coast)

Peak radioactive concentration levels (April 5: Max. 3700Bq/L of I-131, Max. 1400Bq/l of Cs-137) were observed around April 5 and levels decreased overall thereafter thereby indicating the advection of radioactive materials from the Fukushima Daiichi NPS to the south.

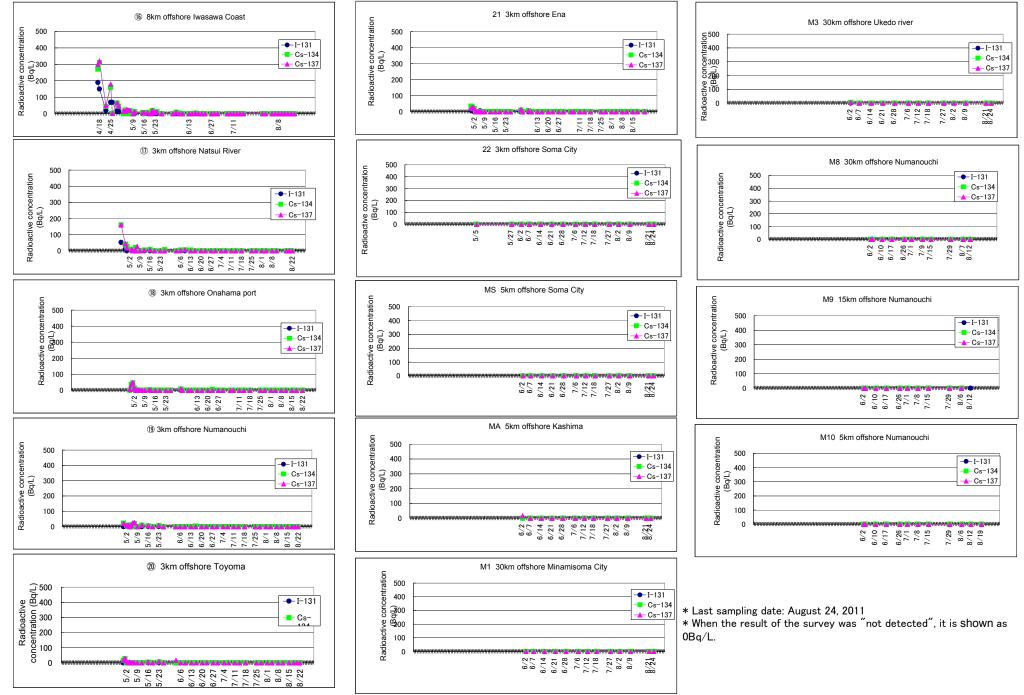
- (2) Results from monitoring at points 15 km off the coast
  - Peak increases (April 11: Max. 920Bq/L of I-131, Max. 760Bq/L of Cs-137) were observed at all points, however these levels decreased after April 22 and are currently below detection limits for the most part.
    Furthermore, Peak increases were not observed off the coast of the North (from 15 km to 30 km).
- (3) Results of monitoring at points within a 30 km radius
  - Peak concentration increases (April 15: Max. 161Bq/L of I-131, Max. 186Bq/L of Cs-137) were indicated at points east between around April 5 and around April 20. No large peak increases were recorded at points to the north so it is safe to assume that there was little advection of radioactive material to the north and northeast.
- (4) Results of monitoring around Ibaraki Prefecture After April 25, monitoring was performed four times at 10 locations and on April 25 a small amount of I-131 was detected, however all other values were below detection limits.



Sea area monitoring survey points around Fukushima Daiichi Nuclear Power Station



Radioactive concentration sea area monitoring survey results underwater (upper layer) around Fukushima Daiichi Nuclear Power Station (1)



Radioactive concentration sea area monitoring survey results underwater (upper layer) around Fukushima Daiichi Nuclear Power Station (2)

## Counter Measures for Preventing Contaminated Water Leaks and Strengthening Diffusion Control

In consideration of the confirmed leakage path the following leak prevention countermeasures were implemented along with diffusion control countermeasures in case of a leak (some countermeasures are being implemented and others are planned). Furthermore, countermeasures for stopping highly contaminated water from accumulating in buildings in trenches, recovering and processing this water, and controlling the flow of groundwater are proceeding as part of the plan to remove any obstacles hindering decommissioning.

The numbers to the right in brackets are used in the following pages to indicate the type of preventive countermeasure.

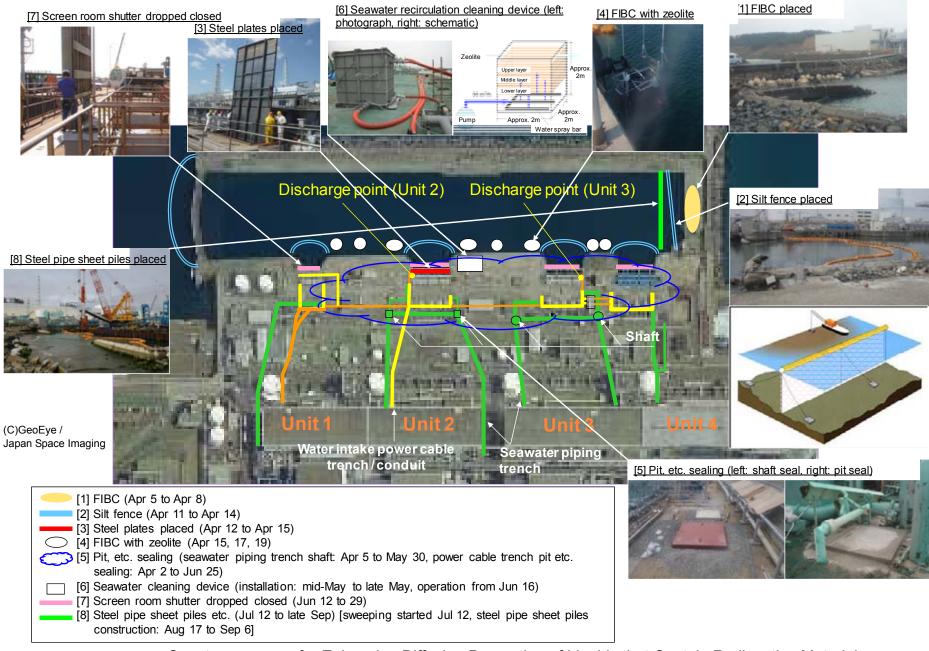
- ① Leak prevention countermeasures
  - -Sealing off of sea water pipe trenches located upstream of leakage paths [5]
  - -Sealing off of pits that are at risk of leaking [5]
  - -Sealing damaged areas of seawall [5]
  - -Isolating the Unit 1~4 screen pump room [3, 7]
  - -Building silt fences and large sandbags [1, 2]
  - -Repairing damaged permeation prevention structure [8]

-Sealing off the Unit 2, 3 pump room circulating water pump delivery valve pit [5]

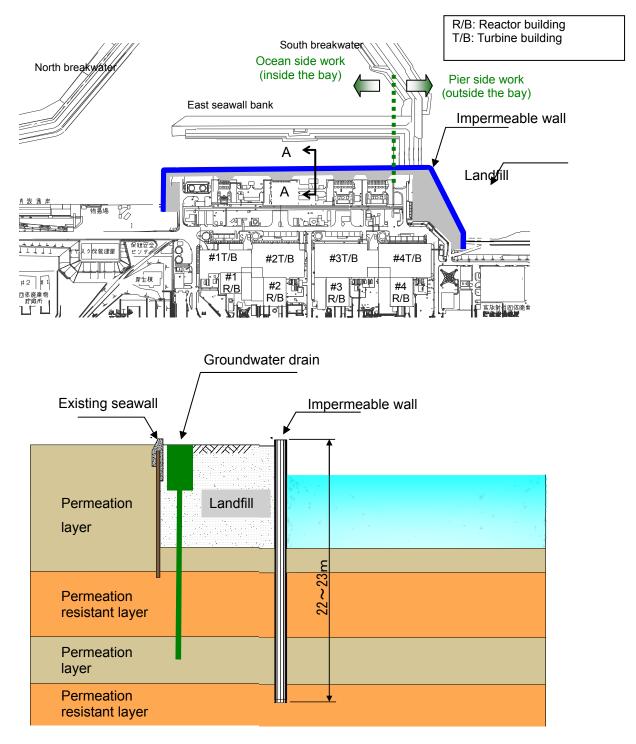
- Diffusion control countermeasures

   Removing radioactive materials from sea water off the coast [4, 6]
   Countermeasures for preventing ocean contamination via groundwater [9]
   Covering of the sea bed soil in the bay [10]
- ③ Stopping highly contaminated water accumulated in buildings and trenches, and collecting and processing this water [11]
- ④ Countermeasures for controlling the flow of groundwater

   Reducing the volume of groundwater flow by decreasing water levels in subdrains [12]
   Reducing the volume of groundwater inflow by building an groundwater bypass system [13]

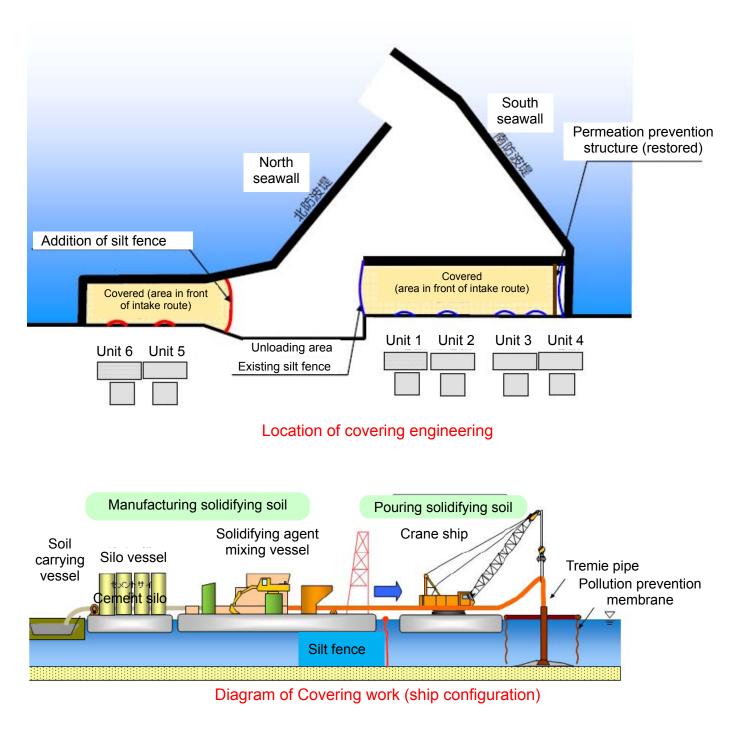


Countermeasures for Enhancing Diffusion Prevention of Liquids that Contain Radioactive Materials

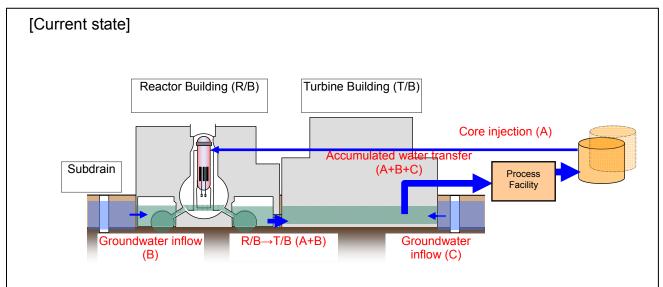


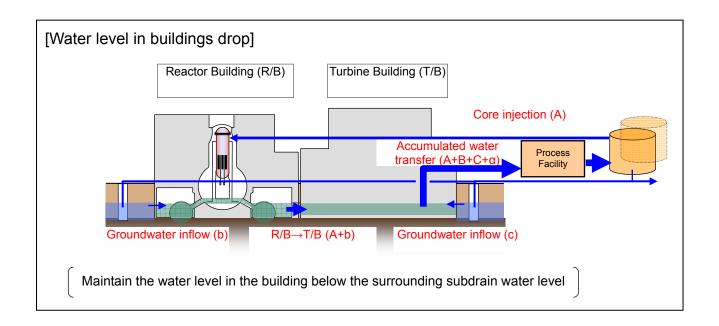
(A-A cross-section)

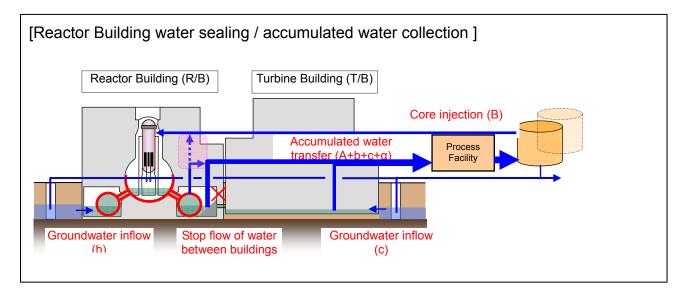
Countermeasures for Preventing Ocean Contamination via Groundwater [9]



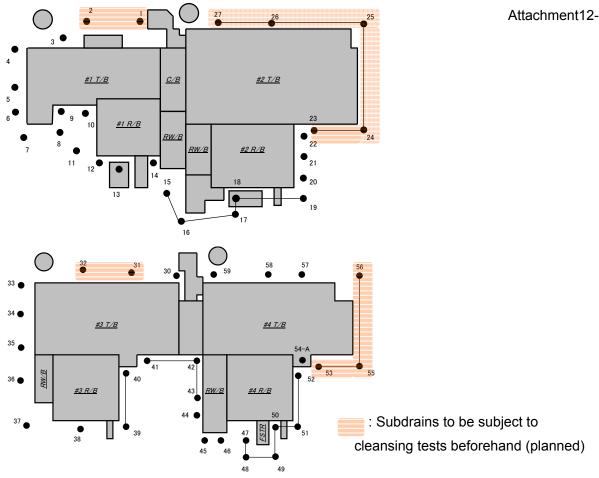
Overview of Bay Seabed Covering Work [10]



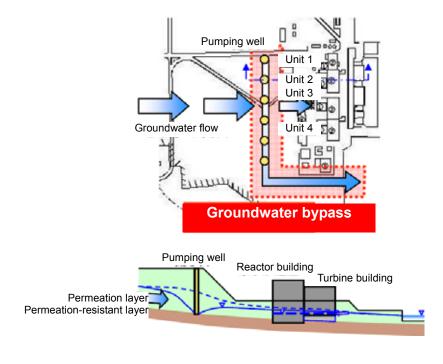




Stopping Contaminated Water from Accumulating in Buildings and Collecting the Water [11]



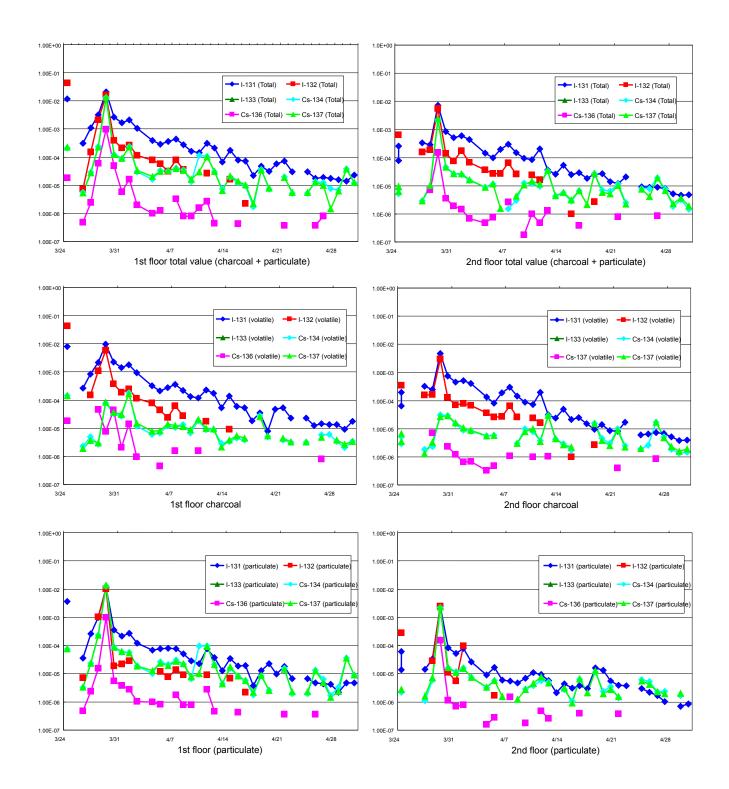
Subdrain pit location diagram (Upper level: Unit 1, 2, Lower level: Unit 3, 4)

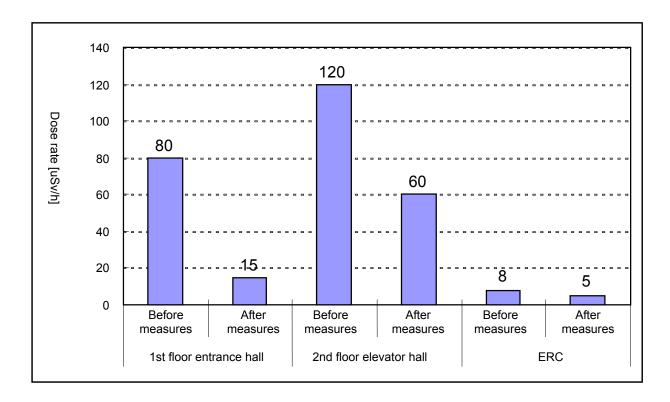


Groundwater bypass (concept image) [13]

Attachment12-17(6/6)

# - Trending of airborne radioactive material concentration in the seismic isolated building





Trending of seismic isolated building dose rate before and after the installation of shields

Status of ensuring radiation protection Equipment

- 1. Status of Securing radiation protection Equipment at Fukushima Daiichi
  - (1) Area Passive Dosimeter (APD) with alarms, alarm setting equipment and rechargers
    - Prior to the disaster of March 11, approximately 5000 APD had been stored at the entrance to controlled areas, such as the service buildings for Units 1 to 6, the seismic isolated building, and the main gate. However, the tsunami rendered all but approximately 320 of these APDs unusable (this is the total of 50 APDs stored in the seismic isolated building (including rechargers (50 rechargers for APD)), APD worn by workers at the time, and APDs recovered from the Solid Radioactive Waste Storage Facility, etc.). On the same day, assistance in the form of personnel and equipment was asked in accordance with the agreement of cooperation between operators and an "Electric Company Support Team" was formed by other nuclear power operators.
    - By around March 15, the number of APDs that could be lent out had fallen to approximately 10, so the Fukushima Daiichi health physics team started giving APDs to only one member of the team and asked the headquarters health physics team to do something about the APD situation.
    - In response, the headquarters health physics team decided to advance delivery (scheduled to be delivered in April to the Fukushima Daiichi NPS by Panasonic) on 400 APDs that had been ordered from the manufacturer prior to the disaster (March 2010) and asked the headquarters procurement team to take care of the paperwork. As a result, 100 out of the 400 procured APDs arrived at the Fukushima Daiichi NPS on around March 18. However, since on-site radiation levels prevented the calibration of the units, which is normally done after arrival at the power station, the APDs were not initially used. Furthermore, since it was confirmed that the remaining 300 APDs could be delivered by the end of March, the manufacturer was asked to deliver them on April 1 and 300 APD arrived at Fukushima Daiichi NPS on April 3.
    - > Then, on March 16, when the headquarters procurement team

contacted a contractor it was learned that one APD recharger that had been sent for inspection/calibration prior to the disaster was still at the contractor's research center (Oarai) and it was transported to Fukushima Daiichi on March 17.

- Furthermore, on March 17, the headquarters general affairs team asked Chubu Electric, which was the company overseeing the Electric Company Support Team, for APDs and as a result from March 19 through the 21 450 APDs from Shikoku Electric, which uses the same Panasonic APDs employed by Fukushima Daiichi (however those are second generation older than TEPCO's), arrived at J Village. On March 21, 100 of these units were transported to Fukushima Daiichi, but the alarm setting devices had been misplaced at J Village and were not sent along with the APDs. When it was discovered that the existing alarm setting device at Fukushima Daiichi was not compatible with the older type of APD the units were returned to J Village.
- Since the alarm setting devices could not be found at J Village, on March 22 the headquarters health physics team ordered alarm setting devices from the manufacturer and three of the new alarm setting devices arrived at J Village on March 31. Furthermore, on April 5 two alarm setting devices that are thought to have been sent along with the APDs from Shikoku Electric were found at J Village. (However, at this point in time there were enough APDs at Fukushima Daiichi so ultimately the APDs from Shikoku Electric were not used).
- It was learned that at the end of March, APDs had been sent along with other aid from Kashiwazaki-Kariwa to Fukushima Daiichi and after a search for them, 500 APDs (manufactured by Fuji Electric) and two APD setting devices were found. (However, at this time the rechargers were not found)
- Through procurement and aid by March 31, the number of APDs totaled approximately 920 (counting the 320 that were delivered early by the manufacturer and 100 that were used with being calibrated a total of 420 of these could be immediately used), and there was a sufficient quantity the Fukushima Daiichi health physics team stopped giving APDs to only one member of each team on March 31 and started lending an APD out to each member of every team starting

April 1, a fact that was conveyed to the headquarters health physics team. (As of the 31 the APD recharger from Kashiwazaki-Kariwa had not been found, but it was confirmed that the recharger had been transported to Fukushima Daiichi, so there was the expectation that it would be found without much trouble. Furthermore, there is information that 300 APDs procured from the manufacturer would be arriving in a couple days. It was therefore deemed possible to resume giving one APD to each worker.)

- During a teleconference with the integrated accident response headquarters at 21:00 on March 31, the headquarters health physics team shared the information that as of April 1 there were at least 420 APDs so as a rule one APD was being given out to each worker.
- On April 1, it was learned that five APD rechargers had been sent from Kashiwazaki-Kariwa to Fukushima Daiichi (this will be discussed later), and on the same day they were transported to the seismic isolated building and used.
- As mentioned above, as soon as the Fukushima Daiichi health physics team realized that the number of APDs was insufficient, it asked headquarters to procure APDs and in response headquarters did all it can to procure APDs. In addition, attempts were made by Kashiwazaki-Kariwa to send aid, such as APDs, but since there were problems with calibrating the units at the power station and transporting them to Fukushima Daiichi, personnel were forced to give only one APD to each team until a sufficient number of APDs could be secured.
- (2) Protective clothing/protective equipment
  - Prior to the disaster of March 11, approximately 1000 full face masks, approximately 700 charcoal filters, and approximately 6000 Tyvek suits had been stored at the entrance of controlled areas in, for example, the Units 1 to 6 service buildings, the waste treatment facility group laundry room, materials warehouse, and the seismic isolated building, however approximately 80% of these safety materials were in the Units 1 to 4 service building and waste treatment facility group laundry room, and were therefore rendered unusable by the tsunami. Only approximately 20% of these materials that had been stored in the Unit 5, 6 service building, materials

warehouse, and seismic isolated building were affected less by the tsunami and could still be used.

- Around 17:00 on March 13, the Fukushima Daiichi health physics team left by bus for the Onahama coal center in order to pick up support workers from Kashiwazaki-Kariwa, transport materials for the procurement team and ensure radiation protection equipment.
- At around 21:00 on the same day, the Fukushima Daiichi health physics team loaded approximately 300 to 400 Tyvek suits, rubber gloves and boots into a bus at the Onahama coal center and left for Fukushima Daiichi along with support workers from Kashiwazaki-Kariwa.
- At around 24:00 on the same day, the bus carrying approximately 300 to 400 Tyvek suits, rubber gloves, and boots arrived at Fukushima Daiichi.
- Thereafter, the Fukushima Daiichi health physics team used the aid from Kashiwazaki-Kariwa, etc. and after around March 17 protective clothing and equipment started to continuously arrive.
- 2. Aid from Kashiwazaki-Kariwa and Fukushima Daini
  - (1) APD, APD setting devices and rechargers
    - On March 11 immediately after the earthquake, the site superintendent at Kashiwazaki-Kariwa instructed each team established in conjunction with the Tohoku-Chihou-Taiheiyo-Oki Earthquake to provide aid to Fukushima Daiichi as much as possible. In response to this the Kashiwazaki-Kariwa health physics team on its own initiative decided to send radiation protection equipment, such as APD, under the assumption that the APD at Fukushima Daiichi had most likely been rendered unusable by the tsunami.
    - On March 11, when support workers from the Kashiwazaki-Kariwa health physics team departed for Fukushima Daiichi to engage in support activities, 30 APDs, and three ADP rechargers (each capable of recharging 10 APD) were loaded into two buses which departed for Fukushima Daiichi at around 19:00 and 23:00.
    - On March 12, the aforementioned two buses arrived at Fukushima Daiichi at around 2:30 and 12:30. This support team mainly engaged in safety work, such as managing entry and exit to the seismic

isolated building, helping workers take off clothing, and providing/keeping equipment.

- At 16:58 on the same day, a helicopter lifted off from Tokyo to go to Fukushima Daini via Kashiwazaki-Kariwa in order to transport personnel returning to Fukushima Daiichi as well as radiation protection equipment.
- At 18:03 on the same day, the aforementioned helicopter arrived at Kashiwazaki-Kariwa, was loaded with 300 APDs (stored in crates), two APD setting devices, 100 C equipment, 20 masks and charcoal filters, and departed for Fukushima Daini at 18:12 on the same day.
- At 19:03 on the same day the aforementioned helicopter arrived at Fukushima Daini and loaded a bus with the radiation protection materials in addition to 50 masks, and five boxes (for approximately 250 people) of charcoal filters stored at Fukushima Daini into a bus which left for Fukushima Daiichi at around 20:00 on the same day there after arriving at Fukushima Daiichi at around 21:20.
- At around 20:00 and 23:00 on the same day 200 APDs for Fukushima Daiichi (stored in opaque plastic case), and five APD rechargers (capable of charging 100 APDs, covered with a tarp to prevent them from getting wet) from Kashiwazaki-Kariwa were loaded along with electrical related aid materials for Fukushima Daini into two trucks which left for Fukushima Daini.
- At around 4:00 and 7:00 on March 13, the aforementioned two trucks arrived at Fukushima Daini. The 200 APDs were temporarily stored with other safety equipment at the entrance of the main building, and the five APD rechargers were stored along with electrical related aid material for Fukushima Daini in the Fukushima Daini warehouse. (When the APD rechargers were unloaded from the truck, Fukushima Daini restoration team members realized that they were indeed APD rechargers, but since the restoration team had not ordered APD rechargers, the team members conveyed to the restoration team leader that APD rechargers had arrived. Since a lot of aid from different locations was arriving at Fukushima Daini, the restoration team leader assumed that the owner would appear eventually and for the time being ordered that the materials be stored in the warehouse.) As a result, information about APD rechargers was not conveyed to

the Fukushima Daiichi health physics team.

- In the early hours of March 14, a helicopter with Kashiwazaki-Kariwa restoration team members landed at Iwaki City, Fukushima Prefecture in order to help out at Fukushima Daiichi and Fukushima Daini after which the members boarded a bus that had been sent from Fukushima Daiichi to pick them up. This bus stopped by at Fukushima Daini to let some of the Kashiwazaki-Kariwa restoration team members off and load aid materials for Fukushima Daiichi that had been stored at the entrance to the main building. At this time the 200 APDs that had been sent from Kashiwazaki-Kariwa on the morning of March 13 were loaded. Around noon on March 14, the bus arrived at Fukushima Daiichi and the aid material was carried into the seismic isolated building by Kashiwazaki-Kariwa restoration team members.
- > As mentioned above, as of March 14 a total of 500 APDs and APD setting devices had arrived at Fukushima Daiichi as aid from Kashiwazaki-Kariwa, but even though support workers from Kashiwazaki-Kariwa who were managing entry and exit to the seismic isolated building had realized that radiation protection equipment, including APDs, had been sent, since there were only 30 dedicated chargers these workers assumed that the APD would not be immediately available at Fukushima Daiichi and put the crates and plastic containers in which they were stored in the work space for Kashiwazaki-Kariwa support workers. As a precaution, the Kashiwazaki-Kariwa support manager informed Fukushima Daiichi health physics team member that APD had arrived from Kashiwazaki-Kariwa, but that APD rechargers for 100 APDs had not arrived. At this time since they were not aware that there was an insufficient number of APD, and since the APD rechargers could only recharge 30 APDs, the Fukushima Daiichi health physics team member did not consider this to be useful information. After March 15 it became clear that the number of APDs was insufficient and efforts were hastily made to procure APDs in consultation with the headquarters health physics team, but the APDs sent from Kashiwazaki-Kariwa cannot be used immediately due to the absence of rechargers. Furthermore, the Fukushima Daiichi health physics

team member who had spoken with the Kashiwazaki-Kariwa support manager had returned to his own office between March 21 and April 1 so the fact that 500 APDs and two APD setting devices had arrived was not conveyed by the aforementioned health physics team member to the other health physics team members.

- At the end of March, 500 APDs and two APD setting devices were discovered at Fukushima Daiichi after a search.
- > Meanwhile, after returning to Kashiwazaki-Kariwa from Fukushima Daiichi, the health physics team support brigade members from Kashiwazaki-Kariwa learned that five APD rechargers had already been transported from Kashiwazaki-Kariwa and started searching for them during the middle of March. At the end of March Fukushima Daini was asked to search for the item since it was confirmed that "on March 12 the eight materials were loaded into a truck at Kashiwazaki-Kariwa and unloaded at Fukushima Daini on March 13". At the end of March the Fukushima Daini health physics team left no stone unturned and searched the reactor buildings of all units, the truck bays of the turbine buildings and areas where electrical work was being engaged in, but did not find the APD rechargers. As a result on the morning of April 1 when the issue was discussed at the Fukushima Daini ERC restoration team mentioned that it might know where they are and the five APD rechargers from Kashiwazaki-Kariwa were found in the warehouse and transported to Fukushima Daiichi the same day.
- Furthermore, the Kashiwazaki-Kariwa health physics team learned from the headquarters health physics team leader via a integrated Fukushima accident response headquarters teleconference at 21:00 on March 31 at starting the next day on April 1 the policy of handing out of only one APD per team would be discontinued and plan to transport additional APDs fearing that the number of APDs would be insufficient if the Kashiwazaki-Kariwa APD rechargers were not found. It was confirmed prior with Fukushima Daini, which was implementing a search, that the APD rechargers had not been found, so they were asked once again to search while at the same time at around 1:30 on April 1, 190 APDs and two rechargers were secured and transported to Fukushima Daiichi. On the morning of April 1, 190 APDs and two

rechargers were handed over to the Fukushima Daiichi health physics team at J Village and transported to Fukushima Daiichi on the same day.

- (2) Protective clothing/protective equipment
  - As of March 15, 24,000 pairs of undergarments, 5800 pairs of coveralls/anoraks, 17,000 pairs of gloves, 1200 pairs of boots, 2600 paper masks, 200 full face masks, 160 semi-full face masks, and 1350 charcoal filters had been loaded into trucks of support workers departing from Kashiwazaki-Kariwa and transported to Fukushima Daiichi along with other aid material from Fukushima Daini over several trips.

| 3. I | Primary | timeline | related t | to radiatio | on control |
|------|---------|----------|-----------|-------------|------------|
|------|---------|----------|-----------|-------------|------------|

| March 11, 2011 | (Approximately 5,000 APDs had been stored on-site prior to the earthquake)   |
|----------------|--|
| (14:46         | Tohoku-Chihou-Taiheiyo-Oki Earthquake occurs)<br>All personnel evacuates to the seismic isolated building  |
| (15:27         | The first tsunami wave arrives.)<br>Most of the APDs stored at the radiation control access<br>control checkpoints of the concentrated radioactive waste<br>buildings in the Units 1 to 6 are rendered unusable due to<br>water damage from the tsunami, leaving approximately 320<br>usable APDs. |
| 21:51          | Entry to the Unit 1 reactor building is prohibited due to rising radiation levels  |
| March 12       |  |
| Around 4:00    | Masks prepared in consideration of rising radiation levels   |
| 4:55           | The government is notified that radiation levels within the power station are rising $(0.069\mu Sv/h(4:00) \rightarrow 0.59\mu Sv/h(4:23))$ near main gate)  |
| 4:57           | Workers heading to the field from the seismic isolated building<br>are instructed to wear charcoal masks (Emergency Response<br>Center)  |
| 5:04           | instructions are given to wear dust masks in the main control room and charcoal masks in the field (shift manager)   |
| 7:00-          | Radiation level measurements commenced within the seismic isolated building (performed daily thereafter)<br>Prime Minister Kan visits the seismic isolated building  |
| (Around 14:30  | Unit 1 venting (PCV pressure drops))   |
| (15:36         | Unit 1 hydrogen explosion occurs)  |
| March 13       | Medical team leader instructs everyone under 40 years of age<br>to take iodine tablets, and those over 40 years of age wishing<br>to take the tablets do so.   |
| (Around 9:20   | Unit 3 venting (PCV pressure drops))   |

| March 14    |  |
|-------------|--|
| (11:01      | Unit 3 hydrogen explosion occurs)  |
| March 15    |  |
| 6:30        | Site superintendent orders some ERC personnel to temporarily evacuate.<br>Female employees (including A, B) evacuate (thereafter,  |
|             | female employee B works in the Fukushima Daiichi back office located at Fukushima Daini  |
| March 15-   | Due to the lack of APDs, the power station superintendent decides to give only one APD to a member of each team instead of one APD to each work member   |
| March 17    | Female employee A returns to the seismic isolated building<br>(engages in work in the field between March 18 and 20).<br>Shikoku Electric asked to provide APDs in accordance with<br>agreement of cooperation between operators (450 units)   |
| March 18    | 100 of the 400 APDs ordered prior to the earthquake arrive<br>but whereas they have been calibrated by the manufacturer,<br>additional on-site calibration cannot be implemented due to<br>high background radiation levels in the units are for the time<br>being stored as spares.   |
| March 19-21 | APDs from Shikoku Electric (450 units) arrive at J Village<br>along with rechargers (5 units). Of these 100 APDs were<br>transported to Fukushima Daiichi on March 21 but they are<br>not used because the Shikoku Electric alarm setting device<br>cannot be found at J Village and the alarm setting device at<br>Fukushima Daiichi is not compatible. |
| March 20    | Female employee A leaves the seismic isolated building   |
| March 21    |  |
| 8:40-       | Measurement results of the concentration of radioactive<br>materials in the air within the seismic isolated building begin to<br>be confirmed (implemented daily thereafter)   |
| March 22    | Female employee A returns to the seismic isolated building<br>and engages in work in the field.<br>The headquarters health physics team leader instructs female  |

employees to have whole body counter (WBC).

A WBC owned by the Japan Atomic Energy Agency is setup at the Onahama coal center.

March 23

Around 12:00 Power station health physics team leader orders female employees to evacuate.

Female employee A evacuates (and thereafter works at the Fukushima Daiichi back office located at Fukushima Daini

- March 26 Localized exhaust fans with charcoal filters setup.
- A buffer area is set up at the entrance to the seismic isolated building in order to prevent radioactive material from being carried inside
- March 27 Windows in the seismic isolated building are shielded with lead as a countermeasure to reduce external exposure levels while staying in the seismic isolated building.
- End of March 500 APDs and setting devices from Kashiwazaki-Kariwa are confirmed at the seismic isolated building.
- March 31 It is decided to use the hundred APDs that were procured from the manufacturer and delivered early but not calibrated. Since the quantity of APDs for the time being is sufficient each work member is given an APD to wear starting the following day.
- April 1-8 OA floor mats which radioactive material adheres to easily are replaced by tiles which are easily decontaminated and the tiles are cured with a tarp.
- April 14- An identification system that utilizes barcodes is introduced in the seismic isolated building and APDs are managed by barcodes
- April 22 A rest area is established on the first floor of the Unit 6 service building so that field workers do not have to enter the seismic isolated building in order to go to the bathroom or to hydrate.
- April 25 Exposure evaluation for people residing within the seismic isolated building is completed
- April 27 Evaluation of internal exposure of female employee A is completed.

Fukushima Daini industrial doctor confirms through exam that

# there is no impact on the female employee's health.May 1Evaluation of internal exposure of female employee B is<br/>completed.

May 2 Headquarters industrial doctor confirms through exam that there is no impact on the female employee's health.

May 13- Multiple rest areas established within building

May 30 High internal radiation levels are confirmed within the thyroid gland of two male employees.

The National Institute of Radiological Sciences is asked to examine the aforementioned two employees.

June 6- In the case that the primary evaluation of an employee using a whole body counter (WBC) reveals that said employee has an internal exposure that exceeds 100mSv, that employee and any other employees engaged in the same work are prohibited from working in the field until the results of a WBC evaluation are confirmed.

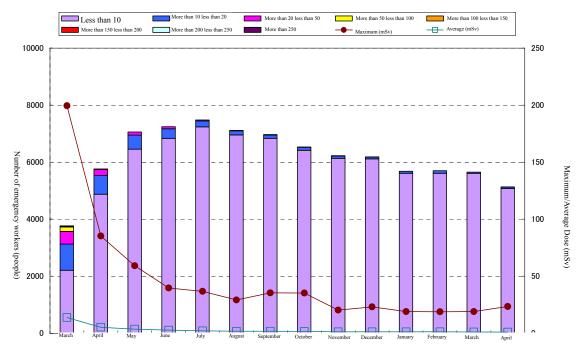
Employees within effective dose that exceeds 170mSv are restricted to working in the seismic isolated building.

- June 8- In addition to confirming identity through publically issued forms of identification, such as driver's licenses and basic resident register cards, barcodes are issued.
- June 10 Medical exam results received from the National Institute of Radiological Sciences. (First two cases of excessive dose confirmed for two male employees)

June 20 Excessive dose confirmed for one male employee (3 in total)

- July 7 Excessive dose confirmed for three male employees (6 in total)
- July 29- Picture entry permit based on publicly issued forms of ID begin to be issued
- September 15- Entry begins to be managed using entry permit.

# Exposure Dose Distribution



### 1. External exposure dose distribution changes

\*Since there are many cases where the internal exposure dose takes place over several months these are not added to monthly dose distribution but rather noted as cumulative dose.

| Catagory (mSy)              | March           | to April 2011 |        |
|-----------------------------|-----------------|---------------|--------|
| Category (mSv)              | TEPCO Employees | Contractors   | Total  |
| More than 250               | 6               | 0             | 6      |
| More than 200 less than 250 | 1               | 2             | 3      |
| More than 150 less than 200 | 22              | 2             | 24     |
| More than 100 less than 150 | 117             | 17            | 134    |
| More than 50 less than 100  | 449             | 376           | 825    |
| More than 20 less than 50   | 614             | 2,428         | 3,042  |
| More than 10 less than 20   | 493             | 2,893         | 3,386  |
| Less than 10                | 1,715           | 12,499        | 14,214 |
| Total (people)              | 3,417           | 18,217        | 21,634 |
| Maximum (mSv)               | 678.80          | 238.42        | 678.80 |
| Average (mSv)               | 24.77           | 9.53          | 11.94  |

| 2.       | Distribution of the sum  | of external e | xposure dose and  | internal exposure dose |
|----------|--------------------------|---------------|-------------------|------------------------|
| <u> </u> | Biodribadion of the bann |               | Apooulo 4000 4114 |                        |

Worker Exposure that Exceeded Dose Limits

 Two female TEPCO employees suffered exposure that exceeds dose limits Two female employees engaged in work starting from the day of the earthquake in the seismic isolated building suffered a dose that exceeds 5mSv/3months.<sup>1</sup>

|   | Department   | Exposure<br>Dose               | Work<br>Period | Mask Status                                   | lodine<br>Tablet<br>Ingestion | Duties   |
|---|--|--------------------------------|----------------|---|-------------------------------|--|
| A | Emergency<br>Planning &<br>Industrial Safety<br>Department | 19.55mSv<br>(internal<br>13.6) | 3/11-3/23      | Charcoal<br>mask worn<br>when in the<br>field | 2 tablets<br>(3/14)           | Refueling of fire<br>engines and<br>desk work in the<br>seismic isolated<br>building |
| В | Administration<br>Department                               | 7.49mSv<br>(internal<br>6.71)  | 3/11-3/15      | N/A   | N/A                           | Care of ailing<br>parties in the<br>seismic isolated<br>building                     |

In regards to the cause of this exposure, it is presumed that the following circumstances withheld the implementation of the policy of not allowing female employees to work within the Fukushima Daiichi Nuclear Power Station prior to March 23<sup>2</sup>, resulting in an effective dose that exceeds legal dose limits due to the ingestion of radioactive materials present in the seismic isolated building.

- Due to the confusion that ensued after the incident, establishment of an area to remove protective clothing and of a buffer zone to prevent radioactive materials from being brought into the seismic isolated building was delayed.
- After the Unit 1 hydrogen explosion, health physics team members strictly controlled access to the seismic isolated building to prevent the double doors from being opened and closed, however it was difficult to completely prevent the influx of radioactive materials through these doors because the aforementioned doors are not

<sup>&</sup>lt;sup>1</sup> In addition there are workers in the seismic isolated building that were not registered/designated as engaging radiation work. Five of these workers were female and effective dose evaluations revealed that two of these five women suffered a dose that exceeds the maximum dose limit for the general public (1mSv/year).

<sup>(1</sup>mSv/year) . <sup>2</sup> On March 23 the Safety Team Manager instructed female workers to evacuate in order to avoid excessive exposure by female workers. Consequently from this day no female workers were allowed to work at the Fukushima Daiichi Nuclear Power Station.

airtight and the doors themselves were slightly strained by the hydrogen explosions at Unit 1 and Unit 3 thereby creating a gap.

- External doses were being managed at 4mSv/3months so that the female employees would not suffer a radiation dose that exceeded limits (5mSv/3months). However, in addition to the fact that the dose management system did not function due to the impact of the earthquake/tsunami, the facts that doses for people staying in the seismic isolated building were not considered, and that full consideration was not given to internal exposure resulted in conditions where 5mSv/-months could not be strictly managed.
- Six male TEPCO employees suffered exposure that exceeds dose limits The following six employees suffered an exposure that exceeds the limit for exposure doses during emergencies (250mSv).

|   | Department                | Exposure Dose                  | Work<br>Period | Mask Status   | lodine<br>Tablet<br>Ingestion  | Duties   |
|---|---------------------------|--------------------------------|----------------|---|--|--|
| С | Operator                  | 678.80mSv<br>(internal 590)    | 3/11-4/14      | Dust mask until<br>the Unit 1<br>explosion, and<br>charcoal<br>thereafter | No record  | Plant operation and data collection in the MCR |
| D | Operator                  | 645.54mSv<br>(internal 540)    | 3/11-3/15      | Dust mask until<br>the Unit 1<br>explosion, and<br>charcoal<br>thereafter | Total: 10<br>tablets<br>3/14: 2<br>5/2: 2<br>5/3: 1<br>5/12: 2<br>5/20: 2<br>5/21: 1 | Plant operation and data collection in the MCR |
| E | Operator                  | 352.08mSv<br>(internal 241.81) | 3/11-3/31      | Charcoal  | Total: 3<br>tablets<br>After 3/14  | Plant operation and data collection in the MCR |
| F | Maintenance<br>Department | 310.97mSv<br>(internal 259.70) | 3/11-6/15      | Dust mask until<br>the Unit 1<br>explosion, and<br>charcoal<br>thereafter | Total: 2<br>tablets<br>After 3/28  | Instrument restoration in the MCR (Unit 1/2)   |
| G | Maintenance<br>Department | 477.01mSv<br>(internal 433.10) | 3/11-6/4       | Dust mask until<br>the Unit 1<br>explosion, and<br>charcoal<br>thereafter | Total: 2<br>tablets<br>After 3/21  | Instrument restoration in the MCR (Unit 1/2)   |
| н | Maintenance<br>Department | 360.85mSv<br>(internal 327.90) | 3/11-6/7       | Charcoal  | Total: 15<br>tablets<br>After 3/24   | Instrument restoration in the MCR (Unit 1/2)   |

Even in times of emergency, the ventilation system in the main control room (MCR) is designed to suppress worker exposure by a considerable amount. However, during this accident, the station experienced a total loss of AC power which prevented the main control room ventilation system from functioning. Shift workers (for operators) and maintenance department personnel did their best to protect themselves from radiation while being overwhelmed with work to restore the facility and get the situation under control in addition to dealing with the aftermath of the earthquake.

The precautions that were taken were the best options in the limited time available, but it is presumed that the following factors compounded and led to the ingestion of radioactive materials as a result.

- In conjunction with the fast progress of the accident, it was extremely difficult to take the appropriate protective action from the perspective of radiation management, such as selecting, wearing, and procuring the appropriate masks.
- In order to bring the situation under control, workers were spending an extended period of time in the main control room and had no other choice but to eat meals there.
- There is a possibility that the temples of eyeglasses created a gap between the mask and the skin, or at least this possibility cannot be denied.
- Workers near the emergency door of the main control room (door that leads to the outside) could not react fast enough to unforeseen circumstances, such as the explosion of the upper structure of the Unit 1 reactor building. Because it is estimated that a great amount of radioactive materials were present in the air,
- Albeit for only a short time, masks were moved about the face thereby creating gaps in the process of performing work safely.

|   |               | Fu              | kushima       | Daiichi N                      | IPS         |        | Fu     | Ikushima | Daini NF | PS     |
|---|---------------|-----------------|---------------|--------------------------------|-------------|--------|--------|----------|----------|--------|
|   | Unit 1        | Unit 2          | Unit 3        | Unit 4                         | Unit 5      | Unit 6 | Unit 1 | Unit 2   | Unit 3   | Unit 4 |
| Actuation of high pressure<br>injection systems immediately after<br>tsunami<br>(IC, RCIC, HPCI)                          | ×<br>(Note 1) | 0               | 0             | _                              | _           | _      | 0      | 0        | 0        | 0      |
| Standby of low pressure injection<br>systems while high pressure injection<br>was operating<br>(MUWC, DDFP, fire engines) | ×             | ×               | O<br>(Note 3) | _                              | ×⇒O<br>MUWC | 0      | 0      | 0        | 0        | 0      |
| Standby of depressurization via<br>SRVs while high pressure injection<br>was operating<br>(core pressure control)         | ×             | ×               | ×             | _                              | 0           | 0      | 0      | 0        | 0        | 0      |
| Standby of heat removal via PCV<br>venting (W/W) while drywell is below<br>design pressure                                | ×             | O⇒×<br>(Note 2) | 0             |                                | 0           | 0      | 0      | 0        | 0        | 0      |
| (Temporary) restoration of seawater system heat sink  | ×             | ×               | ×             | _                              | ×⇒O         | ×⇒O    | ×⇒O    | ×⇒O      | 0        | ×⇒O    |
| Comment   |               |                 |               | Outage (no<br>fuel in<br>core) | Outage      | Outage |        |          |          |        |

Note 1: IC did not function immediately after the tsunami.

Operated after 18:00, when power was temporarily restored but the functional status was unknown.

Note 2: The vent line was configured when the D/W pressure was below design pressure.

However, the valve closed due to the Unit 3 explosion, creating difficulties for subsequent operations.

Note 3: DDFP was actuated when the high pressure inject system shutdown, but there was no reactor injection because the reactor pressure was higher.

# Fukushima Daiichi/ Daini NPS Event Progression (Overview)

|            | Before EQ     |             | After I     | EQ (earth         | quake)             |                  |                         |                            | A                         | fter tsuna  | mi   |  |                                 |                                  | 5  | Release                      |                    |              |  |
|------------|---------------|-------------|-------------|-------------------|--------------------|------------------|-------------------------|----------------------------|---------------------------|---|--|--|---------------------------------|----------------------------------|--|------------------------------|--------------------|--------------|--|
| Unit       |               | Scram       | DC<br>power | AC<br>power       | IC<br>RCIC<br>HPCI | System<br>status |                         | pressure inj<br>AC power   | IC                        | Low pressu<br>RPV<br>depressu<br>rization/<br>injection | removal/v                                    | eat removal<br>Heat<br>release<br>into<br>seawater | Core<br>status                  | Response after<br>PCV<br>venting | er core damage<br>Bldg.<br>ventilation<br>blowout<br>panel | Bldg.<br>hydrogen<br>buildup | Bldg.<br>explosion | Release      |  |
| 1F1        | Oper          | Succes<br>s | DC          | D/G<br>startup    | IC                 | No<br>damage     |                         |                            |                           |   |  |  |                                 | Vente<br>d                       |  |                              |                    |              | [Fukushima Daiichi Un<br>•No impact of earthqua<br>[Unit 1]<br>•Due to loss of power<br>high temperature and p |
|            |               |             |             |                   |                    |                  | Water<br>damage<br>Lost | D/G<br>Lost                | IC•<br>HPCI<br>lost       | IC: all valve c<br>HPCI: loss of                        | lose logic ope<br>DC power                   | rated  | Core<br>damage/ H2<br>generated |                                  |  | H2<br>accumula<br>tion       | Bldg.<br>explosion | Relea<br>sed | damage.<br>•Due to core damage,<br>causing explosion and   |
| 1F2        | Oper          | Success     | DC          | D/G<br>startup    | RCIC<br>startup    | No<br>damage     |                         |                            | RCIC<br>operating         | ) paranananananananananananananananananana              |  |  |                                 |                                  | Blowout<br>panel<br>opened                                 | No<br>accumul<br>ation       | No<br>explosion    |              | [Unit 2]<br>• Due to power los<br>switchover from hi<br>injection was extre                                    |
|            | ating         |             |             |                   | I                  |                  | Water<br>damage<br>Lost | D/G<br>Lost                | RCIC<br>lost              | Difficult to<br>switch to LP<br>injection               | •SRV operatii<br>•Prepare for f<br>injection | ig by batteries<br>ire engine                      | Core<br>damage/ H2<br>generated | Line up<br>only                  |  |                              |                    | Relea<br>sed | level dropped, lea   |
| 1F3        | Oper          | Success     | DC          | D/G<br>startup    | RCIC<br>startup    | No<br>damage     |                         |                            | RCIC-<br>HPCI<br>operatin | panaaaaaa   |  |  |                                 | Vente<br>d                       |  |                              |                    |              | [Unit 3]<br>•Due to power loss a<br>from high pressure i<br>extremely difficult, d                             |
|            | ating         |             |             |                   |                    |                  | Spent<br>Lost           | D/G<br>Lost                | RCIC+<br>HPCI<br>lost     | Difficult to<br>switch to<br>LP injection               | •SRV operatin<br>•Prepare for fi             |  | Core<br>damage/ H2<br>generated |                                  |  | H2<br>accumula<br>tion       | Bldg.<br>explosion | Relea<br>sed | to core damage.<br>•Due to core damag<br>building, causing ex  |
| 1F4        | Shut<br>dow   |             | DC          | D/G<br>startup    |                    | No<br>damage     |                         | el removed<br>tion/ heat r |                           | SFP   |  |  | SFP fuel<br>fully<br>covered    |                                  |  |                              |                    |              | [Unit 4]<br>• Hydrogen from U<br>reactor building. A<br>in building.   |
|            | n             |             |             |                   |                    |                  | Water<br>damage<br>Lost | D/G<br>Lost                |                           |   |  | FPC<br>Lost  | ]<br>                           |                                  |  | H2<br>accumula<br>tion       | Bldg.<br>explosion | Relea<br>sed | [Unit 5]   |
| 1F5        | Shut<br>dow   |             | DC          | D/G<br>startup    | -                  | No<br>damage     | DC                      | Share<br>d                 |                           | Pressure<br>control<br>MUWC<br>restored                 |  | SW sys.<br>restored                                | Soun<br>d                       |                                  | Bidg. hole<br>opened                                       |                              |                    |              | •Emergency powe<br>Unit 6 EDG (AM e<br>seawater pump wa  |
|            | n             |             |             |                   |                    |                  |                         | D/G<br>Lost                |                           |   | J  | SW sys.<br>lost                                    |                                 |                                  |  |                              |                    |              | damaged by sea v<br>capability. Resulte  |
| 1F6        | Shut<br>dow   |             | DC          | D/G<br>startup    | -                  | No<br>damage     | DC                      | D/G<br>operating           |                           | Pressure<br>control<br>MUWC<br>operating                |  | SW sys.<br>restored                                | Soun<br>d                       |                                  | Bidg. hole<br>opened                                       |                              |                    |              | [Unit 6]<br>• Alternative tempore<br>seawater system of<br>heat removal capa                                   |
|            | n             | I           |             |                   |                    |                  |                         |                            |                           |   | N  | SW sys.<br>lost                                    |                                 | T                                | I  | T                            |                    |              |  |
| 2F1<br>2F2 | Oper<br>ating | Success     | DC          | Off-site<br>power | RCIC<br>startup    | No<br>damage     | DC                      | Off-site<br>power          | RCIC<br>operating *       | Pressure<br>control<br>MUWC<br>operating                | Vent line<br>complete                        | SW sys.<br>restored                                | Soun<br>d                       |                                  |  |                              |                    |              | [Fukushima Daini Uu<br>•No impact of eartho<br>[Units 1, 2, 4]<br>•Power supply cars                           |
| 2F4        |               |             |             |                   |                    |                  |                         |                            | 21 4 di50                 |   | 1  | SW sys.<br>lost                                    |                                 |                                  |  |                              |                    |              | the seawater system<br>provide residual hea<br>shutdown.   |
| 2F3        | Oper          | Success     | DC          | Off-site<br>power | RCIC<br>startup    | No<br>damage     | DC                      | Off-site<br>power          | RCIC<br>operating         | Pressure<br>control<br>MUWC<br>operating                | Vent line<br>complete                        | SW sys.<br>restored                                | Soun<br>d                       |                                  |  |                              |                    |              | [Unit 3]<br>• Safety-important<br>was not damaged  |
|            |               | na Daiic    |             |                   |                    |                  |                         |                            |                           |   |  |  |                                 |                                  |  |                              |                    |              | not lost, resulting i  |

\*1F: Fukushima Daiichi, 2F: Fukushima Daini

# Comments

Jnits 1 to 6]

uake on equipment necessary for safety.

er and other factors, there was no injection method at d pressure, causing decline in water level and core

e, generated hydrogen accumulated in the building, nd release of radioactive material.

oss and adverse work environment, high pressure injection to low pressure tremely difficult, during which time water ading to core damage.

s and adverse work environment, switchover e injection to low pressure injection was during which time water level dropped, leading

age, generated hydrogen accumulated in the explosion and release of radioactive material.

Unit 3 PCV venting following into the Accumulated hydrogen caused explosion

ver was provided by power sharing from equipment). Alternative temporary was used for the seawater system water to provide residual heat removal ted in cold shutdown.

porary seawater pump was used for the n damaged by sea water to provide residual pability. Resulted in cold shutdown.

Units 1 to 4] hquake on equipment necessary for safety.

rs and temporary cables were used to restore em pump, which was damaged by water, to eat removal capabilities. Resulted in cold

nt equipment including the seawater system ed by water, thus their functionalities were g in cold shutdown.

Attachment 15-1 (1) (1/2)

# Ministry of Economy, Trade and Industry

12/03/2011 No.1 March 12, 2011

Mr. Masataka Shimizu, Director & President Tokyo Electric Power Company

Banri Kaieda Minister of Economy, Trade and Industry

Order in accordance with provisions of Article 64 Paragraph 3 of The Act on Regulation of Nuclear Source Material, Nuclear Fuel Material and Reactors

The Ministry of Economy, Trade, and Industry, hereby orders the following to the Tokyo Electric Power Company, in accordance with the provisions of Article 64 Paragraph 3 of the Act on Regulation of Nuclear Source Material, Nuclear Fuel Material and Reactors (Act No. 166 of 1957, hereinafter referred to as "Reactor Regulation Act").

If there are any objections with this disposition, an appeal can be filed in writing against the Minister of Economy, Trade and Industry within 60 days counting from the day following the day that the disposition is known, in accordance with the provisions under Article 6 of the Administrative Appeal Act (Act No. 160 of 1962). However, appeals cannot be filed if one year has passed counting from the day following the day of disposition, even if it is within 60 days counting from the day following the day that the disposition was acknowledged.

1. Content of order and legal basis (Act and Article) of the order

In accordance with the provisions of Article 64 Paragraph 3 of the Reactor Regulation Act, it is hereby ordered that pressure inside the reactor containment vessel at the Tokyo Electric Power Company's Fukushima Daiichi Nuclear Power Station Unit 1 and 2 be reduced.

### 2. Reason(s) for order

The pressure inside the reactor containment vessel at the Tokyo Electric Power Company's Fukushima Daiichi Nuclear Power Station Unit 1 and Unit 2 is increasing. Thus, there is an urgent need to give such orders to prevent a disaster caused by nuclear fuel material, material contaminated by nuclear fuel material, or reactor.

# Ministry of Economy, Trade and Industry

12/03/2011 No.2 March 12, 2011

Mr. Masataka Shimizu, Director & President Tokyo Electric Power Company

Banri Kaieda Minister of Economy, Trade and Industry

Order in accordance with provisions of Article 64 Paragraph 3 of The Act on Regulation of Nuclear Source Material, Nuclear Fuel Material and Reactors

The Ministry of Economy, Trade, and Industry, hereby orders the following to the Tokyo Electric Power Company, in accordance with the provisions of Article 64 Paragraph 3 of the Act on Regulation of Nuclear Source Material, Nuclear Fuel Material and Reactors (Act No. 166 of 1957, hereinafter referred to as "Reactor Regulation Act").

If there are any objections with this disposition, an appeal can be filed in writing against the Minister of Economy, Trade and Industry within 60 days counting from the day following the day that the disposition is known, in accordance with the provisions under Article 6 of the Administrative Appeal Act (Act No. 160 of 1962). However, appeals cannot be filed if one year has passed counting from the day following the day of disposition, even if it is within 60 days counting from the day following the day that the disposition was acknowledged.

### 1. Content of order and legal basis (Act and Article) of the order

In accordance with the provisions of Article 64 Paragraph 3 of the Reactor Regulation Act, it is hereby ordered that the integrity of the Tokyo Electric Power Company's Fukushima Daiichi Nuclear Power Station Unit 1's reactor vessel be ensured upon consideration of appropriate methods such as filling the reactor vessel with seawater.

## 2. Reason(s) for order

In regards to the internal integrity of the reactor vessel at the Tokyo Electric Power Company's Fukushima Daiichi Nuclear Power Station Unit 1, there is an urgent need to give such orders to prevent a disaster caused by nuclear fuel material, material contaminated by nuclear fuel material, or reactor.

Attachment 15-1 (3) (1/2)

# Ministry of Economy, Trade and Industry

15/03/2011 No.9 March 15, 2011

Mr. Masataka Shimizu, Director & President Tokyo Electric Power Company

Banri Kaieda Minister of Economy, Trade and Industry

Order in accordance with provisions of Article 64 Paragraph 3 of The Act on Regulation of Nuclear Source Material, Nuclear Fuel Material and Reactors

The Ministry of Economy, Trade, and Industry, hereby orders the following to the Tokyo Electric Power Company, in accordance with the provisions of Article 64 Paragraph 3 of the Act on Regulation of Nuclear Source Material, Nuclear Fuel Material and Reactors (Act No. 166 of 1957, hereinafter referred to as "Reactor Regulation Act").

If there are any objections with this disposition, an appeal can be filed in writing against the Minister of Economy, Trade and Industry within 60 days counting from the day following the day that the disposition is known, in accordance with the provisions under Article 6 of the Administrative Appeal Act (Act No. 160 of 1962). However, appeals cannot be filed if one year has passed counting from the day following the day of disposition, even if it is within 60 days counting from the day following the day that the disposition was acknowledged.

1. Content of order and legal basis (Act and Article) of the order

In accordance with the provisions of Article 64 Paragraph 3 of the Reactor Regulation Act, the following is hereby ordered in regards to the Tokyo Electric Power Company's Fukushima Daiichi Nuclear Power Station.

(1) Extinguish the fire at Unit 4 spent fuel pool. Also, prevent re-criticality.

(2) Inject water into the reactor for Unit 2 as soon as possible. If necessary, vent the dry well.

### 2. Reason(s) for order

In regards to the Tokyo Electric Power Company's Fukushima Daiichi Nuclear Power Station, there is an urgent need to give such orders to prevent a disaster caused by nuclear fuel material, material contaminated by nuclear fuel material, or reactor.

# Equipment (hardware) Measures

#### (1) Flooding protection measures for sites and buildings

Installation of tidal embankment, board, and wall and flood protection of doors and

#### (2) High Pressure Cooling Water Inje Facilities (Required within 1 hour) Concepts

·High pressure cooling water injection is initially required due to high reactor pressure in the case that the plant experiences an abnormal shutdown. · During this accident, some motor-driven equipment were inoperable due to statio black out (SBO). Hence, a steam-driven high pressure facility is key. ·Furthermore, when choosing motor-drive high pressure cooling water injection facilities, it is important to select equipm with minimum operating requirements

| RCIC       | Stream-driven | 0 |  |
|------------|---------------|---|--|
| SLC or CRD | Motor-driven  | × |  |
| HPCS       | wotor-unvert  | ~ |  |

#### (3) Depressurizing Equipment (within 4-8 hours)

#### Concepts

·Depressurization of the reactor pressure vess is essential to remove heat and to bring it to a cooling stage.

•During this accident, DC power necessary to operate the main steam safety relief valve for depressurizing was insufficient. In addition to securing N2 for valve operations, securing power source is necessary.

#### (4) Low Pressure Water Injection Facilities (within 4-8 hours)

Concepts ·Low pressure cooling water injection

equipment consists of emergency system make-up water condensate system (MUWC) and fire protection (FP) system. In case of SBO, only diesel-driven fire pumps (DDFP) o the FP system will be operable. Preparing reliable low pressure injection equipment is important including fire engines which were used during this accident.

6D0

|       |               | 360 |
|-------|---------------|-----|
| D/DFP | Diesel-driven | 0   |
| MUWC  | Motor-driven  | ×   |

#### (5) Heat Removal/Cooling Facilities

#### 1) PCV venting (Within 1-2 days)

#### Concepts

· In case that seawater cannot be used as a cooling source, suppression chamber venting using air as cooling source is necessary. · In order to conduct suppression chamber venting, opening motor-operated (MO) valves as well as air-operated (AO) valves are necessary.

# 2) Heat removal via Shutdown Cooling Mode (Within 3-7 days)

#### Concepts

- ·Shutdown cooling mode procedures by residual heat removal system (RHR) that utilizes seawater as a cooling source is necessary.
- Thus, in addition to ensuring a power source, preparing alternative pumps, or motor repairs is necessary to restore the seawater system utilized as the ultimate heat sink.

| Reactor Core Isolation Co                          |   |                       |  |   |  |  |
|--|---|-----------------------|--|---|--|--|
| Necessary Facility                                 | Flooding Count<br>Equip                                     |                       | for  | Flexible Countermeasure   |  |  |
| Pump/ turbine                                      | Waterproofing for R   | CIC room              |  | Establish manual startup<br>procedures  |  |  |
| DC Power Supply<br>Battery, power panel,<br>etc.)  | Waterproofing batte<br>bus panel location (                 |                       | main   | Prepare power supply cars   |  |  |
| Standby Liquid Control S                           | stem (SLC) or Contro  | l Rod Drive           | (CRD)  |   |  |  |
| Necessary Facility                                 | Flooding<br>Countermeasure<br>for Equipment                 |                       | Flexil   | ble Countermeasure  |  |  |
| SLC Pump or CRD Pump                               | -   | Waterproo             | f pump a   | area  |  |  |
| Water Source                                       | -   | Establish v<br>tank   | vater su   | pply procedure from pure water  |  |  |
| AC Power   | -   | EDGs, dep             | loy pow  | supply equipment including<br>er supply cars, secure outside<br>Iternative to EDGs    |  |  |
| Necessary Facility                                 | Flooding Count<br>Equip                                     |                       | for  | Flexible Countermeasure   |  |  |
| N2 Cylinder  | -   | -                     |  | Prepare spare cylinders   |  |  |
| DC Power Supply<br>(Battery, power panel,<br>etc.) | Waterproofing batte   |                       | l main   |   |  |  |
| Fire Protection System (F                          | P)  |                       |  |   |  |  |
| Necessary Facility                                 | Flooding Counterm<br>Equipmen                               |                       |  | Flexible Countermeasure   |  |  |
| Diesel-driven Fire Pump                            | Waterproof pump ro  | om                    | engin  | op procedures to deploy fire<br>es, establish connecting water<br>and use of seawater |  |  |
| Battery  | Waterproof battery r  | oom                   | Prepa  | repare portable batteries   |  |  |
| Diesel Fuel  | Deploy fuel (incl. del                                      | livery)               | —  |   |  |  |
| Make-up Water Condens                              | ate System (MUWC)   |                       |  |   |  |  |
| Necessary Facility                                 | Flooding Count<br>Equip                                     | termeasure<br>ment    | for  | Flexible Countermeasure   |  |  |
| MUWC Pump  | Waterproof pump ro  | om                    |  | Develop procedures for tanks to share water   |  |  |
| AC Power   | Waterproof power sincluding EDG or rel                      | upply equip<br>locate | ment   | Deploy power supply cars,<br>secure outside power source as<br>alternative to EDGs    |  |  |
| Necessary Facility                                 | Flooding Counterm<br>Equipmen                               |                       |  | Flexible Countermeasure   |  |  |
| AC Power<br>(MOV, solenoid valve for<br>AOV)       | Waterproof power se<br>equipment including<br>relocate)     |                       | Deploy power supply cars, portable Ad<br>generator or portable batteries |   |  |  |
| Compressed Air<br>(AOV operation)                  | Portable air compres<br>cylinder)                           | ssor (or              |  | structure to allow manual<br>g of AO valve  |  |  |
| Necessary Facility                                 | Flooding Counterme<br>Equipment                             |                       |  | Flexible Countermeasure   |  |  |
| AC Power (RHR pumps)                               | Waterproof power so<br>equipment including<br>(or relocate) | EDGs .                |  | e alternative pumps<br>e mobile heat exchangers                                       |  |  |
| RCW/RSW Pumps                                      | Prepare spare moto  |                       |  |   |  |  |
| AC Power (RCW/RSW)                                 | Waterproof power ro   |                       |  | power supply car, secure outside<br>ource as alternative to EDGs                      |  |  |

### 3) Heat removal from spent fuel pool (Within 7-10 days: Depending on decay heat from spent fuels)

Attachment 16-1

| 3) Heat removal from spent fuel pool  | (Within 7-10 days: D   | epending on decay heat fro  | m spent fuels)  |  |  |  |
|---|--|---|---|--|--|--|
| Concepts <ul> <li>Spent fuel pool cooling and</li> <li>cleanup system (FPC) is basically</li> </ul>   | Necessary<br>Equipment   | Flooding Countermeasure<br>for Equipment  | Flexible Countermeasure   |  |  |  |
| tsunami-resistant since it is located<br>inside the reactor building. Hence it<br>is important to maintain the power<br>source.                 | FPC Pump   | Waterproof pump room<br>Install level/temperature<br>gage in pool   | Prepare fire engines     Establish redundancy with fire     protection piping   |  |  |  |
| Furthermore, considering time to<br>respond, monitoring using<br>instruments is important.  | AC Power   | Waterproof power supply equipment (or relocate)   | Prepare power-supply cars   |  |  |  |
| (6) Ensuring power supply to the monito   | oring instruments (R   | Required within 1 hour)   |   |  |  |  |
| Concepts During this accident, the monitoring instruments were rendered   | Necessary<br>Equipment   | Flooding Countermeasure<br>for Equipment  | Flexible Countermeasure   |  |  |  |
| inoperable and restoring power to<br>the instruments took time.<br>• Thus, ensuring immediate power   | DC Power   | Waterproof battery room<br>and main bus panel location  | Prepare portable batteries     Prepare power supply cars and  |  |  |  |
| supply for instruments is important.  |  | (or relocate)   | portable battery chargers   |  |  |  |
| (7) Mitigation measures following core  | lamage   |   |   |  |  |  |
| Concepts <ul> <li>During this accident, not only was the</li> </ul>   | Items  | Cou   | intermeasures   |  |  |  |
| "containment" function lost, but also<br>restoration efforts were seriously<br>hampered due to the hydrogen<br>explosion caused by the possible | Hydrogen<br>Accumulation<br>Prevention                             |   | procedures for drilling holes through the<br>or opening blow-out panels to improve  |  |  |  |
| leak of hydrogen from the primary<br>containment vessel to the building.<br>In terms of defense-in-depth,<br>measures in case of core damage    | Mitigation of<br>Radioactive<br>Material Release                   | water filtering).   | er venting (assuring venting through rinjection to PCV via fire engines.  |  |  |  |
| will be taken considering this accident,  | Items  | Co  | untermeasures   |  |  |  |
| (8) Common Countermeasures  | items  |   |   |  |  |  |
|   | Off-site Power   | assess stability of transmission t<br>improvement of substation/switc<br>prompt restoration of off-site pov   | ensure reliability of off-site power supply,<br>ower foundations, review seismic<br>hyard facilities, and review procedures for<br>wer equipment.                             |  |  |  |
|   | Debris Removal<br>Equipment  | Prepare equipment to remove d   | ebris hampering response activities.  |  |  |  |
|   | Secure<br>Communication<br>Methods                                 | Establish communication methods suitable for conditions such as allocating<br>mobile radios and satellite phones as well as preparing batteries as power<br>source. Develop communication equipment usable when wearing full-face<br>masks. |   |  |  |  |
| In addition to implementing the   | Securing Lighting<br>Equipment                                     | Prepare headlights to allow workers to use both hands freely for safe,<br>prompt, and reliable response and wide-area lights.   |   |  |  |  |
| above responses, it is important<br>to reinforce work-supporting<br>gear and auxiliary equipment so<br>activities are carried out safely        | Protective<br>Equipment  | Allocate various equipment inclu<br>portable air purifiers to appropria<br>restoration of MCR emergency v<br>reinforce shielding of Seismic Is<br>equipment such as local fans.   | Iding protective clothing, masks, APDs,<br>ate locations in ample supply, prioritize<br>rentilation system using power supply cars,<br>olated Building, and allocate required |  |  |  |
| and efficiently in order to enable measures to be effective.  | Develop Radiation<br>Management Tools                              | Develop management tools to c function as hubs including the S  | ompile dose readings at place(s) that eismic Isolated Building.   |  |  |  |
|   | Reinforce<br>Environmental<br>Radiation Monitoring<br>Organization | Reinforce radiation measuremenestablishing alternative monitorin<br>of power outage in advance.   | nt equipment for monitoring such as<br>ng methods and personnel structure in case   |  |  |  |
|   | Reinforce Tsunami<br>Monitoring<br>Organization                    | Allocate infra-red scopes in shor<br>level monitoring system, worker<br>routes, consider potential routes<br>advance, and required modificat  | t-term. In long term, collect data with sea<br>notification methods, securing evacuation<br>to access field during emergencies in<br>ions.                                    |  |  |  |
|   | Enhance<br>Functionality of<br>Seismic Isolated<br>Building        | Segregate entrances for people<br>prevent ingress of radioactive m<br>maintaining function of toilets, an   | and materials, accessway design to<br>aterial, easily decontaminated interior,<br>nd develop break facilities.  |  |  |  |
| Other Mid- to Long-term Technical Issues  | Items  |   | Action Plan   |  |  |  |
| <ul> <li>In this study, aforementioned<br/>core damage countermeasures<br/>have been developed. In</li> </ul>                                   | Improve Reliability<br>of High Pressure<br>Injection Systems       |   | s to improve reliability of high pressure<br>ion signal interlock for isolation condenser.  |  |  |  |
| addition, mid-to-long term<br>technical issues listed right<br>should be considered.  | Improve Reliability<br>of Vent Line                                | Review methods to proactively a<br>of the vent line while taking into a<br>unpremeditated releases.   | ctivate rupture discs and improve reliability account that it does not lead to  |  |  |  |
| •These technical issues will be studied separately.   | Consider Filtered<br>Vents   | Study design of filtered vents wh<br>a filter to reduce amount of radio   | ere radioactive material is released through active materials released.   |  |  |  |
|   | R&D of Accident<br>Instrumentation                                 | develop instruments suitable for  | age, conduct R&D to improve precision and<br>purposes required during accidents. In<br>re monitoring system, improve reliability<br>der accident conditions.                  |  |  |  |
|   |  |   |   |  |  |  |

# Summary of Fukushima Daiichi NPS Units 1 to 3 Events, Causes, and Countermeasures

| Success Path  | Issues from plant be   | havior/ response work  | Unit 1 | Unit 2 | Unit 3 | Causes   | Specific countermeasures   |
|---|--|--|--------|--------|--------|--|--|
| Initiating event<br>(Earthquake, tsunami)                                 | Multiple failures or fundequipment   | ctional loss of  | 0      | 0      | 0      | Tsunami flowed into major<br>buildings and flooded important<br>facilities (power supply facilities) | <ul> <li>Prevent flooding of D/G and other equipment locations: Water-tightening of doors and<br/>waterproofing pipe and cable penetrations</li> <li>Prevent flooding from openings on buildings' exterior walls: install tidal boards, tidal walls</li> <li>Prevent flooding into power station premises: install flooding embankments</li> </ul> |
|   |  | Shutdown of IC   | 0      | _      | _      | <ul> <li>Automatic isolation interlock<br/>actuated with loss of DC power</li> </ul>                 | •Waterproofing battery room and main bus panel installation location (or relocate)   |
|   |  | RCIC operating status<br>unknown (loss of<br>monitoring<br>measurements) | Ι      | 0      | _      | •Loss of DC power  | •Waterproofing battery room and main bus panel installation location (or relocate)   |
|   |  |  |        |        |        | Loss of lighting   | •Waterproofing battery room and main bus panel installation location (or relocate)   |
|   |  | Difficulty in confirming operating status of                             | _      | 0      | _      | Collected water  | Flooding prevention measures for buildings   |
| Injectionj  | Early on after reactor<br>shutdown, high<br>pressure system's<br>cooling and injection | RCIC   |        | 0      |        | High dose environment     Difficulty with communication     with field due to loss of power          | Routinely allocate ample supply of various equipment including protective clothing<br>masks, APDs, portable air purifiers to improve MCR environment<br>Consider establishment of communication methods fit for the situation  |
| pressure core injection<br>systems  | capabilities was lost  | Restart of RCIC not possible   | _      | _      | 0      | (PHS, paging inoperable) •Running out of DC power  | •Establish procedures to manually open steam inlet valves and others   |
|   |  | High pressure<br>injection startup failed,<br>restart not possible       | 0      | 0      | 0      | •Loss and run-out of DC power  | Waterproofing battery room and main bus panel installation location (or relocate)     Establish procedures to manually open steam inlet valves and others  |
|   |  | SLC power restoration suspended  | 0      | _      | -      | •Damage of cables and power supply car due to explosion  | Provide AC power (cables to power supply cars, transformers, circuit breakers, equipme and procedures)   |
|   |  |  |        |        |        |  | Provide water source including for replenishment   |
|   |  | Parameter monitoring<br>failed<br>Parameter monitoring                   | 0      | 0      | 0      | Loss and run-out of DC power     Loss and run-out of DC power  | •Waterproofing battery room and main bus panel installation location (or relocate)   |
| [Depressurization]<br>Depressurization of                                 | Loss of power of SPV   | inoperable, labor<br>intensive   | 0      | 0      | 0      | (alternate measurement<br>implemented)   | •Waterproofing battery room and main bus panel installation location (or relocate)   |
| reactor using<br>depressurization<br>equipment                            | Loss of power of SRV<br>(DC power)   | Difficult to operate<br>SRVs (use of alternate                           | _      | 0      | 0      | •Loss and run-out of DC power  | •Waterproofing battery room and main bus panel installation location (or relocate)   |
| equipment   |  | batteries)   |        |        |        | <ul> <li>Uncertain supply of nitrogen<br/>pressure</li> </ul>  | -  |
|   | Almost all equipment that could be used to   | Installed facilities were  | 0      | 0      | 0      | Installed equipment (motors)     were damaged by water   | Waterproofing installation location of emergency system low pressure injection systems     Waterproofing installation location of MUWC     Waterproofing installation location of diesel-driven fire pumps and providing fuel  |
|   | cool and inject into the<br>reactor loss functions                                     | inoperable   | 0      | Ū      | Ū      | •Water damage to power panels<br>and emergency buses   | Waterproofing power facilities (or relocate)   |
| [Low pressure<br>injection]   |  |  |        |        |        | Completely new applied     Rubble (due to tsunami,     hydrogen explosion)                           | Enhance software issues such as procedures and training     Allocate heavy machinery to remove rubble  |
| Inject cooling water<br>into reactor using low<br>pressure core injection | On-the-spot actions to   | Difficult to establish   |        |        |        | <ul> <li>Loss of lighting due to loss of<br/>power</li> </ul>  | •Waterproofing battery room and main bus panel installation location (or relocate)   |
| systems   | inject water using fire  | injection line using fire engines and other                              | 0      | 0      | 0      | power  | Provide headlight-type lights     Consider lighting equipment that can light a wider area  |
|   | engines  | equipment  |        |        |        | High dose environment  | •Routinely allocate ample supply of various equipment including protective clothing,   |
|   |  |  |        |        |        | Difficulty with communication  | masks, APDs, portable air purifiers to improve MCR environment   |
|   |  |  |        |        |        | with field due to loss of power (PHS, paging inoperable)   | Consider establishment of communication methods fit for the situation  |
|   |  | MO valve remote  | -      |        |        | Danger of hydrogen explosion   | Inject water into reactor, depressurize, and vent PCV to ensure it does not result in core   |
|   |  | operation failed   | 0      | 0      | 0      | Loss of AC power   | •Waterproofing of power facilities (or relocate)   |
|   |  |  |        |        |        | •Loss of lighting due to loss of   | ·Waterproof batter room installation location or relocate  |
|   |  | Difficulty with MO   | -      | _      | _      | power  | Provide headlight-type lights     Consider lighting equipment that can light a wider area  |
|   |  | valve manual<br>operation  | 0      | 0      | 0      | High dose environment (in  | Routinely allocate ample supply of various equipment including protective clothing   |
|   |  |  |        |        |        | buildings) Difficulty with communication with field due to loss of power                             | masks, APDs, portable air purifiers to improve MCR environment <ul> <li>Consider establishment of communication methods fit for the situation</li> </ul>   |
| [PCV vent]  | Loss of power and<br>compressed air to   |  |        |        |        | (PHS, paging inoperable)   |  |
| Depressurize PCV<br>with PCV venting                                      | drive AO valves<br>caused such valves to   | AO valve remote<br>operation failed                                      | 0      | 0      | 0      | Loss of power to drive valves<br>due to loss of power  | Waterproof power facilities (or relocate)  |
|   | become inoperable  |  |        |        |        | Loss of control air  | Allocate air compressor or cylinders   |
|   |  |  |        |        |        | •Loss of lighting due to loss of   | ·Waterproof battery room location or relocate  |
|   |  |  |        |        |        | power  | Provide headlight-type lights  |
|   |  | AO valve remote<br>operation failed                                      | 0      | 0      | 0      | High dose environment (S/C   | Consider lighting equipment that can light a wider area     Routinely allocate ample supply of various equipment including protective clothing   |
|   |  |  |        |        |        | room)<br>• Difficulty with communication<br>with field due to loss of power                          | masks, APDs, portable air purifiers to improve MCR environment Consider establishment of communication methods fit for the situation   |
|   |  | 1  |        |        |        | (PHS, paging inoperable)<br>•Existing facility (motors)  |  |
| [Heat sink restoration]<br>Provide cooling with                           | Seawater pump restor   | ation impossible   | 0      | 0      | 0      | damaged by water   | Allocate spare motors     Tsunami countermeasures for seawater system power systems  |
| seawater  |  | שוטופסטקוווו וווקטפסוטוש   | U      |        |        | Water damage of power panel<br>and emergency bus   | Transport spare power panel with power supply car  |
|   |  |  |        |        |        |  | <ul> <li>Install on top floor of building and use with power supply car</li> </ul>   |

|     | Attachment 16-2   |
|-----|---|
|     | Flexible countermeasure   |
|     | _   |
|     | Allocate portable batteries     Allocate power supply cars and     portable battery charger     Allocate portable batteries |
|     | <ul> <li>Allocate power supply cars and<br/>portable battery charger</li> <li>Allocate portable batteries</li> </ul>        |
|     | •Allocate power supply cars and<br>portable battery charger   |
| ••• | _   |
| ••• | _   |
|     | Allocate portable batteries     Allocate power supply cars and     portable battery charger     Allocate portable batteries |
| 1   | Allocate power supply cars and portable battery charger   |
|     | _   |
|     | Allocate portable batteries     Allocate power supply cars and     portable battery charger     Allocate portable batteries |
|     | •Allocate power supply cars and<br>portable battery charger<br>•Allocate portable batteries                                 |
|     | Allocate power supply cars and<br>portable battery charger  |
|     | Allocate spare cylinders  |
|     | Allocate fire engines     Establish connecting water line   |
|     | Allocate portable batteries     Allocate power supply cars and     portable battery charger                                 |
|     | - •Allocate portable batteries •Allocate power supply cars and portable battery charger                                     |
| ••• | _   |
| d   |   |
|     | Allocate portable batteries     Allocate power supply cars and     portable battery charger     —                           |
|     |   |
|     | _   |
|     | <ul> <li>Allocate power supply car, etc.</li> <li>Allocate portable AC generator<br/>or portable batteries</li> </ul>       |
|     | Change structure to open AO<br>valve manually     Allocate portable batteries   |
|     | Allocate power supply cars and<br>portable battery charger  |
|     |   |
|     | _   |
|     | Allocate movable portable heat<br>exchange system (set of pumps<br>and heat exchangers) including<br>cooling equipment      |
|     |   |

# Administrative (Software) Measures (1/2)

OAction taker,  $\triangle$  Provide Support, -N/A

|                                 | Item  | Issue   | Description of Countermeasure   | NPS | Corpora<br>te | Gov't,<br>others |
|---------------------------------|---|---|---|-----|---------------|------------------|
| Administrative                  |   | in terms of equipment, a success poin, countring of high pressure injection<br>systems, dependentialles & low pressure injection, and heatremovel was<br>developed while considering time terms. In addition, contrast heats required   | Dentity Consets Anomices<br>Procedure should be remained and available system can be chosen for blyckpanding on the plant condition since plant conditions<br>may be unapprind.<br>-Procedure should charity be tokening: ecome paths and location of particle equipment, ecoloment, and maintee required to<br>- operation and interfacebon, equipment for some reduction and their location.  |     |               |                  |
| Cou<br>Com<br>Equi              | ntermeasures<br>separatingta<br>procest<br>ntermeasures   | to achieve hile was indicated, and countermoneures were developed to<br>preventing important and the increase of our winnings.<br>In order for these countermoneurs in the dem predicatly. It is necessary net,<br>only to develop 'hardware,' but to also prepare 'software' messares such as<br>'developing councils in primare that processares, "approximite staffing'  | Aperprists Staffage Organizations' Structure<br>"Requiredinjection" cosing turations damps over them. For the structure should ensure that staffage equivable in operate systems to<br>estimate and the staffage ensures and end cosing temperature, additioned to support assegmency response, and<br>data large form accident sequences all advantations are appeared, addition of the<br>stars large form accident sequences are with simultaneous damage of multiple with.  | o   | ۸             | -                |
|                                 |   | agenizational structure," and "providing an definiting on definition of<br>Innextedge."   | Acabhaid Tair an Ballanat Knashige<br>Anglaset subationi Induing to provide mousery skills and incerialge in pasemul and argentations (including Rames<br>requiratio operate heavy machiney, power apply cars, interaction) and conductive ring so actions can be been depending on<br>estant motion: constitutes.  |     |               |                  |
|                                 | Emergency<br>Response<br>Organization<br>(Rolea and<br>command-and-<br>control among<br>Administration/ | During socidentresponse, it was a problem fractivere was contained in<br>scammand-and-control from the perspective after NPS, which resulted in a<br>impaction response organization under which people who dat not<br>understand conditions in the field over making decisions from piecewithet did<br>authous information on field conditions. It is understand that this situation<br>was brought upon by TBPCO, the Administration, and not conting overment.<br>In other words, the highlighted income is the sensite deally who | Programy Response Capacitation<br>"TERCS and/out response experiation will be expended into biarnel organization (MAX and/out resolution), which is detaily<br>expanding and/out response organization will be expended into biarnel organization, repeting holdination, explorent procurement)<br>as the period density argues in MPG and/out resolution (adder relations, repeting holdination, explorent procurement)<br>as the period of the segment of the sector resolution information exclusion from always to each<br>"The external interface argument in the sector information exclusion with a size of the exclusion of the sector and the | 0   | 0             | -                |
| Emengen                         | national<br>government, local<br>government end<br>utility)   | (Administrational government, local government, utility) will be<br>respondble for what aspects and what effective actions decuid be<br>implemented.  | Command and Control<br>19 mer of an according that the also a position dust, has the activity for according and control.<br>Histochymber 200 appoint the NPS as that excision transition activity for according in the database of according<br>direct intervention in specialize commands for the droup one provided by the site appointment of any with regards to according to<br>with edgend related argonization.  |     |               |                  |
| nay Reepor                      | Establish Long-   | In the suscent this accident, the charaker progresses to the heaternee of<br>multiple unit correctances or incidences with the potential thereof. Therefore,<br>the response will be over an extended period of time and requires actions to<br>be taken against various altrafface. But have never seen experiences before.  | -Towitaland implant addert reporte, considerin advence en agentazion instructó alox long-terr 24-homadian induling<br>decision-meters.<br>-Antigradwarkstació is dialarte ramai work en metri en passible, and accubiantian meda le be given so lint work centre<br>conductat efficiently even with a lanted rumbar alpegde.  | 0   | 0             | -                |
| Emergency Response Organization | Term Response<br>Organization   | The organization should have shifted response efforts to an appropriate<br>organization once it was recognized that it would be a long-term effort.<br>However, given the unpredictable situation, TEPCO responded with all staff<br>shifter to remain sociolest represent. Staff restores we conducted based<br>on voluntary discretion of each term depending on addition of personnel.   | "filter larg-time response reach is to taken formaligie units di live NPS. Headquations will see in providing human na auros<br>august fran Headquations and alter sower stations mainly locating or personnal talls experience at the relevant NPS is order to<br>winfore stating.   | -   | 0             | -                |
| ation                           | Establish   | When loading at the socialent response activities, there was aparted of time<br>where the Chairman and President wareholdelly absent due to a business<br>trip when the disaster bit. The CNC was baveling to Putzahine to support  | Decen Julia/Ampones Organization<br>-The standards of top management during in the mapores of the accidentia reflectuations gravely. In the fature, adjectus will be<br>exact reflected in consideration given to assegney response of all target.  | _   | 0             | -                |
|                                 | Organization for<br>Initial Response  | the NPS and to take nuclear accident response at the OF-Sile Centur, and<br>the Deputy CNO uses about intelling METI and other organizations and<br>responsibility in edite.  | -Develop and arrange for entry wants and mechanisms as that the numberry response shall set be gallered an methanylat time<br>on energy may alkalize allow.   | 0   | 0             | -                |
|                                 | and Dedicated<br>Actions  | in addition. ERC director was precessed with phone calls from extended parties<br>and, fireugh only for hours, technical amployeeshed to beneve to respond to<br>a refle real years unable to definish the real year to restitue trappense<br>addition.   | Communit and Cashad CHC/ Deputy CHC<br>"Bits privately for the Hestqueter response organization to diaw sitter the CHC or Deputy CHC to decidate himitemetrics HPS<br>accident metros as but appropriate decisions are made to support the NPS.<br>"Bits restificant precision to deputs an individual designated by the CHC to the ERC and is altern information vis a<br>interactivencing system.   | -   | 0             | -                |
|                                 | mation  | Plant membering tarations were least and communication taration means<br>impaired. Even Whe Galaty Parameter Display System (SPDS) strict<br>transmitspiert data was bely operable, only invited information would be   | -Developintarmilien communication formate uning simple system develops so that part and system conditions can be understand<br>Visually and easily, and provide activities from information changes.  | 0   | 0             | -                |
| Com<br>Shar                     | munication/<br>ing  | svalable. In addition to such telescome enfontion equipment problems.<br>Information communication problems provented the 20m/ Headquarter ERCs<br>from understanding plant conditions accusably.   | -Properstra rematempide en abletzante in the BRC and MCR. Constat warkey animigen a stateformaten warmarisation<br>wellade trugh divetar propersione und atherinaring.  | o   | _             | -                |
| Reep<br>Orga                    | mafor which<br>consib <del>le</del><br>mization is Not<br>gnated  | Bessue it was unspecied to use the orgines to inject water into the master,<br>there was no door division of view its this work of reactor injection using the<br>angine water supply.  | rindividual giving actametry proven expecting such individual classify instructs with situated do whet. This will be checked during<br>Instaining in mo-scheller it in conducted adaptability.  | 0   | _             | -                |

# Administrative (Software) Measures (2/2)

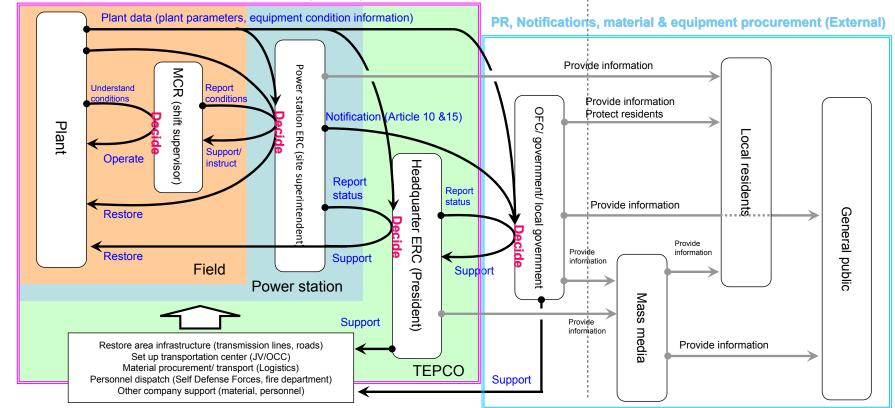
#### OAction taker, $\triangle$ Provide Support, -N/A

|                        | Item  | Issue  | Description of Countermeasure  | NPS | Corporat<br>e | Gov't,<br>others |
|------------------------|---|--|--|-----|---------------|------------------|
|                        |   | Apologies and explanations provided by top management through press  | <ul> <li>Top management will take the initiative and proactively provide information.</li> </ul>   |     | 0             |                  |
| Information Disclosure |   | conferences and other venues were insufficient.<br>It took time to disclose information that should have been communicated quickly,<br>particularly information related to the safety of residents in the surrounding areas<br>and the general public heause sufficient understanding of content and evaluation  | ents in the surrounding areas disclosed promptly and reliability. Information pertinent to residents' safety will be given first priority for disclosure.  |     | 0             | _                |
|                        | and the general public because sufficient understanding of content and evaluation<br>was not acquired and public statements required coordination with the government<br>before disclosure The Internet, which can communicate diverse information directly and quickly, will be utilized proactively.<br>- Excessive prior coordination of contents of releases should be limited to only information sharing. |  | -  | 0   |               |                  |
|                        |   | Transport of materials and equipment were hindered by factors including road<br>damage and road blocks due to earthquake, degraded telecommunication<br>conditions, outdoor contamination due to radioactive material and associated<br>exosure problems.  | Select Transport Relay Center<br>• It is critical to respond flexibly to contamination, road, and other conditions. Therefore, several potential locations near the station<br>that could serve as the transport relay center are to be selected in advance.   | Δ   | 0             | _                |
|                        | nsportation of Materials/<br>upment   | Items could not be delivered to places, people, or organizations as initially planned<br>and thus left in unplanned locations with no direct handover.<br>As with the transport of APDs, sets of equipment were packaged separately when<br>delivered, causing the equipment to be non-usable because some parts could not<br>be found though they had been delivered.   | Transport Relay Team<br>-Establish and prepare to dispatch a team to receive and store materials/equipment on behalf of the NPS and ensure handover to<br>the NPS (including obtaining qualification to handle equipment required to unload items)<br>•Provide radiation education periodically to transport team because they are engaged in transport in contaminated areas.   | Δ   | 0             | _                |
|                        |   | It is necessary to decide steps to transport material and equipment in advance<br>based on the lessons learned from the Fukushima accident response such as<br>quickly setting up a logistics center near the evacuation zone perimeter when such<br>is declared.  | Transport Package Information<br>•To ensure materials/equipment is delivered, clarify information required for transport of materials/equipment.<br>•In particular, for high-importance material/equipment from internal organizations, give consideration so that personnel<br>knowledgeable with its operation or content can travel with the material/equipment as much as possible.  | Δ   | 0             | _                |
| Dev<br>Cer             | velop Access Control<br>nter  | In adverse conditions with no infrastructure such as electricity, water, and<br>telecommunication equipment, departments that did not necessarily have radiation<br>knowledge had to secure areas and equipment to set up the access control center<br>with the support of RP personnel.   | <ul> <li>Along with transport relay center, consider methods to establish access control center in advance (prior selection of location,<br/>radiation training for support staff, secure decontamination equipment, etc.)</li> </ul>  | Δ   | 0             | _                |
|                        |   | During the accident, there were cases in which emergency dose limits were<br>exceeded which was related to the fact it took time to assess exceeding dose limit<br>for women specified by law and internal exposure.<br>APDs were also carried away by the tsunami and the APD sign-out system lost  | Reinforce Radiation Management Education<br>+For personnel working at NPS, even if their assigned duties do not involved radiation, provide education on minimum required<br>knowledge on radiation management and provide training on basic handling of related equipment (survey meter, APDs) so they<br>may conduct support activities for radiation management.  | 0   | -             | _                |
|                        | cure Safety During<br>clear Accident  | functionality, requiring labor to compile dose data.<br>In addition, departments that did not necessarily have radiation knowledge had to<br>secure areas and equipment to set up the abovementioned center with the support   | Develop Approach to Female Workers •Develop basic approach to evacuate female workers at the NPS as early as possible when nuclear accident occurs.  | 0   | Δ             | _                |
| (Ra                    | diation Safety, etc.)   | Or RP personnel.<br>During the accident, all personnel including those not engaged in radiation work<br>normally had to act coping with radiation. There was insufficient RP personnel<br>because conditions exceeding normal RCA conditions had expanded to include<br>outdoor areas.   | Develop Internal Exposure Assessment Method and Response Procedures<br>•Re-review and develop internal exposure assessment methods and response procedure during nuclear accidents.  | Δ   | 0             | _                |
|                        | essment of Equipment<br>nditions/ Operations  | Regarding Fukushima Daiichi Unit 1 isolation condenser isolation valve, the position<br>of the valve when the tsunami hit could not be understood accurately because the<br>position of the valve differed depending on the different stages of power loss and<br>power to the lamps and instruments that indicate valve status was lost.  | <ul> <li>Along with Equipment (Hardware) Countermeasure "Organize and review approaches to improve reliability of high pressure<br/>injection systems including isolation signal interlock for isolation condenser," consider and analyze behavior of equipment/systems<br/>when AC and/or DC power is lost, focusing on safety critical equipment. If useful information is obtained in terms of methods to<br/>understand equipment status, incorporate in procedures and training.</li> </ul>   | 0   | Δ             | _                |
| Sugg                   | Nature of Off-site Center   | Because the Off-site Center, which was originally planned to play a central role<br>during nuclear accidents, did not function, integrated public relation activities based<br>on cooperation between national & local governments and utility could not be<br>conducted as planned.<br>With no integrated public relations activities at the Off-Site Center, the<br>Administration, Nuclear and Industrial Safety Agency (NISA) and TEPCO held their<br>own press conferences with no clear division of roles. As a result, the three parties<br>released similar information, and there were also some minor discrepancies in<br>content. | <ul> <li>Renew coordination with related organizations to conduct effective, integrated public relation activities as planned under cooperation of the national &amp; local government and utility.</li> <li>Analyze thoroughly what information is important to local residents, identify information that should be provided nationwide or to local areas, and thoroughly consider in advance how useful information can be disclosed quickly and accurately, including methods to do so.</li> <li>Cooperation is requested for reporting and informing local governments by using the Off-Site Center functionalities as a contact point in case contact with or delivery of information from TEPCO is unsuccessful.</li> </ul> | Δ   | 0             | 0                |
| Suggestions to G       | Material/Equipment<br>Procurement   | (Same as "Material/Equipment Transport" above)   | The best preparation is to develop robust roadways, but it is considered that cooperation is necessary with local police and Self Defense Forces to understand road conditions. Therefore cooperation is requested to develop an organization including Self Defense Forces and other related organizations and to conduct prior deliberations.     Cooperation is also requested to develop a cooperative organization related to procurement of materials/equipment required for emergency response.   | Δ   | 0             | 0                |
| Government and         | Method to Review Emergency<br>Dose Limits and Screening<br>Levels   | During the accident, it was difficult to communicate due to problems with the<br>telecommunication equipment, but the decontamination levels (screening levels)<br>were reviewed based on expert advice from the emergency exposure medical<br>dispatch team of the Off-Site Center.   | Place an agreement with the national government in advance to allow the utility to review emergency dose limits and screening level     under specified set of conditions at its own discretion.   | _   | 0             | 0                |
| nt and C               | Develop External Event<br>Standards   | It may cause misunderstanding in terms of transparency and fairness when utilities<br>engage in establishing judgment criteria for external event standards.   | <ul> <li>Action is requested that a government research organization with high level of capability to compile information (collect, assess, and<br/>oversee) clearly provide a uniform statement as to the appropriate level of threat to postulate when designing equipment in real-life<br/>terms and to conduct regulatory reviews based on such.</li> </ul>  | _   | 0             | 0                |
| Others                 | Use of Tsunami Data   | During the accident, there was potential of tsunami due to aftershocks, which forced<br>personnel to repeatedly evacuate while engaging in restoration activities.   | <ul> <li>In order to obtain tsunami height data off-shore of NPSs as quickly as possible, communicate to personnel engaged in work, and to<br/>develop organization for evacuation, permission to use data from sea level height monitoring system owned by the government is<br/>requested.</li> </ul>  | Δ   | 0             | 0                |
|                        | Investigation on Effects of<br>Low Dose Exposure  | Though there is no direct relation to the cause of the accident, there is increased<br>concern nationwide about radioactive material contamination due to the its<br>widespread presence caused by the nuclear accident.   | <ul> <li>Because the effects of low dose exposure is unknown at present and it is hypothesized that probability of disability occurrence<br/>increases as exposure increases, and there is no "threshold" point at which disabilities manifest; however, it is requested that the<br/>national government takes the lead to clarify the effects in order to alleviate public concern.</li> </ul>   | _   | 0             | 0                |

### Supplement: Relationship between "Administrative (software) Countermeasures" and "Flow of Information and Accident Response"

| _     |  |  |   |  |
|-------|--|--|---|--|
|       | Operational countermeasures of<br>facilities                           |  | 1 |  |
|       | Division of roles and line of<br>command with related<br>organizations |  |   |  |
| _     | Long-term response organization  |  |   |  |
| Items | Initial response/ dedicated organization                               |  |   |  |
|       | Information communication/   |  |   |  |
|       | sharing  |  |   |  |
|       | Items with undesignated  |  |   |  |
|       | responsibility   |  |   |  |
|       | Information disclosure   |  |   |  |
| ŀ     |  |  |   |  |
|       | Material & equipment transport   |  |   |  |
| ſ     | Safety assurance (radiation  |  |   |  |
|       | safety)  |  |   |  |

### **NPS accident control (internal)**



Flow of information and accident response

# Reference 1 (1)

# Plant Main Specifications in Fukushima Daiichi NPS

|   | Unit 1   | Unit 2  | Unit 3  | Unit 4   | Unit 5  | Unit 6  |
|---|--|---|---|--|---|---|
| Electrical output (MWe)   | 460  | 784   | 784   | 784  | 784   | 1,100   |
| Heat output (MWe)   | 1,380  | 2,381   | 2,381   | 2,381  | 2,381   | 3,293   |
| Construction start  | 1,380  | 1969/5  | 1970/10   | 1972/5   | 1971/12   | 1973/3  |
| Constituction start   | 1971/3   | 1974/7  | 1976/3  | 1972/5   | 1978/4  | 1979/10   |
| Reactor type  | BWR3   | 1974/7  |   | /R4  | 1970/4  | BWR5  |
| Reactor pressure vessel inner   | DWRJ   |   | DV  | /1.4   |   | DWKJ  |
| diameter (mm)   | Approx. 4,800  | Approx. 5,600   | Approx. 5,570   | Approx. 5,570  | Approx. 5,570   | Approx. 6,410   |
| Reactor pressure vessel height (mm)   | Approx. 20,000   | Approx. 22,000  | Approx. 22,000  | Approx. 22,000   | Approx. 22,000  | Approx. 23,000  |
| Reactor pressure vessel total weight  | Approx. 20,000<br>Approx. 440  | Approx. 22,000<br>Approx. 500   | Approx. 22,000<br>Approx. 500   | Approx. 22,000<br>Approx. 500  | Approx. 22,000<br>Approx. 500   | Approx. 23,000<br>Approx. 750   |
| Reactor pressure vesser total weight  |  |   |   |  |   |   |
| Reactor pressure vessels design   | Approx. 8.62   | Approx. 8.62<br>MPa[gage]   | Approx. 8.62  | Approx. 8.62   | Approx. 8.62  | Approx. 8.62  |
| pressure <sup>*1</sup>  | MPa[gage]<br>(87.9kg/cm <sup>2</sup> [gage])   | (87.9kg/cm <sup>2</sup> [gage])   | MPa[gage]   | MPa[gage]<br>(87.9kg/cm <sup>2</sup> [gage])   | MPa[gage]   | MPa[gage]   |
|   | (87.9kg/cm [gage])   | (87.9kg/cm [gage])  | (87.9kg/cm <sup>2</sup> [gage])   | (87.9kg/cm [gage])   | (87.9kg/cm <sup>2</sup> [gage])   | (87.9kg/cm <sup>2</sup> [gage])   |
| Reactor pressure vessel design  | 302  | 302   | 302   | 302  | 302   | 302   |
| temperature (C)   |  |   |   |  |   |   |
| Fuel assembly (no. of assemblies)   | 400  | 548   | 548   | 548  | 548   | 764   |
| High burnup 8x8 fuel (no. of assemblies)  | 68   | -   | -   | -  | -   | -   |
| 9x9 fuel (A type) (no. of assemblies)   | -  | -   | 516   | -  | -   | -   |
| 9x9 fuel (B type) (no. of assemblies)   | 332  | 548   | -   | 548  | 548   | 764   |
| MOX fuel (no. of assemblies)  | -  | -   | 32  | -  | -   | -   |
| Fuel rod active length (m)  | Approx. 3.66   | Approx. 3.71  | Approx. 3.71  | Approx. 3.71   | Approx. 3.71  | Approx. 3.71  |
| Control rod count (no. of rods)   | 97   | 137   | 137   | 137  | 137   | 185   |
|   |  |   | Mark I  |  |   | Mark II   |
| Containment type<br>(Main body)   |  |   |   |  |   |   |
| Containment height (m)  | 32   | 34  | 34.1  | 34.1   | 34.1  | 48.0  |
|   |  |   |   |  |   |   |
| -   | 17.7 (sphere part)   | 20.0 (sphere part)  | 20.0 (sphere part)  | 20.0 (sphere part)   | 20.0 (sphere part)  | 25.0  |
| Containment diameter(m)   |  | 20.0 (sphere part)<br>10.9 (cylinder  |   |  |   | 25.9  |
| Containment diameter(m)   | 17.7 (sphere part)<br>9.6 (cylinder part)<br>1,750   |   | 10.9 (cylinder<br>2,980   | 20.0 (sphere part)<br>10.9 (cylinder<br>2,980  | 20.0 (sphere part)<br>10.9 (cylinder<br>2,980   | 25.9<br>3,200   |
| -   | 9.6 (cylinder part)  | 10.9 (cylinder  | 10.9 (cylinder  | 10.9 (cylinder   | 10.9 (cylinder  |   |
| Containment diameter(m)<br>Suppression pool water amount (m <sup>3</sup> )  | 9.6 (cylinder part)<br>1,750<br>Approx. 0.43   | 10.9 (cylinder<br>2,980<br>Approx. 0.38   | 10.9 (cylinder<br>2,980<br>Approx. 0.38   | 10.9 (cylinder<br>2,980<br>Approx. 0.38  | 10.9 (cylinder<br>2,980<br>Approx. 0.38   | 3,200<br>Approx. 0.28   |
| Containment diameter(m)   | 9.6 (cylinder part)<br>1,750<br>Approx. 0.43<br>MPa[gage]  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]   | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]  | 3,200<br>Approx. 0.28<br>MPa[gage]  |
| Containment diameter(m)<br>Suppression pool water amount (m <sup>3</sup> )<br>PCV design pressure <sup>*1</sup>   | 9.6 (cylinder part)<br>1,750<br>Approx. 0.43<br>MPa[gage]<br>(4.35kg/cm <sup>2</sup> [gage]  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>(3.92kg/cm <sup>2</sup> [gage]  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>(3.92kg/cm <sup>2</sup> [gage]  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>(3.92kg/cm <sup>2</sup> [gage]   | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>(3.92kg/cm <sup>2</sup> [gage]  | 3,200<br>Approx. 0.28<br>MPa[gage]<br>(2.85kg/cm <sup>2</sup> [gage])   |
| Containment diameter(m)<br>Suppression pool water amount (m <sup>3</sup> )  | 9.6 (cylinder part)<br>1,750<br>Approx. 0.43<br>MPa[gage]<br>(4.35kq/cm <sup>2</sup> [gage]<br>138(D/W)  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kq/cm<sup>2</sup>[gage]</u><br>138(D/W)  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kq/cm<sup>2</sup>[gage]</u><br>138(D/W)  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kq/cm<sup>2</sup>[gage]</u><br>138(D/W)   | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)  | 3,200<br>Approx. 0.28<br>MPa[gage]<br>(2.85kg/cm <sup>2</sup> [gage])<br>171(D/W)   |
| Containment diameter(m)<br>Suppression pool water amount (m <sup>3</sup> )<br>PCV design pressure <sup>*1</sup><br>Containment design temperature<br>(degree-C)   | 9.6 (cylinder part)<br>1,750<br>Approx. 0.43<br>MPa[gage]<br>(4.35kg/cm <sup>2</sup> [gage]<br>138(D/W)<br>138(S/C)  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)   | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kq/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)  | 3,200<br>Approx. 0.28<br>MPa[gage]<br>(2.85kg/cm <sup>2</sup> [gage])<br>171(D/W)<br>105(S/C)   |
| Containment diameter(m)<br>Suppression pool water amount (m <sup>3</sup> )<br>PCV design pressure <sup>*1</sup><br>Containment design temperature<br>(degree-C)<br>Spent fuel pool volume (% core part)<br>Spent fuel pool operating temperature  | 9.6 (cylinder part)<br>1,750<br>Approx. 0.43<br>MPa[gage]<br>(4.35kq/cm <sup>2</sup> [gage]<br>138(D/W)  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kq/cm<sup>2</sup>[gage]</u><br>138(D/W)  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kq/cm<sup>2</sup>[gage]</u><br>138(D/W)  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kq/cm<sup>2</sup>[gage]</u><br>138(D/W)   | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)  | 3,200<br>Approx. 0.28<br>MPa[gage]<br>(2.85kg/cm <sup>2</sup> [gage])<br>171(D/W)   |
| Containment diameter(m)<br>Suppression pool water amount (m <sup>3</sup> )<br>PCV design pressure <sup>11</sup><br>Containment design temperature<br>(degree-C)<br>Spent fuel pool volume (% core part)<br>Spent fuel pool operating temperature<br>(degree-C)  | 9.6 (cylinder part)<br>1,750<br>Approx. 0.43<br>MPa[gage]<br>(4.35kq/cm <sup>2</sup> [gage]<br>138(D/W)<br>138(S/C)<br>225<br>≦65  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kq/cm<sup>2</sup>[qage]</u><br>138(D/W)<br>138(S/C)<br>225<br>≦65  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>225<br>≦65  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>290<br>≦65   | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kq/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>290<br>≦65  | 3,200<br>Approx. 0.28<br>MPa[gage]<br>(2.85kg/cm <sup>2</sup> [gage])<br>171(D/W)<br>105(S/C)<br>230<br>≦65   |
| Containment diameter(m)<br>Suppression pool water amount (m <sup>3</sup> )<br>PCV design pressure <sup>*1</sup><br>Containment design temperature<br>(degree-C)<br>Spent fuel pool volume (% core part)<br>Spent fuel pool operating temperature<br>(degree-C)<br>Spent fuel pool length (north-south:<br>parallel to coastline) (m)  | 9.6 (cylinder part)<br>1,750<br>Approx. 0.43<br>MPa[gage]<br>(4.35kq/cm <sup>2</sup> [gage]<br>138(D/W)<br>138(S/C)<br>225   | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>225   | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kq/cm<sup>2</sup>[qage]</u><br>138(D/W)<br>138(S/C)<br>225   | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>(3.92kg/cm <sup>2</sup> [gage]<br>138(D/W)<br>138(S/C)<br>290  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>290   | 3,200<br>Approx. 0.28<br>MPa[gage]<br>(2.85kg/cm <sup>2</sup> [gage])<br>171(D/W)<br>105(S/C)<br>230  |
| Containment diameter(m)<br>Suppression pool water amount (m <sup>3</sup> )<br>PCV design pressure <sup>*1</sup><br>Containment design temperature<br>(degree-C)<br>Spent fuel pool volume (% core part)<br>Spent fuel pool operating temperature<br>(degree-C)<br>Spent fuel pool length (north-south:  | 9.6 (cylinder part)<br>1,750<br>Approx. 0.43<br>MPa[gage]<br>(4.35kq/cm <sup>2</sup> [gage]<br>138(D/W)<br>138(S/C)<br>225<br>≦65  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>225<br>≦65<br>Approx. 9.9<br>Approx. 12.2                                     | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>225<br>≦65  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>290<br>≦65<br>Approx. 9.9<br>Approx. 12.2  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kq/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>290<br>≦65  | 3,200<br>Approx. 0.28<br>MPa[gage]<br>( <u>2.85kg/cm<sup>2</sup>[gage]</u> )<br>171(D/W)<br>105(S/C)<br>230<br>≦65  |
| Containment diameter(m)<br>Suppression pool water amount (m <sup>3</sup> )<br>PCV design pressure <sup>*1</sup><br>Containment design temperature<br>(degree-C)<br>Spent fuel pool volume (% core part)<br>Spent fuel pool operating temperature<br>(degree-C)<br>Spent fuel pool length (north-south:<br>parallel to coastline) (m)<br>Spent fuel pool width (east-west:   | 9.6 (cylinder part)<br>1,750<br>Approx. 0.43<br>MPa[gage]<br>(4.35kg/cm <sup>2</sup> [gage]<br>138(D/W)<br>138(S/C)<br>225<br>≦65<br>Approx. 7.2<br>Approx. 12.0   | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>225<br>≦65<br>Approx. 9.9<br>Approx. 12.2                                     | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>225<br>≦65<br>Approx. 9.9<br>Approx. 12.2                                     | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>290<br>≦65<br>Approx. 9.9<br>Approx. 12.2  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>290<br>≦65<br>Approx. 9.9<br>Approx. 12.2                                     | 3,200<br>Approx. 0.28<br>MPa[gage]<br>(2.85kq/cm <sup>2</sup> [gage])<br>171(D/W)<br>105(S/C)<br>230<br>≦65<br>Approx. 10.4<br>Approx. 12.0   |
| Containment diameter(m)<br>Suppression pool water amount (m <sup>3</sup> )<br>PCV design pressure <sup>*1</sup><br>Containment design temperature<br>(degree-C)<br>Spent fuel pool volume (% core part)<br>Spent fuel pool operating temperature<br>(degree-C)<br>Spent fuel pool length (north-south:<br>parallel to coastline) (m)<br>Spent fuel pool width (east-west:<br>perpendicular to coastline) (m)<br>Spent fuel pool depth (deepest part)  | 9.6 (cylinder part)<br>1,750<br>Approx. 0.43<br>MPa[gage]<br>(4.35kg/cm <sup>2</sup> [gage]<br>138(D/W)<br>138(S/C)<br>225<br>≦65<br>Approx. 7.2<br>Approx. 12.0<br>Approx. 11.8                         | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>225<br>≦65<br>Approx. 9.9<br>Approx. 12.2<br>Approx. 11.8                     | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>225<br>≦65<br>Approx. 9.9<br>Approx. 12.2<br>Approx. 11.8                     | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>290<br>≦65<br>Approx. 9.9<br>Approx. 12.2<br>Approx. 11.8  | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>290<br>≦65<br>Approx. 9.9<br>Approx. 12.2<br>Approx. 11.8                     | 3,200<br>Approx. 0.28<br>MPa[gage]<br>(2.85kg/cm <sup>2</sup> [gage])<br>171(D/W)<br>105(S/C)<br>230<br>≦65<br>Approx. 10.4<br>Approx. 12.0<br>Approx. 11.8                         |
| Containment diameter(m)<br>Suppression pool water amount (m <sup>3</sup> )<br>PCV design pressure <sup>*1</sup><br>Containment design temperature<br>(degree-C)<br>Spent fuel pool volume (% core part)<br>Spent fuel pool operating temperature<br>(degree-C)<br>Spent fuel pool length (north-south:<br>parallel to coastline) (m)<br>Spent fuel pool width (east-west:<br>perpendicular to coastline) (m)<br>Spent fuel pool depth (deepest part)<br>Spent fuel pool volume (m <sup>3</sup> )<br>Storage capacity of spent fuel in the   | 9.6 (cylinder part)<br>1,750<br>Approx. 0.43<br>MPa[gage]<br>(4.35kq/cm <sup>2</sup> [gage]<br>138(D/W)<br>138(S/C)<br>225<br>≦65<br>Approx. 7.2<br>Approx. 12.0<br>Approx. 11.8<br>Approx. 1,020        | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kq/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>225<br>≦65<br>Approx. 9.9<br>Approx. 12.2<br>Approx. 11.8<br>Approx. 1,424    | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kq/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>225<br>≦65<br>Approx. 9.9<br>Approx. 12.2<br>Approx. 11.8<br>Approx. 1,425    | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kq/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>290<br>≦65<br>Approx. 9.9<br>Approx. 12.2<br>Approx. 11.8<br>Approx. 1,425   | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kq/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>290<br>≦65<br>Approx. 9.9<br>Approx. 12.2<br>Approx. 11.8<br>Approx. 1,425    | 3,200<br>Approx. 0.28<br>MPa[gage]<br>(2.85kg/cm <sup>2</sup> [gage])<br>171(D/W)<br>105(S/C)<br>230<br>≦65<br>Approx. 10.4<br>Approx. 12.0<br>Approx. 11.8<br>Approx. 1,497        |
| Containment diameter(m)<br>Suppression pool water amount (m <sup>3</sup> )<br>PCV design pressure <sup>*1</sup><br>Containment design temperature<br>(degree-C)<br>Spent fuel pool volume (% core part)<br>Spent fuel pool operating temperature<br>(degree-C)<br>Spent fuel pool length (north-south:<br>parallel to coastline) (m)<br>Spent fuel pool width (east-west:<br>perpendicular to coastline) (m)<br>Spent fuel pool depth (deepest part)<br>Spent fuel pool volume (m <sup>3</sup> )  | 9.6 (cylinder part)<br>1,750<br>Approx. 0.43<br>MPa[gage]<br>(4.35kg/cm <sup>2</sup> [gage]<br>138(D/W)<br>138(S/C)<br>225<br>≦65<br>Approx. 7.2<br>Approx. 12.0<br>Approx. 11.8                         | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>225<br>≦65<br>Approx. 9.9<br>Approx. 12.2<br>Approx. 11.8                     | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>225<br>≦65<br>Approx. 9.9<br>Approx. 12.2<br>Approx. 11.8                     | 10.9 (cylinder           2,980           Approx. 0.38           MPa[gage]           (3.92kg/cm²[gaqe])           138(D/W)           138(S/C)           290           ≦65           Approx. 9.9           Approx. 12.2           Approx. 11.8           Approx. 1,425           1,590   | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kg/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>290<br>≦65<br>Approx. 9.9<br>Approx. 12.2<br>Approx. 11.8                     | 3,200<br>Approx. 0.28<br>MPa[gage]<br>(2.85kq/cm <sup>2</sup> [gage])<br>171(D/W)<br>105(S/C)<br>230<br>≦65<br>Approx. 10.4<br>Approx. 12.0<br>Approx. 11.8                         |
| Containment diameter(m)<br>Suppression pool water amount (m <sup>3</sup> )<br>PCV design pressure <sup>*1</sup><br>Containment design temperature<br>(degree-C)<br>Spent fuel pool volume (% core part)<br>Spent fuel pool operating temperature<br>(degree-C)<br>Spent fuel pool length (north-south:<br>parallel to coastline) (m)<br>Spent fuel pool width (east-west:<br>perpendicular to coastline) (m)<br>Spent fuel pool depth (deepest part)<br>Spent fuel pool volume (m <sup>3</sup> )<br>Storage capacity of spent fuel in the   | 9.6 (cylinder part)<br>1,750<br>Approx. 0.43<br>MPa[gage]<br>(4.35kq/cm <sup>2</sup> [gage]<br>138(D/W)<br>138(S/C)<br>225<br>≦65<br>Approx. 7.2<br>Approx. 12.0<br>Approx. 11.8<br>Approx. 1,020        | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kq/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>225<br>≦65<br>Approx. 9.9<br>Approx. 12.2<br>Approx. 11.8<br>Approx. 1,424    | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kq/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>225<br>≦65<br>Approx. 9.9<br>Approx. 12.2<br>Approx. 11.8<br>Approx. 1,425    | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kq/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>290<br>≦65<br>Approx. 9.9<br>Approx. 12.2<br>Approx. 11.8<br>Approx. 1,425   | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>( <u>3.92kq/cm<sup>2</sup>[gage]</u><br>138(D/W)<br>138(S/C)<br>290<br>≦65<br>Approx. 9.9<br>Approx. 12.2<br>Approx. 11.8<br>Approx. 1,425    | 3,200<br>Approx. 0.28<br>MPa[gage]<br>(2.85kg/cm <sup>2</sup> [gage])<br>171(D/W)<br>105(S/C)<br>230<br>≦65<br>Approx. 10.4<br>Approx. 12.0<br>Approx. 11.8<br>Approx. 1,497        |
| Containment diameter(m)<br>Suppression pool water amount (m <sup>3</sup> )<br>PCV design pressure <sup>*1</sup><br>Containment design temperature<br>(degree-C)<br>Spent fuel pool volume (% core part)<br>Spent fuel pool operating temperature<br>(degree-C)<br>Spent fuel pool length (north-south:<br>parallel to coastline) (m)<br>Spent fuel pool width (east-west:<br>perpendicular to coastline) (m)<br>Spent fuel pool depth (deepest part)<br>Spent fuel pool depth (deepest part)<br>Spent fuel pool volume (m <sup>3</sup> )<br>Storage capacity of spent fuel in the<br>spent fuel pool (assemblies)<br>Amount of spent fuel in the spent fuel<br>pool (assemblies) (End of December | 9.6 (cylinder part)<br>1,750<br>Approx. 0.43<br>MPa[gage]<br>(4.35kg/cm <sup>2</sup> [gaqe]<br>138(D/W)<br>138(S/C)<br>225<br>≦65<br>Approx. 7.2<br>Approx. 12.0<br>Approx. 11.8<br>Approx. 1,020<br>900 | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>(3.92kg/cm <sup>2</sup> [gage]<br>138(D/W)<br>138(S/C)<br>225<br>≦65<br>Approx. 9.9<br>Approx. 12.2<br>Approx. 11.8<br>Approx. 1,424<br>1,240 | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>(3.92kg/cm <sup>2</sup> [gage]<br>138(D/W)<br>138(S/C)<br>225<br>≦65<br>Approx. 9.9<br>Approx. 12.2<br>Approx. 11.8<br>Approx. 1,425<br>1,220 | 10.9 (cylinder           2,980           Approx. 0.38           MPa[gage]           (3.92kg/cm²[gaqe]           138(D/W)           138(S/C)           290           ≦65           Approx. 9.9           Approx. 12.2           Approx. 1425           1,590           1,331 (including<br>548 fuel<br>assemblies<br>removed from | 10.9 (cylinder<br>2,980<br>Approx. 0.38<br>MPa[gage]<br>(3.92kg/cm <sup>2</sup> [gage]<br>138(D/W)<br>138(S/C)<br>290<br>≦65<br>Approx. 9.9<br>Approx. 12.2<br>Approx. 11.8<br>Approx. 1,425<br>1,590 | 3,200<br>Approx. 0.28<br>MPa[gage]<br>(2.85kq/cm <sup>2</sup> [qage<br>171(D/W)<br>105(S/C)<br>230<br>≦65<br>Approx. 10.4<br>Approx. 12.0<br>Approx. 11.8<br>Approx. 1,497<br>1,770 |

\*1: the unit in the reactor establishment permit is kg/cm<sup>2</sup>[gage]

# Specifications of Engineered Safety Feature Systems and Reactor Auxiliary Facilities in Fukushima Daiichi NPS

|   |                                       | Unit 1                                 | Unit 2  | Unit 3  | Unit 4                           | Unit 5                           | Unit 6   |
|---|---------------------------------------|--|---|---|----------------------------------|----------------------------------|--|
|   | No. of systems                        | 2                                      | 2   | 2   | 2                                | 2                                | /  |
|   | Flow rate (t/h/system)                | 550                                    | 1,020   | 1,141   | 1,140                            | 1,140                            | /  |
|   | No. of pumps (/system)                | 2                                      | 1   | 1   | 1                                | 1                                |  |
| Core spray system<br>(CS)                   | Pump discharge pressure <sup>11</sup> | Approx. 2.0<br>MPa[gage]               | Approx. 3.5<br>MPa[gage]<br>(35.2kg/cm <sup>2</sup> [ga<br>ge]) | Approx. 3.5<br>MPa[gage]<br>(35.2kg/cm <sup>2</sup> [ga<br>ge]) | Approx. 3.3<br>MPa[gage]         | Approx. 3.3<br>MPa[gage]         |  |
|   | Net pump head (m)                     | 200                                    | 204   | 204   | 204                              | 204                              |  |
|   | No. of systems                        | 2                                      | 2   | 2   | 2                                | 2                                | 2  |
| Containment cooling                         | Designed flow rate (t/h/system)       | 705                                    | 2,960   | 2,600   | Approx. 2,600                    | Approx. 2,600                    | Approx. 1,900                                      |
| system<br>(CCS)                             | No. of pumps (/system)                | 2                                      | 2   | 2   | 2                                | 2                                | 2  |
| , , , , , , , , , , , , , , , , , , ,       | No. of heat exchangers (/system)      | 1                                      | 1   | 1   | 1                                | 1                                | 1  |
|   | No. of systems                        | 1                                      | 1   | 1   | 1                                | 1                                |  |
| High-pressure                               | Flow rate (t/h)                       | 682                                    | 965   | 965   | 966                              | 965                              |  |
| coolant injection<br>system                 | No. of pumps                          | 1                                      | 1   | 1   | 1                                | 1                                |  |
| (HPCI)                                      | Net pump head                         | 85.3~16.0<br>kg/cm <sup>2</sup> [gage] | 853 to 160 m  | 854 to 160 m  | 854 to 160 m                     | 854 to 160 m                     |  |
| Low pressure core                           | No. of systems                        |  | 2   | 2   | 2                                | 2                                | 3  |
| injection system                            | Flow rate (t/h/pump)                  |  | Approx. 1,750   | Approx. 1,820   | Approx. 1,820                    | Approx. 1,820                    | Approx. 1,690                                      |
| (LPCI)                                      | No. of pumps (/system)                |  | 2   | 2   | 2                                | 2                                | 1  |
|   | Pump                                  | 7                                      |   |   |                                  |                                  |  |
|   | No. of units                          | /                                      | 4   | 4   | 4                                | 4                                | 3  |
|   | Flow rate (t/h)                       | /                                      | Approx. 1,750   | Approx. 1,820   | Approx. 1,820                    | Approx. 1,820                    | Approx. 1,690                                      |
|   | Net pump head (m)                     | . /                                    | Approx. 128   | Approx. 128   | Approx. 128                      | Approx. 128                      | Approx. 85   |
| Residual heat                               | Sea water pump                        | - /                                    |   |   |                                  |                                  |  |
| removal system                              | No. of units                          |  | 4   | 4   | 4                                | 4                                | 4  |
| (RHR)                                       | Flow rate(m <sup>3</sup> /h)          | /                                      | Approx. 978   | Approx. 978   | Approx. 978                      | Approx. 978                      | Approx. 920  |
|   | Net pump head (m)                     | /                                      | Approx. 232   | Approx. 232   | Approx. 239                      | Approx. 235                      | Approx. 191  |
|   | Heat exchanger                        | /                                      | 2   | 2   | 2                                |                                  | 0  |
|   | No. of units                          | /                                      |   |   |                                  | 2                                | 2  |
|   | Heat transfer capacity (kW/unit)      | /                                      | Approx.<br>9.02E+3  | Approx.<br>9.02E+3  | Approx.<br>9.02E+3               | Approx.<br>9.02E+3               | Approx. 19.3E+3                                    |
|   | Pump<br>No. of units                  | 2                                      |   | /   | /                                | /                                |  |
|   | Flow rate(m <sup>3</sup> /h)          | 465.5                                  |   |   |                                  |                                  |  |
| Shutdown cooling                            | Pump head (m)                         | 45.7                                   |   |   |                                  |                                  |  |
| system<br>(SHC)                             | Heat exchanger                        | 45.7                                   |   |   |                                  |                                  |  |
| (0110)                                      | No. of units                          | 2                                      |   |   |                                  |                                  |  |
|   |                                       |  |   |   |                                  |                                  |  |
|   | Heat exchanger capacity (kcal/h)      | 3.8E+06                                | /   | /   | /                                | /                                | /  |
|   | Steam turbine                         | . /                                    |   |   |                                  |                                  |  |
|   | No. of units                          | - /                                    | 1   | 1   | 1                                | 1                                | 1  |
|   | Reactor pressure (MPa[gage])          | /                                      | Approx. 7.73 -<br>approx. 1.04                                  | Approx. 7.73 -<br>approx. 1.04                                  | Approx. 7.73 -<br>approx. 1.04   | Approx. 7.73 -<br>approx. 1.04   | Approx. 7.86 -<br>approx. 1.04                     |
|   | Output (kW)                           | /                                      | Approx. 373 -<br>approx. 60                                     | Approx. 373 -<br>approx. 60                                     | Approx. 400 -<br>approx. 67      | Approx. 343 -<br>approx. 67      | Approx. 541 -<br>approx. 97                        |
| Reactor core<br>isolation cooling<br>system | Revolution (rpm)                      |  | Approx. 5,000 -<br>approx. 2,000                                | Approx. 4,500 -<br>approx. 2,000                                | Approx. 3,600 -<br>approx. 1,900 | Approx. 4,500 -<br>approx. 2,300 | Approx. 4,500 -<br>approx. 2,200                   |
| (RCIC)                                      | Pump                                  | /                                      |   |   |                                  |                                  |  |
|   | No. of units                          |  | 1   | 1   | 1                                | 1                                | 1  |
|   | Flow rate(m <sup>3</sup> /h)          | /                                      | Approx. 95  | Approx. 97  | Approx. 94                       | Approx. 97                       | Approx. 142  |
|   | Net pump head (m)                     |  | Approx. 850 -<br>approx. 160                                    | Approx. 850 -<br>approx. 160                                    | Approx. 850 -<br>approx. 160     | Approx. 850 -<br>approx. 160     | Approx. 870 -<br>approx. 190                       |
|   | Revolution (rpm)                      | $\langle$                              | Variable  | Variable  | Variable                         | Variable                         | Not described in<br>reactor<br>establishment       |
|   | No. of systems                        | /                                      |   |   |                                  | 1 /                              | 1  |
|   | Flow rate (t/h/system)                |  | /   | /   | /                                | /                                | 1,442  |
| Low pressure core                           | No. of pumps (/system)                | ] /                                    | /   | /   | /                                |                                  | 1  |
| spray system<br>(LPCS)                      | Pump discharge pressure <sup>*1</sup> |  |   |   |                                  |                                  | Approx. 4.1 MPa<br>(42.2kg/cm <sup>2</sup> [gage]) |
|   | Net pump head (m)                     | /                                      | /   | /   | /                                | /                                | 218  |

# Specifications of Engineered Safety Feature Systems and Reactor Auxiliary Facilities in Fukushima Daiichi NPS

|                            |  | Unit 1               | Unit 2              | Unit 3              | Unit 4              | Unit 5              | Unit 6   |
|----------------------------|--|----------------------|---------------------|---------------------|---------------------|---------------------|--|
|                            | No. of systems   |                      | /                   |                     | /                   | /                   | 1  |
|                            | Flow rate (t/h/system)                                     |                      |                     |                     |                     |                     | 1,441  |
| High pressure core         | No. of pumps (/system)                                     |                      |                     |                     |                     |                     | 1  |
| spray system<br>(HPCS)     | Pump discharge pressure *1                                 |                      |                     |                     |                     |                     | Approx. 9.1 MPa<br>(93.1kg/cm <sup>2</sup> [gage])               |
|                            | Net pump head (m)  |                      |                     |                     |                     |                     | 863-273  |
| Isolation condenser        | No. of systems   | 2                    |                     |                     |                     |                     |  |
| system<br>(IC)             | Tank effective storage water volume (m <sup>3</sup> /tank) | 106                  |                     |                     |                     |                     |  |
| ()                         | Steam flow rate (t/h/tank)                                 | 100.6                |                     |                     |                     |                     |  |
| Make-up water              | No. of pumps   | 2                    | 2                   | 2                   | 2                   | 2                   | 2  |
| condensate system          | Flow rate(m <sup>3</sup> /h)                               | 68.1                 | 68.2                | 68.2                | 70                  | 68.2                | 118.1  |
| (MUWC)                     | Pump head (m)  | 54.86                | 77.72               | 78.0                | 78.0                | 77.7                | 58.5   |
| Fuel pool cooling          | No. of pumps   | 2                    | 2                   | 2                   | 2                   | 2                   | 2  |
| cleanup water              | Flow rate (m3/h)   | 80                   | 110                 | 107.9               | 110                 | 107.9               | 125  |
| system<br>(FPC)            | Pump discharge pressure<br>(MPa[gage])                     | Approx. 1.0          | Approx. 0.9  |
|                            | No. of systems   | 2                    | 2                   | 2                   | 2                   | 2                   | 2  |
| Standby gas                | No. of blowers (/system)                                   | 1                    | 1                   | 1                   | 1                   | 1                   | 1  |
| treatment system<br>(SGTS) | Air exhaust capacity (m <sup>3</sup> /h/unit)              | 1,870                | Approx. 2,700       | Approx. 2,700       | Approx. 2,700       | Approx. 2,700       | Approx. 4,250  |
| (0010)                     | System iodine removal efficiency(%)                        | ≧97                  | ≧99.9               | ≧99.9               | ≧97                 | ≧97                 | ≧99.9  |
|                            | No. of valves  | 3                    | 3                   | 3                   |                     | /                   |  |
|                            | Total capacity (t/h)                                       | Approx. 873          | Approx. 1,236       | Approx. 1,236       |                     |                     |  |
| Safety relief valve        | Discharge pressure (MPa[gage])                             | 8.51 (2)<br>8.62 (1) | 8.55 (3)            | 8.55 (3)            |                     |                     |  |
|                            | Discharge place  | Dry well             | Dry well            | Dry well            |                     |                     |  |
|                            | No. of valves  | 4                    | 8                   | 8                   | 11                  | 11                  | 18   |
|                            | Total capacity (t/h)                                       | Approx. 1,057        | Approx. 2,938       | Approx. 2,913       | Approx. 4,147       | Approx. 4,149       | Relief valve:<br>approx. 6,532<br>Safety valve:<br>approx. 7,284 |
|                            |  | 7.27 (1)             | 7.44 (1)            | 7.44 (1)            | 7.44 (1)            | 7.44 (1)            | 7.37 (2)   |
|                            |  | 7.34 (2)             | 7.51 (3)            | 7.51 (3)            | 7.51 (3)            | 7.51 (3)            | 7.44 (4)   |
|                            | Relief function (MPa[gage])                                | 7.41 (1)             | 7.58 (4)            | 7.58 (4)            | 7.58 (4)            | 7.58 (4)            | 7.51 (4)   |
| Safety relief valve        |  |                      |                     |                     |                     |                     | 7.58 (4)   |
|                            |  |                      |                     |                     |                     |                     | 7.64 (4)   |
|                            |  | 7.64 (2)             | 7.64 (2)            | 7.64 (2)            | 7.64 (2)            | 7.64 (2)            | 7.78 (2)   |
|                            |  | 7.71 (2)             | 7.71 (3)            | 7.71 (3)            | 7.71 (3)            | 7.71 (3)            | 8.10 (4)   |
|                            | Safety valve function (MPa[gage])                          |                      | 7.78 (3)            | 7.78 (3)            | 7.78 (3)            | 7.78 (3)            | 8.16 (4)   |
|                            |  |                      |                     |                     | 8.55 (3)            | 8.55 (3)            | 8.23 (4)   |
|                            |  |                      |                     |                     | . ,                 |                     | 8.30 (4)   |
|                            | Discharge place  | Suppression<br>pool  | Suppression<br>pool | Suppression<br>pool | Suppression<br>pool | Suppression<br>pool | Suppression pool   |

Note 1: the unit in the reactor establishment permit is kg/cm<sup>2</sup>[gage]

# Plant Main Specifications in Fukushima Daini NPS

|   | Unit 1  | Unit 2   | Unit 3   | Unit 4   |
|---|---|--|--|--|
| Electrical output (MWe)   | 1,100   | 1,100  | 1,100  | 1,100  |
| Heat output (MWe)   | 3,293   | 3,293  | 3,293  | 3,293  |
| Construction start  | 1975/8  | 1979/1   | 1980/11  | 1980/11  |
| Commercial Operation start  | 1982/4  | 1984/2   | 1985/6   | 1987/8   |
| Reactor type  |   | BWR  | 5  |  |
| Reactor pressure vessel inner diameter<br>(mm)                            | Approx. 6,400   | Approx. 6,400  | Approx. 6,400  | Approx. 6,400  |
| Reactor pressure vessel height (mm)                                       | Approx. 23,000  | Approx. 23,000   | Approx. 23,000   | Approx. 23,000   |
| Reactor pressure vessel total weight (t)                                  | Approx. 750   | Approx. 750  | Approx. 750  | Approx. 750  |
| Reactor pressure vessels design<br>pressure <sup>*1</sup>                 | Approx. 8.62 MPa[gage]<br>(87.9kg/cm <sup>2</sup> [gage]) | Approx. 8.62<br>MPa[gage]<br>(87.9kg/cm <sup>2</sup> [gage]) | Approx. 8.62<br>MPa[gage]<br>(87.9kg/cm <sup>2</sup> [gage]) | Approx. 8.62<br>MPa[gage]<br>(87.9kg/cm <sup>2</sup> [gage]) |
| Reactor pressure vessel design<br>temperature (C)                         | 302   | 302  | 302  | 302  |
| Fuel assembly (no. of assemblies)   | 764   | 764  | 764  | 764  |
| High burnup 8x8 fuel (no. of assemblies)                                  | 0   | 0  | 0  | 0  |
| 9x9 fuel (A type) (no. of assemblies)                                     | 572   | 368  | 764  | 764  |
| 9x9 fuel (B type) (no. of assemblies)                                     | 192   | 396  | 0  | 0  |
| MOX fuel (no. of assemblies)  | 0   | 0  | 0  | 0  |
| Fuel rod active length (m)  | Approx. 3.71  | Approx. 3.71   | Approx. 3.71   | Approx. 3.71   |
| Control rod count (no. of rods)   | 185   | 185  | 185  | 185  |
|   | Mark II   |  | Mark II Advanced   |  |
| Containment type<br>(Main body)   |   |  |  |  |
| Containment height (m)  | Approx. 48  | Approx. 48   | Approx. 48   | Approx. 48   |
| Containment diameter(m)   | Approx. 26  | Approx. 29   | Approx. 29   | Approx. 29   |
| Suppression pool water amount (m <sup>3</sup> )                           | Approx. 3400  | Approx. 4,000  | Approx. 4,000  | Approx. 4,000  |
| PCV design pressure <sup>*1</sup>   | Approx. 0.28 MPa[gage]<br>(2.85kg/cm <sup>2</sup> [gage]) | Approx. 0.28<br>MPa[gage]<br>(2.85kg/cm <sup>2</sup> [gage]) | Approx. 0.28<br>MPa[gage]<br>(2.85kg/cm <sup>2</sup> [gage]) | Approx. 0.28<br>MPa[gage]<br>(2.85kg/cm <sup>2</sup> [gage]) |
| Containment design temperature (C)  | 171(D/W)<br>104(S/C)                                      | 171(D/W)<br>104(S/C)   | 171(D/W)<br>104(S/C)   | 171(D/W)<br>104(S/C)   |
| Spent fuel pool volume (% core part)                                      | 350   | 360  | 360  | 360  |
| Spent fuel pool operating temperature (C)                                 | ≦65   | ≦65  | ≦65  | ≦65  |
| Spent fuel pool length (north-south:<br>parallel to coastline) (m)        | Approx. 12.2  | Approx. 12.2   | Approx. 12.2   | Approx. 12.2   |
| Spent fuel pool width (east-west:<br>perpendicular to coastline) (m)      | Approx. 10.4  | Approx. 13.6   | Approx. 13.6   | Approx. 13.6   |
| Spent fuel pool depth (deepest part) (m)                                  | Approx. 11.8  | Approx. 11.9   | Approx. 11.8   | Approx. 11.8   |
| Spent fuel pool volume (m <sup>3</sup> )                                  | Approx. 1,450   | Approx. 1,620  | Approx. 1,749  | Approx. 1,670  |
| Storage capacity of spent fuel in the<br>spent fuel pool (assemblies)     | 2,662   | 2,769  | 2,740  | 2,769  |
| Amount of spent fuel in the spent fuel pool (assemblies) (End of December | 1,570   | 1,638  | 1,596  | 1,672  |
| Amount of new fuel in the spent fuel pool                                 | 200   | 80   | 184  | 80   |

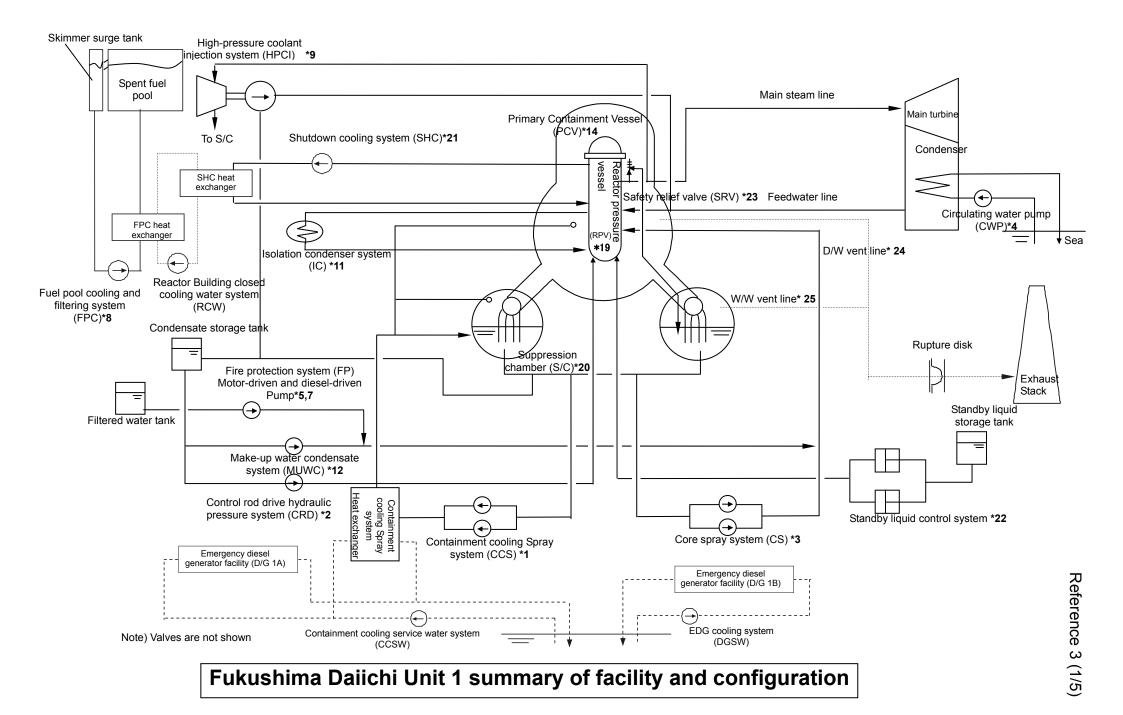
\*1: the unit in the reactor establishment permit is kg/cm<sup>2</sup>[gage]

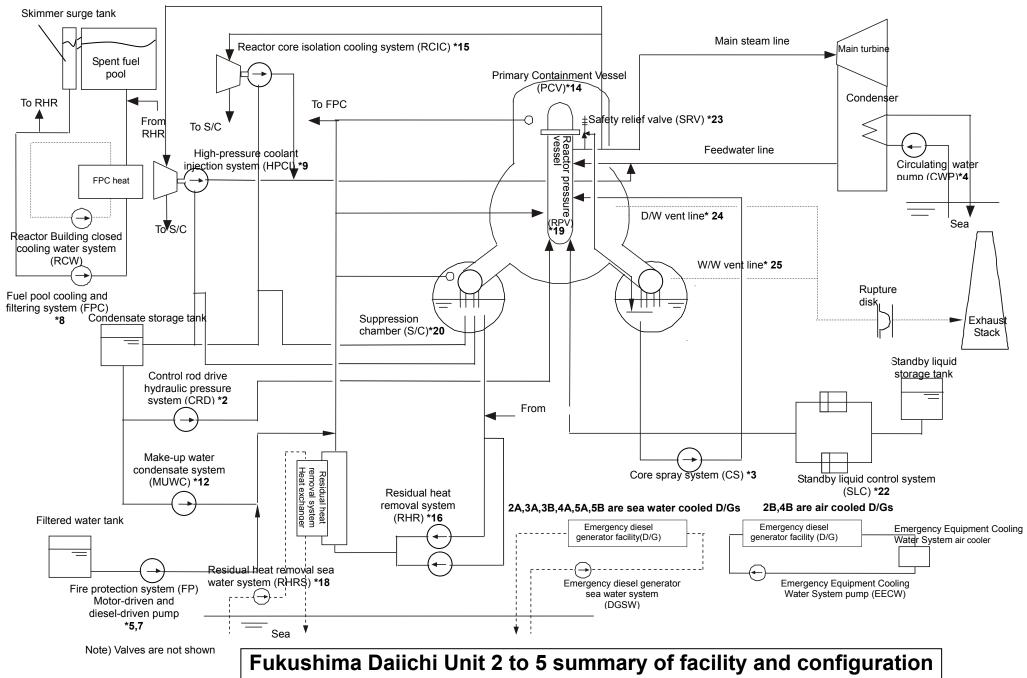
# Specifications of Engineered Safety Feature Systems and Reactor Auxiliary Facilities in Fukushima Daini NPS

|                                    |  | Unit 1                           | Unit 2                           | Unit 3                           | Unit 4                           |
|------------------------------------|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|
|                                    | No. of systems                         | 2                                | 2                                | 2                                | 2                                |
| Containment                        | Designed flow rate<br>(t/h/system)     | 1,691                            | 1,692                            | 1,692                            | 1,692                            |
| cooling system<br>(CCS)            | No. of pumps (/system)                 | 1                                | 1                                | 1                                | 1                                |
| ()                                 | No. of heat exchangers (/system)       | 1                                | 1                                | 1                                | 1                                |
| Low pressure core                  | No. of systems                         | 3                                | 3                                | 3                                | 3                                |
| injection system                   | Flow rate (t/h/pump)                   | 1,691                            | 1,692                            | 1,692                            | 1,692                            |
| (LPCI)                             | No. of pumps (/system)                 | 1                                | 1                                | 1                                | 1                                |
|                                    | Pump                                   |                                  |                                  |                                  |                                  |
|                                    | No. of units                           | 3                                | 3                                | 3                                | 3                                |
|                                    | Flow rate (t/h)                        | 1,691                            | 1,692                            | 1,692                            | 1,692                            |
|                                    | Net pump head (m)                      | 92                               | 86                               | 92                               | 92                               |
|                                    | Heat exchanger                         |                                  |                                  |                                  |                                  |
|                                    | No. of units                           | 2                                | 2                                | 2                                | 2                                |
| Residual heat removal system       | Heat transfer capacity (kW/unit)       | 19.3E+3                          | 16.9E+3                          | 12.3E+3                          | 12.3E+3                          |
| (RHR)                              | Sea water pump                         |                                  |                                  |                                  |                                  |
|                                    | No. of units                           | 4                                | 4                                | 4                                | 4                                |
|                                    | Flow rate(m <sup>3</sup> /h)           | 2,550                            | 2,450                            | 2,100                            | 2,000                            |
|                                    | Net pump head (m)                      | 28                               | 28                               | 33                               | 30                               |
|                                    | Heat exchanger                         |                                  |                                  |                                  |                                  |
|                                    | No. of units                           | 4                                | 4                                | 4                                | 4                                |
|                                    | Heat transfer capacity<br>(kW/unit)    | 9.74E+03                         | 9.74E+03                         | 7.42E+03                         | 7.42E+03                         |
|                                    | Steam turbine                          |                                  |                                  |                                  |                                  |
|                                    | No. of units                           | 1                                | 1                                | 1                                | 1                                |
| Reactor core                       | Output (kW)                            | Approx. 541 -<br>approx. 97      | Approx. 660 -<br>approx. 125     | Approx. 541 -<br>approx. 97      | Approx. 660 - approx.<br>125     |
| isolation cooling                  | Revolution (rpm)                       | Approx. 4,500 -<br>approx. 2,200 | Approx. 4,200 -<br>approx. 2,200 | Approx. 4,500 -<br>approx. 2,200 | Approx. 4,200 -<br>approx. 2,200 |
| system<br>(RCIC)                   | Pump                                   |                                  |                                  |                                  |                                  |
|                                    | No. of units                           | 1                                | 1                                | 1                                | 1                                |
|                                    | Flow rate(m <sup>3</sup> /h)           | 142.0                            | 142.2                            | 142                              | 142                              |
|                                    | Net pump head (m)                      | 882~186                          | 882~186                          | 882~186                          | 882~186                          |
|                                    | No. of systems                         | 1                                | 1                                | 1                                | 1                                |
| Low pressure core<br>spray system  | Flow rate (t/h/system)                 | 1,441                            | 1,446~1,644                      | 1,443                            | 1,443                            |
| (LPCS)                             | No. of pumps (/system)                 | 1                                | 1                                | 1                                | 1                                |
|                                    | Net pump head (m)                      | 218                              | 218~175                          | 218                              | 218                              |
|                                    | No. of systems                         | 1                                | 1                                | 1                                | 1                                |
| High pressure core<br>spray system | Flow rate (t/h/system)                 | 368~1,460                        | 372~1,578                        | 369~1,462                        | 369~1,462                        |
| (HPCS)                             | No. of pumps (/system)                 | 1                                | 1                                | 1                                | 1                                |
|                                    | Net pump head (m)                      | 866~273                          | 863~197                          | 863~274                          | 863~274                          |
| Make-up water                      | No. of pumps                           | 3                                | 2                                | 3                                | 3                                |
| condensate system<br>(MUWC)        | Flow rate(m <sup>3</sup> /h)           | 120                              | 145.5                            | 120                              | 145.5                            |
|                                    | Pump head (m)                          | 90                               | 85.5                             | 90                               | 85.5                             |
| Fuel pool cooling<br>cleanup water | No. of pumps                           | 2                                | 2                                | 2                                | 2                                |
| system                             | Flow rate (m3/h)                       | 160                              | 156                              | 156                              | 156                              |
| (FPC)                              | Pump head (m)                          | 80                               | 80                               | 80                               | 80                               |
|                                    | No. of systems                         | 2                                | 2                                | 2                                | 2                                |
| Standby gas                        | No. of blowers (/system)               | 1                                | 1                                | 1                                | 1                                |
| treatment system<br>(SGTS)         | Air exhaust capacity<br>(m³/h/unit)    | 4,250                            | 5,000                            | 5,000                            | 5,000                            |
|                                    | System iodine removal<br>efficiency(%) | ≧99                              | ≧99                              | ≧99                              | ≧99                              |

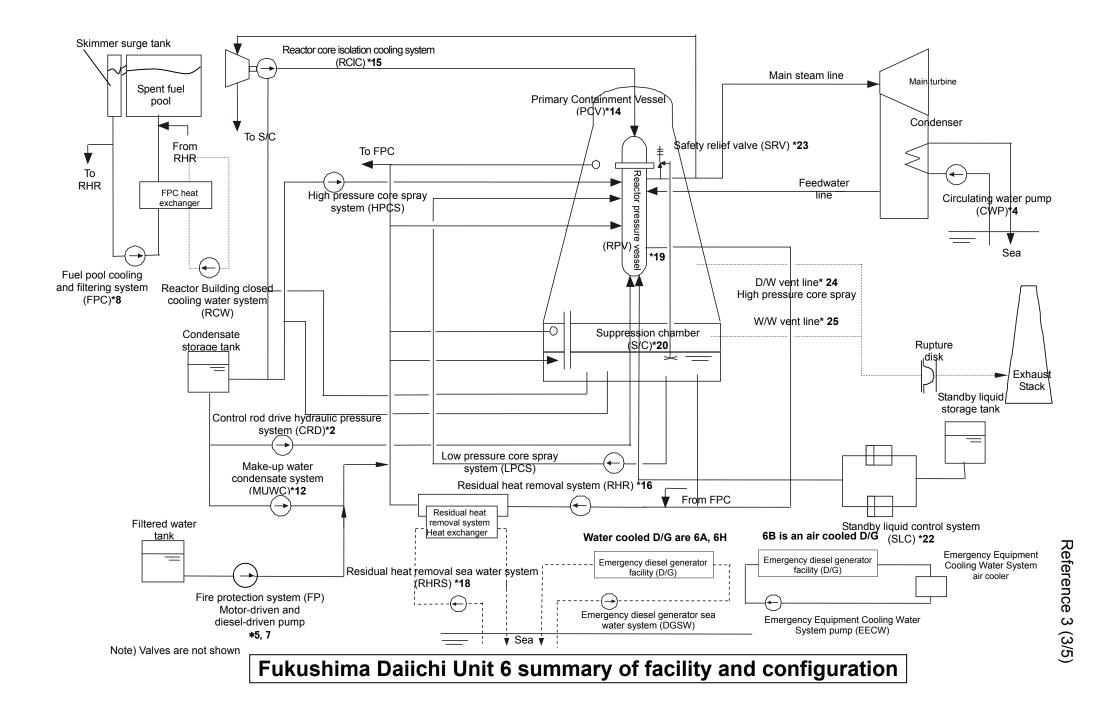
# Specifications of Engineered Safety Feature Systems and Reactor Auxiliary Facilities in Fukushima Daini NPS

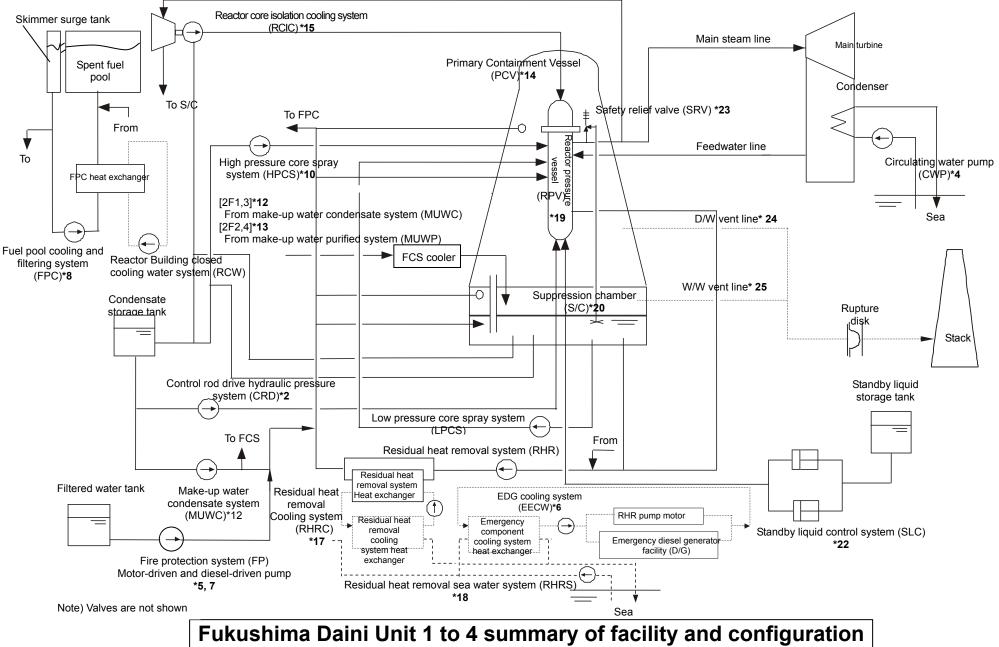
|                     |                                      | Unit 1   | Unit 2           | Unit 3   | Unit 4   |
|---------------------|--------------------------------------|--|------------------|--|--|
|                     | No. of valves                        | 18   | 18               | 18   | 18   |
|                     | Total capacity (t/h)                 | Relief valve: approx.<br>6,536<br>Safety valve: approx.<br>7,286 | 6,552            | Relief valve:<br>approx. 6,552<br>Safety valve:<br>approx. 7,332 | Relief valve: approx.<br>6,552<br>Safety valve: approx.<br>7,332 |
|                     |                                      | 7.37 (2)   | 7.37 (2)         | 7.37 (2)   | 7.37 (2)   |
|                     | Relief function (MPa[gage])          | 7.44 (4)   | 7.44 (4)         | 7.44 (4)   | 7.44 (4)   |
|                     |                                      | 7.51 (4)   | 7.51 (4)         | 7.51 (4)   | 7.51 (4)   |
| Safety relief valve |                                      | 7.58 (4)   | 7.58 (4)         | 7.58 (4)   | 7.58 (4)   |
|                     |                                      | 7.64 (4)   | 7.64 (4)         | 7.64 (4)   | 7.64 (4)   |
|                     |                                      | 7.78 (2)   | 7.78 (2)         | 7.78 (2)   | 7.78 (2)   |
|                     |                                      | 8.10 (4)   | 8.10 (4)         | 8.10 (4)   | 8.10 (4)   |
|                     | Safety valve function<br>(MPa[gage]) | 8.16 (4)   | 8.16 (4)         | 8.16 (4)   | 8.16 (4)   |
|                     | ( ~[9496])                           | 8.23 (4)   | 8.23 (4)         | 8.23 (4)   | 8.23 (4)   |
|                     |                                      | 8.30 (4)   | 8.30 (4)         | 8.30 (4)   | 8.30 (4)   |
|                     | Discharge place                      | Suppression pool   | Suppression pool | Suppression pool   | Suppression pool   |



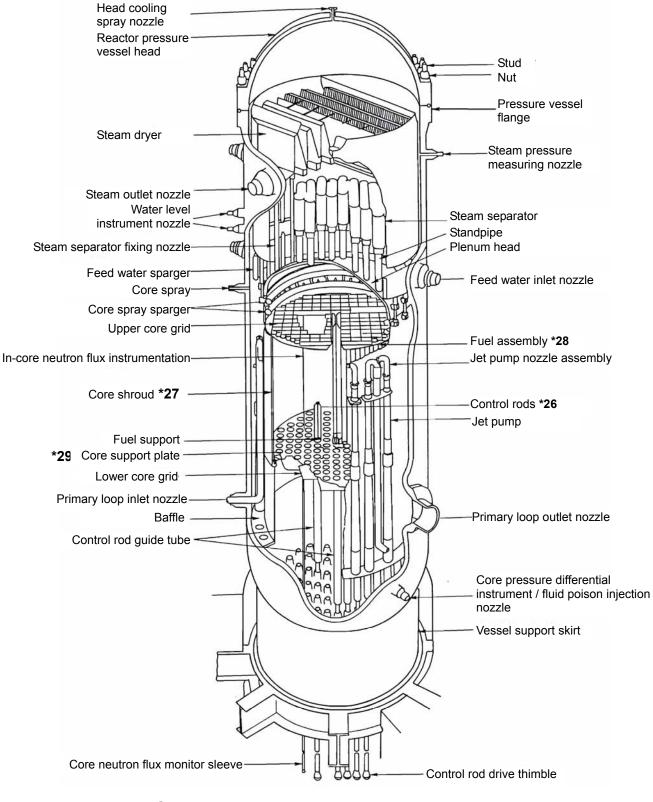


Reference 3 (2/5)



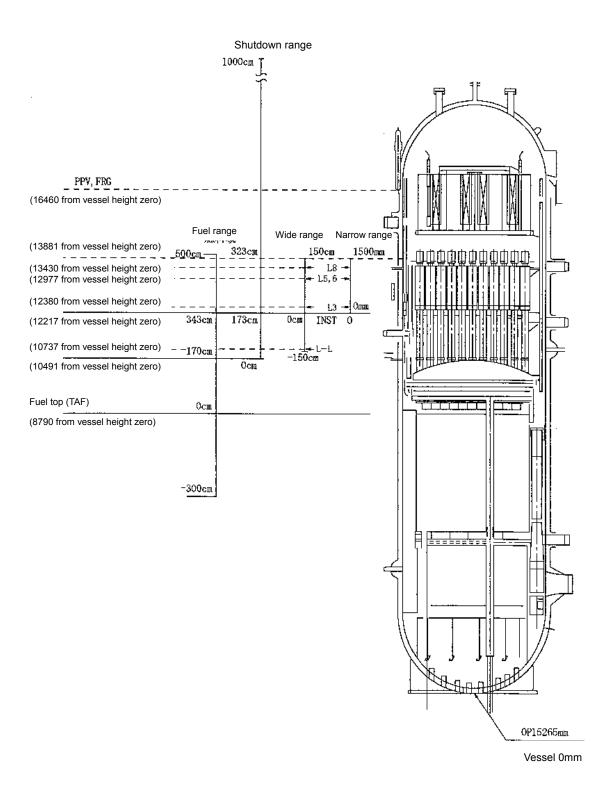


Reference 3 (4/5)

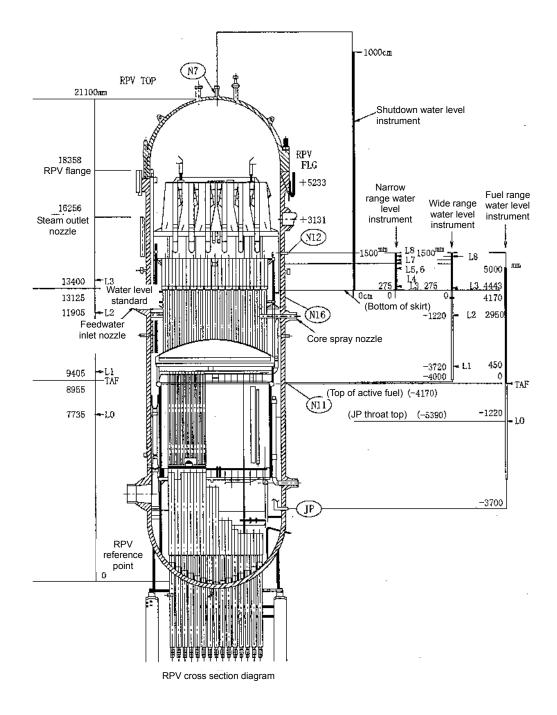


## Supplement: RPV internal structures (example)

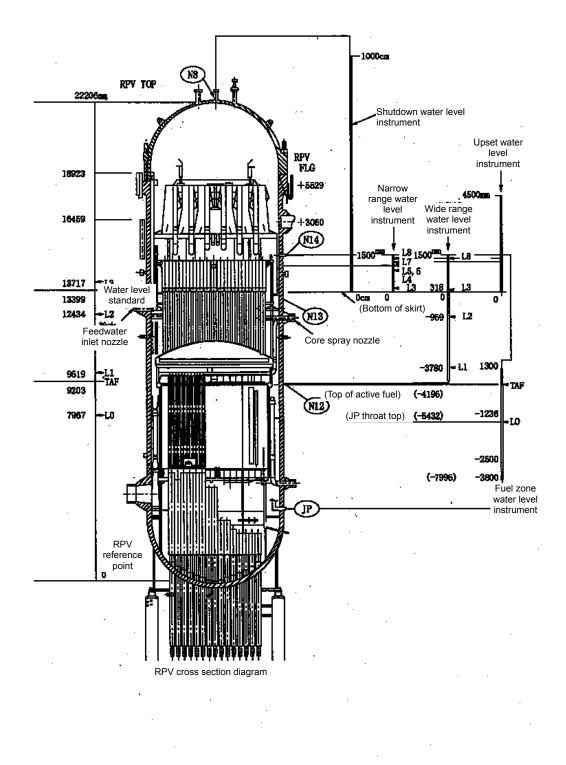
(From Fukushima Daiichi NPS Unit 2 Reactor establishment modification application)



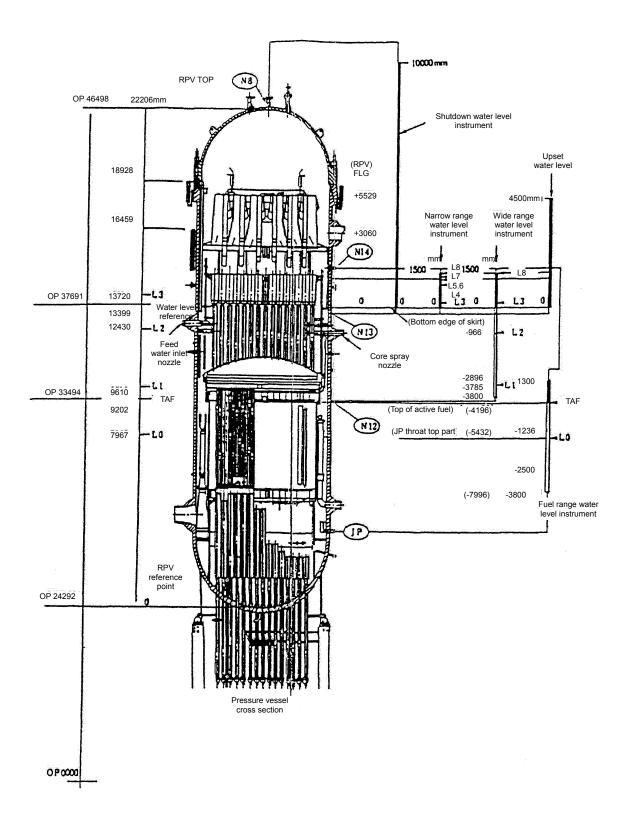


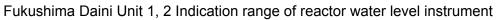


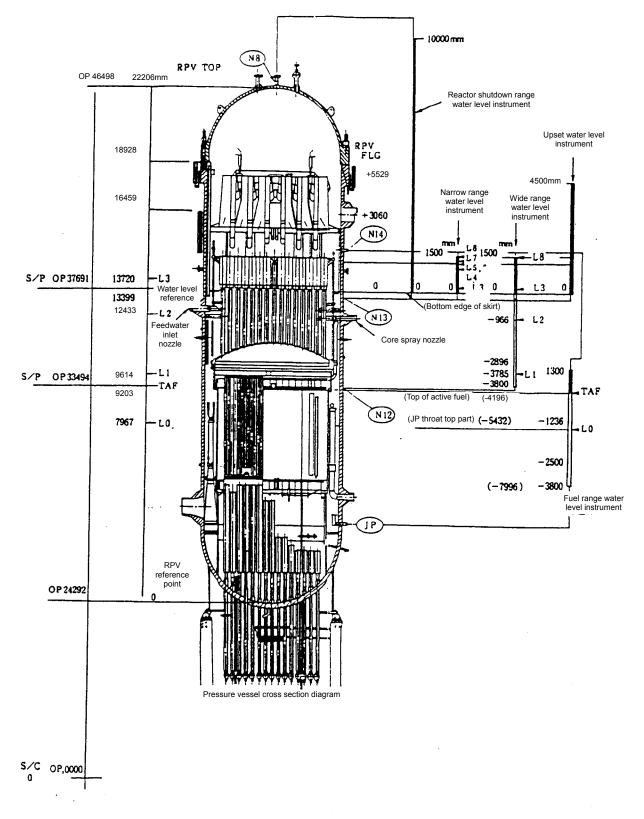
Fukushima Daiichi Unit 2 to 5 Indication range of reactor water level instrument



## Fukushima Daiichi Unit 6 Indication range of reactor water level instrument







Fukushima Daini Unit 3, 4 Indication range of reactor water level instrument

# Glossary

## **※** illustration in Ref. 3

## AM : Accident Management

Activities, including the preparation of operating procedures, enhancement of facilities, and training, for the purpose of preventing accidents when they happen from turning into severe accidents, or in the event that it does become a severe accident, maximizing the use of existing facilities in dealing with the situation in order to mitigate the impact as much as possible.

## AO Valve : Air Operated Valve

Valves that are operated by means of compressed gas (instrument compressed air system (IA)).

## APD : Alarm Pocket Dosimeter

Personal dose rate monitor with alarm that utilizes a solid state detector. Capable of recording the type of job the user is performing and the time worked.

## APRM : Average Power Range Monitor

In-core neutron flux monitoring device which is used for measuring the average reactor power output from start of electrical output until rated power output. It displays the average signal output from the local power range monitor (LPRM). It outputs alarm, control rod block signals, and reactor emergency shutdown (scram) signals.

## BAF : Bottom of Active Fuel

The lowest portion of the fuel assemblies where there are pellets.

## BWR : Boiling Water Reactor

The nature of a BWR is that heat produced inside the reactor is used to boil water (coolant) turning it into high-temperature high-pressure steam, which is then piped directly to a turbine generator. All of TEPCO's nuclear power stations use BWRs.

## CAMS : Containment Atmospheric Monitoring System

System inside the main control room for measuring, recording and controlling the concentration of hydrogen, concentration of oxygen, and gamma rays inside the reactor primary containment vessel (PCV) which starts up automatically whenever a loss of coolant accident (LOCA) signal has been output. In case any one of the preset values is exceeded, it outputs an alarm.

## C/B : Control Building

Building in which the main control room is located, and from which the nuclear power station is operated.

## CCS : Containment Cooling Spray System X1

When the pressure and/or temperature inside the reactor primary containment vessel (PCV) builds up too high, it sprays cooling water inside the PCV to restrain the pressure and temperature. When the water inside the pressure suppression chamber (torus) is being cooled, it is started up manually. Installed only in Unit 1 of Fukushima Daiichi.

It is a kind of alternate injection accident management (AM) measure.

It has the following methods (modes) of operation.

- (1) PCV spray mode
- (2) Torus water cooling mode (operated manually when it is predicted that the torus water temperature will rise.)

## CR : Control Rod ×26

Board-shaped rods used for controlling the reactor output by limiting the number of neutrons generated from the fuel by absorbing excess neutrons. In thermal neutron reactors, large surface material neutron absorption cross sections such as boric acid, cadmium, hafnium and the like are used. In emergencies, the reactor is shut down by quickly inserting the control rods into the core (scram).

## CRD : Control Rod Drive X2

Facility used for withdrawing and inserting control rods (CR) when signals from the reactor manual control system have been outputted. (Normally, it functions to withdraw or insert the CR) Also, in case of emergency, it can be operated manually or by means of an automated reactor protection system (RPS) signal to quickly insert (scram) the withdrawn CR into the reactor so as to prevent fuel damage.

## CS : Core Spray System X3

A type of an emergency core cooling system (ECCS) that in case of loss of coolant accident (LOCA), cooling water is sprayed from the upper part of the reactor core in order to prevent damage to the fuel and fuel cladding when the fuel overheats. This type of device is installed in Unit 1 to Unit 5 at Fukushima Daiichi.

## C/S : Combination Structure

A single building combining the radioactive waste building (RW/B) and the off gas building, to the conventional reactor building (R/B).

## CWP : Circulating Water Pump ※4

Steam that has done its job of turning the main turbine is cooled and condensed by the main condenser. Seawater is used to do the cooling, and this seawater system is known as the circulating water (CW) system. This pump sends in the seawater used by the CW system.

## D/D FP : Diesel Driven Fire Pump 35

Pump installed in the fire protection system. Automatically starts up when the fire protection system pressure drops and the motor driven fire protection pump

cannot be operated.

#### D/G : Diesel Generator

When a malfunction results in the loss of normal electric power supply, the D/G starts up and supplies the power needed inside the power station. The D/G supplies power to systems and equipment essential to safety through the emergency bus power, thus powering facilities such as the emergency core cooling system (ECCS) so that the equipment needed to safely shut down the reactor are supplied with the electric power they need.

## D/W : Dry-well

The empty space inside the PCV except for the space inside the suppression chamber (S/C).

## DWC : Dry-well Cooling System

Facility for cooling the dry-well (D/W) while the reactor is in operation and even during outage it prevent the PCV temperature from becoming too severe.

## ECCS : Emergency Core Cooling System

General term for the system inclusive of all sorts of devices (pumps) capable of emergency injection of cooling water into the reactor core, so that when recirculation pipes such as reactor coolant pressure boundary pipes break and a loss of coolant accident (LOCA) occurs, decay heat and residual heat are removed from the core so as to prevent damage to the fuel cladding due to the over-heating of the fuel, and also restricts water reaction with zirconium to within acceptable levels.

## EECW : Emergency Equipment Cooling Water System ×6

Facility for providing fresh cooling water to emergency air conditioning coolers and the emergency diesel generator system so as to maintain functionality needed for various emergency equipment when loss of coolant accident (LOCA) occurs (also supplies cooling water to residual heat removal (RHR) system pump motors).

## EOP : Emergency Operating Procedure

Operating procedures aimed at the ultimate prevention of core meltdown or reactor core damage which could become the source of extremely high radioactivity release, and for dealing with simultaneous accidents that exceed design guidelines and are thought to have a very small possibility of occurring.

## ERC : Emergency Response Center

Structure established by NISA of the Ministry of Economy, Trade and Industry in plant siting areas where nuclear facilities are sited for use when large-scale natural disasters occur.

## FCS : Flammability Control System

When a loss of coolant accident (LOCA) occurs, the fuel temperature becomes excessively high and the fuel cladding reacts with water to produce

flammable gas (hydrogen) which collects inside the PCV. Because hydrogen density above a certain level reacts with oxygen (air), burning up in an explosive manner, this system controls the density of hydrogen gas maintaining a level within safe limit.

## FP : Fire Protection System **%**7

Fire protection system inside the power stations. In addition to normal fire hydrants, there are also carbon dioxide gas systems for oil fires. Capable of injecting cooling water into the reactor as a part of accident management (AM).

## FPC : Fuel Pool Cooling and Filtering System 💥 8

After spent fuel is removed from the reactor, fuel assemblies still contain fission products and the like which produce heat and radioactivity, and need to be kept cool enough so as not to lose integrity without hindering fuel reprocessing. While keeping the pool cool, this clean-up water system removes impurities and maintains water quality at a set level.

## Ge Solid State Detector

A radiation detector that is manufactured using germanium semiconductor is called a Ge solid state detector. The principle on which it works is that when voltage is applied to a diode in the commutation direction and in the opposite direction, an electric current is drawn out based on the paired negative-charged electrons and positive holes composed of radiation within the radiation measurement field. In solid state detectors, the energy required to obtain the paired electron-hole is small, though the resulting resolution obtained is excellent.

## HPCI : High Pressure Coolant Injection System ×9

One of the systems of the emergency core cooling system (ECCS) capable of injecting cooling water into the reactor by means of steam turbine-driven high pressure pump when there is an accident involving a relatively small pipe rupture so that the reactor does not undergo rapid decompression.

The pump flow rate (i.e. capacity) is approximately ten times greater that of the reactor core isolation cooling system (RCIC), but smaller than either the reactor shutdown cooling system (SHC : 1F1) or the residual heat removal system (RHR : approximately 1800m<sup>3</sup> per hour in the case of Fukushima Daiichi Unit 2 to Unit 5). HPCI are installed in Fukushima Daiichi Unit 1 to Unit 5.

## HPCS : High Pressure Core Spray System ×10

One of the systems of the ECCS that in case of an accident, it prevents rapid depressurization of the reactor. ECCS has its own independent power source (diesel generator) that powers the motor-driven high pressure pumps for spraying coolant into the reactor core.

HPCS has been installed in units constructed after Fukushima Daiichi Unit 6 (Used in all units at Kashiwazaki-Kariwa (KK), except Unit 6 and Unit 7. KK Unit 6 and Unit 7 use HPCF (High Pressure Core Flooder System).)

## HVAC : Heating and Ventilating Air Conditioning and Cooling System

System for improving the working environment inside the power station, as well as maintaining an appropriate temperature and humidity for instrument control devices so as to furnish appropriate processing equipment (filters and the like) appropriate for preventing radiation contamination of the air. The ventilation systems of the reactor building (R/B), turbine building (T/B), main control room (MCR), and radwaste building (RW/B) are separate and each is independent.

## IA : Instrument Air-System

Facility for supplying compressed gas to air-driven devices and control equipment in each of the buildings. The compressed gas is purified by removing moisture, dust and the like in order to ensure that this system functions properly.

## IAEA : International Atomic Energy Agency

An international organization created by the United Nations as a governmental advisory body aimed at promoting world peace, health, and prosperity through the peaceful use of atomic energy. Its main functions are to hold international conferences, conduct joint research, provide facilities for nuclear materials, training and the exchange of information among scientists and engineers, and especially to enforce safeguards against military applications of atomic power (TEPCO's power stations undergo inspections as a part of this purpose).

## IC : Isolation Condenser ×11

Device for reducing pressure inside the reactor core when the reactor pressure rises, and to convey the steam from the reactor to condense it back into water (only Fukushima Daiichi Unit 1 is equipped with IC).

## ICRP : International Commission on Radiological Protection

An international committee made up of world renowned authorities on medical science, health, and hygiene whose purpose is to provide advice on international guidelines on radiological protection. The Japanese central government also enacts laws in accordance with the advice on radiation dose limits, acceptable concentrations and the like given by the committee.

## **INES : International Nuclear Event Scale**

An international scale created through the cooperation of IAEA and OECD/NEA for the purpose of understanding the significance to the public of malfunctions and accidents at nuclear power stations from the perspective of safety. Accidents are evaluated on a scale of seven grades.

## ITV : Industrial Television

Television cameras installed for the purposes of reducing radiation exposure of nuclear power station workers, monitoring job performance and leakage of radioactive fluids, monitoring control panel alarms, and monitoring conditions of water intake facilities during the winter. In general industry, monitoring cameras installed in the field are generally referred to as ITV.

## JANTI : Japan Nuclear Technology Institute

An organization in the nuclear industry for making preparations for technological foundations, promoting voluntary maintenance activities and the like. JANTI carries out third party reviews of each nuclear operator and operates and manages the Nuclear Information Archives (NUCIA).

## MAAP CODE : Modular Accident Analysis Program

Severe accident analysis program of EPRI (Electric Power Research Institute of the United States)

## M/C : Metal-Clad Switch Gear

Motive power electric power panel used for high voltage lines inside power stations that houses magnetic blow-out circuit breakers, vacuum circuit breakers, protective relays, and peripheral gauges in a compact package. The configuration is comprised of three types: ordinary use, shared, and emergency use.

## MCC : Motor Control Center

Motive power panel for in-house low voltage circuits that feed various low power consuming units such as distribution line circuit breakers, electromagnetic contactors, and protective relays all housed in a compact unit, used as power station auxiliary unit power panel. The configuration is comprised of three types: ordinary use, shared, and emergency use.

## MCR : Main Control Room

The room where nuclear power station monitoring and remote operations are carried out.

# MCR HVAC : Main Control Room Heating Ventilation, Air Conditioning and Cooling System

System that automatically isolates the main control room from outside air when there is a radioactive material leakage accident in the reactor building, and also recirculates the air inside the main control room, maintaining a clean atmosphere inside the main control room.

## M/G Set : Motor Generator Set

Device for driving the generator with an electric motor.

## MO Valve : Motor Operated Valve

Valve that opens and closes valve drive parts using electric motors when an electrical signal is received from the system logical circuits.

## MP : Monitoring Post

Installed at a number of places in the vicinity of power station sites, measuring the  $\gamma$  ray (gamma ray) dose rate in the air. Vehicles that take measurements while on the move are called monitoring cars.

## MSIV : Main Steam Isolation Valve

The main steam pipe passes through the reactor pressure containment vessel (PCV) to the turbine. Therefore, containment isolation valves are installed at

the points where the main steam pipe goes into and comes out of the PCV so that if there is a piping rupture, the isolation valves are fully closed, and this prevents steam containing radioactive materials from escaping to the outside.

## MUWC : Make-Up Water System (Condensate) ×12

System for supplying various kinds of water (the water source is the condensate storage tank, which in principle is water that has been used in the reactor and purified, and therefore contains small amounts of radioactivity, although the density is low) needed for operation of the power station via pumps (for pumping condensate).

Its purpose is not for emergency use, but from the standpoint of accident management (AM), it can be used for injection of cooling water into the reactor. The pumping flow rate capacity is less than that of the RCIC (approximately 70m<sup>3</sup> per hour).

## MUWP : Make-Up Water System (Purified) ×13

System to supply the required volume and pressure of fresh water for equipment, piping, valves and the like installed in each of the buildings and other peripheral facilities for smooth operation and maintenance of the power station.

## OJT : On the Job Training

Training received while engaging in actual work.

## O.P. : Onahama Point

The point of reference at Onahama Port construction site, a unit of measurement used by Fukushima Daiichi and Fukushima Daini NPSs that refers to height, where the average tidal level over a period of one year is calculated in the Fukushima Prefecture Onahama district, and this level is set to zero.

O.P. = 0.727m below Tokyo Bay average mid-sea level (T.P.)

## P/C : Power Center

Compact housing for air circuit breakers (ACB), protective relays and peripheral gauges used by the motive power panels for in-house low voltage circuits. The configuration is comprised of three types: ordinary use, shared, and emergency use.

## PCIS : Primary Containment Isolation System

System that closes containment isolation valves at the penetration seals of the reactor primary containment vessel (PCV) to insure the public safety of the vicinity surrounding the power station whenever there is an accident whereby radioactive material could leak out from the PCV.

## PCV : Primary Containment Vessel X14

Container, or vessel, that is made of steel and houses the reactor pressure vessel and other main reactor facilities. It is a facility that contains radioactive materials within the power station site and restricts radioactivity from leaking out to the area surrounding the power station site whenever there is a loss of coolant accident, and it comprises the dry-well (D/W) which contains no water and the pressure suppression chamber (also called wet well (W/W)).

## P&ID : Piping and Instrumentation Diagram

Schematic diagram of the facilities in the power station broken down by systems, using a fixed set of symbols to show piping, valves, pumps, instruments and the like.

## PSA : Probabilistic Safety Assessment

Assessment that estimates the probability of malfunction facility configuration and the likelihood of event progression after a hypothetical abnormality that has the possibility of occurring at any nuclear power station.

## R/B : Reactor Building

Building which houses the reactor primary containment vessel (PCV) and other reactor auxiliary facilities and in case of accident, even if radioactive materials leak out from the reactor PCV, they are prevented from escaping outside the building by maintaining negative pressure inside the building.

## RCIC : Reactor Core Isolation Cooling System ×15

System for depressurizing the reactor by removing fuel decay heat by injecting cooling water into the reactor using turbine driven pumps running on reactor steam in case the main condenser becomes inoperable due to closing of the main steam isolation valve (MSIV) for whatever reason while the reactor is in normal operation. The reactor water level is maintained even when the feedwater system breaks down using the emergency cooling water injection pumps. The RCIC pump flow rate is relatively small, approximately one tenth that of the HPCI with capacity of about 96m<sup>3</sup> per hour (in the case of Fukushima Daiichi Unit 2 to Unit 5).

## RCW : Reactor Building Closed Cooling Water System

System inside the reactor building that circulates cooling water (fresh water) that has been cooled by exchanging heat with seawater for cooling auxiliary units (pump bearings, heat exchangers and the like).

## RHR : Residual Heat Removal System ×16

System (one of the sub-systems of the emergency core cooling systems (ECCS)) for cooling the coolant (removing fuel decay heat) using heat exchangers and pumps and, for regulating the reactor water level by injecting cooling water into the reactor in emergencies, after the reactor has been shut down, and has the capacity to bring the reactor to cold shutdown state. Both the pump flow rate and heat exchanger are high capacity, and the system can operate in the following modes:

- (1) Reactor shutdown cooling mode
- (2) Low pressure water injection mode (ECCS)
- (3) Primary containment vessel (PCV) spray mode
- (4) Suppression chamber cooling mode
- (5) Emergency thermal load mode

## RHRC : RHR Cooling Water System 17

Facility for supplying fresh water for cooling to the residual heat removal

(RHR) system heat exchanger, RHR pumps and low pressure core spray system (LPCS) pump mechanical seal cooling equipment and the like. Installed at Fukushima Daini Unit 1 to Unit 4 and Kashiwazaki Kariwa Unit 1.

## RHRS : RHR Sea Water System ×18

Cooling water of the residual heat removal system is cooled by passing through a heat exchanger. Residual heat removal system is the system that supplies seawater for cooling the RHR system cooling water.

## RPS : Reactor Protection System

In case there is a transient threat to reactor safety by inoperative equipment or operator error, or such a threat is preconceived, this system immediately initiates emergency shutdown (scram).

## **RPV : Reactor Pressure Vessel** X19

Vessel where steam is generated by nuclear reaction of the fuel which contains fuel assemblies, control rods (CR), and other core internals.

## RW/B : Radioactive Waste Disposal Building

Building that houses facilities for processing radioactive waste.

## S/B : Service Building

Building where the checkpoint, safety management room, and main control room essential to the operation of the power station are located.

## S/C : Suppression Chamber (Suppression Pool) 20

Apparatus found only in boiling water reactors (BWR) which regularly retains approximately 3000m<sup>3</sup> of cooling water (in the case of Fukushima Daiichi Unit 2 to Unit 5, and approximately 4000m<sup>3</sup> in the case of Fukushima Daini Unit 2 to Unit 4), and when there is a loss of coolant accident (LOCA) steam and reactor water escapes resulting in the rise of pressure inside the primary containment vessel, venting is performed by leading the reactor water and steam through venting pipes to the suppression chamber (S/C) facility where they are cooled, reducing the pressure inside the primary containment vessel. It is also used as a water source by the emergency core standby cooling system (ECCS).

## SCRAM : Safety Control Rod Ax Man

Refers to an emergency shutdown of a reactor, either by manual or automatic signal, by quickly inserting the withdrawn control rods into the reactor core when there is an abnormal state of the reactor.

## SFP : Spent Fuel Pool

Pool situated next to the reactor where fuel that has been used for producing power and new fuel are stored and managed.

## SHC : Shut Down Cooling System 21

Facility installed only at Fukushima Daiichi Unit 1 that removes decay heat after the reactor is shut down by cooling the coolant (reactor water) through a heat exchanger. The reactor water is cooled, and the reactor is brought to cold

shutdown (the reactor water is under 100 degrees °C). (All units at Fukushima Daiichi other than Unit 1 have RHR systems that have this cooling function "shut down cooling mode.")

## SLC : Stand by Liquid Control System 22

System used as a backup to control rods whereby 5-boric acid (sodium borate solution) which has a high capacity for neutron absorption is injected into the reactor to stop the reaction in case the control rods fail to insert for whatever reason while the reactor is in operation.

## SOP : Severe Accident Operating Procedure

Operating procedures for dealing with the situation after there has been reactor core damage.

## SPEEDI : System for Prediction of Environmental Emergency Dose Information

System for rapid prediction of large quantities of radioactive materials being released from a nuclear power station or the threat of such a release when there is an emergency situation that may result in large quantities of radioactive material in the air when the density and exposure radiation levels will have an impact on the surrounding environment based on the source of the discharge, meteorological conditions, and geographical data.

## SRV : Safety Relief Valve 23

Relief valve that allows steam to escape into the pressure suppression chamber (the steam is cooled and condensed by the water in the S/C when it receives a signal either generated automatically or manually from the main control room in order to protect the pressure vessel in the case that the reactor pressure rises abnormally high, and in addition, it also functions as an emergency core cooling system (ECCS) and an automatic depressurization system (ADS).

## SGTS : Stand by Gas Treatment System

System that maintains negative pressure inside the reactor building to reduce the amount of radioactive iodine and particulate radioactive material escaping to the outside as well as automatically closing off the normal use ventilation system when an accident occurs and radioactive materials leak out inside the reactor building.

## SW : Auxiliary Sea Water System

System that uses seawater for cooling the cooling water used by the turbine auxiliary unit cooling water system (TCW) and reactor auxiliary unit cooling water system (RCW).

## TAF : Top of Active Fuel

The zero point on the fuel zone water gauge. The highest portion of the fuel assemblies where there are pellets.

## T/B : Turbine Building

Building that houses the main turbine, generator, main condenser, reactor feedwater pumps, and turbine auxiliary units.

## T.P. : Tokyo Bay mid-sea level

Tokyo Bay mid-sea level, a unit of measurement used at Kashiwazaki-Kariwa NPS to express elevation. T.P. is based on the value calculated from tide level measurements recorded at Reiganjima, Tokyo between 1873 and 1879, and this value is set at zero.

T.P. = Onahama Point (O.P.) + 0.727 meters

## WANO : World Association of Nuclear Operators

The base point for emergency measures to prevent the exacerbation of an accident when nuclear disasters occur, incorporating the central government, local governments, and nuclear facility operators who formulate measures for maintaining public safety. A "nuclear disaster joint policy council" is established at the off-site center. The center is located within 20 km from the nuclear facility.

## WBC : Whole Body Counter

Device that measures the radioactivity of one's whole body for the internal exposure of individuals by measuring the radioactive materials absorbed into the body from the outside of the body. (Also known as "human counter.") Depending on the type of detector, it can be used as a normal monitoring device (plastic scintillation detector), or for a complete detailed examination (Nal scintillation detector).

Sensitivity of the whole body counter that employs the Nal scintillation detector is relatively weak, and therefore it takes a long time to take a measurement, but it is capable of calculating and analyzing the radioactivity level (Bq) according to each type of nuclide. It is mainly used for radiation level evaluation.

Sensitivity of the whole body counter that employs the plastic scintillation detector is high, so it completes the measurement in a short time, but the results show the counting rate (cpm) for all  $\gamma$  (gamma) rays (cannot distinguish types of nuclides). It is mainly used for screening.

## Alarm Typer

A type of data output by a process computer system that shows records of the time when an abnormality event occurs and plant systems response actions. In principle, the records are printed out on paper and retained.

## Safety Protection System

Facility that detects abnormal conditions of the reactor facility, and activates facilities such as the reactor shutdown system and engineered safety systems and the like. The safety protection system facility requires both redundancy and autonomy.

## Interlock

Mechanism for detecting required conditions to either allow or disallow certain facility operations in order to prevent trouble due to human error.

## Shared Auxiliary Facility (common pool building)

Building that houses spent fuel common pool facilities. The spent fuel shared auxiliary pool facility went into service on October 1, 1997 to supplement each of the spent fuel pools with extra capacity that each of the units at Fukushima Daiichi NPS that is equipped with, and was built with the aim of increasing spent fuel storage capacity by approximately 250% to 450%.

## Off-site Center

The base point for emergency measures to prevent the exacerbation of the accident when nuclear disasters occur, incorporating the central government, local governments, and nuclear facility operators who formulate measures for maintaining public safety. A "nuclear disaster joint policy council" is established at the off-site center. The center is located within 20 km from the nuclear facility.

## Off-site Power

When reactors are in normal operation, the electric power used by each unit is supplied from that unit's own main generator in operation, but when the reactor in operation is being shut down, the power needed for shutdown and cooling can no longer be supplied with power from its own stopped main generator so it draws power from the power system through power transmission lines or from the main generator of adjacent units in operation. These transmission lines and other facilities related to the power system as well as main generators from adjacent units are called off-site power.

## Switchyard

Relay station having the purpose of delivering power generated at the power station to the power system. Switching of the power circuit is carried out with a switch gear. In addition to the on-site switchyard, the power transmission line system also has switchyards en route.

## Free Surface of the Base Stratum

In order to decide on the design basis seismic ground motion for a free surface of the base stratum, a virtually flat surface is assumed, void of outer surface structures on the base ground surface with no remarkable high or low spots and is relatively flat, covering a wide open expanse ground foundation surface. The term 'base stratum' as it is used here refers to a hardened base with shearing wave velocity Vs = 700m/s or more that has not undergone significant weathering.

## PCV Vent

A measure for reducing the pressure inside the primary containment vessel (PCV) by discharging a portion of the gas (mostly nitrogen) inside the PCV which contains radioactive materials into the atmosphere as a measure to protect the PCV by preventing the abnormal buildup of pressure inside it.

The reactor PCV is divided into two parts; a dry-well (D/W) and a wet well (W/W), which is also known as suppression chamber (S/C or S/P).

Each chamber has its own vent line, and the line has large AO valves and small AO bypass valves. After the two lines merge, there are MO valves and rupture disks, and the line leads to the stack.

In addition to condensing the steam from the D/W or the reactor pressure vessel (RPV) by means of the water contained inside the W/W, the W/W vent also has the effect of removing radioactive materials.

## %24 D/W vent line, %25 W/W vent line

## PCV floor sump

Cistern installed in the lowest basement floor for the purpose of collecting waste water discharged from the primary containment vessel (PCV). In case of leakage inside the PCV, the water level has a tendency to rise a great deal.

## Probabilistic Tsunami Hazard Analysis Method

The probabilistic tsunami hazard analysis method is a method of evaluating the probability of the water level of a tsunami rising above a specified height at a specified location within a specified period of time in the future. The analysis results show the tsunami hazard represented by curved lines (the relation between tsunami water level and probability of exceeding that level) through systematically processing all types of uncertainties regarding the assumptions of tsunami water levels.

## Transient System

The transient system is activated by the occurrence of any abnormal event, and as a supplement to the chart, it collects numerical value data showing the plant conditions starting from a few minutes before the event until 30 minutes after the system was activated.

## Lower Plenum

Area at the bottom part of the reactor. When the plant is in steady operation, the coolant flowing in the downward direction inside the reactor pressure vessel changes direction here and flows upward into the reactor core. BWRs have control rod guide tubes.

## Gals (gal)

Unit of acceleration (equal to cm/s<sup>2</sup>). It refers to the ratio of change in the speed due to seismic ground motion during a specific period of time while the ground surface is shaking.

## Dry Storage Cask

Container for storing spent fuel. Naturally cooled via air cooling.

## Design Basis Seismic Ground Motion Ss

Refers to the ground motion that, although the likelihood is small, has the possibility of an earthquake occurring while the facility is in common use, from the standpoint of seismology and earthquake engineering which includes studies of the geological structures in the vicinity of the site and earthquake activities,

and is deemed appropriate to presume that there could possibly be a severe impact on the facility.

## Cask Storage Building

Building where casks are held in dry storage.

## Feed Water Heater

Equipment for warming the condensate or feedwater using steam bled off from the turbine steam in order to improve the thermal efficiency of the turbine plant.

## Feedwater and Condensate System

System that supplies water to the reactor during normal operation. Steam used for driving the turbine is cooled by the main condenser to become condensate, and is supplied to the reactor.

## Back Wash Valve Pit

A valve is installed in circulating water system (CWP) lines to reverse the direction of seawater flowing through the condenser tubes in order to clean out the inside of the condenser tubes. The CWP pipes supply seawater to the condenser through underground pipes, and the back wash valve pit is located outdoors.

## Emergency Response Policy Decision-making Meetings

The meetings held at the off-site center where adjustments for the most important matters within the Joint Council for Nuclear Emergency Response are made. The group makes proposals to the central government's Nuclear Disaster Response Headquarters for adjustments of local personnel evacuation and accident recovery work measures, expansion or contraction of the area where emergency response measures are enforced, and rescinding of the declaration of state of nuclear emergency.

## Ministry of Economy, Trade and Industry Nuclear Disaster Alert Headquarters

An organization established under the directive of the Minister of Economy, Trade and Industry when an event to be reported under Article 10 of the Nuclear Emergency Act occurs. It makes preparations for emergency monitoring, and serves as a framework for alerts and collection of information, and conducts prevention activities. When a Declaration of State of Nuclear Emergency is issued, the responsibility it transferred over to the Ministry of Economy, Trade and Industry Nuclear Disaster Response Headquarters.

## Ministry of Economy, Trade and Industry Nuclear Disaster Local Alert Headquarters

An organization established at the off-site center whenever an event to be reported under Article 10 of the Nuclear Emergency Act occurs. It collects and reports information on the nuclear disaster and implements emergency measures, and after a Declaration of State of Nuclear Emergency is issued, the responsibility it transferred over to the Ministry of Economy, Trade and Industry Nuclear Disaster Response Local Headquarters.

#### Nuclear Disaster Response Local Headquarters

An organization established at the off-site center under Article 17 Section 8 of the Nuclear Emergency Act which works as part of the local Nuclear Disaster Response Headquarters, collecting information on accidents and events, making contact and adjustments with local public organizations. After a declaration of state of nuclear emergency has been issued in accordance with Article 15 of the Nuclear Emergency Act, it takes over from the local accident response liaison conference.

## Joint Council for Nuclear Emergency Response

The Council established at the off-site center guided under the leadership of the Vice Minister of Economy, Trade and Industry for strengthening cooperation between the central government and local public organizations, when the Prime Minister declares a state of nuclear emergency. The organization endeavors to share information and consults on matters of emergency response. (Under Article 23 of the Nuclear Emergency Act)

## Act on Special Measures Concerning Nuclear Emergency Preparedness (Nuclear Emergency Act)

The law that went into effect as of June 16, 2000 in order to protect the life, health and property of citizens from nuclear disasters by taking radical steps to strengthen nuclear disaster measures, as the result of lessons learned from the September 30, 1999 JCO criticality accident.

#### Nuclear Operator Disaster Prevention Business Plan

The operation plan for disaster preparation created by nuclear operators in accordance with Article 7 of the Nuclear Emergency Act. The plan lists: nuclear disaster prevention measures for nuclear operator offices; emergency response measures and post-nuclear disaster measures; nuclear disaster prevention managers and nuclear disaster prevention personnel for preventing the spread of the nuclear disaster and making efforts for recovery after the nuclear disaster; nuclear disaster prevention organization; education and training for disaster prevention personnel; radiation measurement facilities; disaster prevention materials and equipment; and disaster drills.

## Reactor Pressure Vessel Pressure Leakage Test

A test to check leakage reactor coolant pressure boundary while the reactor is in normal operation by raising the pressure. This test which is conducted at each periodic inspection is carried out so as to not allow the temperature inside the reactor to fall below the minimum operating temperature.

#### Reactor Coolant Pressure Boundary

During normal reactor operation, coolant is introduced into the reactor and the pressure conditions become the same as those of the reactor, but when an aberration occurs, a pressure barrier is formed, and when this barrier is broken, loss of coolant takes place, and this facility is known as the reactor coolant

pressure boundary.

## AC Power Source

Alternating current (AC) is a flow of electricity that reverses its direction of current at a uniform rate of time. Electric power that is usually delivered to Japanese homes is AC.

## Basic Act on Disaster Control Measures

The law that determines basic matters of physical and financial measures for the formulation of disaster prevention plans, disaster prevention, disaster response measures, disaster recovery, and clarifies the responsibilities of the central government, local public organizations and other public institutions in regard to disaster prevention. Enacted in 1961. Under this law, disasters are defined as "storms, downpours, blizzards, floods, high tides, earthquakes, tsunamis, volcanic eruptions, and other abnormal natural phenomena" as well as "large-scale fires or explosions" and large-scale disasters resulting from causes as stipulated by government ordinance. It also includes nuclear disasters such as "large scale discharge of radioactive materials" and the like.

## Maximum Response Acceleration

The maximum acceleration of a structure's shaking (response) when seismic ground motion is applied to the structure. This term is different from the maximum acceleration of seismic ground motion, which is a shaking motion of the ground itself.

## Primary Loop Recirculation Pump

Recirculation system pump that pulls cooling water out of the reactor from the reactor pressure vessel and then circulates it back into the reactor again. By varying the revolution speed of the pump, the reactor output can be increased or decreased.

## National Institute of Advanced Industrial Science and Technology

The National Institute of Advanced Industrial Science and Technology is Japan's largest public research institute conducting research on six varied scientific fields that supports the Japanese industry: environment and energy; life science; information technology and electronics; nanotechnology, materials and manufacturing; metrology and measurement standards; and geoscience. Headquarters are located in Tokyo and Tsukuba, and excluding the Tsukuba center, there are eight locations around the country with each regional center specializing in a particular type of research. The total number of staff members is approximately 3,000. More than 2,000 researchers coordinates with industry, academia and government to achieve innovations from research and development, based on the concept of an "open innovation hub".

## Residual Heat (decay heat)

Heat generated from the decay of radioactive material. Even when the reactor is shut down, heat is output from the decaying of fission products containing radioactive materials, so in order to preserve the integrity of the core, the decay heat must be removed, and for this purpose the reactor is equipped

with the residual heat removal system (RHR) and shutdown cooling system (SHC).

## Headquarters for Earthquake Research Promotion (HERP)

The achievements of earthquake research and study were not sufficiently communicated to the general public or agencies responsible for disaster prevention, and thus a framework for its utilization was not in place. Being conscious of this problem and as to take responsibility for clarifying an administrative framework that ought to be connected to earthquake research and study, the government took a unified approach in promotion, and on the basis of the same law, the Headquarters for Earthquake Research Promotion (HERP) was established at the Prime Minister's office (currently: Ministry of Education, Culture, Sports, Science and Technology) as a special organization. The organization is comprised of the Director General (Minister of Education, Culture, Sports, Science and Technology) and headquarters staff (undersecretaries of relevant government agencies), and under the staff are the Policy Board and the Earthquake Research Committee, which is made up of personnel of relevant organizations and persons of learning and experience. Due to the countermeasures against earthquake disaster that were being comprehensively promoted nationwide in July 1995, the parliament enacted the Earthquake Disaster Prevention Countermeasures Law.

## Subsurface Structural Model

A model that reflects physical properties of the ground surface to the free surface of the base stratum that is needed in order to perform stripped wave analysis. It is appropriately determined based on the records from seismometers placed within the ground.

## Severe Accident

An accident that has the potential of releasing a large volume of radioactive materials outside the nuclear power station due to the reactor core being severely damaged (such as large amount of fuel damaged or core meltdown and the like) by the simultaneous multiple failures of equipment used for core cooling during an accident and other measures to mitigate the effects of the accident.

## Free base

Ground that is thought to not have any impact on buildings and the like due to shaking of the ground by earthquakes.

## Concentrated Radwaste Building

At nuclear power stations, all sorts of wastes are generated during startup (shutdown) operations, normal operation, periodic inspections and other station conditions. Of such wastes, those that contain radioactive materials or those that potentially could contain radioactive materials are called radioactive wastes. To begin with, radioactive wastes are "collected" and appropriately "processed" within the power station, and then after that, the wastes need to be disposed of in a permanent manner. The radioactive waste facility is a facility for "collection", "processing" and "disposal," and the complex of buildings which possessed such facilities before the earthquake is called the concentrated radwaste building.

Those facilities were dismantled after the earthquake, and now the established water treatment facility is in use.

#### Intake Screen

The place where seawater is taken in to cool the high temperature steam after it has been used for turning the generator.

#### Core Shroud X27

Cylindrical structure that covers the fuel and its surroundings inside the reactor pressure vessel (RPV) in BWRs. Made of stainless steel. In addition to supporting disk-shaped parts that hold the fuel in place, it also fulfills the role of adjusting the flow of cooling water. It can be called either shroud or core shroud.

## Zircaloy

Zirconium-based alloy used in nuclear power. It does not readily absorb neutrons, and has excellent anti-corrosion and heat-resisting properties, and for those reasons it is used as the material for fuel cladding and support lattice in nuclear reactors.

## Epicentral Distance

Earthquakes occur when bedrock below the ground surface slips out of place. The spot where the bedrock begins disruption is called the "hypocenter," and the ground surface directly above it is called the "epicenter." The distance away from the epicenter is known as the epicentral distance.

## Focal Area

Earthquakes occur when bedrock below the ground surface slips out of place. The area where bedrock disruption occurs when earthquakes occur (fault) is called the focal area. Generally speaking, the focal area is within a radius of about several tens of kilometers in the case of a magnitude 7 earthquake, about 100 to 200 km for magnitude 8, and around 500 to 1000 km in the case of magnitude 9. The hypocenter points to the spot where disruption of the bedrock occurs, and the focal area is where the seismic waves radiate out from the disruption point and ultimately points to the entire area where destruction occurs.

## Shindo (Japanese Seismic Intensity Scale)

One of the methods of expressing the strength of seismic motion at a given geographical location. Traditionally, shindo was an abstract estimated representation based on a contextual setting of what person could feel with their senses, but since April 1996, the strength is automatically recorded by seismic meters and reported as news flashes.

The seismic intensity levels as reported by the Japan Meteorological Agency (JMA) have ten stages; "shindo zero", "shindo 1", "shindo 2", "shindo 3", "shindo 4", "shindo 5 lower", "shindo 5 upper", "shindo 6 lower", "shindo 6 upper", and "shindo 7".

# Self Contained Breathing Device Set

An ambulatory respiratory protective device set carried on the back  $(CO^2 absorption device, oxygen tank, thermal gel all in one case) and a face mask. The device filters exhaled air and recirculates it while replenishing oxygen by mixing pure oxygen from the tank into the circulating air and supplying this to the face mask.$ 

## Nationwide Ocean Wave information network for Ports and HAbourS (NOWPHAS)

NOWPHAS (Nationwide Ocean Wave information network for Ports and HAbourS) is a nationwide wave and coast information network created and operated jointly by the Ports and Harbours Bureau of the Ministry of Land, Infrastructure, Transport and Tourism, Regional Development Bureaus, Hokkaido Bureau, Okinawa General Office, the National Institute for Land and Infrastructure Management, and the Port and Airport Research Institute. Since 1970, the Port and Airport Research Institute has should red the responsibility for carrying out research on ocean wave data collected, processed, and analyzed by NOWPHAS. As of December 2009, nationwide coastline wave data from 72 observation points (72 points observe wave height and cycle, 61 points observe wave direction) around the country is collected in real time by the Port and Airport Research Institute. NOWPHAS wave data is widely utilized by the Meteorological Agency for promoting marine safety as well as work on coastlines, airport projects, and other planning and project harbors. investigation/design and construction by compiling long-term statistical analysis data, not to mention coastal area development and utilization and disaster prevention.

## Exit Monitor

Device installed at the exit of controlled areas to check for contamination by radioactive materials when anyone leaves the area.

## Alternate Water Injection

Water injection measures for when a severe accident happens when even the emergency core cooling system (ECCS) fails. In this accident, the make-up water condensate system, fire protection system, and fire engines were used for injecting cooling water.

## Downcomer

Downcomer generally refers to the decreasing flow in ducts and piping sections. In this report, it is used in the meaning of circular space between the interior wall of the reactor pressure vessel and the reactor core (shroud). During normal operation, narrow channel and wide channel water gauges measure the reactor water level in this downcomer section.

## Dumper

Facility for regulating or shutting off the flow volume of the heating, ventilating, and air conditioning system.

## Charcoal Mask

Protective mask equipped with high efficiency activated charcoal air filter for absorbing radioactive iodine attached to the filter for collecting particulate radioactive materials.

## Central Disaster Prevention Council

As one of the cabinet's chief important policy measures bodies, the Central Disaster Prevention Council is made up of the Prime Minister, all cabinet ministers, representatives from designated public agencies, and knowledgeable persons of learning and experience, who plan basic disaster prevention plans and debate on important matters concerning disaster prevention.

The role of the Central Disaster Prevention Council is as follows:

 creating and implementing basic disaster prevention plans and earthquake disaster prevention plans

ocreating and implementing plans for emergency measures when

extraordinary disasters occur

 debating on important matters concerning disaster response and questions from the Prime Minister and disaster control minister (Basic Disaster

Prevention Policy, comprehensive policy coordination, proclamation of state of emergency, etc.)

 reporting opinions to the Prime Minister and disaster control minister in regard to important matters on disaster

# Direct Current Power Sources

Direct current (DC) means electric current that always flows in the same direction. Whereas the electric power used in the power station that is supplied from external sources and the emergency diesel generators is alternating current, the power supplied by batteries is direct current.

## Tsunami Reproduction Calculation (Inversion Analysis)

Numerical simulation for reproducing tsunami wave transmission effects. Of the numerical tsunami simulation values, the actually recorded or observed signature height, flood height, run-up height, and tidal level records were used to determine the tsunami wave source model parameters so as to conduct reverse analysis, and this is called tsunami inversion analysis.

## Tsunami Magnitude

Mt stands for "tsunami magnitude". Whereas magnitude (M) is a representation of the scale of an earthquake calculated using the distribution of strength (seismic ground motion) of the seismic waves (degree of swaying), Mt indicates the intensity of an earthquake calculated using the distribution of height of the tsunami. The calculation coefficient for the determination of Mt is determined so as to be the same as the moment magnitude (Mw) (Abe, 1981). The run-up height data of the tsunami is used in such a way that even though there is no tidal level observation data for historical earthquakes it can still be

applied (Abe, 1999), and the reliability of estimated Mw of historical earthquakes is high. Mw is the magnitude determined from what is called the volume of the seismic moment representing the physical scale of the hypocenter.

## Surveillance Test

Tests regularly performed to check the functionality of the power station's systems and equipment.

## Solenoid Valve

Valves that are operated by the magnetic force of electromagnets (solenoids).

## Torus Room

The shape of the room in which the large donut-shaped tunnel (suppression chamber (S/C)) for holding water to be used as a water source for the emergency core cooling system resides is torus-shaped. Therefore the room in which the tunnel resides is called the Torus room, and it is installed at the bottom part of the reactor primary containment vessel and surrounds it.

## Fuel Assemblies ※28

A bundle of fuel rods for facilitating the handling of fuel whose shape takes the flow of coolant into account.

## Fuel Rod Cladding

Tubular cover around the exterior of the fuel rods, approximately 11 millimeters in outer diameter and approximately 0.7mm thick, made of a metal alloy containing zirconium metal.

## Stack

Facility for nuclear power stations and fuel reprocessing plants to safely release exhaust gas into the atmosphere. High efficiency filters and the like are used to purify the gas containing radioactive materials before release into the atmosphere. The concentration of radioactive materials in the exhaust gas is measured and monitored at all times.

## Stripped Wave Analysis

Analysis that strips all influences of the upper ground surface in order to compare/analyze the seismic observation records acquired from the ground at the site with design basis seismic ground motion Ss defined for the free surface of the base stratum.

## Stripped Wave

The seismic motion estimated using the above stripped wave analysis.

## Wave Source Model

The data on length of fault line, breadth, location, depth, and amount of creep

needed for making numerical tsunami simulation. Also known as tsunami fault model.

## Regulatory Guide for Reviewing Safety Design of Light Water Nuclear Power Reactor Facilities (Safety Design Review Guidelines)

The guidelines of the Nuclear Safety Commission (NSC) for examining the propriety of the basic policy on Safety Design of Nuclear Reactor Facilities. This is ordinarily referred to as Safety Design Review Guidelines. The reactor facility structures, systems and equipment are expected to fulfill the prescribed functions for ensuring safety not only in normal operation, but even under abnormal conditions that exceed the normal operation parameters. (established in 1990)

## Regulatory Guide for Reviewing Seismic Design of Nuclear Power Reactor Facilities (Regulatory Guide for Reviewing Seismic Design)

The regulatory guide established by the Nuclear Safety Commission of the central government in order to implement seismic design of nuclear power stations. The initial guidelines were established in 1978 by the Nuclear Safety Commission based on safety examination experience at that time, but later, it was revised in 2006 to reflect newly accumulated knowledge on seismology and earthquake engineering, and remarkable improvements and progress in seismic design engineering.

## Failsafe Functions

System designed on the basis of a view toward safety at all times, even when some part of the system experiences malfunction.

## Blowout Panel

If a high energy pipe of the primary system ruptures outside the reactor primary containment vessel, the pressure inside the reactor building and outside the primary containment vessel rises due to the escaping steam. It is necessary to ascertain that the external pressure applied to the primary containment vessel does not exceed the design external pressure due to the pressure buildup inside the reactor building in order to maintain the integrity of the primary containment vessel. The purpose of the blowout panel is to reduce R/B pressure by trying to force the steam go outside using the driving force provided by the interior/exterior pressure differential of the reactor building.

## Paging

Facility installed at multiple locations inside the power station consisting of a handset station and speaker for internal communication within the power station. The facility is simple to use, and it can be used for clearly audible internal public address and two-way communications even under highly noisy conditions.

#### Radiation Monitor

Device for round-the-clock measuring and monitoring the radiation environment at establishments where radioactive materials are handled.

It monitors gamma rays, dose rate of neutron rays and concentration of radioactive dust in the air, concentration of radioactive gas, radioactive nuclide

concentration in water, and the like.

## Hotline

Device for direct communication. The hotline connects the main control rooms (two lines to each main control room) with the seismic isolated building (using metallic lines). (Example: two bidirectional lines between the Unit 1 and Unit 2 main control rooms and the seismic isolated building)

## Magnitude

A unit of measurement that expresses the intensity of an earthquake. In principle, one earthquake has only one magnitude. The JMA magnitude used by the Japan Meteorological Agency (JMA) pays particular attention to earthquake damage of large-amplitude seismic waves on cycles of less than five seconds. Recently, the term "moment magnitude", which focuses on seismic waves with longer frequency, has come into use.

## Management Review

Checking to see that the quality management system is adequate, appropriate, and effective by evaluating opportunities for improving the quality management system, and evaluating the necessity for making changes to the management system inclusive of quality policy and quality goals for the purpose of wide implementation of the PDCA cycle of the organization.

#### Water/zirconium reaction

When fuel cladding becomes very hot, the zirconium contained within it reacts with the reactor coolant (water), and produces hydrogen gas.  $(Zr+2H2O \rightarrow ZrO2+2H2)$ 

## Seismic Isolated Building

Learning from the Niigata-Chuetsu-Oki Earthquake, when an earthquake of shindo (Japanese scale) level 7 class occurs, so as to prevent anything from hindering emergency response, important facilities for emergency response such as communications and electric power sources are gathered here.

#### Unloading Wharf

One of the harbor facilities of the power station, The quay onto which equipment and machinery transported in by ship are unloaded.

#### Rupture Disk

Safety device that is designed to operate at a pre-determined pressure.

#### Filtered Water Tank

Tank for storing filtered water after initial filtration. It is the water source for fire protection systems and water for various other uses.

#### Core Support Structure

The core support structure incorporates core shroud, top guides, core support

plates, fuel supports, and control rod guide tubes. The core support structure supports and holds fuel assemblies in position to protect the integrity of the fuel assembly structure appropriately for the overload balance during normal operation, times of transient abnormal operational, and times of accident.

# Core Support Plate ※29

Stainless steel disk reinforced by joists upon which the fuel assemblies are loaded in a BWR core support structure.

End